Unexpected Performance of Intel® Optane™ DC Persistent Memory

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Abstract—We evaluated Intel® Optane™ DC Persistent Memory and found that Intel's persistent memory is highly sensitive to data locality, size, and access patterns, which becomes clearer by optimizing both virtual memory page size and data layout for locality. Using the *Polybench* high-performance computing benchmark suite and controlling for mapped page size, we evaluate persistent meemory (PMEM) performance relative to DRAM. In particular, the Linux PMEM support maps preferentially maps persistent memory in large pages while always mapping DRAM to small pages. We observed using large pages for PMEM and small pages for DRAM can create a 5x difference in performance, dwarfing other effects discussed in the literature. We found PMEM performance comparable to DRAM performance for the majority of tests when controlled for page size and optimized for data locality.

Index Terms—Persistent Memory

1 Introduction

Non-volatile memory research began decades ago [18]. The April 2019 release of Intel Optane™ DC Persistent Memory (PMEM) has accelerated that research. Prior to availability, most work was done via emulation [3], [4], [11], [17], [18]. We expected PMEM to exhibit lower bandwidth and higher latency compared to DRAM; work with PMEM is consistent with these expectations [5], [9]. Yet we also note the actual performance behavior is more complex [13].

We evaluated PMEM using *Polybench*, a suite of well-known microbenchmarks designed to measure the efficiency of memory locality optimization techniques. Our initial results, shown in Figure 1, defied the findings of our prior emulation study [3]. We investigated this behavior to better understand the performance profile of PMEM. We confirmed that locality is critical and identified multiple ways in which locality manifests itself in current PMEM systems. We verified our observations on multiple different systems. We report our results on the last system that we evaluated.

We observe that the most significant effect of locality is related to default memory management policy, with secondary effects from the PMEM memory controller's use of striping, read-ahead, and write-behind caching. The Linux default memory management policy is to use the largest possible pages for PMEM, while it defaults to 4KB pages for DRAM. DAX-aware file systems (for application sharing, dynamic allocation, and security) interact with this policy as well. We observed that the impact of this is up to 5x and we conclude that those publishing PMEM evaluations should control for page size. PMEM striping primarily benefits workloads with good data locality (within the stripe size) and high bandwidth requirements. PMEM without striping often performs similarly when high bandwidth is less critical. PMEM caching in the memory controller and memory modules benefits CPUs with smaller caches. Once we controlled for page size and used a memory locality optimizing tool, we confirmed performance for PMEM was as good or better than expectations. We achieved this performance from careful optimization, *not* by using default configurations.

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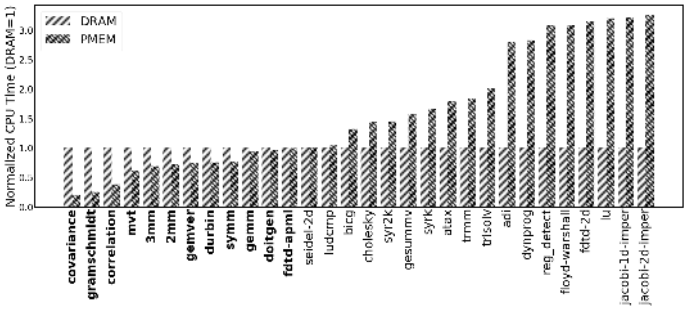
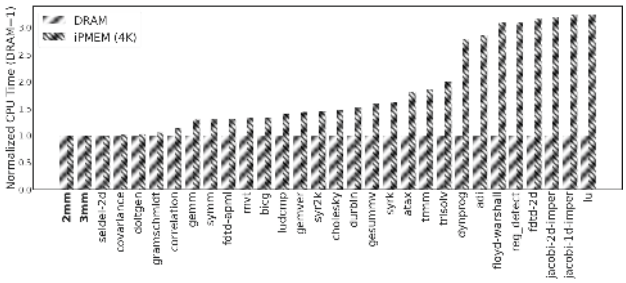
Fig. 1. Polybench: Performance of Striped PMEM relative to DRAM, Linux 5.0 kernel. Surprising results showing better performance on PMEM are highlighted in **bold**. Sorted by PMEM performance.



2 Background

PMEM's AppDirect mode permits two types of direct-access (DAX) usage: *devdax* access, which provides raw PMEM that is memory mapped by an application for exclusive use. Devdax mode requires pre-selecting memory allocation units (4KB, 2MB, and 1GB), static partitioning of the overall memory, using the "ndctl" utility, and is restricted to privileged applications; and *fsdax* access, which uses a DAX-aware file system to provide support for sharing between multiple applications, dynamic memory allocation — including page alignment and allocation unit size, and multi-user security. When an application memory maps a file on a DAX-aware

Fig. 2. Polybench: DRAM vs Interleaved PMEM (both 4K pages). Results closer to expectations but still better than expected. Caching masks lower bandwidth of PMEM; memory usage optimization improves cache benefits which improves performance and decreases benefit of PMEM interleaving. Sorted by PMEM performance.



file system the operating system configures the process page tables to directly reference the physical addresses of the underlying PMEM. Preferred practice is to use a DAX-aware file system [16]. We used DAX-aware file systems for our investigation.

The Persistent Memory Development Kit (PMDK) includes libraries for transparently converting standard memory allocation calls (e.g., *malloc*) to a memory allocator using arenas backed directly by files on a DAX filesystem device. This is similar to the approach used by *hugetlbfs*, a Linux file system that exposes DRAM to applications for allocation against large pages — the standard malloc calls in that case are implemented using arenas backed directly by files on hugetlbfs. We use both of these mechanisms in our evaluation of the Polybench tests.

Our PMEM hardware uses two dedicated memory controllers per CPU; each memory controller has three channels; each channel can manage two PMEM modules, which are equivalent to DRAM DIMM packages. The memory controllers include a small cache memory and provide the ability to transparently stripe across the PMEM modules although non-interleaved access is also supported. Each PMEM module also contains memory for converting 64 byte cache line sized load/store operations into 256 byte PMEM block size load/store operations.

The Linux operating system includes native support for PMEM. However, the policies for PMEM differ from those for DRAM. The Linux kernel transparently uses large memory page mappings for PMEM whenever possible, as dictated by the alignment and length of the page mappings, falling back to smaller sizes as necessary. Linux version 5.3 uses 1GB, 2MB, or 4KB page sizes for PMEM. Earlier Linux versions only used 2MB or 4KB pages for PMEM. The default for DRAM remains 4KB. We note that large page performance impact is well-described in the literature [1], [12]. This difference accounted for PMEM performing up to 5x better than DRAM.

We evaluated three different DAX-aware file systems: ext and xfs, which are standard Linux file systems incorporating experimental DAX support, and NOVA. The NOVA file system was the first purpose-built file system for PMEM [19]. However, we found NOVA unsuitable for use as a DAX file system, because its storage allocation policy does not preserve the 2MB page alignment Linux requires for large page support; this is not reported in even recent literature [9], [20]. We did not expect our DAX-aware file system choice to be relevant, as once memory mapped, applications directly access the PMEM. We used ext4 for our evaluation, as it allowed us to control PMEM alignment.

The Polybench test suite is a set of 30 computational kernels drawn from varied domains. It is commonly used for evaluating the impact of memory performance with respect to those tasks. We previously used Polybench [3]. Polybench continues to be actively used for evaluating memory optimizer performance.

3 Evaluation

Our evaluation system is a dual socket NUMA architecture system, using two Intel® second generation Xeon® Scalable processors (codename *Cascade Lake*) running between 1.0GHz and 3.9GHz (variable) with 32KB L1 instruction and data caches, 1MB L2 caches, and a 55MB L3 cache, 20 cores per processor, and 12 memory slots per processor, with six 32GB DRAM modules and six 256GB PMEM modules, all running at 2666 Memory Transactions per second (MT/s). Each processor has two persistent memory controllers, with three channels per controller. Each channel manages two PMEM modules; Intel refers to this as the 2-2-2 configuration [8]. We found similar results on the same base system with different model Intel CPUs: 1-3.7GHz (variable), 32KB L1, 1MB L2, 32MB L3 caches.

We used Fedora 31 with Linux kernel 5.0.0, which includes native PMEM support. We configured the PMEM as six-way interleaved memory for one processor (iPMEM), and six single non-interleaved memories for the other processor (PMEM). We used the SNIA standard programming model, which is a DAX-aware file system providing dynamic PMEM management and security; while it is possible to use devdax mode, which provides raw PMEM access, it requires static allocation and privileged ("root") access. We also used the PMDK libraries for transparently converting standard malloc calls into corresponding mmap calls for direct access PMEM, which only works with a DAX-aware file system [15].

We used Polybench/C Version 3.2 [14], [21], [22] compiled with clang version 10.0.0, choosing compile time constants to report execution time and the Linux real-time scheduler, and using maximum (`-O3`) optimization. We tested both with (`--llvm -polly`) and without polyhedral optimization. We chose our dataset sizes to match our prior work [3]. We ran the single-threaded Polybench 3.2 tests serially, bound to a single core, and all memory, including PMEM, was allocated

Fig. 3. Polybench: Cases where PMEM (non-interleaved PMEM) faster than iPMEM (interleaved PMEM) — interleaving does *not* provide substantial benefit in these cases; surprising because we expect the 2MB page size to dominate.

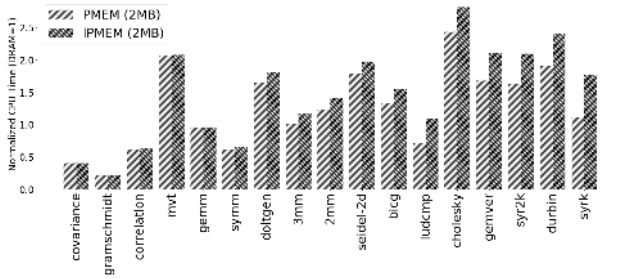


TABLE 1
Polybench Test Results: data size, by memory type (DRAM, PMEM, iPMEM), page size (4K, 2M), and optimization (`-O3` versus `-poly`). Execution time in seconds; sorted by 4K DRAM time improvement (optimized versus poly). Only shows test where polyhedral optimization benefitted at least one memory configuration (before rounding); other results omitted. Memory locality impact differs across memory types and configurations. CPU and memory allocation bound to one NUMA node. Ratio > 1 (pre-rounding) shows polyhedral optimization benefits.

Test	Data Size	DRAM						PMEM						iPMEM					
		4K			2M			4K			2M			4K			2M		
	MB	Opt	Poly	Ratio	Opt	Poly	Ratio	Opt	Poly	Ratio	Opt	Poly	Ratio	Opt	Poly	Ratio	Opt	Poly	Ratio
gemm	91.6	29.3	**1.5**	19.1	14.1	**1.5**	9.3	39.0	**1.7**	22.6	32.0	**1.7**	18.7	37.8	**1.7**	22.9	27.5	**1.7**	16.6
3mm	120.2	17.1	**1.5**	11.8	12.1	**1.4**	8.4	16.6	**1.8**	9.5	11.9	**1.7**	6.8	17.1	**1.7**	10.3	11.7	**1.6**	7.2
2mm	85.8	5.4	**0.5**	11.3	3.8	**0.5**	7.7	5.2	**0.6**	8.6	4.1	**0.6**	6.9	5.4	**0.6**	9.7	3.9	**0.6**	6.9
correlation	137.4	105.1	**22.4**	4.7	23.2	**20.5**	1.1	123.8	**23.9**	5.2	45.5	**23.3**	2.0	118.8	**23.6**	5.0	39.9	**22.9**	1.7
covariance	49.5	19.7	**4.7**	4.2	3.3	4.4	0.8	20.3	**5.0**	4.1	4.4	4.9	0.9	20.0	**4.9**	4.1	4.1	4.8	0.9
mvt	1717.1	3.4	**1.0**	3.5	1.1	**1.0**	1.0	4.7	**2.0**	2.3	2.3	**2.0**	1.1	4.5	**1.9**	2.4	2.1	**1.9**	1.1
gemver	1717.4	3.7	**1.5**	2.4	1.2	1.7	0.8	7.9	**4.9**	1.6	5.3	**4.9**	1.1	5.2	**3.2**	1.6	2.7	3.2	0.9
jacobi-1d	152.6	27.6	**12.0**	2.3	26.0	**11.0**	2.4	261.8	**70.0**	3.7	260.0	**70.1**	3.7	89.0	**70.0**	1.3	88.6	**69.9**	1.3
doitgen	512.5	13.0	**6.2**	2.1	8.6	**6.1**	1.4	13.3	**10.2**	1.3	12.4	**9.8**	1.3	13.5	**7.2**	1.4	12.5	**7.1**	1.8
gramschmidt	91.6	67.0	**38.8**	1.7	11.3	**9.75**	1.2	73.1	**50.6**	1.4	24.5	28.7	0.9	70.5	**44.8**	1.6	16.6	19.1	0.9
fdtd-2d	1464.8	33.0	**19.3**	1.7	34.8	**17.0**	2.0	275.5	**57.4**	4.8	273.6	**56.9**	4.8	104.29	**52.2**	2.0	103.8	**52.5**	2.0
syrk	61.0	9.1	**5.4**	1.6	9.0	**5.4**	2.0	15.1	**6.0**	2.5	15.0	**5.9**	2.5	14.6	**6.1**	2.4	15.1	**6.1**	2.5
jacobi-2d	976.6	18.7	**12.1**	1.5	18.1	**9.0**	2.0	179.6	**62.3**	2.8	179.6	**62.1**	2.9	59.6	60.1	1.0	61.0	**60.0**	1.0
syr2k	91.6	17.9	**12.0**	1.5	18.0	**11.8**	1.5	31.0	**14.6**	2.1	30.0	**14.6**	2.1	26.0	**14.3**	2.8	25.9	**14.2**	2.8
symm	91.6	49.6	**34.2**	1.4	9.8	11.5	0.9	65.1	**44.5**	1.5	41.4	**38.9**	1.1	64.4	**44.0**	1.5	37.8	**23.5**	1.6
dynprog	245.4	11.3	**11.3**	1.0	11.5	11.5	1.0	71.4	73.0	1.0	74.7	75.3	1.0	31.4	31.5	1.0	32.0	**31.8**	1.0
durbin	1526.2	1.7	**1.7**	1.0	0.5	**0.5**	1.0	4.0	4.0	1.0	2.8	**2.8**	1.0	2.6	**2.6**	1.0	1.3	1.3	1.0
seidel-2d	190.7	25.1	**25.1**	1.0	24.5	**24.5**	1.0	31.3	**31.2**	1.0	31.0	31.1	1.0	25.1	**25.1**	1.0	25.1	**25.1**	1.0
trisolv	1716.8	0.2	0.2	1.0	0.2	**0.2**	1.0	0.3	**0.3**	1.0	0.3	**0.3**	1.0	0.3	0.3	1.0	0.3	**0.3**	1.0
cholesky	122.1	12.0	13.0	0.9	11.9	**10.8**	1.1	18.8	20.5	0.9	18.5	19.4	1.0	17.7	20.4	0.9	17.2	18.5	0.9
lu	122.1	17.0	25.2	0.7	16.0	28.4	0.6	209.8	**192.2**	1.1	219.2	**192.8**	1.1	55.1	78.2	0.7	54.4	80.5	0.7
reg_detect	381.6	30.1	52.2	0.6	28.7	51.0	0.6	331.2	**119.0**	2.8	327.7	**118.9**	2.8	93.3	118.6	0.8	92.6	118.4	0.8

from memory local to the NUMA node of that core. We used the PMDK allocator to redirect standard memory allocation calls to use memory mapped PMEM, via the DAX aware file system. The PMDK uses a jemalloc-based memory allocator.

Figure 1 reproduces our initial results, using the ext4 file system properly configured to use aligned 2MB pages. These initial results surprised us because they showed better performance than we expected. We determined that while the default behavior for Linux is to use 4KB TLB mappings for DRAM, it used 2MB TLB mappings for PMEM when possible. Indeed, this behavior of Linux has changed; as of Linux 5.3 it now uses the largest possible TLB mapping for PMEM between 1GB, 2MB, and 4KB, based upon the alignment and length of the memory region being accessed. **This behavior has not previously been reported in the literature, despite its substantial impact on performance.** We counted the number of TLB and last level cache misses when forcing different page sizes; it accounts for all of the performance difference. Recent work has alluded to the 2MB page impact, but does not explain why this occurs [10], while other recent work does not address it, despite the up to 5x impact we have observed on performance [2], [13]. We note that NOVA, which was designed for PMEM and is the *de facto* standard for PMEM-optimized file systems interacts poorly with the page-size needs of applications converted to use PMEM, because it mixes 4KB and 2MB page allocations internally. Over time this leads to fragmentation, which causes the performance degradation we observed. Thus, we subsequently switched to using ext4, configured to ensure 2MB aligned allocation. This did not exhibit the performance degradation.

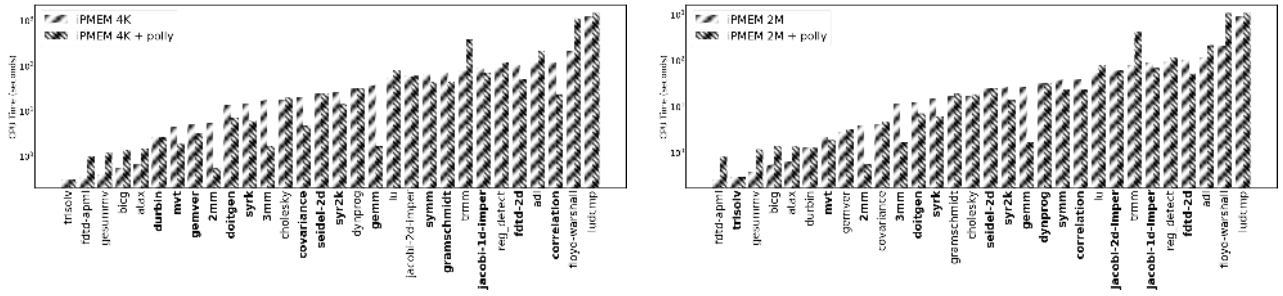
We used `perf`, a standard Linux performance utility, for measuring processor performance. From perf, we identified dramatically different data TLB miss rates, which in turn led us to finding both the default behavior of Linux and the sensitivity of page locality to the DAX file system allocation policy. **OS developers make fundamental decisions we must understand to achieve good performance.** Further, these choices do change over time.

We used `AEPWatch`, an open source Intel utility [7], for measuring the performance behavior of the persistent memory controllers. Once we controlled for page size, this provided us with greater insight into the impact of read caching, write combining, and striping in the persistent memory controllers. We found that **no single configuration produced consistently best performance.**

We hypothesized that software memory locality techniques would also yield improved performance. To test this, we used the LLVM compiler tools, which include a polyhedral memory optimizer. The memory optimizer optimizes memory layout and code generation to improve data locality; Polybench was constructed to evaluate the effectiveness of polyhedral optimization. In Table 1 we show our results across DRAM, (non-interleaved) PMEM, and (interleaved) iPMEM, for both 4KB and 2MB page sizes. Most PMEM configurations benefitted from polyhedral optimization, which reflects the sensitivity of the system to locality. We found that non-interleaved PMEM benefitted most from polyhedral optimization, often exhibiting comparable performance to interleaved PMEM. In only two cases did PMEM exhibit better performance than DRAM, likely due to the additional caching in the PMEM system itself. We omit results for eight tests where no memory configuration benefitted from polyhedral optimization.

Figure 2 shows a page size controlled comparison of memory performance. We evaluated the impact of polyhedral memory optimization to corroborate our theory on the importance of data locality. Figure 3 showed that locality was a more important factor than memory striping. Figure 4 demonstrated that in many cases polyhedral memory optimization improved data locality by as much as 80%. Table 1 provides the specific timings for the 22 tests where polyhedral optimization improved performance for at least one memory type. However, we did not identify any single factor that clearly explained these results: we considered both data and instruction TLB miss rates, last level cache misses, data set sizes, and instruction counts. We suspect this is due to the generic nature of the polyhedral optimizer, which has no specific knowledge of the processor or memory characteristics on our test system. It is also possible there are secondary features within the PMEM itself that, while not exposed, impact this.

Fig. 4. Polyhedral Memory optimizations for interleaved PMEM (iPMEM), 4K and 2M pages. Varying cache layers impact performance differently. Results sorted by execution time without polyhedral optimization. Boldface indicates improvement with poly optimization.



4 Conclusion

We found PMEM is more sensitive to memory locality than DRAM; this is due to differences in the hardware implementing these memory technologies. The Linux policy to use large pages by default with PMEM provides better TLB and page table locality, which translates to better performance. Given that large dataset usage is an important use for PMEM, it makes sense to optimize for efficient TLB and last level cache usage rather than demand paging. Interleaved PMEM (iPMEM) yields higher bandwith than non-interleaved PMEM, but non-interleaved PMEM benefits more than iPMEM from the polyehedral optimizers data locality improvements.

We found it was critical for proper evaluation to ensure properly controlling for Linux page size handling to ensure our comparisons between DRAM and PMEM were valid. We observed that locality optimization, both for virtual address translation and memory access, were critical to achieving optimal results with PMEM. We also observed that the benefits of various optimizations differed substantially across workloads when using PMEM. We found substantial performance penalties for *not* optimizing with PMEM.

While our evaluation was done with the first generation of commercially available PMEM, we expect these insights will generalize to future implementations of PMEM, particularly those related to the impact of data locality, OS behavior, PMEM caching, and PMEM interleaving.

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