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## 1 Unexpected sounds non-selectively inhibit active visual stimulus

## 2 representations

3 Running title: Inhibition of attention by unexpected events

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## 18 Abstract

19 The brain's capacity to process unexpected events is key to cognitive flexibility. The most well-20 known effect of unexpected events is the interruption of attentional engagement (distraction). We 21 tested whether unexpected events interrupt attentional representations by activating a neural 22 mechanism for inhibitory control. This mechanism is most well-characterized within the motor 23 system. However, recent work showed that it is automatically activated by unexpected events and 24 can explain some of their non-motor effects (e.g., on working memory representations). Here, 25 human participants attended to lateralized flickering visual stimuli, producing steady-state visual 26 evoked potentials (SSVEP) in the scalp-electroencephalogram. After unexpected sounds, the 27 SSVEP was rapidly suppressed. Using a functional localizer (stop-signal) task and independent 28 component analysis, we then identified a fronto-central EEG source whose activity indexes 29 inhibitory motor control. Unexpected sounds in the SSVEP task also activated this source. Using 30 single-trial analyses, we found that sub-components of this source differentially relate to sound-31 related SSVEP changes: while its N2 component predicted the subsequent suppression of the attended-stimulus SSVEP, the P3 component predicted the suppression of the SSVEP to the 32 33 unattended stimulus. These results shed new light on the processes underlying fronto-central 34 control signals and have implications for phenomena such as distraction and the attentional blink.

35

Keywords: Attention, Distraction, Inhibitory Control, Steady-state visual evoked potential, Stopsignal task

39 Unexpected perceptual events, such as sudden sounds, are known to disrupt ongoing 40 thoughts and actions. For example, when a warehouse worker hears an unexpected glass-shattering 41 sound while doing inventory, they may momentarily stop writing on a count sheet and indeed 42 forget their count altogether. This type of stimulus-driven distraction produced by unexpected perceptual events is of key interest to researchers studying attentional capture and its control 43 44 (Yantis, 1993; Simons, 2000; Corbetta et al., 2008; Awh et al., 2012). Cognitive psychology has 45 generated substantial insights into how distractors affect attentional engagement with the same 46 sensory domain (Bacon & Egeth, 1994; Theeuwes, 2004; Gaspelin et al., 2017; Liesefeld et al., 47 2017). Moreover, cognitive neuroscience has provided a comprehensive picture of neural activity 48 after unexpected events (Courchesne et al., 1975; Corbetta & Shulman, 2002). However, relatively 49 little is known about the exact neural mechanisms by which task-irrelevant unexpected events disrupt active, task-relevant attentional representations, especially across sensory domains. In a 50 51 recent theoretical article, we proposed that unexpected sensory events engage an inhibitory brain 52 mechanism that is capable of interrupting active neural representations that support active motor and non-motor (i.e., cognitive) functions (Wessel & Aron, 2017). At the core of this proposal is 53 54 the assertion that a well-characterized neural mechanism for inhibitory control (which is well-55 known to be involved in suppressing active motor representations, and which is automatically 56 triggered by unexpected events; Wessel & Aron, 2013; Wessel, 2018), can exert inhibitory 57 influence even on non-motor representations, such as working memory or attention (Chiu & Egner, 58 2014, 2015; Wessel et al., 2016; Castiglione et al., 2019; Tempel et al., 2020).

59 The brain network in question implements its inhibitory function via a fronto-basal-ganglia 60 (FBg) network that involves the pre-supplementary motor area (preSMA), the right inferior frontal 61 cortex (rIFC), and the subthalamic nucleus (STN) of the basal ganglia (Nachev et al., 2007;

62 Ridderinkhof et al., 2011; Schall & Godlove, 2012; Aron et al., 2014; Jahanshahi et al., 2015). Its 63 inhibitory influence on movement is most well-studied in the stop-signal task (SST, Logan et al., 64 1984), where it implements the 'stop'-component of the purported race between going and stopping (Aron et al., 2014). The temporal dynamics of this network can be non-invasively 65 measured using scalp-electroencephalography (EEG), where its activity is indexed by a 66 67 pronounced stop-signal induced fronto-central N2/P3 event-related potential (ERP) complex (de Jong et al., 1990; Kok et al., 2004; Huster et al., 2013; Wessel & Aron, 2015). The N2 component 68 69 of this ERP complex has been proposed to reflect the detection of the stop-signal or the associated 70 conflict (Schröger, 1993; Donkers & van Boxtel, 2004; Azizian et al., 2006; Enriquez-Geppert et 71 al., 2010; Smith et al., 2010), whereas the P3 has been hypothesized to reflect the subsequently 72 implemented inhibitory process (de Jong et al., 1990; Kok et al., 2004; Enriquez-Geppert et al., 73 2010; Wessel & Aron, 2015).

74 Our past work has shown that unexpected perceptual events automatically engage this same 75 inhibitory mechanism (Wessel et al., 2012; Wessel & Aron, 2013, 2017), even when there is no 76 explicit instruction to engage inhibitory control (Wessel, 2018a). Amongst other findings, this 77 assertion is supported by the fact that unexpected events lead to a slowing of motor responses 78 (Dawson et al., 1982; Parmentier et al., 2008) and elicit a fronto-central N2/P3 ERP complex that is morphologically similar to the fronto-central ERP complex elicited by stop-signals (Courchesne 79 80 et al., 1975; Squires et al., 1975). Indeed, independent component analysis (ICA) of EEG data 81 recorded in subjects that performed both the stop-signal task and tasks involving unexpected events 82 suggests that both ERP complexes share a common underlying neural generator (Wessel & Aron, 83 2013; Wessel & Huber, 2019). Moreover, local field potential recordings from the human 84 subthalamic nucleus (the key subcortical node of the inhibitory FBg-network) suggest that unexpected events engage this subcortical structure as well (Bočková et al., 2011; Wessel et al.,
2016). In line with this, optogenetic inactivation of the STN negates the inhibitory influence that
unexpected sounds have on behavior in mice. While unexpected sounds typically lead to a
premature interruption of ongoing licking bouts, this effect is absent if the STN is inactivated,
providing key causal evidence for the role of inhibitory control structures in surprise processing
(Fife et al., 2017).

91 An important property of this inhibitory FBg mechanism is that it implements inhibition in 92 non-selective, 'global' fashion, both after stop-signals and unexpected sensory events. This is most 93 evident from experiments that use transcranial magnetic stimulation and electromyography to 94 measure cortico-spinal excitability (for reviews, see Duque et al., 2017; Wessel & Aron, 2017; 95 Derosiere et al., 2020). Such experiments show that the rapid reduction of cortico-spinal 96 excitability that is found after stop-signals (Coxon et al., 2006; Stinear et al., 2009) extends even 97 to task-unrelated motor effectors (Badry et al., 2009; Greenhouse et al., 2011; Cai et al., 2012; 98 Goode et al., 2019). The same non-selective suppression of cortico-spinal excitability can be observed after unexpected events (Wessel & Aron, 2013; Dutra et al., 2018; see also: Novembre 99 100 et al., 2018; Novembre et al., 2019). As mentioned above, we have proposed that this type of non-101 selective, 'global' suppression exerted by the inhibitory mechanism could explain why unexpected events have effects even on non-motor, cognitive representations (Wessel & Aron, 2017) -102 103 conceivably, if fronto-basal ganglia mediated inhibition is broad and non-selective enough, it may 104 even affect non-motor representations (provided neural underpinnings of those representations are 105 susceptible to this inhibitory circuit).

Indeed, some preliminary evidence for this proposal already exists. In a first series ofstudies, Chiu & Egner (2014) have found that pairing faces with the requirement to rapidly

108 withhold a prepotent action – thereby triggering the inhibitory control network – inhibits the 109 encoding of these face stimuli into memory. In a follow-up study, the strength of this effect related 110 directly to the activation of the inhibitory FBg-network (Chiu & Egner, 2015). Further in line with 111 this, both action-stopping in the stop-signal task and active suppression of memory contents (e.g., 112 in the Think/NoThink paradigm, Anderson & Green, 2001) are accompanied by activity from the 113 same neural source (Castiglione et al., 2019). Finally, in line with the finding that unexpected 114 events engage the inhibitory network, we have found that the activity of the inhibitory FBg-115 network also mediates the disruptive effects of unexpected sounds on active verbal working 116 memory representations (Wessel et al., 2016).

117 While these studies lend first preliminary support to the general idea that the FBg-network 118 underlying motor inhibition could also explain the suppression of non-motor representations, all 119 existing work so far is limited to mnemonic processes. Moreover, it has already been found that 120 not all types of memory representations seem to be subject to the purported inhibitory influence of 121 the FBg network (indeed, short-term visual memory representations as operationalized in the 122 classic work of Vogel & Machizawa, 2004; Vogel et al., 2005, seem to be interrupted by other 123 mechanisms, cf., Wessel, 2018a). Therefore, it is hitherto unclear which exact types of non-motor 124 representations are potentially subject to interruption by inhibitory control exerted from the FBg-125 network, and whether its influence extends beyond the realm of mnemonic representations.

In the current study, we tested whether the activity of this mechanism could explain the effects of unexpected events on ongoing attentional representations. A highly influential body of past behavioral work indicates that indeed, attentional regulation may include inhibitory processes (Shapiro & Raymond, 1994; Klein & Taylor, 1994; Tipper et al., 1990). To test whether attentional representations are affected by inhibitory control signals after unexpected events, a novel task was

designed in which participants attended to one of two concurrently presented rhythmic flickers. 131 132 Such flickering visual stimuli are known to produce a steady-state visual evoked potential (SSVEP) 133 - a stimulus-driven entrainment of parieto-occipital EEG activity to the frequency of the rhythmic sensory stimulation (Regan, 1989; Silberstein et al., 1995). Notably, the covert direction of 134 135 attention towards a specific stimulus leads to an increase in the amplitude of the associated SSVEP 136 (Morgan et al., 1996; Müller et al., 1998; Ding et al., 2006; Walter et al., 2012). In our task, we 137 then presented unexpected sounds on a subset of trials while subjects were attending one of the 138 flickering visual stimuli. We expected the unexpected sounds to rapidly and transiently reduce the 139 amplitude of the SSVEP, reflecting an interruption of attentional engagement. In addition to this 140 task, all participants also performed a stop-signal task, which served as a functional localizer for 141 the FBg-network underlying inhibitory motor control. To test the hypothesis that this same neural 142 mechanism is related to the interruption of attentional representations after unexpected events, we 143 used ICA to identify the neural source signal underlying the N2/P3-complex in the stop-signal task 144 and tested whether this source was also active following unexpected events (as found in prior work, 145 cf. Wessel & Aron, 2013; Wessel & Huber, 2019). Finally, we then tested whether the activity of 146 that EEG source related to the disruption of attention (i.e., the SSVEP) on a trial-to-trial basis.

147

## 148 Materials and Methods

#### 149 *Participants*

In Experiment 1, 21 healthy adult college students (mean age: 19.05 years; SD: 1.12; three lefthanded; 14 females) participated the experiment for course credit. In Experiment 2, 21 healthy adult college students (mean age: 20.52; SD: 2.14; one-left-handed; 11 females) participated. Six of those participants received course credit and the rest were compensated with \$15 per hour. All participants had normal or corrected-to-normal vision. None of participants performed bothexperiments.

156

## 157 Stimulus presentation

- 158 All stimuli were presented on a BenQ XL2420B 120Hz gaming monitor with 1ms response time,
- 159 connected to an IBM compatible PC running Fedora Linux and MATLAB 2015b. Stimuli were
- 160 presented using Psychoolbox 3 (Brainard, 1997) at the monitor's native resolution of 1920 x 1080
- 161 pixels. Responses were made using a standard QWERTY USB keyboard. Viewing distance was
- 162 kept constant at 90 cm.



165 Figure 1. Task design. A) In Experiment 1, participants attended to a spatially cued rhythmic flicker (12 or 18 Hz) in order to detect a visual target that was superimposed on the cued flicker 166 after a variable delay interval. On 20% of trials, unexpected sounds were presented in the delay 167 168 interval, prior to target appearance. In Experiment 2, expected sounds were played 2.5 seconds after the flicker onset in all trials. B) In the stop signal task (performed by all subjects after the 169 crossmodal SSVEP oddball task), participants made speeded responses to black arrows (go 170 171 stimulus). On 33% of trials, the color of arrows changed into red (stop signal) after an adaptive 172 delay, after which they were instructed to attempt to stop their response.

#### 174 *Experimental paradigms.*

175 Experiment 1: Cross-modal SSVEP oddball task. The task (from here onwards referred to as the "SSVEP task") was designed to induce sustained active perceptual / attentional visual 176 177 representations during which unexpected sounds were presented on a subset of trials. All stimuli 178 were presented in white color on a black background. A task diagram can be found in *Figure 1*. 179 Each trial began with a centrally presented white double-arrow (<< or >>, 500ms duration, 180 font size: 100) that informed the subjects which side of the display to covertly attend to. The initial 181 double-arrow cue was followed by the SSVEP display, which consisted of a central fixation cross (+) flanked by two white flickering boxes (size: 9.66 x 9.66° of visual angle) that were presented 182 183 to the left and right (offset 8.44° of visual angle laterally from center), with one box flickering at 184 a frequency of 12Hz (f12) and the other flickering with a frequency of 18Hz (f18, half of the trials 185 consisted of 12Hz left / 18Hz right displays, and the other half of 18Hz left / 12Hz right, presented 186 in pseudorandom order). After a variable delay period, a visual target ( $\land$  or  $\lor$ , font size 100) would 187 appear in the center of the flickering box on the cued side of the display for 100ms. Participants 188 were instructed to press either the 't' (up,  $\wedge$ ) or 'g' (down,  $\vee$ ) key on the keyboard to indicate the 189 direction of the target stimulus. All responses were made using index or middle finger of the 190 dominant hand (responses were made in vertical direction using the same hand to prevent potential 191 cue-target spatial incompatibility effects that could result from the lateralized stimulus display). 192 The flicker onset-to-visual-target delay was either 4, 5, or 6 seconds long, pseudo-randomly chosen 193 from a uniform distribution of values. Participants were instructed to keep fixating on the central 194 fixation cross while covertly attending to the cued flicker and monitoring it for the visual target. 195 Horizontal eye movements were monitored by the experimenter between blocks (using the

196 recording from the HEOG electrode on each trial) to ensure that the cued flickers were covertly 197 attended and no overt saccades were made (trials with saccades to either side of the screen were 198 removed during the analysis, see below). A blank screen was displayed for three seconds between 199 trials.

On 20% of trials, unexpected sounds (unique bird song segments of 290 ms length) were 200 201 played in the delay period between the flicker and the target onset (UNEXPECTED condition) 202 through speakers positioned to either side of the computer screen. Subjects were not instructed to 203 expect any sounds during the experiment, nor were sounds presented in the practice block. The 204 inter-stimulus-interval between flicker onset and unexpected sound was drawn from a uniform 205 distribution ranging from 2,000 to 5,000 msec, with the constraint that the chosen delay could not 206 exceed the duration of the SSVEP display (i.e., the flicker onset-to-visual-target delay). In the 207 remainder of trials (80%), no sounds were presented (NO SOUND condition). Sound volume was 208 set at conversational level, which reliably evokes an orienting response without inducing a startle 209 reflex. After one block of practice (24 blocks), participants performed 360 trials in total (36 trials 210 per block, 10 blocks) with self-paced resting periods between blocks. Across the experiment, all 211 conditions were counterbalanced (i.e., f12/f18 positions were equally distributed between left and 212 right, as well as between UNEXPECTED and NO SOUND trials).

213

<u>Experiment 2: Control SSVEP task.</u> Experiment 1 was designed to test whether unexpected sounds
disrupted the SSVEP by comparing the UNEXPECTED to the NO SOUND condition. We used
Experiment 2 to confirm that the reduction of the SSVEP on UNEXPECTED trials in Experiment
1 was due to the unexpected nature of the sounds, and not due to the presence of a sound itself.
The task was identical to Experiment 1, with the exception that *every* trial included a sound (a 600

Hz sine wave tone of 200ms duration), which was presented at the same time on each trial (exactly 2.5 seconds after flicker onset). Contrary to Experiment 1, participants were instructed to expect these sounds before the task, and their practice block (18 trials) included these sounds as well (hence, we will refer to this as the EXPECTED condition). To collect a matching number of trials in relation to the UNEXPECTED condition in Experiment 1 (and thereby equate the signal-tonoise ratio of the SSVEP), Experiment 2 contained only 72 trials (all within the EXPECTED condition), split evenly across two blocks.

226

227 Stop-signal task (functional localizer). To evoke the neural signature of the inhibitory control 228 mechanism, we used the same version of the SST that we used in prior work (Wessel, 2020). At 229 the beginning of each trial, a black central fixation cross appeared for 500ms on a grey background. 230 Then, a black arrow pointing to either the left or right was presented at the center of the display 231 (go trials). Participants made speeded bimanual responses using either 'q' (left) or 'p' (right) key 232 on the keyboard that spatially matched the go stimuli (e.g., 'q' for left arrow). In 33% of trials, the 233 black arrows were replaced by red arrows (stop-signal) after certain amount of stop signal delay 234 (SSD). The initial delay was set at 200ms. Participants were instructed to withhold responses on 235 trials in which stop-signals appear. The SSD was adaptively adjusted in accordance with the 236 stopping performance to ensure about 50% of probability in successful stopping [P(stop)], which 237 is optimal for estimating the stop signal reaction time (SSRT) and guarantees motor prepotency on 238 most successful stop-trials. The SSD was increased by 50ms after every successful stop; and 239 decreased by 50ms after every failed stop. Experimenters instructed that making fast responses to 240 the go stimuli and stopping responses to the stop-signals are both equally important. Verbal feedback was given after each block. Participants performed 300 trials (200 go, 100 stop), split
evenly across five blocks.

243

<u>Procedure.</u> The SSVEP tasks in both experiments were always performed before the SST. The
tasks were performed in this fixed order to avoid biasing participants towards using inhibitory
control in the SSVEP task.

247

#### 248 Behavioral Analysis

All behavioral data from the SST were examined to check whether each subjects' data conformed to the prediction of the race model of the stop-signal task (Logan et al., 1984). Specifically, we checked whether failed-stop trial reaction time was faster than Go-trial reaction time for each subject. We also checked whether the SSD algorithm converged around p(stop)=.5 by ensuring that the final p(stop) for each subject was between .4 and .6. SSRT was then computed using the revised version of the integration method with replacement of errors and misses, as suggested by Verbruggen et al. (2019).

256

#### 257 EEG Recording

We used a 62-channel electrode cap connected to Brain Vison MRplus amplifiers (BrainProducts)
to record EEG at a sampling rate of 500 Hz. The reference electrode was Pz and ground was Fz.
Two additional eye electrodes were placed beside and below the left eye to monitor for saccades
and blinks, respectively.

262

## 263 EEG preprocessing

264 EEG data were preprocessed using custom MATLAB scripts written in Version 2015b 265 (TheMathWorks, Natick, MA). For each experiment, raw EEG data from the SSVEP task and the 266 SST were imported into MATLAB and concatenated (i.e., the SST timeseries data were appended to the SSVEP task data). The merged timeseries were bandpass filtered (High-pass cutoff: .5 Hz; 267 268 Low-pass cutoff: 50 Hz) using a Hamming-windowed sinc finite-impulse response filter (the 269 default FIR filter in EEGLAB). All timeseries were visually inspected and non-stereotypical 270 artifacts (muscle artifacts, transient electrode artifacts, etc.) were removed. Segments including 271 saccades were manually removed and excluded from the further analyses to exclude trials in which 272 attention was shifted overtly. Then data were re-referenced to the common average and entered 273 into an infomax Independent Component Analysis (ICA) decomposition algorithm. Specifically, 274 three different trial selections were performed prior to ICA, depending on which hypothesis was 275 tested. Separate ICA solutions were generated for each of the three datasets.

276 1. To test the primary hypotheses (i.e., that unexpected sounds and stop-signals produce 277 N2/P3 complexes from the same neural source, and that the activity of that source after 278 unexpected sounds predicts the interruption of the SSVEP), all UNEXPECTED trials from 279 the SSVEP task in Experiment 1 were combined with a matched amount of randomly 280 selected NO SOUND trials, as well as the entire SST data. This was done to equate the 281 signal-to-noise ratio of the SSVEP between UNEXPECTED and NO SOUND trials in the 282 SSVEP task. Specifically, for each UNEXPECTED trial, a pseudo-event was generated 283 within a randomly paired NO SOUND trial at the same after flicker onset at which the 284 unexpected sound was played in the UNEXPECTED trial. Data from the SSVEP trials was 285 included starting from 60ms prior to cue onset to 60ms following the response to the target.

286
2. To test whether EXPECTED sounds influence the SSVEP as well, data from the SSVEP
287 portion of Experiment 2 were combined with the SST data for each of those subjects.

3. To test the attentional tuning of the SSVEP (i.e., to perform a manipulation check on the
efficacy of the attentional cue), a dataset that only included the 288 NO SOUND trials from
the SSVEP task (for the subjects in Experiment 1) or the 72 EXPECTED trials (for the
subjects in Experiment 2) was generated.

Each of the resulting IC matrices for every subject was separately screened for stereotypic artifacts

293 (e.g., blinks, EKG, channel noise), which were excluded prior to further analysis.

294

## 295 Independent Component selection

296 Motor inhibition component selection. In line with previous work from us and others (Wessel, 297 2018b; Castiglione et al., 2019; Waller et al., 2019), one IC was selected from each participants' 298 ICA solution using the SST portion of the data as a functional localizer (this was only done for the 299 ICA solutions generated to test the influence of the sounds on the SSVEP, and not on the ICA 300 solution generated to test the SSVEP for attentional tuning effects, which did not include the SST data). In the following, this component will be referred to as motor inhibition independent 301 302 component (MI-IC). The MI-IC shows four primary characteristics in the SST that have been 303 demonstrated in our previous work (Wessel & Aron, 2015; Wessel et al., 2016; Wessel, 2017). 304 First, the MI-IC shows maximal weights around fronto-central electrodes (FCz, Cz). Second, the 305 MI-IC shows a pronounced positive deflection in its ERP, which peaks around 250-300 ms after 306 stop-signals (the stop-signal P3), which is not present during matched time periods on Go-trials. 307 Third, the onset of this ERP in the MI-IC occurs significantly earlier in successful stop-trials 308 compared to failed stop-trials. This characteristic reflects a key prediction of the in the independent 309 race model of the SST (Logan & Cowan, 1984), which holds that a faster stop process will lead to 310 successful stopping. Fourth and finally, the onset of stop-related P3 is positively correlated to the 311 behavioral measure of stopping speed (SSRT) across subjects, such that subjects with an earlier 312 onset of the P3 component in the MI-IC have a shorter SSRT (for details, cf. Wessel & Aron, 313 2015).

To extract the IC for each subject that most closely corresponded to these criteria, we first selected those ICs that showed scalp topographies with maximal weights at fronto-central electrodes (F1, Fz, F2, FC1, FCz, FC2, C1, Cz, C2). Second, the resulting ICs were individually backprojected into channel space and their fronto-central stop-trial ERP was plotted to ensure that they showed a fronto-central N2/P3 complex following stop-signals. The relationship between the activity of these components and stopping behavior was then validated as follows.

320

321 Motor inhibition component validation. To identify the onset of the stop-signal P3 feature of the 322 MI-IC, four types of trials in the SST portion of each subjects' data were investigated: successful 323 stop (SS) and matched go (SGo); failed stop (FS) and matched go trials (FGo). Go-trials were 324 matched to stop-trials by selecting one go-trial per stop-trial in which the SSD staircase was at the 325 same point (i.e., for a stop trial with an SSD of 200ms, we selected a go trial on which a stopsignal would have appeared at 200ms, had there been one). We then compared the mean sample-326 327 to-sample difference in MI-IC activity between stop and matched go-trials (SS vs. SGo; FS vs. 328 FGo) within each subject using label-switching permutation testing (10,000 iterations, p = .01, 329 corrected for multiple comparisons using the false-discovery rate method, FDR, Benjamini et al., 330 2006). The onset of the P3 was then defined as the first sample at which stop and matched go-trial 331 MI-IC ERPs significantly diverged prior to the peak of the P3 (in essence, the peak of the P3 was

identified, and the algorithm then worked 'backwards' towards the stop-signal until the stop-vsgo difference was no longer significant). The thusly identified P3 onset was then compared
between successful and failed stop-trials across subjects using a paired-samples t-test. Moreover,
the onset of the P3 on successful stop-trials was correlated to each subjects' SSRT estimate using
Pearson's correlation coefficient. These procedures are identical to our first report of these
properties (Wessel & Aron, 2015).

338

339 SSVEP component selection. Independent components reflecting the SSVEP were identified 340 based on topographical and frequency criteria for all three ICA solutions for each subject. To be 341 selected as an SSVEP component, an IC had to fulfill the following criteria: First, it had to show 342 weight matrix maximum at parieto-occipital electrodes (PO8, PO7, PO4, PO3, P8, P7, P6, P5, P4, 343 P3, P2, P1, O2, and O1). Second, it had to be among the top eight ICs in terms of explained 344 variance of the whole-scalp 12 and 18 Hz response (identified by EEGLAB's built-in spectopo() 345 function). This resulted in an average of 3.24 components per subject that were selected as SSVEP 346 components (range: 2-6).

347

Manipulation check: Unexpected events and stop-signals elicit N2/P3 complexes in the same IC. After selecting the MI-IC and confirming its properties in the SST, we then aimed to replicate prior findings showing that unexpected events evoke an N2/P3 complex within that same neural source (Wessel & Aron, 2013; Wessel & Huber, 2019). To this end, the MI-IC was back-projected into channel-space, and the fronto-central ERP (average at FCz and Cz) of that back-projection was time-locked to the onsets of UNEXPECTED sounds in the SSVEP task and the above-mentioned 'pseudo-events' on NO SOUND trials in Experiment 1 (-500 to 1000ms), as well as to the

EXPECTED sounds in Experiment 2. We then compared the subject-average activity time-course using sample-to-sample t-tests in the post-event period. Specifically, a paired-samples test was used to test the difference between UNEXPECTED sounds and the NO SOUND condition in Experiment 1, and an independent samples t-test was used to test the difference between the UNEXPECTED sounds in Experiment 1 and the EXPECTED sounds in Experiment 2. Both resulting vectors of p-values were corrected for multiple comparisons using the FDR-method to a critical alpha-level of .05.

362

#### 363 *Time-frequency analysis*

To convert the time-domain EEG signal to the time-frequency domain, the entire EEG timeseries were bandpass filtered with 30 linearly spaced center frequencies spanning 1 - 30 Hz with a range of 1 Hz around the respective center frequencies. The analytical amplitude of the signal at each center frequency was then computed using the square of the absolute of the Hilbert coefficients, identified using MATLAB's hilbert() function.

369

#### 370 Steady-state visual evoked potential analysis

371 <u>SSVEP extraction.</u> All SSVEP activity was quantified from the time-frequency time series at 372 electrodes PO7 (left hemisphere) and PO8 (right hemisphere). For frequency and attentional tuning 373 analyses, EEG data with all ICs were used to match prior studies. The remainder of SSVEP 374 analyses used the backprojection of the EEG data produced using the SSVEP ICs because our 375 main hypothesis tested how neural activities from two statistically independent neural sources (MI-376 IC and SSVEP IC) interact with each other after unexpected sounds. The IC-based source-signal 377 approach not only avoids cross-contamination of channel-space activity due to volume conduction, but it also increases the single-trial signal-to-noise ratio of both the SSVEP and the MI-IC activity.

379 Five participants from Experiment 2 were excluded from the SSVEP analyses because after artifact

rejection, at least one of their SSVEP conditions included fewer than 10 trials (Experiment 2

381 contained only 18 trials per each of the four SSVEP conditions).

382

383 Manipulation check: frequency tuning. To identify whether there was an SSVEP entrained to the 384 visual stimuli, the data were segmented from -300 to 3,000 ms relative to flicker onset. Each trial 385 was then baseline corrected by converting the amplitude to a z-score relative to the 300ms pre-386 stimulus period. For each trial, we then computed the median amplitude of the z-scored time-387 frequency amplitude at both 12 and 18Hz from the contralateral hemisphere to the location of the f12 or f18 flicker. These values were then averaged to produce the trial-average SSVEP amplitude 388 389 for each frequency (12/18Hz ERSP) contralateral to each flicker type. We then tested whether the 390 SSVEP at either hemisphere was entrained more strongly to the frequency of the flicker in the contralateral visual field using paired-samples t-tests. 391

392

393 Manipulation check: Attentional tuning. We then investigated whether instructed shifts in covert 394 attention increased the amplitude of the SSVEP, in line with previous literature (e.g., Regan, 1989; 395 Müller et al., 1998; Ding et al., 2006). To this end, four SSVEP time series were investigated: 12 396 Hz attended, 12 Hz unattended, 18Hz attended, 18Hz unattended. These analyses were performed 397 on the contralateral electrode only. To investigate the effect of attentional tuning over time, the z-398 scored single-trial data described above was binned into consecutive segments of 200ms, and the 399 attended condition was tested against the unattended condition for each frequency using paired-400 samples t-tests.

402 Hypothesis test: SSVEP change after unexpected sounds. For all Experiment 1 datasets, each 403 UNEXPECTED trial was paired with a matching NO SOUND trial as described above. Trials were 404 then epoched into -500 to 1000ms segments around the sound for the UNEXPECTED trials, and 405 around the same time point for the matching NO SOUND trial. For all Experiment 2 datasets, the 406 data were time-locked to the EXPECTED sound. For all three trials types, both the attended 407 (contralateral to cued location) and the unattended (ipsilateral) SSVEP were averaged across trials, 408 and the resulting data were z-scored relative to the 500ms period prior to sound onset (or the 409 'pseudo'-sound in case of the matched NO SOUND trials). Differences between the resulting average time-courses were then tested for significance using a sample-to-sample 2x2 ANOVA. 410 411 Specifically, to test whether UNEXPECTED sounds reduced the SSVEP compared to the NO 412 SOUND condition, we analyzed the data from Experiment 1 using the repeated-measures factors 413 SOUND (unexpected vs. no sound) and ATTENTION (attended vs. unattended). Furthermore, to 414 test whether any change after the UNEXPECTED sound was due to the expectancy violation, 415 rather than presence of the sound itself, we compared the UNEXPECTED sound condition from Experiment 1 with the EXPECTED sound condition from Experiment 2 using the between-subject 416 417 factor SOUND (Exp1: unexpected vs. Exp2: expected sound) crossed with the within-subject 418 factor ATTENTION (attended vs. unattended). Both ANOVAs were applied to each sample point 419 individually, resulting in three vectors of p-values (main effect of SOUND, main effect of 420 ATTENTION, SOUND \* ATTENTION interaction) for each analysis. These p-values were then 421 corrected for multiple comparisons using the FDR-method to a critical alpha level of .05. 422

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#### 424 Single trial general linear model: N2/P3 to SSVEP relationship

425 We tested our main hypothesis that interruptions of the SSVEP by unexpected sounds will 426 be related to MI-IC activity triggered by those sounds using a single-trial GLM. To do so, we 427 quantified the peak amplitude of the N2 and P3 portions of the N2/P3 complex in the MI-IC on in 428 each trial with an UNEXPECTED sound in Experiment 1, as well as the reduction of the SSVEP 429 on the same trial. The N2 peak amplitude was quantified by measuring the activity-minimum in 430 the MI-IC backprojection at fronto-central electrodes FCz and Cz between 140 and 300ms 431 following the time-locking event. The P3 peak amplitude was quantified by measuring the activity-432 maximum within a 150ms window starting from the peak latency of the N2 within that same time course. 433

434 To identify the change in trial-to-trial SSVEP after an unexpected sound on the same trials, 435 we first conducted a group-level ANOVA on the trial averages. Specifically, in order to find a 436 common time window for this analysis regardless of the type of SSVEP frequency, and to provide 437 an independent contrast to identify this window, we conducted repeated-measures 2-way ANOVA 438 with factor SOUND (unexpected vs. no sound) and FREQUENCY (12 Hz vs. 18Hz). As above, 439 this was repeated for all samples and then FDR-corrected to reach a critical alpha level of .05. The 440 time window in which the main effect of SOUND was significant was used to quantify the degree 441 of SSVEP disruption at the single trial level. The SSVEP reduction was quantified as the change 442 from baseline within that time window (38-744 ms).

Based on these values for the SSVEP ICs and the MI-ICs, four single-trial GLMs were generated for each participant, relating the single-trial amplitude of the MI-IC (N2 and P3) to the single-trial amplitude in the SSVEP (attended and unattended). Both predictors and DVs were standardized prior to the calculation of the coefficients. The resulting regression beta coefficients

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447	were Fisher's z-transformed to ensure a normal distribution prior to statistical testing. The thusly-
448	transformed beta-weights for each subject were then tested for significant differences from 0 using
449	paired-samples t-tests.

450

## 451 Temporal order of N2, P3, and SSVEP reduction

Finally, we compared the latencies of the ERP peaks in the MI-IC and the SSVEP reduction in the SSVEP-IC. These latencies were quantified on each UNEXPECTED trial and collapsed across all conditions to be averaged, and then compared across subjects using paired-samples ttests. We predicted that the timing of N2 and P3 would reliably precede the SSVEP suppression.

456

#### 457 **Results**

## 458 Stop-signal task (functional localizer)

Behavior. Consistent with the assumption of the independent race model (Logan & Cowan, 1984),
all participants across both experiments showed slower go-trial RT (mean: 557.16 ms; SEM: 13.37)
compared to failed stop-trial RT (mean: 482.53 ms; SEM: 11.30). The SSD staircase algorithm
successfully kept the probability of stopping around .5, with a range from 0.47 to 0.57. Average
SSRT was 216 ms (SEM: 5.14) and average SSD was 336 ms (SEM: 16.77). Average error rate
was 0.38% and miss rate was 3.37%. Overall, these results represent a typical parameter range for
healthy young adults.

466

MI-IC validation. Validating the MI-IC included testing whether 1) the MI-IC P3 onset on
 successful stop trials occurred reliably earlier than on failed stops; and 2) the P3 onset in successful
 stops was correlated to the behavioral measure of stopping speed (SSRT) across subjects. The

470 N2/P3 complex in the MI-IC in the SST is depicted in *Figure 2A*. *Figure 2B* shows that P3 onset 471 on successful stop-trials (mean: 258.43 ms; SEM: 6.51) was significantly earlier compared to 472 failed stop-trials (mean: 284.71 ms; SEM: 7.59),  $t_{(41)}$ =-6.26, p<.001. Finally, *Figure 2C* shows that 473 the onset of the successful stop-trial P3 was positively correlated with SSRT (r = 0.52, p<.001). 474 These results suggest that the P3 ERP component of the MI-IC index the activity of the inhibitory 475 control process in the SST, as described in prior work.





478 Figure 2. MI-IC activity after stop-signals. A) MI-IC fronto-central ERP time-locked to stop479 signals in the SST data. Scalp topography inset represents averaged MI-IC weights from all
480 participants. B) P3 onsets in successful (SS) vs. failed stop (FS) trials. C) Cross-subject correlation
481 between SS P3 onset and SSRT.

482

Manipulation check: MI-IC activity following unexpected sounds. In line with our hypotheses and
prior work, the neural source underlying the MI-IC also showed a pronounced N2/P3 following
unexpected sounds in the SSVEP task (*Figure 3*).



487 Figure 3. MI-IC fronto-central ERP activity after UNEXPECTED/EXPECTED/NO sound stimuli
488 in the crossmodal SSVEP oddball task. Bright grey shade at the bottom of the figure indicates
489 significant difference between UNEXPECTED vs. NO SOUND trials. Dark grey shade indicates
490 significant difference between UNEXPECTED vs. EXPECTED sound trials.

486

## 492 Steady-state visual evoked potentials

493 <u>Manipulation check: frequency tuning.</u> The hemisphere contralateral to  $f_{12}$  showed reliably higher 494 ERSP<sub>12Hz</sub> compared to ERSP<sub>18Hz</sub> ( $t_{(36)}$ =3.29, p<.01, Fig.4A), indicating that the 12Hz flickers 495 successfully entrained a contralateral SSVEP. In turn, the hemisphere contralateral to f18 showed 496 significantly higher ERSP<sub>18Hz</sub> compared to ERSP<sub>12Hz</sub> ( $t_{(36)}$ =3.81, p<.001, Fig.4A), indicating that 497 the 18Hz flickers successfully entrained a contralateral SSVEP as well.

499 <u>Manipulation check: Attentional tuning.</u> As can be seen in *Figure 4B*, we found a significant 500 attentional enhancement effect in the time window from 2.2 to 2.8 seconds after flicker onsets 501  $(t_{(36)}>2.1, p<.05)$ , but only in the 12Hz condition. No such effect was found for the 18Hz condition. 502 While this was not the expected outcome of this manipulation, this is in line with prior reports 503 showing that attentional tuning of the SSVEP is often limited to the alpha range (Ding et al., 2006).



504

505 *Figure 4.* Frequency entrainment and attentional tuning effects in the SSVEP. A) Cross-frequency 506 comparison of the SSVEP within the contralateral hemisphere. B) Binned time series after the 507 flicker onset. Grey shade indicates significant difference between attended vs. ignored in the 508 SSVEP to 12Hz flickers.

509

510 Main hypotheses

511 <u>SSVEP disruption by unexpected sounds.</u> We then tested if unexpected sounds interrupted the 512 ongoing SSVEP. A repeated-measures 2-way ANOVA with factor SOUND (unexpected vs. no 513 sound) and ATTENTION (attended vs. unattended) showed main effects of SOUND from 0 to 514 770 ms in the 12Hz SSVEP (*Figure 5A*). Despite a visible reduction of the unexpected-sound

515 SSVEP in the 18Hz SSVEP, there was no main effect of SOUND in the 18Hz SSVEP that survived 516 corrections for multiple comparisons (Figure 5B). A mixed-model 2-way ANOVA with factor 517 SOUND (Exp1: unexpected vs. Exp2: expected sound) and ATTENTION showed main effects of 518 SOUND from 0 to 752 ms in the 12Hz SSVEP (*Figure 5C*); and from 148 to 616 ms in the 18Hz SSVEP (Figure 5D). There was no significant main effect of ATTENTION or 519 520 SOUND\*ATTENTION interaction for either ANOVA. This indicates that after unexpected 521 sounds, the SSVEPs to both attended and unattended stimuli were significantly disrupted 522 compared to no sound and expected sound trials.



Figure 5. Suppressive effects of unexpected sounds on the active SSVEP. Time course of
UNEXPECTED vs. NO SOUND trials in A) 12 Hz SSVEP; and B) 18Hz SSVEP. Time course of
UNEXPECTED (Experiment 1) vs. EXPECTED sound (Experiment 2) trials in C) 12Hz SSVEP;
and D) 18Hz SSVEP.

N2/P3 to SSVEP relationship. Our main hypothesis investigated the relationship between the 529 530 activity of the MI-IC following unexpected sounds and the modulation of the SSVEP by those 531 same sounds on the same trial. Since both N2 amplitudes and SSVEP reductions are negativesigned variables (-), greater N2 amplitudes leading to greater SSVEP decrements would result in 532 positive beta weights, whereas the opposite would be true for the positive-valued P3 component 533 534 (see Figure 6B for direction of each activity). We found that the MI-IC N2 and P3 were 535 differentially related to the two components (attended and unattended) of the SSVEP IC. 536 Specifically, the N2 amplitude reliably predicted the degree of suppression in the attended SSVEP (t<sub>(20)</sub>=2.67, p=.014, *Figure 6A*), where the P3 amplitude reliably predicted the surprise-related 537 decrement in the unattended SSVEP ( $t_{(20)}$ =-2.44, p=.023, *Figure 6A*). 538



Figure 6. Single trial GLM results and peak onset comparison between MI-IC and SSVEP IC. A)
Trial-to-trial relationship between N2/P3 amplitudes and SSVEP reduction to attended and
unattended stimuli after unexpected sounds. B) The N2 and P3 peak latencies in the MI-IC

543 *backprojection and SSVEP suppression latencies in the SSVEP IC following unexpected sounds.* 

544

545 <u>N2, P3, SSVEP reduction latencies.</u> The average N2 peak latency was 194.98 ms (SEM: 2.56), 546 whereas the average P3 peak latency was 292.71 ms (SEM: 3.82). The average latency of the 547 SSVEP interruption was at 411.55 ms (SEM: 5.16). Both N2 ( $t_{(20)}$ =-34.46, p<.0001) and P3 ( $t_{(20)}$ =-548 17.73, p<.0001) latency were significantly earlier than the SSVEP latency (*Figure 6B*). These 549 findings demonstrate that MI-IC activity following the unexpected sound preceded the suppression 550 of the SSVEP.

551

## 552 Discussion

553 In the current study, we investigated whether the interruption of visual attention after unexpected events is related to the activity of a well-known brain mechanism for inhibitory control. 554 555 In a newly-developed paradigm, we first found that unexpected sounds lead to a suppression of 556 SSVEP amplitudes to both attended and unattended visual stimuli. Moreover, a control experiment 557 confirmed that this was not true following expected sounds. Using a functional localizer task to 558 elicit the neural signature of a well-characterized brain mechanism for motor inhibition, we then 559 replicated the finding that this EEG source (the MI-IC) was indeed active following unexpected 560 sounds. Then, using a single-trial analysis of the independent components underlying inhibitory 561 control and the SSVEP, we found that specific parts of the MI-IC response to unexpected sounds 562 related to specific changes in the SSVEP on the same trial. Namely, the amplitude of the N2 563 potential of the fronto-central N2/P3-complex related to the suppression of the SSVEP to the 564 attended stimulus, whereas the P3 potential related to the suppression of the SSVEP to the 565 unattended stimulus.

These results provide new empirical evidence for the proposal that the brain's inhibitorycontrol mechanism is even broader than previously thought. Indeed, instead of solely affecting

568 motor representations (e.g., during action-stopping in the stop-signal task), attentional 569 interruptions after unexpected events appear to potentially result from inhibitory control as well. 570 Indeed, the proposal that inhibitory control could affect non-motor representations goes back to 571 the original work on the stop-signal task and the underlying race model, in which it was already 572 proposed the stop-signal task invokes a mechanism that serves to "inhibit thought and action" 573 (Logan et al., 1984). Notably, though, the vast majority of the subsequent work on this paradigm 574 has focused on the stopping of action. In cognitive neuroscience, this work on action-stopping has 575 firmly established a neural mechanism for motor inhibition, which serves to suppress ongoing 576 motor representations when necessary (for review, cf. Verbruggen & Logan, 2009; Levy & 577 Wagner, 2011; Ridderinkhof et al., 2011; Aron et al., 2014; Verbruggen et al., 2019). Only recently 578 have cognitive neuroscience studies begun to harken back to the "thought" part of Logan and 579 colleagues original proposal, thereby extending the effective range of this inhibitory mechanism 580 to non-motor representations. However, these studies have so far exclusively focused on 581 mnemonic representations, including short-term memory for face stimuli (Chiu & Egner, 2014, 582 2015), verbal working memory (Wessel et al., 2016; Castiglione et al., 2019), and motor sequence memory (Tempel et al., 2020). Expanding on this work, our study is the first of its kind to 583 584 demonstrate that active attentional representations could be subject to the same type of inhibition 585 as mnemonic and motor representations, mediated via the same neural pathway.

In our previous theoretical work on this topic (cf., Wessel & Aron, 2017), we have argued that the neuroanatomy of the neural pathway underlying inhibitory control could offer an explanation as to why non-motor representations like memory and attention could be subject to the same type of inhibition as the motor representations. The mechanism underlying inhibitory control involves a well-specific network of cortical and basal ganglia regions (Aron et al., 2007;

Wiecki & Frank, 2013; Jahanshahi et al., 2015; Chen et al., 2020). Mechanistically, it is thought 591 592 that the cortical areas of this network (which include the areas that produce the N2/P3 complex; 593 Enriquez-Geppert et al., 2010; Huster et al., 2012) signal the need to initiate inhibitory control to the basal ganglia; specifically, to the subthalamic nucleus (Swann et al., 2011; Ray et al., 2012; 594 595 Schmidt et al., 2013). In turn, the subthalamic nucleus is then thought to interrupt the thalamo-596 cortical loops that are underlying active motor representations (via the output nuclei of the basal 597 ganglia, most notably the globus pallidus, Alexander & Crutcher, 1990; Nambu, 2008; Tanibuchi 598 et al., 2009; Goldberg et al., 2013). Within that same framework, we propose that such fronto-599 subthalamic-pallidal-thalamocortical inhibition could potentially extend to any type of active 600 neural representation that is maintained via thalamocortical loops (Wessel & Aron, 2017). Indeed, of core relevance to the current finding is the fact that the nuclei of the thalamus are a key nodes 601 602 in the maintenance of not just motor representations, but also of active attentional representations 603 (e.g., Desimone et al., 1990; McAlonan et al., 2008). In fact, while classic conceptualizations 604 thought of the thalamus as merely a relay of sensory information, more recent work has found that 605 thalamic activity exerts gain control over attentional representations, especially in the visual 606 system (e.g., Saalmann & Kastner, 2009; Wimmer et al., 2015; Mease et al., 2016) and that lesions 607 to the thalamus crucially interfere with attentional selection (Snow et al., 2009). If sustained visual 608 attention, such as the type that is operationalized in our current paradigm, is indeed dependent on 609 thalamocortical loops, it is conceivable that the same type of inhibitory influence from the basal 610 ganglia that regulates motor behavior could also function to rapidly inhibit these active attentional 611 representations.

612 In addition to this hypothesized subcortical overlap between the neural networks that 613 regulate motoric and attentional representations, it is notable that the *cortical* areas of the fronto-

614 basal ganglia inhibitory control network also overlap substantially with the wider networks 615 implicated in attentional control in general. Indeed, Corbetta & Shulman's seminal account of the 616 ventral attention network – which ostensibly functions as a 'circuit breaker' that is triggered by suddenly appearing, behaviorally relevant stimuli (Corbetta & Shulman, 2002; Corbetta et al., 617 618 2008) – includes both cortical areas of the proposed fronto-basal ganglia inhibitory control network 619 (the preSMA and the rIFG). Notably, however, in its original conceptualization, this purported 620 ventral attention network does not include any specific areas in the basal ganglia, which we would 621 propose based on our circuit model of inhibitory control. However, the absence of prominent basal 622 ganglia involvement in the work on the ventral attention network may be a consequence of the fact that most of the work on that network has been performed using functional magnetic resonance 623 624 imaging at field strengths that lack a sufficient amount of signal to noise ratio in small subcortical 625 structures (Forstmann et al., 2017), especially in the subthalamic nucleus (de Hollander et al., 626 2017). Therefore, while it is still unclear how attention may be regulated using subcortical circuitry 627 outside of the thalamus, it is possible that the type of attentional orienting implemented by the 628 ventral attention network is indeed aided by an active inhibitory effort that suppresses ongoing attentional representations, implemented by the specific regions that form the inhibitory FBg-629 630 network (Wessel & Aron, 2017). Hence, future studies could use the current paradigm to study the 631 involvement of the basal ganglia in the interruption of active attentional representations by 632 unexpected sensory events.

In this vein, it is important to mention that the scalp-EEG methods used here do not allow any inferences about such underlying specific cortical or subcortical circuitry (though notably, the trial-to-trial variance of N2/P3 complex is correlated with BOLD activity in cortical areas that belong to both the fronto-basal ganglia inhibitory control network and the ventral attention network,

Enriquez-Geppert et al., 2010; Huster et al., 2012). However, scalp-EEG does provide a temporally 637 638 precise picture of the activity of the overall network. In this respect, the fronto-central N2/P3 639 complex is well-studied during both action-stopping and surprise processing (for reviews, see Polich, 2007; Folstein & Van Petten, 2008; Huster et al., 2013; Kenemans, 2015). However, in 640 641 both literatures, the respective interpretation of the two constituent components of this complex 642 waveform (the N2 and the P3) is still subject of controversial debate. Before we offer an 643 interpretation that situates the current set of findings within these literatures, we will briefly 644 describe the predominant interpretations of the N2/P3 complex in both stopping and unexpected-645 event processing. In the realm of action-stopping, there is relatively widespread agreement on the 646 fact that stopping involves a sequence of attentional detection of the stop-signal, followed by the 647 implementation of motor inhibition (Matzke et al., 2013; Verbruggen et al., 2014). The earliest 648 neuroscientific studies of the SST have proposed that the fronto-central P3 could index the 649 inhibitory process of this cascade (de Jong et al., 1990, cf. Huster et al., 2013, for a review). Indeed, 650 the P3 shows several features that reflect straightforward predictions regarding the inhibitory 651 process that are directly derived from the race model of the stop-signal task. Both its peak and its 652 onset occur earlier on successful compared to failed stop-trials (Kok et al., 2004; Wessel & Aron, 653 2015) and its timing indexes stop-signal reaction time across subjects (Wessel & Aron, 2015; 654 Huster et al., 2019). In line with this, much subsequent work has focused on the proposal that the 655 N2, which precedes the P3, could reflect a process that relates to the attentional processing of the 656 stop-signal itself, or the detection of the associated conflict between the initiated response and the 657 requirement to stop (Donkers & van Boxtel, 2004; Azizian et al., 2006; Enriquez-Geppert et al., 658 2010; Smith et al., 2010; Groom & Cragg, 2015). In the realm of unexpected-event processing, the 659 exact nature of the mental processes reflected in the N2 and P3 events has been subject to intense

660 debate as well, with the entirety of the literature too numerous to discuss (see Folstein & Van 661 Petten, 2008, and Polich, 2007, for reviews). However, the dominant view of the fronto-central N2 662 (specifically, the N2b) is similar to that found in the stop-signal literature, in that it is commonly assumed to reflect the overt attentional processing of the event or the associated conflict (Näätänen 663 664 & Gaillard, 1983; Folstein & Van Petten, 2008; Larson et al., 2014). The fronto-central P3 (also 665 known as the P3a) after unexpected results has more heterogeneous interpretations, which range 666 from working memory updating (Polich, 2007) to the evaluation of stimulus novelty (Friedman et 667 al., 2001) to the mobilization for action following significant stimuli (Nieuwenhuis et al., 2011). 668 While these two literatures are historically largely separate, the finding that both N2/P3 complexes 669 originate from the same neural source suggest that they may indeed reflect the same cascade of 670 processing in both situations (i.e., after stop-signals and unexpected events) - i.e., that processes 671 that take place after stop-signals are also automatically engaged by unexpected events. This is 672 backed up by findings from other imaging domains, such as the finding that unexpected events 673 lead to the suppression of the motor system (Wessel & Aron, 2013; Dutra et al., 2018; Novembre 674 et al., 2018; Novembre et al., 2019), and that they engage the subcortical circuitry that is ostensibly 675 underlying inhibitory motor control via the basal ganglia (Bočková et al., 2011; Wessel et al., 2016; 676 Fife et al., 2017). If it is indeed the case that the fronto-central N2/P3 complex reflects the same 677 cascade of processes after both stop-signals and unexpected events, the specific relationships 678 between the N2 and the P3 and the observed suppression of the SSVEP in the current study could 679 provide a potential rejoinder to this literature. Specifically, the fact that the single-trial N2 was 680 related to the interruption of the SSVEP to the *attended* stimulus lends support to the proposal that 681 this potential reflects an attentional orienting to a salient, or, in this case, unexpected stimulus. 682 This is in line with many conceptualizations from both the existing stop-signal and unexpected-

event literature (see above), which largely converge in their interpretation of the N2. Additionally, 683 684 the relationship between the trial-to-trial amplitude of the P3 and the observed interruption of the 685 SSVEP to the unattended stimulus suggest that indeed, the P3 may reflect the activity of a 'global', non-selective inhibitory network that interrupts active motoric and mental representations when 686 the situational demands call for it (such as after unexpected events). This is in line with our own 687 688 recent theory about the activity of this network (Wessel & Aron, 2017), as well as with the proposal 689 that the stop-signal P3 in particular reflects the implementation of the inhibitory part of the 690 processing cascade during action-stopping (de Jong et al., 1990; Kok et al., 2004). Finally, it also 691 could provide a hint towards a specific (inhibitory) mechanism by which unexpected events could 692 aid the updating of current working memory contents (Polich, 2007), in line with recent studies of 693 the activity of this inhibitory control mechanism in the suppression of mnemonic representations 694 (Chiu & Egner, 2014, 2015; Wessel et al., 2016; Castiglione et al., 2019).

695 In summary, we have used a newly designed experimental paradigm to demonstrate that 696 unexpected, task-irrelevant sounds lead to a suppression of the neural representation of both 697 attended and unattended stimuli. Moreover, we used independent component analysis and single-698 trial analyses of EEG to show that these interruptions are related to specific separate aspects of the 699 neural response to unexpected events within a neural system for inhibitory control. These findings 700 provide a crucial potential expansion of the operating range of a well-characterized neural 701 mechanism for cognitive control, and provide key insights into the cascade of neural and 702 psychological processing that leads to distraction.

703

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711	
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