1 Understanding complex drivers of wildlife crime to design effective conservation

- 2 interventions
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## 5 Abstract

6 In conservation, understanding the drivers of behavior and developing robust interventions to promote behavioral change is challenging and requires a multi-faceted approach. This is 7 8 particularly true for efforts to address illegal wildlife use, where pervasive - and sometimes 9 simplistic - narratives often obscure complex realities. In this paper, we apply a set of novel techniques in an integrated approach to investigate the drivers and prevalence of wildlife crime 10 11 in communities surrounding two national parks in Uganda and predict the performance of 12 potential interventions designed to tackle these crimes. Although poverty is often assumed to 13 be a key driver of wildlife crime, we show that better off households, as well as those that suffer 14 from human wildlife conflict and those that do not receive any benefits from the parks' tourism revenue-sharing, are more likely to be involved in certain types of wildlife crime, especially 15 illegal hunting. The interventions predicted to have the greatest impact on reducing local 16 17 participation in wildlife crime are those that aim to directly address the drivers including, 18 mitigating damage caused by wildlife and generating financial benefits for park-adjacent 19 households. This study demonstrates the power of a triangulated approach in gaining insights 20 into complex and hard-to-access behaviors, and highlights the importance of going beyond 21 single-driver narratives.

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### 22 Introduction

23 Overexploitation of wildlife, including illegal use, is one of the greatest threats to the long-term 24 survival of wildlife populations around the globe (Maxwell et al. 2016). Yet, as with many of 25 the challenges currently faced in conservation, formulating an effective strategy to combat this threat is hampered by the complexity of the underlying factors that drive human behavior 26 27 (Game et al. 2014). In such situations, there is a danger that conservationists rely on simple 28 narratives that paper over the true complexities of a given context. This temptation to intervene 29 based on weakly supported preconceptions particularly plagues efforts to reduce illegal use of 30 wildlife and stem the flow of illegal wildlife products from within protected areas. Here 31 conflicting narratives revolve not just around who is involved and what factors drive people to 32 become involved (Duffy et al. 2016) but also around false dichotomies to describe possible 33 solutions, of which enforcement vs. community engagement (Challender & Macmillan 2014a; Challender & Macmillan 2014b; Phelps et al. 2014) or trophy hunting vs. photo tourism (Di 34 35 Minin et al. 2016) are just two examples. In the face of such competing arguments, robust 36 interventions require an evidence-based approach in which the relative importance of different behavioral drivers is evaluated using a broad evidence base, and the likely performance of 37 38 alternative interventions robustly assessed prior to their implementation.

39 The importance of understanding animal behavior is widely acknowledged in conservation 40 science. However, human behavior and the factors that drive it remain woefully 41 underappreciated (Cowling 2014). Yet, in many contexts, it is human behavior that represents 42 the greatest threat to wildlife - no more so than in the case of wildlife crime. Effective 43 conservation action is thus dependent on understanding and addressing the motivations behind 44 that behavior (St John et al. 2013). Research is increasingly being conducted in this area (Mackenzie & Harrter 2013; Nuno et al. 2013; Wilfred et al. 2017) but most studies apply a 45 46 limited number of methods, without the triangulation or broad perspective needed to reflect the 47 complexity of human behavior (von Essen et al. 2014). Similarly, while the use of predictive 48 methods to investigate the impact of behavior change interventions has increased (Travers et al. 49 2011; Moro et al. 2013; Williams et al 2014; Travers et al. 2016), such approaches remain 50 underutilized.

51 In this paper, we apply a multi-faceted approach to developing effective interventions to tackle 52 wildlife crime. Our approach integrates estimates of actual behavior, qualitative and 53 quantitative investigations of the attitudes and preferences of a range of different actors, and 54 reported future behavior under a series of different interventions designed to address wildlife 55 crime. Combining these different strands of investigation not only provides robust evidence 56 upon which interventions can be developed, but also the basis for future active adaptive 57 management through which progress can be assessed and corrective actions taken if required 58 (Grantham et al. 2010; Fig. 1). We apply such an approach to investigate the drivers and 59 prevalence of wildlife crime in villages surrounding Uganda's two largest national parks, Queen Elizabeth Protected Area (QEPA) and Murchison Falls Protected Area (MFPA), and examine 60 61 the likely effectiveness of alternative interventions proposed to address wildlife crime within 62 the two parks. Uganda has strict protection laws for natural resources, such that, unless the 63 Uganda Wildlife Authority (UWA) has granted prior approval, extraction of most resources 64 inside protected areas is illegal. Consequently, wildlife crime covers a wide range of offences 65 from the collection of medicinal plants to hunting elephants for ivory. Hence, there is a wide variety of actors engaged in wildlife crime with a diverse set of motivations, operating in 66 different areas (Critchlow et al. 2015), making effective policies to reduce wildlife crime 67 68 challenging to develop.

69 While there has been a long history of community engagement associated with Uganda's 70 protected areas, notably around Bwindi Impenetrable National Park (Blomley et al. 2014), this has typically not received the same level of investment as law enforcement for most protected 71 72 areas. Expenditure on enforcement-based interventions to combat wildlife crime accounts for a 73 significant proportion of annual budgets at park level (Plumptre et al. 2014; Critchlow et al. 74 2015). Where community engagement approaches have been implemented by UWA, the focus 75 has mostly been on outreach and education, coupled with measures to mitigate wildlife damage 76 to crops and livestock. Efforts have also been made to increase the benefit park-adjacent 77 communities receive from protected areas through a process of revenue sharing (whereby 20% 78 of park entry fees are allocated to local governments to invest in development projects, such as 79 clinics, schools and small livestock schemes; Tumusiime & Vedeld 2012) and through formal 80 agreements with a small number of specialized resource users (e.g. collectors of firewood or 81 building materials) which provide for limited access to the parks. However, doubts remain as to the effectiveness of these approaches (Infield & Namara 2011; Blomley et al. 2014, 82 83 Twinamatsiko et al. 2018), in particular their ability to change behavior rather than attitudes.

84 Here we apply the approach described in Fig. 1 to bring greater understanding of the relative 85 importance of different drivers of five commonly encountered wildlife crimes (firewood 86 collection, illegal grazing, illegal fishing, subsistence hunting and commercial hunting) in the 87 two study parks. We did this through a socio-economic survey of households living around 88 QEPA and MFPA, which included an indirect questioning component to improve willingness 89 to give truthful answers about sensitive behaviors (the unmatched count technique, UCT; Nuno 90 et al. 2013). We estimate the prevalence of the five wildlife crimes and investigate the profiles 91 of households engaged in these crimes. We couple this with two empirical approaches, scenario 92 interviews and a discrete choice experiment, to assess the likely performance of six alternative 93 interventions being considered by UWA to combat wildlife crime (Table 1). These included 94 "wildlife friendly" enterprises, wildlife scouts (local volunteers who help protect against crop-95 raiding), increased law enforcement patrol effectiveness, regulated hunting using revenue-96 sharing fund to support human wildlife conflict (HWC) mitigation, and the removal of resource 97 access agreements. We triangulate the findings of these empirical approaches against key-98 informant interviews with active and reformed wildlife offenders and UWA staff.

## 99 <u>Methods</u>

100 The study employed a mixed methods approach, combining three survey-based instruments (a

101 socio-economic household survey, scenario interviews and a choice experiment) with

102 qualitative key-informant interviews and group discussions. Due to considerable linguistic

103 diversity between the two parks, it was necessary to employ two teams of enumerators for the

104 three survey-based methods (refer to SI for further details on our approach to control for this).

## 105 Socio-Economic Household Survey

A socio-economic household survey was conducted with 1968 households living in frontline villages, which for the purposes of this study were defined as villages either directly bordering QEPA or MFPA, or with at least 50% of village area within 3km of a park boundary. Villages were stratified by district and randomly selected proportionally with the length of boundary within each district and the sample size selected to ensure an even sampling distribution around each park. Within each village, households were randomly selected from a list of households

112 maintained by village authorities. Data collection ran between February and May 2015. The

- survey focused on key socio-economic variables thought to affect household involvement in
- 114 wildlife crime, including household poverty, livelihood strategy, participation in resource or

115 revenue sharing, experience of wildlife damage and perceptions of living close to conservation

areas. The selection of these variables was informed by a review of the relevant literature from Uganda and elsewhere (Harrison et al. 2015b). Household poverty was measured using two

117 Oganda and elsewhere (Harrison et al. 2013b). Household poverty was measured using two 118 compatible approaches: the basic necessity survey (Davies and Smith 1998) and the Ugandan

multi-dimensional poverty index (UMPI; UBOS 2014a), a measure used by the Uganda Bureau

120 of Statistics to monitor national poverty levels. Both approaches use an index calculated from

household ownership of key assets and access to basic services that capture different

dimensions of poverty. Items for inclusion in the basic necessity survey were generated through

123 six participatory workshops held in park-adjacent villages.

## 124 Unmatched Count Technique

125 The prevalence of illegal activities among local people was investigated using an indirect questioning method, the unmatched count technique (Nuno & St John 2015), which was 126 127 included as part of the socioeconomic household survey. Under this method, respondents were 128 shown a series of five cards, each relating to a different offence (firewood collection, grazing, 129 fishing, subsistence hunting and commercial hunting). At the beginning of each interview, respondents were randomly allocated to either the control or treatment group. Control 130 131 households were shown cards with pictures of four non-sensitive items and were asked to give 132 the number of items relevant to them. For example, the control card relating to firewood 133 collection had four legal sources of firewood and respondents were asked to give the number of items from which their household had obtained firewood in the past year (refer to SI for 134 135 further detail regarding the experimental design of the UCT). Treatment households were shown cards containing the same items as the control cards but with the addition of one sensitive 136 137 item relating to the specific offence in question. For example, the firewood treatment card contained an additional photo that represented collecting firewood from the adjacent park. The 138 139 composition of each card was designed such that all cards contained one item that was expected 140 to be relevant to nearly all respondents and one item that was expected to be relevant to none 141 of the respondents. In this way, responses were expected to fall within the upper and lower bounds (i.e. between one and three for the control cards and between one and four for the 142 143 treatment cards), thereby ensuring that it was not possible to ascertain which of the items were 144 relevant to individual respondents.

## 145 Choice Experiment

146 The choice experiment was used to elicit the preferences of local people for five of the 147 interventions under consideration (experimental constraints led to the exclusion of removing 148 resource access agreements from the choice experiment) and was conducted with 394 people. All respondents had previously been interviewed as part of the socio-economic household 149 150 survey. Each respondent was presented with six choice cards in turn and for each card asked to 151 select one of two unlabeled alternatives they preferred. No opt out option was given. Each 152 alternative was described by five attributes (the proportion of revenue sharing funds to be allocated to mitigating HWC, the number of wildlife scouts recruited in each village, the 153 154 probability that illegal hunters would be caught, whether regulated hunting was permitted or 155 not and average earnings from the development of wildlife friendly enterprises). Each attribute had two or three distinct levels (refer to SI for further detail on the experimental design of the 156 157 choice experiment). All interviews were followed by a short debriefing session.

#### 158 Scenario Interviews

159 To predict how each intervention was likely to perform if implemented, scenario interviews 160 were conducted with 119 people following the method used by Travers et al. (2016; refer to SI 161 for further detail on the experimental design of the scenario interviews). As with the choice 162 experiment, each respondent had previously participated in the socio-economic household 163 survey. Interview respondents were presented with a series of future scenarios, each describing one of the interventions under consideration. For each scenario, respondents were asked how 164 165 they would respond. As the main indicator of behavior change, household involvement in wildlife crime, is sensitive and vulnerable to bias, three proxy indicators were selected for 166 167 investigation in the scenario interviews: i) time allocation to non-sensitive, legal livelihoods, ii) 168 the perceived fairness of each intervention and iii) the probability of providing UWA with 169 information about illegal activity.

#### 170 Key Informant Interviews

171 Key informant interviews were conducted with national and park level UWA staff, self-reported 172 hunters and bushmeat traders. The aim of interviewing hunters was to collect qualitative data regarding their motivations, the methods they employ and their perceptions of the different 173 174 interventions considered in the scenario interviews and choice experiment. Interviews were 175 semi-structured and conducted with 50 active or reformed hunters in eight villages located around MFPA. The responses of five known hunters were found to be highly inconsistent and 176 177 were removed from subsequent analysis. Key national and park level UWA staff were 178 interviewed individually regarding their perceptions of the factors that drive local people to 179 become engaged in illegal activities and which interventions they considered to be most 180 effective at addressing wildlife crime. In addition, a two-day workshop was held in July 2015 181 to investigate the preferences of headquarter and park level UWA staff for interventions to 182 combat wildlife crime.

#### 183 Analysis

184 Hierarchical Bayesian regression models were used to analyze the results of the UCT, choice 185 experiment and scenario interviews. All analysis was conducted using the package rstan, 186 version 2.8.0 (Stan Development Team 2015a), in R, version 3.2.2 (R Core Team 2015). Weakly informative half-Cauchy prior distributions (mean = 0, SD = 5) were assigned to 187 188 predictor standard errors following the Stan reference manual (Stan Development Team 2015b) 189 and an uninformative LKJ prior (shape factor = 1) was assigned to the covariance matrix. 190 Adequate convergence was indicated by taking Gelman-Rubin statistics with values <=1.01 191 and visual inspection of traceplots. Four chains were analyzed in parallel, with the number of 192 burn-in iterations set to achieve time convergence. Standard deviations and credible intervals 193 for probability estimates at the 95% level were found by calculating the probability distribution 194 of each response state using the estimated parameter values for each post-warm up run. Analysis 195 of the UCT was split into two models (see SI for further details). Model 1 was used to produce 196 estimates of the mean prevalence of each of the five wildlife crimes and included interaction 197 terms between card type (i.e. the resource in question) and a treatment dummy as the only 198 predictor variables. Model 2 was used to investigate the drivers of illegal hunting (both 199 subsistence and commercial) and included additional interaction terms between card type, the 200 treatment dummy and household socio-economic characteristics as predictor variables.

## 201 **Results**

## 202 Prevalence and drivers of wildlife crime

We estimated the proportion of households across the two study sites that had engaged in the five wildlife crimes at least once in the previous year (Fig. 2a, SI Table SI.1). These estimates highlight the significant difference in the prevalence of the five wildlife crimes, ranging from 11% (95% credible interval (CI): 0.03, 0.19) of households for firewood collection to 42% (95%CI: 0.32, 0.51) commercial hunting. They also show that the two crimes expected to have the greatest impact on wildlife (subsistence and commercial hunting) are the most prevalent among households living around MFPA and QEPA.

- 210 One of the most pervasive narratives associated with wildlife crime is that people hunt because 211 they are poor and the potential earnings, particularly for high value species such as elephants
- 212 or pangolins, are too great to ignore (Duffy et al 2016). However, our findings suggest that, for
- 213 our study area, this narrative is too simplistic. Contrary to the expected relationship, poorer
- 214 households were significantly less likely to illegally hunt than better off households (Fig. 2b,
- 215 SI Table SI.2). Better off households in the sample had levels of wealth that put them in the
- 216 middle class bracket nationally. Of the two possible explanations for this result (that households
- are more likely to hunt because they are better off or that they have become better off through
- hunting), the latter is supported by the findings of interviews conducted with known hunters.
  People who hunt for at least 15 days per month reported earnings ranging between US\$120-
- 220 500 per month, which is significantly greater than average household income in Uganda (UBOS
- 221 2014b).

222 The findings of the hunter interviews suggest that a lack of alternative employment options may 223 be a more important driver than material poverty. The need to earn money was cited as the 224 primary motivation to hunt by 39 of the 42 interviewed hunters asked, with several claiming 225 that no alternative means of earning money was available to them, particularly during the dry 226 season. This leads some people to hunt to meet specific needs, such as medical bills or school 227 fees, while for others hunting is the primary income source. In contrast, providing meat for 228 domestic consumption was only cited as the primary motivation to hunt by two of the 42 229 interviewed hunters. The interviews with hunters also suggested that achieving higher social 230 status, which is accorded only to a few senior hunters in each village, was not a significant 231 motivation for most.

232 In addition to the potential financial benefits, households that had reported suffering from HWC 233 were 65% more likely to hunt commercially and 80% more likely to hunt for subsistence than 234 those who had not (Fig. 2b). Similarly, households that felt they had not benefited from revenue 235 sharing were 27% more likely to hunt commercially and 36% more likely to hunt for 236 subsistence (Fig. 2b) than those that had not. These results are important, as they suggest that 237 how local people perceive the costs and benefits of living near protected areas is directly 238 correlated with their behavior. It also shows that how people perceive benefits to be distributed 239 may be more important than the reality of the situation as, in many cases, people were found to be unaware of local development projects had been funded by revenue sharing. If people 240 241 perceive benefits to be distributed unfairly, negative behaviors may develop that might 242 otherwise not have been present (Harrison et al. 2015a).

Another factor that may influence involvement in wildlife crime is the likelihood of being caught and the severity of penalties (Keane et al. 2008). Key informant interviews conducted with known hunters suggested that the threat of ranger patrols was not a sufficient deterrent to

bring about behavior change. The individual rate of encounters with patrols (ranging from 246 247 seeing rangers inside the park to being chased or direct ranger interactions) reported by hunters 248 was approximately 1 in every 5 incursions into the park, whereas the reported detention rate 249 was only 1 in every 500-1000 incursions. Hunters also suggested that the penalties issued, if 250 arrested, were not a deterrent. The average sentence for the 13 interviewed hunters who had 251 been previously arrested was three months in prison and a US\$120 fine (equivalent to the 252 expected earnings from just eight incursions). Despite reports of fatal shootings or hunters 253 going missing inside the park, hunters use these low detention rates and limited consequences 254 of arrest to justify their belief that the benefits of hunting outweigh the risks.

## 255 Stakeholder preferences for anti-wildlife crime interventions

256 While preferences alone are not always a true indicator for how people behave, they are an 257 important consideration in the selection and design of programs aiming to change behavior (St John et al. 2010). The results of the discrete choice experiment reveal the preferences of local 258 259 people, measured on a scale ranging from -1 (the least preferred option) to 1 (the most preferred option), for five interventions (Table 2). At MFPA, the most preferred option was the 260 261 introduction of wildlife friendly enterprises (mean preference (pref)=-0.49; 95%CI: 0.19, 0.83), closely followed by wildlife scouts. The preferences of people living around QEPA were 262 notably different, with mitigation for HWC the most preferred intervention (pref=-1.00; 263 264 95%CI: 0.60, 1.45). This is particularly striking, as people living around MFPA expressed no 265 preference for mitigation for HWC, except through wildlife scouts. The least preferred 266 intervention, with negative preferences at both sites, was regulated hunting. This may be slightly surprising given the high proportion of households estimated to be hunt illegally, but 267 268 was explained in debriefings by an overall distrust that such a scheme could be managed 269 sustainably or equitably and by the fact that it had no financial benefit, as all offtake would be 270 meant for home consumption. The only exception to this was for people who were already 271 members of resource user groups, who expressed neither preference nor aversion for regulated hunting at either MFPA (pref=-0.01; 95%CI: -0.18, 0.15) or QEPA (pref=-0.05; 95%CI: -0.08, 272 273 0.20). More surprising was the mild support found at both sites for increased effectiveness of 274 law enforcement, which runs counter to the common assumption that local people are opposed 275 to ranger patrols.

For UWA staff from both parks, the preferred anti-wildlife crime intervention options were elicited through a separately held workshop. These were: i) increasing the effectiveness of law enforcement though ranger patrols, intelligence gathering and raising awareness among local magistrates, ii) wildlife friendly enterprises and iii) mitigating HWC. Encouragingly, the most preferred interventions match those of local people expressed through the choice experiment, although the order of preference differs, with UWA staff prioritizing law enforcement.

## 282 Predicted effectiveness of interventions in reducing wildlife crime

283 The results of the scenario interviews suggest that wildlife friendly enterprises were likely to 284 be the most effective means of increasing the percentage of people who would allocate more 285 time to pursuing legal activities at both MFPA (87%; 95%CI: 50%, 100%); Fig. 3a, SI Table 286 SI.3) and QEPA (64%; 95%CI: 16%, 97%). For the households that reported suffering from 287 HWC (one of the categories of household most likely to be involved in wildlife crime), wildlife friendly enterprises, wildlife scouts and mitigating HWC were all found to be highly effective 288 289 at encouraging people to spend more time pursuing legal livelihoods at both sites. This shows 290 that, although the response to these interventions may differ between the two parks, three of the interventions considered are predicted to have a significant impact on the behavior of the peoplemost likely to be involved in illegal hunting.

293 With respect to the perceived fairness of the interventions considered, wildlife friendly 294 enterprises, HWC mitigation and wildlife scouts were all considered either 'very fair' or 'fair', 295 with no difference observed between the two sites (Fig. 3b, SI Table SI.4). Wildlife friendly 296 enterprises again performed best, with a higher probability of being considered 'very fair' than 297 both HWC mitigation (99.7% of model runs) and wildlife scouts (100% of model runs). 298 Although withdrawing resource access agreements was generally found to be fair or very fair, 299 only 7% of people who already belonged to resource user groups considered this to be fair or 300 very fair. Conversely, while only 33% of non-members of resource groups found regulated 301 hunting to be fair or very fair, group members widely perceived this to be a fair intervention 302 (88% fair or very fair). These findings suggest that, although local perceptions of certain 303 conservation interventions are widely positive, perceptions of other interventions will vary 304 according to a group's experience of similar interventions or the expected impact on their 305 livelihoods.

306 The interventions predicted to be most likely to encourage communities to provide intelligence 307 and information about illegal activities to UWA were, again, wildlife friendly enterprises, mitigating HWC and wildlife scouts (Fig. 3c, SI Table SI.5). For these three interventions, the 308 309 percentage of people who felt they would be more likely to provide information was 82% 310 (95%CI: 68%, 97%), 79% (95%CI: 63%, 95%)and 57% (95%CI: 39%, 75%) respectively. A 311 small percentage of people (29%; 95%CI: 10%, 45%) were also more likely to provide information under regulated hunting, while removing resource access had no effect. These 312 313 results suggest that community engagement approaches can be effective not just in reducing 314 opportunity for households to engage in wildlife crime, but also in improving relations with 315 park authorities so that people feel more inclined to provide assistance to law enforcement 316 efforts.

## 317 Discussion

318 The demand for evidence-based conservation interventions (Sutherland et al. 2004; Pullin & 319 Knight 2005; Ferraro & Pattanyak 2006; Cook et al. 2010) requires sufficient evidence on 320 which to base decisions. Where commonly held but untested narratives feed into decision-321 making, underlying assumptions about behavioral drivers should be interrogated. In Uganda, 322 as elsewhere (Duffy et al. 2016), there is a widespread belief that poverty is a leading cause of 323 wildlife crime. However, our findings suggest that the situation is more nuanced than this, with 324 better off households more likely hunt illegally than poorer households. While this suggests 325 that immediate need may not currently be a primary driver, opportunities to earn money remain limited in many rural communities. Hence, poverty may have been the original driver of hunting 326 327 for households that have since become better off through hunting. Involvement in wildlife crime 328 offers an additional income stream, which in some cases is highly lucrative, such that those 329 involved have a competitive advantage over households that choose not to be. A focus on poorer 330 households may therefore miss the households that have the greatest impact on wildlife. Instead, 331 a broader focus on the creation of income-generating opportunities, particularly during seasons 332 in which agricultural labor demands are low, may be more effective. It is important, however, 333 that efforts to provide such opportunities learn from the lessons of past programs that were often based on weak assumptions, such as the substitutability of livelihoods (Wright et al. 2016). 334

335 It is also important to understand local people's perceptions of conservation (Bennett 2016) and 336 the role these can play in determining behavior and intervention outcomes. 337 Our finding that people who perceive that their wellbeing is suffering due to HWC, or do not 338 feel like they are benefiting from revenue sharing, are more likely to be engaged in illegal 339 hunting suggests that local attitudes towards park authorities (and conservation more widely) 340 cannot be ignored. It also suggests that outreach and educational activities, which have been a 341 focus of UWA's community engagement, are unlikely to be sufficient to elicit behavior change. 342 Where investment has been made in incentive-based projects, these have often not been 343 sufficiently linked to conservation outcomes or the specific behaviors that pose a threat to 344 wildlife (Harrison et al 2015a). Encouragingly, our results predict that people who suffer HWC 345 - precisely those whose behavior we aim to influence - are more likely than others to change 346 their behavior if interventions that directly address these concerns are implemented. This result 347 reflects our overall finding that interventions that address the underlying drivers of wildlife 348 crime are most likely to result in positive outcomes. This argues against blanket application of 349 particular "in vogue" approaches across a range of circumstances, but rather for targeting 350 specific interventions to address specific drivers of wildlife crime. At MFPA, our findings 351 suggest that wildlife friendly enterprises, which enhance the income-generating potential of 352 agricultural products (thereby reducing the need to use wildlife crime as an alternative source 353 of income), may be most effective. At QEPA, increasing investment in long-term, effective 354 mitigation of HWC may be a more important step to improving people's perceptions of 355 conservation and their relations with park authorities. Currently, despite public commitment to 356 tackling HWC, some within UWA consider that local people should be responsible for 357 preventing the damage caused by wildlife. Although this corresponds to research that has shown 358 that locally administered mitigation activities tend to be more effective (Hedges et al. 2010), it 359 ignores the costs incurred by local people (both through damage to crops, livestock and people 360 and in implementing mitigation measures). Providing greater and more consistent financial 361 support for mitigation measures would improve the situation.

362 Our finding that increasing the effectiveness of law enforcement would, on average, be 363 positively received by local people (in addition to being strongly supported by UWA staff) is encouraging because it suggests that it may be possible to change the social acceptability of 364 365 wildlife crime. It also dispels the idea that law enforcement and community engagement are 366 discrete choices, whose objectives are automatically opposed. This is supported by our finding that local reporting of illegal activities, an essential element of efforts to combat illegal trade in 367 high value species (Linkie et al 2015; Cooney et al. 2016), is predicted to be increased through 368 369 greater community engagement. This would require an improved working relationship between 370 local people and rangers, since the provision of information is risky for informants and requires 371 effective and timely support from law enforcement personnel (Wilkie et al. 2016), again highlighting the need for local support of law enforcement activities. A balanced approach, in 372 373 which law enforcement and community engagement interventions are complementary actions, 374 would therefore be most effective in incentivizing behavioral change.

375 In addition to predicting the effectiveness of conservation interventions, the feasibility of their 376 implementation should be considered. For alternative livelihood projects, which have a history 377 of mixed success, investment in local institutions is critical. This can take significant investment 378 of both time and financial resources, and requires sufficient capacity within wildlife authorities. 379 UWA's community conservation staff lack the skills, budget and manpower needed to design 380 and support such programs. Consequently, while wildlife friendly enterprises are predicted to 381 perform well in reducing wildlife crime, it may be some time before they can have a meaningful 382 impact. Conversely, greater and more consistent investment in mitigating HWC should result 383 in more immediate impacts and UWA staff are already familiar with many of the measures to 384 be put in place. A sensible strategy may be to invest first in greater protection from HWC, both as a means of reducing the costs associated from living close to wildlife and improving relationships between the park staff and local communities. At the same time, UWA's community conservation staff can be trained to design, implement and monitor more complex livelihood programs. Strategic partnerships with other sectors of government (e.g. agricultural extension or social protection) could also be used to leverage greater impact from such interventions.

391 By taking the novel approach of integrating an investigation of the drivers of wildlife crime 392 with a predictive assessment of the effectiveness of alternative interventions, we have identified 393 the actions most likely to reduce illegal wildlife use at the two national parks. The 394 recommendations derived from this research have since been incorporated into park-level action plans to address wildlife crime (Travers et al. 2017) and are currently being piloted at 395 396 MFPA. This piloting process will enable greater understanding of the costing, feasibility and 397 likely impact to be obtained. However, it is important to recognize that at a finer scale than we 398 considered here, there are likely to be other sociological, historical and cultural factors that will 399 affect both how people behave, and how they perceive and respond to interventions aimed at 400 reducing wildlife crime. In the event that similar approaches to ours are implemented on a wider 401 scale, it is important that the local context is taken into account, as illustrated by the finding 402 that preferences for different interventions varied between QEPA and MFPA. This will be 403 particularly true for Uganda's forested parks that are likely to have a different set of drivers 404 from the largely savannah woodland parks included in this study (Harrison et al. 2015b). 405 Consequently, any wider rollout should be accompanied by a process of monitoring and active 406 adaptive management to account for changing conditions and the inherent uncertainty 407 associated with the use of predictive approaches (Grantham et al 2010).

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# <u>Tables</u>

Intervention	Description	
Human wildlife conflict mitigation	50% of revenue sharing funds would be allocated to activities aimed at mitigating human wildlife conflict.	
Wildlife scouts	Two people from each village would be employed by UWA to respond to incidences of human wildlife conflict in their village.	
Wildlife friendly enterprises	A "wildlife friendly" enterprise scheme, for which participation would be dependent on signing an agreement not to be involved in wildlife crime. Average earnings would be 500,000 shillings per year and non-compliant households would be suspended for one year.	
Increased patrol effectiveness	The probability that illegal activities within the parks is detected would be increased by a factor of 10.	
Removal of resource access	All formal agreements between UWA and local communities that allow certain resources to be harvested from the parks would be withdrawn.	
Regulated hunting	Resource access agreements would be expanded to allow certain species to be hunted using permitted methods. Only hunting for home consumption would be permitted and offtake numbers and zones in which hunting was permitted would be set each year.	

Table 1: Intervention options considered for combatting wildlife crime at QEPA and MFPA.

Table 2: Local people's preference estimates<sup>1</sup> for intervention options aimed at reducing wildlife crime at MFPA and QFNP. Sample N = 394.

Coefficient	Posterior mean (95% credible interval)	
	Murchison Falls NP	Queen Elizabeth NP
Human wildlife conflict mitigation	0.05 (-0.23, 0.34)	1.0 (0.60, 1.45)
Wildlife scouts	0.38 (0.20, 0.59)	0.64 (0.37, 0.97
Wildlife friendly enterprises	0.49 (0.19, 0.83)	0.35 (0.03, 0.68)
Increased patrol effectiveness	0.09 (0.01, 0.17)	0.23 (0.12, 0.36)
Regulated hunting	-0.14 (-0.28, -0.02)	-0.08 (-0.20, 0.04)

<sup>1</sup> Relative preferences range on a possible scale from -1 (least preferred option) to +1 (most preferred option)

#### **Figure Legends**

Figure 1: An adaptive management cycle for addressing wildlife crime in which the investigation of stakeholder attitudes and preferences, estimation of current behavior and behavioral drivers and application of predictive approaches can inform the design of interventions.

Figure 2: 2a. Estimates of the percentage of households involved in illegal activities. 2b. Marginal effect of household covariates on the percentage of households involved in subsistence and commercial hunting. Error bars show 95% credible intervals. N = 1968.

Figure 3: Paneled figure of three scenario interview indicators (A. time allocation; B. intervention fairness; C. probability of providing information). For each scenario (each bar), the probability of possible responses is shown. Figure 3A gives the probability of responses for households that suffer/do not suffer from human wildlife conflict (HWC) in both MFPA and QEPA. Figure 3B gives the probability of responses for households with/without resource access. N = 119.



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