# UNFOLDING PARTICLE SIZE DISTRIBUTIONS 

W. L. NICHOLSON<br>K. R. MERCKX

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## UNFOLDING PARTICLE SIZE DISTRIBUTIONS

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## UNFOLDING PARTICLE SIZE DISTRIBUTIONS W. L. Nicholson and K. R. Merckx*

## INTRODUCTION

Upon irradiation, uranium undergoes fission and some of the fission products are the inert gases. The collection of these inert gases into spherical cavities and the growth of these cavities produce specimens which can be studied by the methods described in this paper. The problem of estimating the distribution and range of size of these cavities was the motivating factor in this research.

A common problem in quantitative metallography is that of estimating the density of particles (number of particles per unit volume) embedded in a three-dimensional specimen. To estimate this density, a section is usually made of the specimen and the particles which intersect a given part of the section are counted. If the counted particles are grouped according to size then the data consist of a frequency-versussize histogram. A particle size distribution can be calculated from such data if the number of distinct particle sizes and the true particle shape are specified and if the particle centers are assumed to be randomly distributed within the specimen. Formulas can be developed which relate the data histogram to the true particle size distribution within the specimen. This estimation of a particle size distribution from a data histogram is often called "unfolding the distribution."

This process was first used by Scheil (13) to unfold spherical particle distributions. Schwartz (14) and Saltykov (11).

[^0]modified the original technique and improved the calculation procedure. The basic observation or measurement in all these developments was the diameter of the particle's intersection with a two-dimensional plane section. Johnson ${ }^{(7)}$ and Spektor ${ }^{(15)}$ extended these unfolding techniques to include, respectively, the measured intersection area on a twodimensional section and intersection length on a onedimensional section. Fullman ${ }^{(5)}$ points out that the same unfolding analysis could be applied to rods and plates.

## SUMMARY

This paper treats the unfolding problem in terms of a general framework which extends previous developments. The method, at least in principle, can be applied to any convex parîicle which can be sized in terms of a single characteristic dimension. A sectioning or sampling process must be usea which prociuces a particle intersection which, likewise, cain be sized with a single characteristic measurement. The general framework is set in terms of a probabilistic model. In addition to the density estimates, the precision of the unfolding process is estimated with a standard deviation estimate for each particle size in the distribution. Such estimates of precision have not appeared in earlier work. The general formulation also includes the possibility of imperfect observation of specimen sections. Thus, the data may be a distorted or truncated view of the set of possible particle intersections, but as long as these anomalies can be modeled mathematically the formulas still give unbiased estimates of the true specimen structure. This process can also be used to estimate distribution of sizes of voids induced by fission gases in irradiated uranium.

The following two sections present the general particle distribution model theory and the unfolding formulas in detail. A section follows which relates our general development to the pioneer efforts of Scheil, Schwartz, Saltykov, and Johnson. An example from Saltykov (12) is treated to illustrate the importance of standard deviation estimates when the unfolded distribution is being interpreted. The last section describes the application of the general theory to an indirect microscopy analysis of a replicated plane surface. Indirect microscopy is routinely used to analyze the microstructure of irradiated uranium specimens。(2) An example is included.

Appendix $I$ is a description of the method of numerical evaluations used to write a FORTRAN IV program called UNFOLD. This program is used to unfold the frequency data collected on a Zeiss Particle Size Analyzer. Appendix II is a listing of the program. Appendix III is a sample input and output.

## PARTICLE DISTRIBUTION MODEL

If an appropriate probabilistic or stochastic model is developed for a material and for the observation of samples taken from that material then a mathematical treatment can be formulated which will estimate parameters which describe the probabilistic model. The model proposed in this treatment is limited to random distributions of particles having a discrete number of particle sizes. The parameters to be estimated are the density of particles of each size. The probabilistic model describes the distribution of particles within a specimen, the intersections of particles with a sample from the specimen (sampling procedure), and the
observation of the particle intersections (observation procedure). The development of this probabilistic model is presented in this section.

The probabilistic model is for a three-dimensional specimen of material which has distributed within it particles of various sizes but of similar geometric shape. This geometric shape must be definable in terms of a single characteristic dimension $\delta:$ for example, the diameter of a sphere, the edge of a cube, or the diameter of a circular platelet. Exactly $k$ distinct characteristic dimensions $0<\delta_{1}<\delta_{2}<\ldots<\delta_{k}$ describe the particles in the specimen. Particles of dimension $\delta_{i}(i=1,2, \ldots, k)$ are called " $i^{\text {th }}$ size." The number of $i^{\text {th }}$ size particles in the specimen is $\mu_{i}$.* The particle distribution is described with the k-dimensional particle dimension column vector $\underline{\delta}=\left(\delta_{1} \delta_{2} \ldots \delta_{k}\right)^{T}$ and the particle frequency vector $\underline{\mu}=\left(\mu_{1} \mu_{2} \ldots \mu_{k}\right)$ T. With $\tau$ the volume of the specimen, the particle density vector is

$$
\begin{equation*}
\underline{\rho}=(1 / \tau) \underline{\mu} . \tag{1}
\end{equation*}
$$

[^1]The probabilistic model specifies the particle distribution in the specimen by describing the location of particle centers or centroids. These centers are assumed to be randomly located so that the probability that any center lies in a given region of the specimen depends only on the volume of that region. Centers are independently distributed in the specimen. No allowance is made for either a clustering or a regular lattice spacing of centers. Such a distribution is called "uniform" or 'homogeneous." These distributional assumptions only approximate reality. Data evaluation based on independence is adequate if the total particle volume fraction is small, say less than $10 \%$. Sampling of the specimen is done with a probe which physically pierces or cuts the specimen. The probe is characterized by a characteristic dimension $A$. Typical probes include one-dimensional linear sections ( $A=$ length), two-dimensional plane sections ( $A=$ area), and three-dimensional thin slice sections ( $A=$ volume). A particular particle in the specimen is in the sample if and only if it is intersected by the probe. Since particle centers are uniformly distributed, sampling is random and not influenced by the orientation of the probe. Samples selected by different probes are independent if no single particle can appear in more than one sample.

The probability that a probe intersects a specific randomly located $i^{\text {th }}$ size particle is defined as $(A / T) F_{i}$ and must be calculable for the characteristic particle shape. In a particular application of the general theory the calculation of $F_{i}$ depends on the geometry of the particle and the character of the probe. For the case of a linear probe of length $A$ and a spherical particle of diameter $\delta_{i}$, intersection occurs if the particle center lies in a cylindrical region with the probe as its axis and its radius equal to $\delta_{i} / 2$.

With the assumption of a random distribution of particle centers, the intersection probability is the ratio of the volume of this region to that of the specimen, or $A \pi \delta_{i}^{2} / 4 \tau$; so $F_{i}=\pi \delta_{i}^{2} / 4$.

For the case of a planar probe of area $A$ and a spherical particle of diameter $\delta_{i}$, if the center of the particle is within $\delta_{i} / 2$ of either side of $A$ then an intersection will occur. Thus, the volume of the intersection region is $A \delta_{i}$ and the intersection probability is $A \delta_{i} / \tau$; so $F_{i}=\delta_{i}$.

Since the $\mu_{i} i^{\text {th }}$ size particles are randomly and independently placed with respect to the probe, the number $M_{i}$ of $i^{\text {th }}$ size particles in the sample is a random variable with a binomial distribution [Reference (4), page 135]. Thus, the probability that $M_{i}=x\left(x=0,1,2, \ldots, \mu_{i}\right)$ is given by the binomial density function for $\mu_{i}$ trials, with each trial having an intersection probability of $(A / \tau) F_{i}$. Specifically,

$$
P\left(M_{i}=x\right)=\binom{\mu_{i}}{x}\left[(A / \tau) F_{i}\right]^{x}\left[1-(A / \tau) F_{i}\right]^{\mu}{ }^{-x}
$$

The mean and variance of the binomially distributed $M_{i}$ are

$$
\begin{align*}
E\left(M_{i}\right) & =(A / \tau) F_{i} \mu_{i} \quad, \text { and }  \tag{2}\\
\operatorname{Var}\left(M_{i}\right) & =(A / \tau) F_{i}\left[1-(A / \tau) F_{i}\right] \mu_{i}
\end{align*}
$$

Let $\underset{M}{M}=\left(M_{1} M_{2} \ldots M_{k}\right)^{T}$ and $\underline{F}=\left(F_{1} F_{2} \ldots F_{k}\right)^{T}$ be $k$-dimensional column vector representations of particles intersected and of intersection probability per unit probe dimension per particle per unit volume. $\underline{M}$ is a random vector with mutually independent binomially distributed elements. From Equation (2)
and the independence assumption, the mean vector and covariance matrix of $\underline{M}$ are*

$$
\begin{align*}
E(\underline{M}) & =(A / \tau) D(\underline{F}) \underline{\mu}=D(A \underline{F}) \underline{\rho} \quad, \text { and }  \tag{3}\\
\operatorname{Var}(\underline{M}) & =(A / \tau) D(\underline{F})[I-(A / \tau) D(\underline{F})] D(\underline{\mu}) \\
& =D(A \underline{F})[I-(A / \tau) D(\underline{F})] D(\underline{\rho})
\end{align*}
$$

If the observational process is such that all intersections can be identified as to the size of particle causing the intersection, then the particle density estimate based on infallible characterization of a single sample is the column vector $\underline{R}=\left(R_{1} R_{2} \ldots R_{k}\right)^{T}$ defined by

$$
\begin{equation*}
\underline{R}=D^{-1}(\mathrm{AF}) \underline{M} . \tag{4}
\end{equation*}
$$

Since the matrix $D^{-1}(\underline{A F})$ is known, the mean vector and covariance matrix of $\underline{R}$ can be evaluated directly with Equation (4) and substitution of the values of $E(\underline{M})$ from Equation (3):

$$
\begin{align*}
E(\underline{R}) & =\underline{\rho} \quad, \text { and }  \tag{5}\\
\operatorname{Var}(\underline{R}) & =D^{-1}(A \underline{F})[I-(A / \tau) D(\underline{F})] D(\underline{\rho})
\end{align*}
$$

Thus, $\hat{\underline{p}}=\underline{R}$ is an unbiased estimate of the true specimen density $\underline{\rho}$. The covariance matrix of $\hat{\underline{\rho}}=\underline{R}$ involves the unknown

[^2]quantity $\underline{\rho}$. An unbiased estimate of this covariance matrix is
\[

$$
\begin{equation*}
\hat{\operatorname{Var}}(\underline{\hat{p}})=D^{-1}(\underline{A F})[I-(A / \tau) D(\underline{F})] D(\underline{\hat{p}}) \tag{6}
\end{equation*}
$$

\]

Thus, the unbiased estimate $\hat{\underline{\rho}}$ and its variance estimate $\hat{\operatorname{Var}}(\underline{\hat{0}})$ can be determined with Equations (4) and (6) if $\mathbb{M}$ can be observed.

The second term in the bracket of Equation (6) cannot be calculated without knowledge of the specimen volume $\tau$. When the fraction of the specimen sampled by the probe is small (e.g., when the particles are small compared to the specimen size) ( $A / \tau) D(\underline{F})$ is a minor term which can be ignored. Elimination of this term is equivalent to letting $\tau \rightarrow+\infty$ with $\underline{\rho}$ fixed; hence, the components of $\underline{M}$ now become Poisson random variables [Reference (4), page 176].

This theory is applicable, for example, to observation by transmission-electron microscopy of nonoverlapping spherical particles of discrete sizes. When the sample probe is a foil thinned by etching and the particles are not etched, the volume sampled with a foil of area $A$ and thickness $t$ for a particle of diameter $\delta_{i}$ is $A\left(t+\delta_{i}\right)$. Thus the probe has a probability $A\left(t+\delta_{i}\right) / \tau$ of intersecting a spherical particle of diameter $\delta_{i}$. Hence, $F_{i}=t+\delta_{i}$. With $M$ observations of diameters $\delta$, the specimen particle density estimate $\hat{\underline{0}}$ and its variance estimate are given by Equations (4) and (6).

The size of particle intersected by the probe cannot be recognized in many observational procedures. In planar and linear probes, only the areal and length characteristics, respectively, of the intersection can be observed. In most cases several sizes of particle could have given such an observation. The observation need not be a geometric
measurement but could be an intensity variation given by an automated observational instrument. Any type of measurement can be treated if the observational procedure can be probabilistically modeled.

When a sampling probe intersects a particle, the observation of the intersection is described by a single characteristic dimension or signal d which is related to the particle's characteristic dimension $\delta$. At the general development level it is assumed that all possible d values lie between a lower limit $d_{o}$ and an upper limit $d_{c}$. Note that $d_{c}$ may not depend on the size of the largest particle and that $d_{o}$ need not be zero. The range $d_{o}$ to $d_{c}$ is divided into contiguous cells. For this development to be applicable, the calculation of the probability that an $i^{\text {th }}$ size particle is measured and tallied in the $j^{\text {th }}$ of these cells must be possible. This probability is calculated conditional on a single $i^{\text {th }}$ size particle being intersected by the probe. The geometry of the particle, the character of the probe, the random distribution of particle centers, and any pecularities of the measurement process enter into this calculation.

To formalize these remarks, let $\mathrm{d}_{\mathrm{o}}<\mathrm{d}_{1}<\mathrm{d}_{2}<\ldots<\mathrm{d}_{\mathrm{c}}$ be the cell boundaries; i.e., let the $j^{\text {th }}$ cell be the interval $d_{j-1}$ to $d_{j}$. Let $P_{j i}$ be the probability that an $i^{\text {th }}$ size particle measurement $d$ satisfies $d_{j-1}<d \leqq d_{j}$, given that the particle is in the sample. Always,

$$
0 \leqq P_{j i} \leqq 1 \quad \text { and } \sum_{j=1}^{c} P_{j i} \leqq 1
$$

Inequality in the summation over all cells is allowed because a sampled particle need not be observed. For example, a positive resolution point of $d_{o}$ in the measurement process for the diameter of the intersection of spherical particles
of diameter $\delta 1$ with a planar probe means that a fraction $\sqrt{1-\left(\mathrm{d}_{\mathrm{o}} / \delta_{1}\right)^{2}}$ of the sampled particles will not be seen and hence not measured.

If $m_{j i}$ is the number of sampled $i^{\text {th }}$ size particles measured and tallied in the $j^{\text {th }}$ cell and $\underline{m}_{i}=\left(m_{1 i} m_{2 i} \ldots m_{c i}\right)^{T}$ is the c-dimensional column vector of frequencies for $\mathrm{i}^{\text {th }}$ size sampled particles, then, conditional on $M_{i}$, the vector $\underline{m}_{i}$ has a multinomial distribution* [Reference (3), page 157]. $\mathrm{M}_{\mathrm{i}}$ is the number of trials and ${\underset{-}{i}}=\left(P_{1 i} P_{2 i} \ldots P_{c i}\right)^{T}$ is the cell probability vector. The conditional mean vector and covariance matrix of $\underline{m}_{i}$ are

$$
\begin{align*}
E\left(\underline{m}_{i} \mid M_{i}\right) & =M_{i} \underline{P}_{i} \quad, \text { and } \\
\operatorname{Var}\left(\underline{m}_{i} \mid M_{i}\right) & =M_{i}\left[D\left(\underline{p}_{i}\right)-\underline{P}_{i} \underline{p}_{i}^{T}\right] \tag{7}
\end{align*}
$$

Since the contributions of the various size particles to the observations falling in a given cell cannot be separated, the sum of the contributions for all sizes of particle is the observed frequency vector $\underline{m}$ where

$$
\begin{equation*}
\underline{m}=\sum_{i=1}^{k} \underline{m}_{i} \tag{8}
\end{equation*}
$$

With the use of Equation (7) and the independence of the distributions of particles of different sizes, the conditional mean vector and covariance matrix of $\underline{m}$ are expressed in matrix notation as

* When $\sum_{j=1}^{c} P_{j i}<1, a c+1$ st element $m_{c+1, i}=M_{i}-\sum_{j=1}^{c} m_{j i}$
must be included for $\underline{m}_{i}$ to have a multinomial distribution. This modification in $\bar{n}_{O}^{i}$ way affects the following development.

$$
\begin{align*}
E(\underline{m} \mid \underline{M}) & =\sum_{i=1}^{k} M_{i} \underline{P} i=P \underline{M} \quad, \text { and } \\
\operatorname{Var}(\underline{m} \mid \underline{M}) & =\sum_{i-1}^{k} M_{i}\left[D\left(\underline{P}_{i}\right)-\underline{P}_{i} \underline{P}_{i}^{T}\right]=D(P \underline{M})-P D(\underline{M}) P^{T}, \tag{9}
\end{align*}
$$

where $P$ is the $c$ by $k$ dimensional matrix of probabilities $P_{j i}$ with $i^{\text {th }}$ column ${\underset{i}{i}}$. Application of conditional probability calculus to Equations (9) and use of Equation (3) results in the unconditional moments of the observed frequency vector being expressed in terms of an overall probability matrix $Q$ and the particle density. Specifically,

$$
\begin{align*}
E(\underline{m}) & =E[E(\underline{m} \mid \underline{M})]=A Q \underline{\rho}, \quad \text { and } \\
\operatorname{Var}(\underline{m}) & =E[\operatorname{Var}(\underline{m} \mid \underline{M})]+\operatorname{Var}[E(\underline{m} \mid \underline{M})] \\
& =A D(Q \underline{\rho})-\left(A^{2} / \tau\right) Q D(\underline{\rho}) Q^{T}, \tag{10}
\end{align*}
$$

where

$$
Q=P D(\underline{F})
$$

In Equation (10) $Q$ is completely specified by the character of the probe and by the conditional probability of observation given that a particle is in the sample. In any application $Q$ is known and is independent of the probe dimension. The $j i^{\text {th }}$ element in the matrix $(A / \tau) Q$ is the unconditional probability that a particular $i^{\text {th }}$ size particle, located randomly in the specimen, can be sampled by the probe and measured and tallied in the $j^{\text {th }}$ cell of the observed frequency vector. The vector $\underline{r}=(1 / A) \underline{m}$ is the observational density vector for the probe. Using Equation (10), the expectation of $\underline{r}$ is found to be $E(\underline{r})=Q \underline{\rho}$. This relationship
between the expectation of $\underline{r}$ and $\underline{\rho}$ is the relationship of Delesse ${ }^{(3)}$ between volume fraction in the specimen and area of linear fraction in the probe, given the proper choice of $Q$.

## DENSITY ESTIMATION

The relationship, Equations (10), between the observed frequency vector $\underline{m}$ and the specimen density vector $\underline{\rho}$ provides the basis for estimating the specimen densities with observational data when the number of frequency distribution cells is at least as great as the number of distinct particle sizes ( $k \leqq c$ ). The development presented in this section covers both the cases $k=c$ and $k=c$. The form of the estimate is different for the two cases.

If the rank of the matrix $Q$ is $k$ and $k \leqq c$, (this restriction is equivalent to the statement that no probability vector for any particle size can be expressed as a linear combination of several other such vectors) then a generalization of the Gauss-Markov Theorem [Reference (15), page 285] can be used to construct an estimate $\hat{\rho}$ of the density vector $\hat{\rho}$. Application of the theorem to the two cases $k=c$ and $k<c$ follows: Case $I: \quad k=c$.

The estimate for the particle density vector is given by

$$
\begin{equation*}
\underline{\hat{\rho}}=(1 / A) Q^{-1} \underline{m} . \tag{11}
\end{equation*}
$$

This relationship is the solution of the system $A Q \underline{\hat{\rho}}=\underline{m}$ of $k$ simultaneous linear equations in $k$ unknowns. The estimate based on Equation (11) is unbiased; that is, $E(\underline{\hat{\rho}})=\underline{\rho}$. The variance matrix of the estimate is

$$
\begin{equation*}
\operatorname{Var}(\underline{\hat{\rho}})=(1 / A)\left[Q^{T} D^{-1}(Q \underline{\rho}) Q\right]^{-1}-(1 / \tau) D(\underline{\rho}) . \tag{12}
\end{equation*}
$$

Substitution of estimates for parameters in the variance matrix and inversion of the quantity in the brackets gives the variance matrix estimate

$$
\begin{equation*}
\operatorname{Var}(\underline{\hat{\rho}})=(1 / A) Q^{-1} D(Q \underline{\hat{\rho}})\left(Q^{-1}\right)^{T}-(1 / \tau) D(\underline{\hat{\rho}}) . \tag{13}
\end{equation*}
$$

If $v_{i j}$ is defined as the element in the $i^{\text {th }}$ row and $j^{\text {th }}$ column of $\operatorname{Var}(\underline{\hat{\rho}})$, then the standard deviation estimate for the $i^{\text {th }}$ size particle density $\hat{\rho}_{i}$ is $\sqrt{v_{i i}}$. The correlation estimate for the density pair $\left(\hat{\rho}_{i}, \hat{\rho}_{j}\right)$ is $v_{i j} / \sqrt{v_{i j} v_{j j}}$.

Case II: $k<c$.
Now the estimation problem is one of fitting a smooth function $A Q \underline{\hat{\rho}}$ to the observed frequency vector $\underline{m}$. A generalized least-squares method, which takes into account the unequal variances of $\underline{m}$ and the correlation among the components of $\underline{m}$, is used to make the estimate. The resulting estimate for the particle density vector is

$$
\begin{equation*}
\underline{\hat{o}}=1 / \mathrm{A}\left[\mathrm{Q}^{\mathrm{T}} \mathrm{D}^{-1}(\underline{\mathrm{~m}}) \mathrm{Q}\right]^{-1} \mathrm{Q}^{\mathrm{T}} \underline{1}, \tag{14}
\end{equation*}
$$

where $\underline{1}$ is a $k$-dimensional column vector of ones. When $k=c$ the form of Equation (14) reduces to that of Case I. Variance estimates for estimated particle density given by Equation (14) are very difficult to calculate using exact variance formulas. An approximate variance formula is given by substituting $\hat{\underline{\rho}}$ into Equation (12) of Case I. If this variance formula is used, then the standard deviation and correlation estimates are calculated as for Case I.

Variance matrix formulas in the particle distribution model of the last section reflect the randomness associated with a homogeneous distribution of particle centers in the
specimen and a particular method of "observing" the particles sampled by the probe. Commonly occuring situations which are inadequately modeled by this approach include nonuniform distribution of particle centers and continuous distribution of particle sizes and/or shapes. An additional inadequacy may be inability to model the observational process correctly.

Since the validity of the variances of the estimates depends upon the validity of the probability model for the particle distribution and its sampling and observation, checks on the validity of the probability model should be made. When $k=c$, data from a single sampling probe are not sufficient to check model assumptions, and density estimate variance calculations must be taken on faith. If several sampling probes are used then the between-probe density estimate variability provides a check on the within-probe variance estimate based on Equation (13). Analysis of variance methods (8) can be used to determine whether the between-probe variance estimates are significantly large. Significance implies failure of model assumptions. When $k<c$, a check of model assumptions is possible with data from a single sampling probe. If the assumptions in the model are correct then the goodness-of-fit statistic,

$$
\begin{equation*}
x_{c-k}^{2}=(\underline{m}-A Q \underline{\underline{\rho}})^{T} D^{-1}(\underline{m})(\underline{m}-A \underline{Q} \underline{\hat{\rho}}) \tag{15}
\end{equation*}
$$

is approximately a Chi-square random variable with $c$ - $k$ degrees of freedom [Reference (16), page 183]. Upper percentile points of the Chi-square distribution can be used for tests of significance.

## RELATION TO PREVIOUS DEVELOPMENTS

The application of the general Equations (11) and (13) to unfolding spherical particle density distributions is used to illustrate how several previous developments can be obtained with this general approach.

A spherical particle of diameter $\delta_{i}$ randomly placed in a specimen of volume $\tau$ is intersected by a planar probe or sample of area $A$ if the center of the sphere is within $\delta_{i} / 2$ of either side of the sampling plane. Thus, the effective sample volume for an intersection is $\delta_{i} A$. The probability of sample intersection per $i^{\text {th }}$ size particle is the ratio of effective sample volume to specimen volume. Thus the probability that a given $i^{\text {th }}$ size sphere is intersected is given by

$$
\begin{equation*}
(A / \tau) F_{i}=A \delta_{\mathbf{i}} / \tau \tag{16}
\end{equation*}
$$

Equation (16) defines the sampling probability vector as $\underline{F}=\underline{\delta}$ and the characteristic sampling dimension $A$ as the area of the probing plane. The observed diameter d of the circular intersection of the particle with the sampling plane is the observational parameter. The observation falls in cell $j$ when $d_{j-1}<d \leq d_{j}$. Let $h$ be the distance of the sampling plane below the center of a particle with diameter $\delta_{i}\left(-\delta_{i} / 2 \leq h \leq \delta_{i} / 2\right)$. The planar probe has equal probability of intersecting the particle at any height, so the distribution for $h$ is rectangular with a probability density function

$$
p(h)=1 / \delta_{i} \text { for }-\delta_{i} / 2 \leq h \leq \delta_{i} / 2
$$

The height expressed in terms of the observed diameter $d$ on the plane probe is

$$
h(d)= \pm(1 / 2) \sqrt{\delta_{i}^{2}-d^{2}}
$$

with the restriction that $d \leqq d_{i}$. The plus sign applies if the sampling plane is below the center and the minus if above. The situation is illustrated in Figure 1. The total probability that the observed $d$ from an intersected $i^{\text {th }}$ size particle falls in cell $j$ (i.e., $d_{j-1}<d_{j} d_{j}$ ) is the sum of the probabilities for positive and negative h's. Thus,

$$
\begin{array}{rlrl}
P_{j i} & =2 \int^{\left|h\left(d_{j}\right)\right|} p(h) d h \\
& =\sqrt{1-\left(d_{j-1} / \delta_{i}\right)^{2}}-\sqrt{1-\left(d_{j-1} / \delta_{i}\right)^{2}} & & \text { for } d_{j} \leqq \delta_{i} \\
& =\sqrt{1-\left(d_{j-1} / \delta_{i}\right)^{2}} & & \text { for } d_{j-1} \leqq \delta_{i}<d_{j} ; \\
& =0 \quad \text { for } \delta_{i}<d_{j-1} .
\end{array}
$$

The resulting $P$ matrix is upper triangular if the cell boundaries are selected equal to the discrete particle diameters. With this restriction $\left(d_{i}=\delta_{i}\right)$ the elements of the $Q=P D(\underline{F})$ matrix are from Equations (16) and (17)

$$
\begin{align*}
Q_{j i} & =P_{j i} F_{i} \\
& =d_{i}\left[\sqrt{1-\left(d_{j-1} / d_{i}\right)^{2}}-\sqrt{1-\left(d_{j} / d_{i}\right)^{2}}\right] \text { for } j \leqq i, \\
& =0 \quad \text { for } j>i .
\end{align*}
$$



FIGURE 1. Planar Probe Sampling of a Spherical Particle

Previous "unfolding" formulas, which were based on a planar sampling device, all used Equation (18). The earliest work of Scheil ${ }^{(13)}$ used $k$ cells of equal width, expressed all diameters as fractions of the maximum particle diameter, and assumed that $d_{o}=0$. With $d_{i}=(i / k) \delta_{k}$ Scheil wrote Equation (18) in the form

$$
Q_{j i}=(i / k) \delta_{k}\left\{\sqrt{1-[(j-1) / i]^{2}}-\sqrt{1-(j / i)^{2}}\right\}
$$

He solved the resulting system of linear equations $A Q \hat{\underline{\rho}}=\underline{m}$ by successively subtracting from lower numbered cells the frequency contribution for each particle size in turn, starting with $k^{\text {th }}$ size particles. Schwartz ${ }^{(14)}$ removed the dependency on maximum particle size by calculating $Q_{j i} / \delta_{k}$. For $k=5$ and 10 he provided tables of the components of $\delta_{k} Q^{-1}$. Now any particle size density could be estimated directly without back calculation from the $k^{\text {th }}$ size. Saltykov (11) introduced cell width $\Delta=\delta_{k} / k$ as the fundamental parameter in place of $\delta_{k}$. Now, $d_{i}=i \Delta$ and Equation (18) has the form

$$
Q_{j i}=\Delta\left[\sqrt{i^{2}-(j-1)^{2}}-\sqrt{i^{2}-j^{2}}\right]
$$

Here, $Q_{i j} / \Delta$ is independent of $k$. Because of the upper triangularity of (1/A)Q the inverse for any size case gives inverses for all smaller sizes as long as the lower end of the observed distribution of diameters $d_{o}$ is an integral multiple of the common cell width $\Delta$; i.e., $d_{i}=\left(i+i_{o}\right) \Delta$. Thus, a single table replaces the size-dependent ones of Schwartz. The coefficients for any case are the elements of the principal diagonal square submatrix formed from the $i_{o}+1^{s t}, i_{o}+2^{\text {nd }}, \ldots i_{o}+k^{\text {th }}$ rows and columns of the $Q^{-1}$ matrix for the maximum size case. Saltykov calculated such a table of the elements of $Q^{-1}$ for $a$ maximum size case of $k=15$.

To illustrate the method, Saltykov's data [Reference (12), pages 293-296] on the distribution of cementite grains in spheroidized steel containing $1 \%$ carbon were unfolded. IIis data were taken from a photomicrograph of area $26,667 \mathrm{~mm}^{2}$ which yielded 500 grain sections with 8 mm as the largest section diameter. The photograph magnification was 2000X. Breakdown into $k=8$ cells with $d_{o}=0$ gives the unmagnified cell boundary points as $d_{i}=(i / 2)$ microns $(i=0,1,2, \ldots, 8)$. The observed
frequency distribution of grains in the eight cells and the results of the unfolding analysis are presented in Table $I$. The first four columns of the table reproduce Saltykov's work. Figure 2 shows the unfolded distribution given by Column 4 of the Table. The pattern of the density estimates vividly suggests a unimodal distribution with well defined tails. Once the two-sigma limits are attached it is apparent that only the peak of the distribution is established with any degree of certainty. To locate the tails precisely, approximately 50,000 sections would be needed instead of the 500 that were observed. The density estimate correlations are listed in Table II. Only the correlation for contiguous cells is appreciable. For the largest (four-micron) grains, $2 / 3$ of the observed sections should fall in cells 7 and 8. This fraction increases as the grain size decreases. Thus, for medium (two-micron) grains, $7 / 8$ of the observed section should fall in cells 3 and 4. More than eight cells would increase the correlations for both contiguous and noncontiguous cells. Density estimate two-sigma limits would also increase. To a first approximation these limits are proportional to the square root of the observed cell frequencies.

TABLE I. Unfolding a Cementite Grain Distribution with a

| $\begin{gathered} \text { Cell } \\ \text { i } \end{gathered}$ | $\begin{aligned} & \mathrm{d}_{\mathrm{i}} \\ & (\mu) \\ & \hline \end{aligned}$ | $\mathrm{n}_{\mathrm{i}}$ | $\hat{\rho} \mathrm{i}^{\prime}$ Particles $\left.\times 10^{-9} / \mathrm{cc}\right)$ | $\begin{gathered} \mathrm{S}_{\mathrm{i}} \\ \text { (Particles } \left.\times 10^{-9} / \mathrm{cc}\right) \\ \hline \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 0.5 | 30 | 1.485 | 1.720 |
| 2 | 1.0 | 110 | 9.331 | 1.921 |
| 3 | 1.5 | 177 | 18.774 | 1.836 |
| 4 | 2.0 | 104 | 9.934 | 1.182 |
| 5 | 2.5 | 39 | 2.793 | 0.653 |
| 6 | 3.0 | 29 | 2.354 | 0.495 |
| 7 | 3.5 | 7 | 0.461 | 0.228 |
| 8 | 4.0 | 4 | 0.310 | 0.155 |



FIGURE 2. Unfolded Cementite Grain Density Distribution Showing Two-Sigma Limits on Each Density Estimate

TABLE II. Individual Particle Size Density Estimate Correlation

$$
\begin{aligned}
& 1 \quad \frac{2}{-0.240} \frac{3}{-0.075} \frac{4}{-0.021} \frac{5}{-0.005} \frac{6}{-0.003} \frac{7}{-0.001} \frac{8}{-0.000} \\
& 2 \\
& 3 \\
& 4 \\
& 5 \\
& 6 \\
& 7 \\
& \begin{array}{cccccc}
-0.293 & -0.062 & -0.014 & -0.007 & -0.002 & -0.001 \\
& -0.216 & -0.035 & -0.015 & -0.003 & -0.002 \\
& & -0.175 & -0.050 & -0.100 & -0.048 \\
& & -0.274 & -0.039 & -0.015 \\
& & & & -0.156 & -0.042 \\
& & & & -0.267
\end{array}
\end{aligned}
$$

Johnson and Saltykov ${ }^{(7,12)}$ describe a method based on measuring planar intersection area a, as opposed to diameter d, and use exponentially increasing cell widths. Apart from the question of whether diameter or area is a better characteristic to measure, their method is identical with one based on diameters and exponentially increasing cell widths. Since $a$ and $d$ satisfy $a=(\pi / 4) d^{2}$, an exponentially increasing cell boundary relationship of the form

$$
a_{i}=a_{o} r_{1}^{i-1} \quad\left(a_{0}>0, r_{1}>1\right)
$$

based on measuring area is equivalent to an exponentially increasing relationship

$$
d_{i}=d_{o} r^{i-1}
$$

where $d_{o}=\sqrt{4 a_{0} / \pi}>0$ and $r=\sqrt{r_{1}}>1$. If diameter is used fewer ith size particles fall in cell i, which increases correlation. If desired this can be remedied by increasing the diameter cell width factor $r$. In any event with exponentially increasing cell widths Equation (18) becomes

$$
\begin{align*}
Q_{j i} & =d_{o} r^{i-1}\left[\sqrt{1-r^{2(j-i-1)}}-\sqrt{1-r^{2(j-i)}}\right] \\
& =d_{i} g(i-j, r) . \tag{19}
\end{align*}
$$

The $Q$ matrix satisfies $Q=G D(\underline{d})$ where $G$ is upper triangular with each super diagonal in the principal direction having all components equal; i.e.,

where $g_{\alpha}=g(\alpha, r)$ of Equation (19). The inverse $Q^{-1}=$ $D^{-1}(\underline{d}) G^{-1}$, where $G^{-1}$ has the same simple upper diagonal form of $G$. Let $f_{\alpha}$ be the common value of elements in the $\alpha^{\text {th }}$ super diagonal of $\mathrm{G}^{-1}$. The density estimates now take the very simple form

$$
\begin{equation*}
\hat{\rho}_{i}=\left(1 / d_{i} A\right) \sum_{\alpha=0}^{k-i} f_{\alpha} m_{i+\alpha} \tag{20}
\end{equation*}
$$

The important thing to notice about Equation (20) is that the same vector of $f_{\alpha}$ values is used for every density estimate. These $f_{\alpha}$ 's are completely determined by $r$. The simplicity of Equation (20) is the key to the Johnson-Saltykov calculation method. Tables of $f_{\alpha}$ values for $\alpha=0,1,2, \ldots, 29$ and $\log _{10} \mathrm{r}=0.1$ are given in Reference (12). This simplicity carries over to covariance calculation. Matrix manipulations in Equation (13) reduce to

$$
\begin{equation*}
\left[Q^{-1} D(\underline{m})\left(Q^{-1}\right)^{T}\right]_{i j}=\sum_{\alpha=0}^{k-j} f_{j-i+\alpha} m_{j+\alpha} f_{\alpha} \tag{21}
\end{equation*}
$$

for $\mathrm{i} \leqq \mathrm{j}$.

## REPLICATION PROBLEM

By forming probabilistic models for the sampling procedure and the observational procedure, a general method of estimating distributional densities was formulated. The estimation of observational data obtained from replicated surfaces of planar probes sectioning materials with distributions of spherical voids is included as an illustrative example of the general method. The physical reasoning used in selecting the model for the observational process demonstrates the flexibility of the general method and the actual application to the replication problem is one of practical significance in quantitative metallography.

The examination of replicated surfaces with an electron microscope is an indirect observational process. Some intersections are distorted and some are not observed. This observational process ${ }^{(1)}$ begins with the sectioning of a specimen containing spherical voids, which is followed by a controlled etching of the sectioned surface. A softened plastic film is then placed on the etched surface. The film flows into the cavities formed from the intersection of the etched surface with the spherical voids. The plastic replica is hardened and removed from the etched surface of the sample. Distortion of replicated voids occurs during the replication process and breakage of the distorted replica occurs during the removal process. The replica is then shadowed with a heavy metal and coated with carbon. Not all the replicated spherical voids cast shadows; thus, further information contained in the sample is lost in the observational process. Photographs are taken of the electron transmission image of the shadowed carbon film (Figure 3). The widths of the observed shadows are measured and counted with the aid of a Zeiss Particle Size Analyzer. Matching of a variable-diameter light spot to the maximum width of the shadow is the measurement process used to estimate diameter. After this matching the Analyzer marks the observed shadows and accumulates the contribution to the correct observational cell. As used in this study, the Analyzer has 48 observational cells with exponentially increasing boundaries. These observational cell boundaries are defined by the equation

$$
d_{i}=1.21125(1.0674)^{i}[\mathrm{~mm}]
$$



Neg 2492-E
~15000X
FIGURE 3. Electron Photomicrograph of Replicated and Shadowed Sample (Specimen: Irradiated uranium after a 10 min pulse anneal at $750{ }^{\circ} \mathrm{C}$, Sample: Replica shadowed at $15^{\circ}$ with $\mathrm{UO}_{2}$ and backed with carbon)

Since the probe used to form the sample is a plane section, the sampling probability vector component $F_{i}$ is the same as that used in the previous section [Equation (16)], $\mathrm{F}_{\mathrm{i}}=\delta_{i}=\mathrm{d}_{\mathrm{i}}$. (This $d_{i}$ is the true diameter, not the magnified image). The probe characterization dimension $A$ is the true surface area of the portion of the replica examined in the electron microscope. The modeling of the observational procedure provides the means of accounting for replication distortions.

When the probe passes above the center of the cavity (i.e., less than half the spherical void remains to be replicated, Figure 4) the replication of the cavity by the film is assumed to be true. However, the replicas with surface tangents inclined less than the shadowing angle will not cast a shadow. For a 15 degree shadowing angle, and with the Zeiss Particle Size Analyzer set for exponential cell boundaries, a maximum of twenty-one cells have nonzero probabilities. These probabilities are the same as those associated with plane sections. Because of the proportionality between cell boundaries, the probability cutoff is not dependent on the sphere size. The information lost by not observing the intersections which do not cast shadows is accounted for in the estimation procedure by the alteration of the conditional probability.

When the probe passes below the center of the cavity (i.e., more than half the spherical void remains to be replicated, Figure 5) two distortional effects must be accounted for. The first effect occurs if the section is too far below the cavity's center. In this case the replica of the cavity will break off during the stripping of the plastic replica from the sampling surface. An estimate of a breakage of one replica in three hundred was made; hence all sections with ( $2 \mathrm{~h} / \delta_{i}$ ) > 0.993 do not contribute to the observed diameters. This is equivalent to only the first eight cells having nonzero probabilities.



Additional observational distortion results from incomplete replication and from extrusion during stripping (Figure 5). From measurements of shadow length to width ratios and observed distortions of the shadows, the replicas of the voids greater than hemispheres are thought to be distorted into a truncated ice cream cone shape. (2) The maximum diameter of the resulting conical shape is the observed diameter. This distortional effect is estimated by assuming that the observed shadow width is related to the planar probe displacement from the cavity's center by the relation

$$
\mathrm{d} / \delta_{i}=1-0.4119\left(2 \mathrm{~h} / \delta_{i}\right)^{2}
$$

Figure 6 compares the $\left(d / \delta_{i}\right)$ ratio with that obtained from classical plane sections, both as functions of $\left(h / \delta_{i}\right)$.


> FIGURE 6. Observed Diameters as a Function of Position of Sampling Probe

Since the sectioning of any void at a distance $h$ from the void center is equally probable for all h , the contribution to the probability matrix from sections above the particle's center is

$$
\left(P_{j i}\right)_{\text {above }}=(1 / 2)\left[\sqrt{1-\left(d_{j-1} / \delta_{i}\right)^{2}}-\sqrt{1-\left(d_{j} / \delta_{i}\right)^{2}}\right]
$$

for $\mathrm{j} \leqq \mathrm{i} \leqq \mathrm{j}+20$; otherwise $\left(\mathrm{P}_{\mathrm{ji}}\right)$ above $=0$. The contribution from sections below the particle center is

$$
\left(P_{j i}\right)_{\text {below }}=\sqrt{1 / 0.8238}\left[\sqrt{1-\left(d_{j-1} / \delta_{i}\right)}-\sqrt{1-\left(d_{j} / \delta_{i}\right)}\right]
$$

for $j \leqq i \leqq j+7$; otherwise $\left(P_{i j}\right)$ below $=0$. The total cell contributions are the sum of these two probabilities. If cell limits are equal to population diameters, $d_{i}=\delta_{i}$, the components of the $Q$ matrix, or product $P D(\underline{F})$, are

$$
\begin{aligned}
Q_{j i}= & 0.5\left[\sqrt{d_{i}^{2}-d_{j-1}^{2}}-\sqrt{d_{i}^{2}-d_{j}^{2}}\right] a_{j i} \\
& +0.77907\left[\sqrt{d_{i}\left(d_{i}-d_{j-1}\right)}-\sqrt{d_{i}\left(d_{i}-d_{j}\right)}\right] b_{j i}
\end{aligned}
$$

where $a_{j i}=1$ if $j \leqq i \leqq j+20$
$=0$ otherwise;

$$
\begin{aligned}
\mathrm{b}_{\mathrm{ji}} & =1 \text { if } \mathrm{j} \leqq \mathrm{i} \leqq j+7, \\
& =0 \text { otherwise. }
\end{aligned}
$$

The unfolding of particle distributions was adapted to real observational processes by adjusting the estimates of the conditional probabilities $P_{i j}$. The distortions and loss of sampled data which occur during the observational process required careful characterization of the observational process. (2) Once
these distortions were known, no mathematical difficulties were encountered in adjusting the unfolding procedure. This example demonstrates howexperimental data are used in altering the $P$ matrix. The matrix multiplications and inversions required for any fixed procedure are readily performed by standard computer codes. The particular $Q$ matrix for the replication process as observed with a 48 -cell particle analyzer has been inverted and stored for use with the program described in the Appendices. Athough the particle analyzer has fixed cell boundaries, the photographs used for evaluating the observational cell occupancies underwent different magnifications. The analysis for the $Q$ matrix was performed in terms of the coordinates of the sample and not those associated with the observational device. The conditional probability matrix $P$ is dimensionless and distributes the sampled particles into observational cells. The sampling probability vector $\underline{F}$ contains the dimensional quantities and gives a linear variation with magnification. This linear variation with magnification is accounted for in the prorram by adjusting the $d_{i}$ of the cell boundary observed in the particle analyzer and the area of the picture to the real diameters existing in the sample.

Data obtained from replicas of irradiated and annealed uranium have been analyzed using the programs for the plane section and replicated section observational models. Table III contains a set of representative input data and the results from plane section and replicated section analyses. Slight variations in the density estimates are predicted by the two observational models. The replica section observational model consistently predicted lower variance estimates than the plane section observational model; thus, an improvement in the accuracy of the modeling is reflected through the reduction in variance estimates.

```
Comparison of Density Estimates for Plane Section and Replica
Section Models [Irradiated Uranium Annealed at 750 *}\textrm{C}\mathrm{ (for 10 min;
15,000X; Picture Area (mm}\mp@subsup{)}{}{2})=401,088
```

|  |  |  | Plane Section Model |  | Replica Section Model |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Cel1 <br> Number | Particle Size (microns) | Observed Frequency | Density Estimate (particles/cc) | Standard Deviation of Density Estimate | Density Estimate (particles/cc) | Standard Deviation of density estimate |
| 1 | 9.2030-02 | 94 | $4.0715+11$ | $1.8305+11$ | $4.6177+11$ | $1.7395+11$ |
| 2 | 9.8231-02 | 103 | $4.8340+11$ | $1.7842+11$ | $5.1503+11$ | $1.6946+11$ |
| 3 | 1.0485-01 | 100 | $2.1872+11$ | $1.6826+11$ | $2.3889+11$ | $1.5996+11$ |
| 4 | 1.1191-01 | 133 | $6.6415+11$ | $1.7815+11$ | $6.4848+11$ | $1.6909+11$ |
| 5 | 1.1945-01 | 136 | $6.4621+11$ | $1.6913+11$ | $6.0515+11$ | $1.6050+11$ |
| 6 | 1.2750-01 | 146 | $8.1313+11$ | $1.6243+11$ | $7.5506+11$ | $1.5401+11$ |
| 7 | 1.3609-01 | 133 | $6.6497+11$ | $1.4518+11$ | $6.1480+11$ | $1.3766+11$ |
| 8 | 1.4526-01 | 116 | $3.5266+11$ | 1. $3000+11$ | $3.3224+11$ | $1.2344+11$ |
| 9 | 1.5505-01 | 146 | $7.2544+11$ | $1.3365+11$ | $6.7466+11$ | $1.2670+11$ |
| 10 | 1.6549-01 | 136 | $6.9020+11$ | $1.2024+11$ | $6.4130+11$ | $1.1395+11$ |
| 11 | 1.7664-01 | 116 | $5.4079+11$ | $1.0443+11$ | $5.0423+11$ | $9.8977+10$ |
| 12 | 1.8854-01 | 108 | $5.6631+11$ | $9.2975+10$ | 5. $2934+11$ | $8.8044+10$ |
| 13 | 2.0124-01 | 75 | 3. $2952+11$ | $7.2984+10$ | $3.0212+11$ | $6.9131+10$ |
| 14 | 2.1480-01 | 57 | $1.9590+11$ | $6.0110+10$ | $1.8340+11$ | $5.6990+10$ |
| 15 | 2.2927-01 | 48 | $9.5828+10$ | $5.3348+10$ | $8.5513+10$ | $5.0649+10$ |
| 16 | 2.4472-01 | 68 | $2.9163+11$ | $5.6648+10$ | $2.7072+11$ | $5.3621+10$ |
| 17 | 2.6121-01 | 44 | $1.5685+11$ | $4.3037+10$ | $1.4510+11$ | $4.0762+10$ |
| 18 | 2.7881-01 | 33 | $9.5298+10$ | 3. $5384+10$ | $8.7034+10$ | $3.3536+10$ |
| 19 | 2.9759-01 | 32 | $1.0711+11$ | 3. $2185+10$ | $9.9470+10$ | $3.0477+10$ |
| 20 | 3.1764-01 | 25 | $9.2273+10$ | $2.5987+10$ | $8.5299+10$ | $2.4575+10$ |
| 21 | 3.3904-01 | 8 | $-1.1189+10$ | $1.5860+10$ | $-1.2350+10$ | $1.5110+10$ |
| 22 | 3.6188-01 | 22 | $7.0639+10$ | $2.1673+10$ | $6.6104+10$ | $2.0506+10$ |
| 23 | 3.8626-01 | 13 | $4.0432+10$ | 1. $5501+10$ | $3.7550+10$ | $1.4661+10$ |
| 24 | 4.1229-01 | 6 | $1.2270+10$ | $1.0209+10$ | $1.1135+10$ | $9.6758+09$ |
| 25 | 4.4006-01 | 6 | $1.5868+10$ | $9.2776+09$ | $1.4767+10$ | $8.7786+09$ |
| 26 | 4.6971-01 | 3 | $4.8902+09$ | $6.4535+09$ | $4.8260+09$ | $6.1254+09$ |
| 27 | 5.0136-01 | 4 | $1.2338+10$ | $6.5274+09$ | $1.1765+10$ | $6.1699+09$ |

Graphical presentation of the unfolded data is useful in aiding interpretation. Figure 7 is the line diagram of the discrete density estimates and their two-sigma limits. This diagram is difficult to interpret in terms of a distribution of void sizes. For the majority of applications the voids or particle sizes are continuously distributed. This continuous distribution is best approximated by a fine-scale discrete distribution. However, to obtain a meaningful graphical representation for such fine-scale distributions the amount of data required is impractical. A fine-scale discrete model can be used to form a bar distribution by summing up the contributions from several particle sizes and dividing by an appropriate width. Figure 8 is such a bar distribution obtained by summing three density estimates and dividing by the total diameter range attributed to these densities. This procedure smooths the data. Variance estimates for such a bar distribution can still be obtained. Let $\hat{\zeta}_{\mathrm{r}}$ be the density estimate for the r th cell of the bar distribution which is of width $W_{r}$. Then

$$
\hat{\zeta}_{r}=\left(1 / W_{r}\right) \sum_{i=1}^{3} \hat{\rho}_{3(r-1)+i}
$$

The variance estimate of the bar distribution estimate is

$$
\hat{\operatorname{Var}}\left(\hat{\zeta}_{r}\right)=\left(1 / W_{r}\right)^{2} \sum_{i=1}^{3} \sum_{j=1}^{3} v_{3(r-1)+i, 3(r-1)+j}
$$

where $v_{\alpha \beta}$ is the element in the $\alpha^{\text {th }}$ row and $\beta^{\text {th }}$ column of variance matrix estimate, Equation (13). The number of density estimates combined for such a representation is not restricted to the three involved in this example.


FIGURE?
7. Void Density Estimates and Their Two-Sigma Variations Versus Void Size for Irradiated Uranium Specimens Annealed at $750{ }^{\circ} \mathrm{C}$ for Ten Minutes. (Observational model for a replicated sample)


FIGURE 8. Void Density Distribution Based on Averaged Values for Discrete Sized Model. Irradiated uranium specimens annealed at $750{ }^{\circ} \mathrm{C}$ for ten minutes. (Observational model for a replicated sample)

Since the probabilistic and statistical techniques used to form the unfolding equations were not based upon discrete sized particles, such uniform distribution estimates could have been made directly. The initial model for the particles would have been one where uniform distribution of particles exists over a size range. The $\underline{F}$ vector is formed from the probabilities of intersecting one particle in each of the various size ranges, and the $P$ matrix is formed from the contributions to the observation cells conditional on a particle's being in a given size range. Generalizations to other distributional functions could be used to produce smoother estimates. Application of such concepts is presently under investigation.

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## APPENDICES

## APPENDIX I

## NUMERICAL EVALUATION

The matrix formulation for $u$ folding or estimating particle density distributions suggests that standard computer matrix subroutines can be used for numerical evaluation. Although direct application of general matrix routines will give satisfactory numerical results, the number of numerical operations can be greatly reduced in most cases by using special properties of the unfolding formulas. Development of observational procedures and counting techniques is required for obtaining sufficient numerical data to be of statistical significance. This development was formulated so that if a standard probe or method of sampling and a standard observational method for determining cell sizes are used then the variable quantities between applications are the two scalars $\tau$ and A associated with the size of the specimen and of the sample respectively. The matrix quantity Q for expressing the combined sampling and observational probability matrix for a given particle distribution model falling in observational cells does not change.

If the observed frequency vector $\underline{m}$ is used to evaluate the maximum number of particle density distribution parameters, then the case of $k=c$ will be applicable and Equations (11) and (13) can be used to perform the unfolding. Besides the observational data $\underline{m}$, the parameters $\tau$ and $A$, and the scale factor or magnification, only the inverse of $Q$ is needed to perform the unfolding. The inverse of $Q$ need be found only once and unfolding can be done by matrix multiplication thereafter.

For probabilistic models based on geometric observational parameters, the limits of the observational cells can usually be made to correspond to size parameter limits used to describe the particle shapes. Such typical observational cell limits
are longest intersection for a linear probe and maximum area of intersection for a planar probe. If each cell boundary $d_{j}$ is equal to the characteristic dimension $\delta_{j}$, then the observational probability matrix $P$ will have elements with $P_{j i}=0$, for $i<j$. The resulting $Q$ matrix and its inverse will also be upper triangular matrices. Standard methods for inverting upper triangular matrices [Reference (9), pages 130-132] can then be used to simplify the inversion of the Q matrix. Since the $Q$ matrix is triangular, the estimate for the $\hat{\hat{\rho}}$ vector will not include any terms which are larger than the largest $\underline{m}$ component. The same inverse of $Q$ can be used for all observational data, with the matrix summations limited to the index of the largest nonzero $m$ component. Also the ij th term in the matrix product in Equation (13) is for $i<j$,

$$
\left[Q^{-1} D(\underline{m}) Q^{-1}\right]_{i j}=\sum_{\alpha=j}^{k}\left[Q^{-1}\right] i \alpha m_{\alpha}\left[Q^{-1}\right] j \alpha
$$

where the upper summation limit $k$ is the index of the largest nonzero $m$-component. The matrix product is symmetric.

A computer program called "UNFOLD" has been written for unfolding data from an observational process described by an upper triangular Q matrix. The $Q^{-1}$ matrices based upon various models for the particle distributions and observational processes are stored on a tape so that the appropriate $Q^{-1}$ can be read in at the beginning of the analysis. Standard formats are used to put in the observational data, assuming a limit of 48 cells. The output of this program gives the cell dimensions, observed frequencies, estimated particle densities, and estimated standard deviations. Output of the correlation coefficients is optional. A printer controlled plot of the log of the density estimate versus cell number is also

## A. 3

included as standard output. The matrix handling and input and output portions of the "UNFOLD" program are listed in Appendices II and III. Since the $Q^{-1}$ matrices are stored in a binary form, a listing of unfolding matrices presently available on tape is not included.

Another program, "SALTY," handles the planar section analysis where the $Q$ and $Q^{-1}$ matrices are created for prescribed particle sizes and cell boundaries. A listing of this program has been published ${ }^{(10)}$ which includes subroutine "TRIVRT" for inverting triangular matrices.

```
    PROGRAM UNFOLD
UNFOLD ESTIMATES THE VOLUME DENSITY OF PARTICLES USING A
STOCHASTIC MODEL OF A NUMBER OF DESCRETE PARTICLE SIZES RANDOMLY
DISTRIBUTED. K TYPES OF PARTICLES AND OBSERVATIONS FROM K TYPES
ACCOUNTED FOR BY AN UNFOLDING MATRIX WHICH IS STORED IN A DATA
FILE.
    VARIABLES
\begin{tabular}{|c|c|c|}
\hline NAME & INPUT & USE \\
\hline A & YES & AREA OF PICTURE \\
\hline B & & CELL BOUNDARY IN SPECIMAN \\
\hline C & YES & PICTURE NUMBER \\
\hline cov & & COVARIANCE MATRIX \\
\hline D(50) & YES & CELL BOUNDARIES \\
\hline DD(50) & & DENSITY ESTIMATE \\
\hline EM & YES & MAGNIFICATION \\
\hline F(50) & & SMOOTHED DENSITY \\
\hline FN(50) & & N IN Floating point \\
\hline IDENT & YES & IDENTIFICATION INFORMATION \\
\hline IPRT & YES & PRINT CONTROL FOR COR. MATRIX \\
\hline I QI & YES & IDENTIFICATION FOR QI RECOR' \\
\hline I SMTH & YES & NUMBER OF CELLS FOR SMOOTHING \\
\hline ISTRT & YES & INITIAL CELL CONSIDERED \\
\hline K & & INDEX OF LAST NON ZERO CELL \\
\hline N(50) & YES & FREQUENCY \\
\hline QI (50,50) & YES & UNFOLDING MATRIX \\
\hline S(50) & & DENSITY EST. STARD. DEVIATION \\
\hline T (50) & & SMOOTHED DENSITY STARD. DEVIATION \\
\hline TYPE & & DEFINES ANALYSIS \\
\hline \(\checkmark\) & YES & VOLUME IF USED \\
\hline
\end{tabular}
        SUBROUTINES
    LGSEE-- PRINTS OUT THE SMOOTHED DENSITY HISTOGRAMS BY J E SCHLOSSER
    PRTMAT-- WRITES MATRICES WHERE NECESSARY
    MAXI -- FINDS LAST NON-ZERO CELL
        INPUT
            CONTROL 1 CARD PER RUN (3I1)
            1) IQI
            2) IPRT
            3) ISMTH
```

```
            FROM TAPE
            1) D
            2) QI--THESE UO NOT INCLUDE EM HENCE PROGRAM CORRECTS
        PARAMETERS 2 CARUS PER (ASE (12AG).(1X.F5.0.1X.I2.1X.3F10.0)
            CARD I
            1) IDENT
                CARD 2
            1) C - PICTURE NUMBER
            2) ISTRT - INITIAL CELL
            3) EM - MAGNIFICATION
            4) A - AREA OF SECTION
            5) V - VOLUME OF SPECIMAN
            DATA 3 CARDS PER CASE (8X,1614)
            1) N(K) FREQUENCIES
                0090
            OUTPUT
            REPORT HEAUING
                                    0120
                TYPE OF SAMPLING AND OBSERVATIONAL PROCEDURE
            AND SAMPLE IUENTIFICATION
            SUBHEAUING INFORMATION 0150
            1) PICTURE NUMBER 0160
            2) MAGNIFICATION 0170
            3) AREA
                                    0180
            4) VOLUME
            SUBHEADING - LAOELS0210
```

1) CELL NO. ..... 0220
2) PARTICLE SIZE (MICRONS) ..... 0230
3) OBSERVEU FREQUENCY ..... 0240
4) DENSITY ESTIMATE (PARTICLES/CC.) ..... 0250
5) STANDARU UEVIATIUN OF DENSITY ESTIMATE ..... 0260
) SMOOTHED UENSITY (PARTICLESICG./MICRON) ..... 0270
6) STANDARD DEVIATIUN OF SNOOTHED DENSITY ..... 0280
0290
DENSITY ESTIMATE CORRELATION MATRIX ..... 0300
```0310
```

LOG PLOT-SMOOTHED DENSITY HISTOGRAM ..... 0320

```DIMENSION U(50), N(50), IDENT(12), TYPL(10.12)1 •FN(50), QI(50.50), UD(50), COV(50.50)2 , \(F(50), S(50), T(50), R(50.50), ~ B(50)\)DATA (TYPE(I.I),I=1.12) /72HPLANE SECTIONS THROUGH SPERICAL PARTIC
ILES - ZLISS EXPONENTIAL BOUNDARIES/
    DATA (TYPE(2.I),I=1.12) /72HPLANE SECTIONS THROUGH SPERICAL PARTIC
    ILES - ZEISS LINEAR BOUNUARIES /
    UATA (TYPE(3.1),I=1.12) /72HREPLICATEU SECTIONS THROUGH SPEKICAL V
    IOIUS- ZLISS EXPONENTIAL BUUNDARIES/
    READING FILE FOR D AND QI
```

```
C
    READ(5,5010) IQI, IPRT, ISMTH
    5010 FORMAT(3I1)
            IOR = 1
        10 IF(IQI-IQR) 20,30,40
        20 WRITE(6,5020)
    5020 FORMAT(1H1,45HINCORRECT SPECIFICATION OF IQI READ CONTROL )
        CALL NTRAN(1,11)
        CALL EXIT
        40 READ(1)
            IQR = IQR + I
            GO TO 10
        30 READ(1) ( (0) I),I=1,49), ((QI(I,J),J=I,48),I=1,48) )
            CALL NTRAN(1,11)
C
C INPUT FOR A CASE
C
    660 READ(5,5030) (IDENT(I),I=1,12)
    5030 FORMAT(12A6)
    READ(5,5040) C, ISTRT, EM, A, V
    5040 FORMAT(1X, F5.0, 1X, I2, 1X, 3F10.0 )
    IF( ISTRT •LT. 1) ISTRT = I
    READ(5,5050) (N(J),J=1,48)
    5050 FORMAT( 8X, 16I4 )
C
C CALCULATIONS
    K = MAXI(N)
        B(I) = D(1)/EM
        Do 102U I=1,K
        B(I+1)=D(I+1)/EM
        S(I) = O.
        FN(I) = FLOAT(N(I))
        F(I) = 0.
        T(I) = 0.
        DD(I) =0.0
    1020 CONTINUE
            ARA = A/(100.* EM**2)
            DO 1700 I=ISTRT,K
            DO 1710 J=I,K
            DD(I)= DD(I) + QI(I,J)*FN(J)
    1710 CONTINUE
    DD(I) = EM*DD(I)/ARA
    1700 CONTINUE
C
C CREATE COVARIANCE MATRIX
    KK = K
    ARD = (EM/ARA)**2
```

```
    DO 1800 I=ISTRT,K
    IF( (IPRT+ISMTH) etQ. O ) KK=I
    DO 1800 J=I.KK
    COV(I,J)=U.
    DO 2810 L=J.K
    COV(I,J) = COV(I,J) + QI(I|L)*QI(J.L)*AMAXO(N(L),l )
    1810 CONTINUE
    COV(J,I)=COV(I,J) * ARD
    Cov(I!J)= COV(J.I)
    1800 CONTINUE
        IF(V) 1830.1830.1820
    1820 DO 1825 I=ISTRTOK
    1825 COV(I,I)=COV(I,I) - DD(I)/V
    1830 CONTINUE
C
C
    IFI ISMTH \bulletLT\bullet l I ISMTH= l
    KSM = ISTRT + ISMTH * ( (K+I-ISTRT) / ISMTH - l )
    DO 1850 I=ISTRT,KSM.ISMTH
    DO 1835 IA=1,ISMTH
    INX = I - I + IA
    F(I) = F(I) + DD(INX)
    1835 CONTINUE
    DMP = 1. / (B(I+ISMTH) - B(I) )
    F(I) = UMP*F(I)
    DO 1840 IA=1.ISMTH
    INX = I - I + IA
    F(INX) = F(I)
    DO 1840 JA=1,ISMTH
    JNX = 1 - l + JA
    T(I) = T(I) + COV(INX,JNX)
    1840 CONTINUE
    T(I) = DMP * SQRT( T(I) )
    DO 1845 IA=1.ISMTH
    INX = I - I + [A
    T(INX) = T(I)
    1845 CONT INUE
    1850 CONT INUE
        vo lguU I=ISTRT,K
        S(I) = SQRT(COV(I,I))
    1900 CONTINUE
C
C OUTPUT
C
            WRITE(6,506U) (TYPE(IQI,I),I=1,12),(IDENT(I),I=1,12),C,EM,A,V
    5060 FORMAT(1HI,lOX,12AG //17H IDENTIFICATION--.,12AG //
    1 9X,OH PICTURL,9X,14H MAGNIFICATIUN,yX,1\angleH aKLA(MM**L), yX,
    2 9x.1IH VOLUME(CC) / 11X,F6.U.3F21.U
```

```
        3 UENSITY EST//' 12OH CELL PARTICLE SIZE OBSER
        4VEU UENSITY ESTIMATE STANUAKD DEVIATION SMOUTHED DENSITY
        5 STANUARD DEVIATION / 12OH NO. (MICRONS) FREQUENCY
        6 (PARTICLES/CC.) OF DENSITY ESTIMATE (PARTICLES/CC/MICRON) OF
        7SMOOTHEU DENSITY )
        DO 316U I =ISTRT.K
            WRITE(6,5065) I,B(I+1),N(I),DD(I),S(I),F(I):T(I)
5u65 FORMAT(1H,4X,I2,3X,1PE11.4,6X,I5, 8X, E12.4, 8X, E12.4,8X, E12.4
    l.llX, El2.4 )
3160 CONTINUE
C
        IF(IPRT) 40UU, 4000, 3500
    35UU DO IgIU I=ISTRT,K
        DO 19IU J=ISTRT,K
        R(I,J)=\operatorname{Cov}(I;J)/(S(I)*S(J) )
        R(J,I) = R(I,J)
    1910 CONT INUE
        WRITE(6,5U7U) (IDENT(I),I=1,12)
    5070 FORMAT(1HI, 2OHCORRELATION MATRIX--. 12A6 //)
        CALL PRTMAT(R,K,K)
4UOO CONT INUE
C WRITE UUT SMOUTHEU UENSITY HISTOGRAM
        WRITE(6,5U8U) (IUENT(I),I=1,1<)
    5U&U FORMAT(1HI, 28HSMUUTHEU DENSITY HISTOGRAM--, 12AG/ )
        KSP = KSM - 1 + ISMTH
        DO 5u85 I=ISTRT,KSP
    5085 T(I) = 2.*T(I)
        CALL LGSEE(F,T,KSP)
        WRITE(6,5090)
    50YO FORMAT( 1HO, 30X,6IH SMOOTHED DENSITY (PARTICLES/CUBIC (M/MICRON)
            1- - LOG SCALE )
C

LISTING OF SUBROUTINES

NTOP \(=\) VMAX \(+0.5+S I G N(0.5, V M A X)\) ..... 0400
NBUT \(=\) VMIN - \((0.5-5 I G N(0.5, V M I N))\) ..... 0410
FNBOT = NSOT ..... 0420
IRANGE=MINO( MAXU(NTOP-NBOT,1),11) ..... 0430
NBOT = NBOT+40 ..... 0440
WRITE (3.980) ..... 0450
 ..... 0460
30 CONTINUE ..... 04701 DECADE0480
VINC \(=0.01\) AST \(=\) NBOT ..... 04900500
WRITE (3.91U)(NEXT(I) ), I=NBOT.LAST) ..... 0510
WRITE (3.810) ..... 0520
GO TO 100 ..... 0530
40 CONTINUE ..... 0540
\(5 \cup\) CONTINUL
VINC \(=0.02\) ..... 05600550LAST \(=\mathrm{NBOT}+2\)
0570
WRITE \((3,92 U)(\) NEXT 1 I \(1, I=N B O T, L A S T)\) ..... 0580
WRITE 13.8201 ..... 0590
GO TO luO ..... 0600
VINC \(=0.04\) ..... 06300610
LAST \(=\) NBOT +4 LAST \(=\) NBOT +4 ..... 0640
WRITE (3.930)(NEXT (I ), I=NBOT,LAST) ..... 0650
WRITE (3.830) ..... 0660
GO TO 100 ..... 0670
60 CONTINUE ..... 0680
0690
VINC \(=0.05\) ..... 0700
LAST \(=\) NBOT +5 ..... 0710
WRITE (3.94U)(NEXT(I ),I =NBOT,LAST) ..... 0720
WRITE \((3.840)\) ..... 0730
GO TO 100 ..... 0740
70 CONT INUL ..... 0750
0760
VINC \(=0.1 u\) ..... 077010 DECADES
LAST \(=\) NBOT +10 ..... 0780
WRITE (3.950)(NEXTII ),I=NBOT,LAST) ..... 0790
WRITE (3,850) ..... 0800
GO TO 100 ..... 0810
80 CONTINUE ..... 0820
0830
\(V I N C=0.20\) 0840
LAST \(=\mathrm{NBOT}+20\) ..... 0850
WRITE (3.96U)(NEXT(I) ), I=NBOT,LAST) ..... 0860
WRITE \((3,860)\) ..... 0870
100 CONTINUE
DO \(140 \quad \mathrm{I}=1 \mathrm{l}, \mathrm{N}\) ..... 0890
\(\mathrm{K}=1\) ..... 0900
\(K K=1\)KKK \(=1\)IF(VL(1)+1.0E+30) 115:130.1200910
115 WRITE (3.975)I ..... 0920
A(K) - BLANK
GO TO 140 0940
120 CONTINUE ..... 0950
IF(VB(I)-FNBOT) 121.121.122
122 CONT INUE
KK= ABS VB(I)-FNBUT)/VINC +1.5
A(KK ) =
121 CONTINUE
KKK=AUS( VT(l)-FNBUT)/VINC +1.5
\(K=\) AOS \((\) VL(I)-FNBOT)/VINC +1.5 ..... 0960
\(K=\operatorname{MINU}(K, l \cup 1)\) ..... 0970
\(A(K K K)=0\)
\(A(K)=X X X\)
130 WRITE (3.97U)I , A ..... 0990
A(KK ) = BLANK
A(KKK) = BLANK
\(A(K)=\) BLANK
140 CONTINUE ..... 1010
GO TO \((230,240,250,250,260,270,270,270,270,270,280)\), IRANGE ..... 1020
230 CONTINUE ..... 1030
WRITE \((3,810)\) ..... 1040
WRITE (3.91U)(NEXT(I ).I=NBOT,LAST) ..... 1050
GO TO 300 ..... 1060
240 WRITE \((3,820)\) ..... 1070
WRITE (3.92U)(NEXT(I ).I=NBOT,LAST) ..... 1080
GO TO 300 ..... 1090
250 WRITE \((3,830)\) ..... 1100
WRITE (3.93u)(NEXT(1) ).I=NBOT.LAST) ..... 1110
GO TO 300 ..... 1120
260 WRITE \((3.840)\) ..... 1130
WRITE (3.94U)(NEXT(I ).I=NBOT.LAST) ..... 1140
GO TO 3U0 ..... 1150
270 WRITE (3.85u) ..... 1160
WRITE (3.95U)(NEXT(I ),I=NBOT,LAST) ..... 1170
GO TO 300 ..... 1180
280 ..... 1190
WRITE (3.96U)(NEXT(I) ), I =NBOT.LAST) ..... 1200
300END
\begin{tabular}{|c|c|c|}
\hline & SUBROUTINE PRTMAT \((A, N, M)\) & \\
\hline \multicolumn{3}{|l|}{C} \\
\hline C & PRINTS OUT MATRICES & \\
\hline \multicolumn{3}{|l|}{C} \\
\hline & DIMENSION A(50,50) & \\
\hline 900 & FORMAT ( 4 X , 911U, Ill ) & \\
\hline \multirow[t]{5}{*}{910} &  & \\
\hline & MIN = MINO(10, M) & \\
\hline & WRITE (6:900) (J:J=l,MIN) & \\
\hline & DO \(10 \mathrm{I}=1 . \mathrm{N}\) & \\
\hline & WRITE (6,9lU) I, (A(I,J), J=1, M) & \\
\hline \multirow[t]{3}{*}{10} & CONT INUE & \\
\hline & RETURN & \\
\hline & END & \\
\hline & & \\
\hline & MAXI(N) THIS SUBROUTINE ULTRMINES THE & MAXI UOO \\
\hline \(C\) & THIS SUBROUTINE UETERMINES THE & MAXI O2U \\
\hline C & SUBSCRIPT OF THE LARGEST CELL & MAXI 040 \\
\hline 6 & HAVING A NON-ZERO FREQUENCY & MAXI U6U \\
\hline \multirow[t]{2}{*}{C} & & 0030 \\
\hline & UIMENSION N(50) & MAXI U8U \\
\hline \multirow[t]{2}{*}{C} & INITIALIZt & MAXI 100 \\
\hline & \(J=49\) & MAXI 120 \\
\hline \multirow[t]{2}{*}{C} & & MAXI 140 \\
\hline & GO TO 220 & MAXI 160 \\
\hline \multirow[t]{6}{*}{C \(\begin{array}{r} \\ 200 \\ 220 \\ 240\end{array}\)} & & MAXI 180 \\
\hline & \(J=J-1\) & MAXI 200 \\
\hline & IF(N(J)) ZUU.2U0.24U & MAXX 220 \\
\hline & MAXI \(=\checkmark\) & MAXX! <4U \\
\hline & RETURN & MAXI <60 \\
\hline & END & \\
\hline
\end{tabular}
```

            APPENDIXIII
    SAMPLE INPUT AND OUTPUT FOR THE PROGRAM UNFOLD
    SAMPLE INPUT
    ```



\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|}
\hline & 1 & 2 & 3 & 4 & = & 6 & 7 & 8 & 9 & 10 \\
\hline 1 & \[
\begin{array}{r}
.00000 \\
.00000 \\
.000: 5
\end{array}
\] & \begin{tabular}{l}
.00000 \\
- ujuvo \\
.00000
\end{tabular} & \[
\begin{array}{r}
0.100 \\
.00000 \\
.00000
\end{array}
\] & \[
\begin{aligned}
& .00000 \\
& .00000 \\
& .00000
\end{aligned}
\] & \[
\begin{aligned}
& .00000 \\
& .00090 \\
& .80090
\end{aligned}
\] & \[
\begin{array}{r}
.00000 \\
.00000 \\
.00000
\end{array}
\] & \[
\begin{array}{r}
.00000 \\
.00000 \\
.00000
\end{array}
\] & \[
\begin{aligned}
& .00000 \\
& .00000 \\
& .00000
\end{aligned}
\] & \[
\begin{aligned}
& .00000 \\
& .00000
\end{aligned}
\] & \[
\begin{aligned}
& .00000 \\
& .00 n 09
\end{aligned}
\] \\
\hline 2 & \[
\begin{array}{r}
.00006 \\
.00352 \\
-.00630
\end{array}
\] & \[
\begin{aligned}
& 1.00040 \\
& =.04204 \\
& -.0 \cup 120
\end{aligned}
\] & \[
\begin{array}{r}
-.28404 \\
-.0304 \\
.0214
\end{array}
\] & \[
\begin{array}{r}
=.07484 \\
-.00219 \\
.000 C 9
\end{array}
\] & \[
\begin{array}{r}
-.34458 \\
-.00149 \\
=.00037
\end{array}
\] & \[
\begin{aligned}
& -.02606 \\
& -.00112 \\
& -.00006
\end{aligned}
\] & \[
\begin{array}{r}
-.02079 \\
=.00226 \\
. .00003
\end{array}
\] & \[
\begin{array}{r}
-.01957 \\
.00073 \\
=.00006
\end{array}
\] & \[
\begin{aligned}
& -.03360 \\
& .00020
\end{aligned}
\] & \[
\begin{aligned}
& .05518 \\
& -.00009
\end{aligned}
\] \\
\hline 3 & \[
\begin{aligned}
& .00000 \\
& .05147 \\
& -.000 .7
\end{aligned}
\] & \[
\begin{array}{r}
-.28464 \\
.00316 \\
-.00619
\end{array}
\] & \[
\begin{aligned}
& 1.00000 \\
& =.06236 \\
& =.0 .064
\end{aligned}
\] & \[
\begin{aligned}
& =.24832 \\
& -.00224 \\
& .00164
\end{aligned}
\] & \[
\begin{array}{r}
-.09344 \\
=.00163 \\
.00008
\end{array}
\] & \[
\begin{aligned}
& -.04282 \\
& -.00095 \\
& -.00008
\end{aligned}
\] & \[
\begin{aligned}
& =.02774 \\
& =.00183 \\
& -.0054
\end{aligned}
\] & \[
\begin{array}{r}
-.01896 \\
.00177 \\
-.00006
\end{array}
\] & \[
\begin{array}{r}
-.01608 \\
.00058
\end{array}
\] & \[
\begin{array}{r}
=.03061 \\
.00221
\end{array}
\] \\
\hline 4 & \[
\begin{array}{r}
.00000 \\
-.028 \cup 2 \\
.00021
\end{array}
\] & \[
\begin{array}{r}
-.07484 \\
.04688 \\
.000 \cup 3
\end{array}
\] & \[
\begin{array}{r}
-.24832 \\
.00266 \\
-.00016
\end{array}
\] & \[
\begin{aligned}
& 1.04000 \\
& =.00173 \\
& =.00041
\end{aligned}
\] & \[
\begin{array}{r}
-.30795 \\
-.00170 \\
.00104
\end{array}
\] & \[
\begin{array}{r}
-.09290 \\
-.00105 \\
.00006
\end{array}
\] & \[
\begin{array}{r}
-.04899 \\
-.00195 \\
-.00004
\end{array}
\] & \[
\begin{array}{r}
-02591 \\
=.00141 \\
-.00007
\end{array}
\] & \[
\begin{array}{r}
-.01494 \\
-.00155
\end{array}
\] & \[
\begin{array}{r}
=.01736 \\
.00061
\end{array}
\] \\
\hline 5 & \[
\begin{aligned}
& .00000 \\
& -.01523 \\
& .00052
\end{aligned}
\] & \[
\begin{array}{r}
-.04458 \\
=.02407 \\
.00009
\end{array}
\] & \[
\begin{array}{r}
-.09344 \\
.04078 \\
-.00003
\end{array}
\] & \[
\begin{array}{r}
-.30795 \\
.00215 \\
-.00015
\end{array}
\] & \[
\begin{aligned}
& 1.0 .0000 \\
& =.00109 \\
& -.00043
\end{aligned}
\] & \[
\begin{array}{r}
=.27432 \\
=.00093 \\
.00095
\end{array}
\] & \[
\begin{array}{r}
-.09029 \\
=.00196 \\
.00005
\end{array}
\] & \[
\begin{array}{r}
-.03947 \\
-.00127 \\
-.00008
\end{array}
\] & \[
\begin{aligned}
& =.01826 \\
& -.00098
\end{aligned}
\] & \[
\begin{aligned}
& -.01676 \\
& -.00132
\end{aligned}
\] \\
\hline 6 & \[
\begin{aligned}
& .00000 \\
& .01595 \\
& .00110
\end{aligned}
\] & \[
\begin{array}{r}
-.02606 \\
-.01277 \\
.00017
\end{array}
\] & \[
\begin{array}{r}
-.04282 \\
-.01966 \\
.00017
\end{array}
\] & \[
\begin{array}{r}
-0 \pm 270 \\
.03346 \\
-.00002
\end{array}
\] & \[
\begin{array}{r}
-.27432 \\
.00208 \\
=.00009
\end{array}
\] & \[
\begin{aligned}
& 1.00000 \\
& -.00051 \\
& -.00026
\end{aligned}
\] & \[
\begin{array}{r}
-.29182 \\
=.00209 \\
.00062
\end{array}
\] & \[
\begin{aligned}
& =.08457 \\
& =.00141 \\
& .00003
\end{aligned}
\] & \[
\begin{array}{r}
-.03114 \\
=.00096
\end{array}
\] & \[
\begin{array}{r}
=.02340 \\
\quad .00101
\end{array}
\] \\
\hline 7 & \[
\begin{aligned}
& .00000 \\
& =.02271 \\
& .00112
\end{aligned}
\] & \[
\begin{aligned}
& -.02079 \\
& -.0 .397 \\
& -.00077
\end{aligned}
\] & \[
\begin{array}{r}
-.02774 \\
-.01243 \\
.00044
\end{array}
\] & \[
\begin{array}{r}
-.04699 \\
-.01663 \\
.00012
\end{array}
\] & \[
\begin{array}{r}
=.09029 \\
.02835 \\
=.00002
\end{array}
\] & \[
\begin{array}{r}
-.29182 \\
.00217 \\
. .00012
\end{array}
\] & \[
\begin{aligned}
& 1.00000 \\
& .00168 \\
&=.00037
\end{aligned}
\] & \[
\begin{array}{r}
-.27163 \\
-.00150 \\
.00078
\end{array}
\] & \[
\begin{aligned}
& -.06818 \\
& \quad .00108
\end{aligned}
\] & \[
\begin{aligned}
& =.03981 \\
& \quad .00111
\end{aligned}
\] \\
\hline 8 & \[
\begin{aligned}
& .00000 \\
& =.04160 \\
& =.00117
\end{aligned}
\] & \[
\begin{array}{r}
=.01957 \\
=.02165 \\
=.00012
\end{array}
\] & \[
\begin{aligned}
& =.01896 \\
& =.01556 \\
& =.03108
\end{aligned}
\] & \[
\begin{array}{r}
-.02591 \\
-.01109 \\
.00037
\end{array}
\] & \[
\begin{array}{r}
=.03947 \\
-.01607 \\
.00010
\end{array}
\] & \[
\begin{aligned}
& =.08457 \\
& .02615 \\
& .00000
\end{aligned}
\] & \[
\begin{aligned}
& -.27163 \\
& .00180 \\
& -.00001
\end{aligned}
\] & \[
\begin{aligned}
& 1.00000 \\
& =.00123 \\
& -.00007
\end{aligned}
\] & \[
\begin{array}{r}
-.24423 \\
=.00118
\end{array}
\] & \[
\begin{aligned}
& =.09107 \\
& \quad .00129
\end{aligned}
\] \\
\hline 9 & \[
\begin{aligned}
& .00000 \\
& -.094+5 \\
& . .001+0
\end{aligned}
\] & \[
\begin{array}{r}
-.0336 \mathrm{u} \\
-.43974 \\
.1 .401
\end{array}
\] & \[
\begin{array}{r}
=.01608 \\
-.02445 \\
-.00098
\end{array}
\] & \[
\begin{array}{r}
=.01494 \\
=.014 \cup 6 \\
=.00086
\end{array}
\] & \[
\begin{array}{r}
-.01826 \\
-.01196 \\
.0024
\end{array}
\] & \[
\begin{array}{r}
=.03114 \\
-.02094 \\
.00010
\end{array}
\] & \[
\begin{aligned}
& -.06818 \\
& .03423 \\
& .00001
\end{aligned}
\] & \[
\begin{array}{r}
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.00168 \\
-.00005
\end{array}
\] & \[
\begin{aligned}
& 1.00000 \\
& =.00092
\end{aligned}
\] & \[
\begin{aligned}
& -.31203 \\
& -.00145
\end{aligned}
\] \\
\hline iv & \[
\begin{aligned}
& .00000 \\
& =.27992 \\
& -.00139
\end{aligned}
\] & \[
\begin{aligned}
& . .551 \mathrm{~d} \\
& -.07 \mathrm{~d} 3 t \\
& .06005
\end{aligned}
\] & \[
\begin{aligned}
& =.03061 \\
& =.03808 \\
& =.00100
\end{aligned}
\] & \[
\begin{array}{r}
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-.02770 \\
-.00073
\end{array}
\] & \[
\begin{aligned}
& =.01676 \\
& -.01015 \\
& -.00056
\end{aligned}
\] & \[
\begin{array}{r}
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-.00756 \\
.00024
\end{array}
\] & \[
\begin{array}{r}
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-.01+74 \\
.00006
\end{array}
\] & \[
\begin{array}{r}
=.09107 \\
.02498 \\
=.00001
\end{array}
\] & \[
\begin{array}{r}
-.31203 \\
.00146
\end{array}
\] & \[
\begin{aligned}
& 1.00000 \\
& -.00107
\end{aligned}
\] \\
\hline 11 & \[
\begin{aligned}
& .00000 \\
& 1.00005 \\
& -.002 .2
\end{aligned}
\] & \[
\begin{array}{r}
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-.26610 \\
.64415 \\
\hline
\end{array}
\] & \[
\begin{aligned}
& .05147 \\
& -.0848 u \\
& -.1 .119
\end{aligned}
\] & \[
\begin{array}{r}
-.028 u 2 \\
=.03179 \\
=.2 .783
\end{array}
\] & \[
\begin{array}{r}
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-.7497 \\
-.00040
\end{array}
\] & \[
\begin{array}{r}
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-.00768 \\
-.00756
\end{array}
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-.01031 \\
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\end{array}
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=.01327 \\
.00007
\end{array}
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.02217
\end{array}
\] & \[
\begin{array}{r}
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.00128
\end{array}
\] \\
\hline
\end{tabular}
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\begin{aligned}
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& -.26610 \\
& .00100
\end{aligned}
\] &  & \[
\begin{aligned}
& \cdot C \cup 316 \\
& -.29207 \\
& -.06141
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\] & \[
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& .04688 \\
& -.07394 \\
& -.00103
\end{aligned}
\] & \[
\begin{aligned}
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& -.01277 \\
& =.01199 \\
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\begin{aligned}
& -. .1397 \\
& =.01350 \\
& -.0304 \epsilon
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\] & \[
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-.00947 \\
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\begin{aligned}
& -.03974 \\
& -.01423
\end{aligned}
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\begin{aligned}
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& .02385
\end{aligned}
\] \\
\hline \[
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& .023 u 2
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-.29207 \\
.00155
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& . .25115 \\
& . .00118
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& -.0456 \\
& -.0053
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-.02226 \\
-.00061
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& -.11243 \\
& -.02102 \\
& -.00026
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\begin{aligned}
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& =.00048
\end{aligned}
\] & \[
\begin{aligned}
& -.02445 \\
& -.00809
\end{aligned}
\] & \[
\begin{aligned}
& -.03808 \\
& -.01312
\end{aligned}
\] \\
\hline \[
\begin{aligned}
& .0 \cup 0 \cup u \\
& =. \cup 3179 \\
& -.00951
\end{aligned}
\] & \[
\begin{aligned}
& -.0 \cup 219 \\
& -.673^{\circ}=4 \\
& \cdot 013<4
\end{aligned}
\] & \[
\begin{aligned}
& -.0224 \\
& =.25115 \\
& .01124
\end{aligned}
\] & \[
\begin{aligned}
& -.06173 \\
& 1.00000 \\
& -.00121
\end{aligned}
\] & \[
\begin{aligned}
& . G u \approx 15 \\
& -.25258 \\
& -.60009
\end{aligned}
\] & \[
\begin{aligned}
& .03346 \\
& -.05996 \\
& . .00785
\end{aligned}
\] & \[
\begin{aligned}
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& =.04324 \\
& -.00036
\end{aligned}
\] & \[
\begin{array}{r}
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=.01878 \\
-.00068
\end{array}
\] & -.01406
-.00981 & \[
\begin{aligned}
& -.01770 \\
& -.017228
\end{aligned}
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\hline \[
\begin{aligned}
& .0 u v 0 u \\
& =.01+57 \\
& -.01225
\end{aligned}
\] & \[
\begin{aligned}
& -. u v i+y \\
& =.02010 \\
& -.01>22
\end{aligned}
\] & \[
\begin{array}{r}
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-.06456 \\
.0285 .
\end{array}
\] & \[
\begin{aligned}
& =.00170 \\
& -.25258 \\
& .00104
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& -.00060
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& -.00106
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& -.00047
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\begin{aligned}
& -.01607 \\
& =.03790 \\
& =.00091
\end{aligned}
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\begin{aligned}
& -.01196 \\
& =.01764
\end{aligned}
\] & \[
\begin{aligned}
& -.01015 \\
& -.01335
\end{aligned}
\] \\
\hline \begin{tabular}{l}
- Oucua \\
-. 00760 \\
\(-.01362\)
\end{tabular} & \[
\begin{aligned}
& -.0 \cup 11 \% \\
& -.01190 \\
& -.00439
\end{aligned}
\] & \[
\begin{aligned}
& -.0 \cup 195 \\
& =.02220 \\
& -.01366
\end{aligned}
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\begin{array}{r}
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-.05996 \\
.02340
\end{array}
\] & \[
\begin{aligned}
& -. ن u r y 3 \\
& -.23528 \\
& . n 0102
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\] & \[
\begin{aligned}
& -.00051 \\
& 1.00000 \\
& -.00095
\end{aligned}
\] & \[
\begin{aligned}
& .00217 \\
& =.35315 \\
& -.00054
\end{aligned}
\] & \[
\begin{aligned}
& .12615 \\
& -.08568 \\
& -.00111
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\] & \[
\begin{aligned}
& -.02084 \\
& =.03196
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& -.00750 \\
& -.01992
\end{aligned}
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& =.01091 \\
& =.01745
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& -.0 \cup 226 \\
& -.01358 \\
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& =.00183 \\
& =.02102 \\
& =.06790
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& =.00195 \\
& -.04324 \\
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\] & \[
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& -.00196 \\
& -.105+9 \\
& .01353
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\] & \[
\begin{aligned}
& -.00209 \\
& -.35315 \\
& .00368
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\] & \[
\begin{aligned}
& -.00168 \\
& 1.00000 \\
& =.00035
\end{aligned}
\] & \[
\begin{aligned}
& .00190 \\
& -.24410 \\
& -.00110
\end{aligned}
\] & \[
\begin{aligned}
& .03423 \\
& -.06157
\end{aligned}
\] & \[
\begin{aligned}
& -.01474 \\
& -.03 .743
\end{aligned}
\] \\
\hline \[
\begin{aligned}
& .00000 \\
& =.01327 \\
& =.03729
\end{aligned}
\] & \[
\begin{aligned}
& .0 \cup 072 \\
& =.00947 \\
& -.00299
\end{aligned}
\] & \[
\begin{array}{r}
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=.01097 \\
=.01278
\end{array}
\] & \[
\begin{aligned}
& -.0 \cup 1+1 \\
& -.01878 \\
& =.00843
\end{aligned}
\] & \[
\begin{array}{r}
-.1 J 127 \\
-.03790 \\
=.01016
\end{array}
\] & \[
\begin{array}{r}
=.20141 \\
-.08568 \\
.01727
\end{array}
\] & \[
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& -.00150 \\
& -.24410 \\
& .00079
\end{aligned}
\] & \[
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& -.01123 \\
& 1.00000 \\
& -.00124
\end{aligned}
\] & \[
\begin{aligned}
& .00168 \\
& -.24900
\end{aligned}
\] & \[
\begin{aligned}
& .0249 a \\
& \text {-. } 08064
\end{aligned}
\] \\
\hline \[
\begin{aligned}
& .00000 \\
& . .02217 \\
& -.08579
\end{aligned}
\] & \[
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& .00020 \\
& =.01423 \\
& =.00706
\end{aligned}
\] & \[
\begin{aligned}
& .00058 \\
& =.00809 \\
& =.02184
\end{aligned}
\] & \[
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=.00961 \\
=.01150
\end{array}
\] & \[
\begin{aligned}
& -.0 \cup 098 \\
& -.11764 \\
& -.00552
\end{aligned}
\] & \[
\begin{aligned}
& =.00096 \\
& -.03196 \\
& -.00826
\end{aligned}
\] & \[
\begin{array}{r}
-.00108 \\
-.06157 \\
.01305
\end{array}
\] & \[
\begin{aligned}
& -.00118 \\
& =.24900 \\
& .00041
\end{aligned}
\] & \[
\begin{aligned}
- & .00092 \\
& 1.00000
\end{aligned}
\] & \[
\begin{aligned}
& .00146 \\
& -.29411
\end{aligned}
\] \\
\hline \[
\begin{aligned}
& .0 u C 0 U \\
& .00128 \\
& . .28970
\end{aligned}
\] & \[
\begin{array}{r}
-.00009 \\
. .02385 \\
=.02120
\end{array}
\] & \[
\begin{aligned}
& .04021 \\
& =.01312 \\
& -.03926
\end{aligned}
\] & \[
\begin{aligned}
& .0 \cup C 61 \\
& -.00828 \\
& -.01807
\end{aligned}
\] & \[
\begin{aligned}
& -.0132 \\
& =.413 \times 5 \\
& -.6700
\end{aligned}
\] & \[
\begin{aligned}
& =.00101 \\
& \quad=01992 \\
& =.00717
\end{aligned}
\] & \[
\begin{aligned}
& =.00111 \\
& =.03043 \\
& =.01005
\end{aligned}
\] & \[
\begin{array}{r}
-.0 .120 \\
-.08064 \\
.01719
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\] & \[
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-.00145 \\
-.29411
\end{array}
\] & \[
\begin{array}{r}
=.00107 \\
1.00000
\end{array}
\] \\
\hline \begin{tabular}{l}
- Oujuo
\[
-.001<3
\] \\
1.ULOCO
\end{tabular} & \[
\begin{array}{r}
-.0003 \mathrm{c} \\
. .00106 \\
-.10712
\end{array}
\] & \[
\begin{aligned}
& =.000 c 7 \\
& .02302 \\
& -.05672
\end{aligned}
\] & \[
\begin{aligned}
& .00021 \\
& =.0 \cup 951 \\
& -.03597
\end{aligned}
\] & \[
\begin{aligned}
& \therefore 0.52 \\
& =.01225 \\
& =.0112 z
\end{aligned}
\] & \[
\begin{aligned}
& -.00117 \\
& =.01362 \\
& -.00374
\end{aligned}
\] & \[
\begin{aligned}
& -.00111 \\
& -.01745 \\
& -.00312
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\] & \[
\begin{aligned}
& -. \cap 0119 \\
& -.03729 \\
& -.00458
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&-.00139 \\
&-.28976
\end{aligned}
\] \\
\hline - Ouvies
\[
\begin{array}{r}
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-.10712
\end{array}
\] & \[
\begin{aligned}
& -.0 \cup 1<c \\
& .00336 \\
& 1.00000
\end{aligned}
\] & \[
\begin{array}{r}
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.00155 \\
-.46461
\end{array}
\] & \[
\begin{aligned}
& .0 \cup 0 \cup 3 \\
& .01324 \\
& -.11638
\end{aligned}
\] & \[
\begin{aligned}
& \text {. } \mathrm{O} \cdot \operatorname{cug} \\
& =.019<2 \\
& =.03070
\end{aligned}
\] & \[
\begin{aligned}
& .00017 \\
& -.00439 \\
& -.02385
\end{aligned}
\] & \[
\begin{aligned}
& -.01077 \\
& -.00168 \\
& -.00703
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\] & \[
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& =.0 .012 \\
& -.90299 \\
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\] & \[
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& .00001 \\
& -.00706
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\] & \[
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& .00005 \\
& -.0212 n
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\] \\
\hline . Ouucui & . 00214 & . 00064 & -. 00016 & -. 00003 & . 00017 & . 00044 & -.0310n & -. 00098 & -. \(0010 n\) \\
\hline -. -0.9119 & \(-.061+1\)
-.46461 & \(=.00125\)
1.00000 & .00124
-.25176 & .02258
. .34650 & . .01366
.02525 & \(=.00790\)
\(=.00775\) & -.01278
-.00948 & -.021.84 & -.03926 \\
\hline
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\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|}
\hline \[
\begin{aligned}
& =.000 c 3 \\
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& .0004 y \\
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& =.00118 \\
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-\quad 15 \\
-.191 \% 4 \\
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\hline - 0uovo -. ucus6 -. COE74 & \[
\begin{aligned}
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& =.00056 \\
& =.02085
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& -.02525
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& . .01005
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\end{aligned}
\] & \[
\begin{aligned}
& =.90 .991 \\
& .01717
\end{aligned}
\] \\
\hline
\end{tabular}

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[^1]:    * The mathematical nomenclature used in the paper attempts to distinguish the three levels of reality which characterize the unfolding problem in practice. Greek letters denote properties of the three-dimensional specimen under investigation. For example, $\mu i$ is used to denote total number of ith size particles in the specimen. Capital English letters denote true properties of the sample used to estimate specimen properties. For example, $M_{i}$ is used to denote the total number of ith size particles in the sample. Lower case English letters denote properties of the sample as they are observed. These are the data in the problem. For example, $m_{i}$ is used to denote the total number of particles in the sample which fall in the ith observational cell. Vector and matrix notation is used whenever possible. The specific notation is taken from Hohn. (6) The notation for probability concepts such as random variable, distribution, mean, and variance is taken from Chapter IX of Feller. (4)

[^2]:    * For any n-dimensional vector $x=\left(x_{1} x_{2} \ldots x_{n}\right)^{T}, D(x)$ is the $n$th order diagonal matrix with $x_{i}$ as $\frac{1}{\text { the }}$ ith diagonal element [Reference (6), page 296].

