

Universal scaling law for drag-to-thrust wake transition in flapping foils

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Reversed *von Kármán* streets are responsible for a velocity surplus in the wake of flapping foils, indicating the onset of thrust generation. However, the wake pattern cannot be predicted based solely on the flapping peak-to-peak amplitude A and frequency f because the transition also depends sensitively on other details of the kinematics. In this work we replace A with the cycle-averaged swept trajectory \mathcal{T} of the foil chordline. Two dimensional simulations are performed for pure heave, pure pitch and a variety of heave-to-pitch coupling. In a phase space of dimensionless $\mathcal{T}-f$ we show that the drag-to-thrust wake transition of all tested modes occurs for a modified Strouhal $St_{\mathcal{T}} \rightarrow 1$. Physically the product $\mathcal{T} \cdot f$ expresses the speed of the foil and indicates that propulsive jets occur when this velocity exceeds U_{∞} . The new metric offers a unique insight into the thrust producing strategies of biological swimmers and flyers alike as it directly connects the wake development to the chosen kinematics enabling a self similar characterisation of flapping foil propulsion.

Key words:

1. Introduction

Almost all aquatic and flying animals generate thrust via the oscillatory motion of foil-like body parts (for example, tails, fins etc.). Moreover, flapping foil systems are often associated with high efficiency and strong side forces, ideal for manoeuvring (Read *et al.* 2003). Thus, many studies have focused on the analysis and implementation of these biological configurations into man-made designs (Fish & Lauder 2006; Triantafyllou *et al.* 2004; Wang 2005), although the underlying physics is still not clearly understood.

Here we aim to determine the drag-to-thrust wake transition of these flapping mechanisms via the analysis of the vortex pattern development. Assuming foil undulations above the *lock-in* frequency (Thiria *et al.* 2006; Vial *et al.* 2004) we observe at least three basic wake patterns (Von Karman 1935): the classic *Bénard von Kármán* (Bvk) street where $U_{wake} < U_{\infty}$ (figure 1a); the neutral line, where $U_{wake} \sim U_{\infty}$ (figure 1b); and the reversed BvK wake, where $U_{wake} > U_{\infty}$ (figure 1c). The latter is synonymous with the drag-to-thrust wake transition, although a lag exists between this phenomenon and the foil's overall transition towards thrust. This is due to the fact that a weak velocity surplus cannot overcome profile drag or velocity fluctuations and pressure differences within the control volume (Streitlien & Triantafyllou 1998; Ramamurti & Sandberg 2001; Bohl & Koochesfahani 2009).

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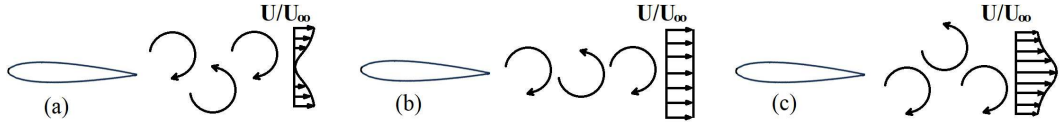


FIGURE 1. Drag-to-Thrust transition within the wake of a symmetric flapping foil. (a) BvK street, (b) Neutral line, (c) reversed BvK wake.

As the driving factors of BvK reversal we typically consider the oscillating amplitude and the oscillating frequency f of the kinematics (Koochesfahani 1989). The former is expressed by the trailing-edge (TE) peak-to-peak amplitude A . In dimensionless terms it is often normalised by the thickness D or the chord length C of the foil. In a similar fashion the frequency is often expressed as a reduced frequency $k = U_\infty/(fC)$ (Birnbaum 1924), a thickness-based Strouhal number $Sr = (fD)/U_\infty$ (Godoy-Diana *et al.* 2009) or a chord-length-based Strouhal number $St_C = 1/k$ (Clever *et al.* 2012). Triantafyllou *et al.* (1991) suggested a modified amplitude-based Strouhal number $St_A = (fA)/U_\infty$. By including both the frequency and the amplitude of oscillation, St_A can potentially characterise the BvK reversal by a single factor, as opposed to k , Sr and St_C . Studies of Anderson *et al.* (1998) and Read *et al.* (2003) showed that optimal efficiency occurs for a short range of $St_A \sim [0.2, 0.4]$. This was also supported by Taylor *et al.* (2003) and Triantafyllou *et al.* (1993), who observed that the majority of natural fliers and swimmers prefer to cruise within this range. However, according to Andersen *et al.* (2017), BvK reversal occurs at different St_A values for pure heave and pure pitch. Therefore, St_A cannot be regarded as an expression of self similarity.

The fundamental problem is that characterizing the motion only by the TE amplitude fails to capture the contribution of the other points of the foil. This becomes important when the heaving component is significant, resulting in the generation of strong *leading edge vortices* (LEV) which travel downstream and blend with the *trailing edge vortices* (TEV). Here, we also need to take into account the length of the entire path travelled in a period rather than the maximum distance from equilibrium.

In this study, we formulate a novel length scale, which characterizes the Bvk reversal of harmonically flapping foils. Two-dimensional simulations are conducted at a Reynolds number of $Re = 1173$ for a rigid NACA0016 profile and three basic harmonic kinematics: pure heave, pure pitch and heave-pitch coupling. Additional higher-Reynolds-number simulations ($Re = 11730$) are used to quantify the Reynolds number effects. The influence of different pivot points is examined for pure pitch and coupled motions. In addition, we analyse the impact of different maximum effective angles of attack on coupled kinematics. Finally, we develop a new metric based on the chordwise-averaged path travelled by the foil, in order to determine BvK wake reversal for a vast range of harmonic motions.

1.1. Geometry and Kinematics

We consider a rigid NACA0016 with a thickness $D = 0.16C$. The foil performs simple harmonic oscillations around a stationary equilibrium position, against a uniform free stream velocity $U_x = U_\infty$. The lateral direction of every point along this chordline is denoted with $y(t, s)$. Here t is the time and s is the C -normalised coordinate along the chord, ranging from 0 at LE to 1 at TE. Pure pitch (see 2b) is modelled as a sinusoidal rotation about a specified pivot point along the chordline ($s = \mathcal{P}$, where \mathcal{P} is the non-dimensional distance between the LE and the pivot point along the chordline) and pure heave (see 2a) as a sinusoidal lateral translation of the entire chordline. Coupled motion

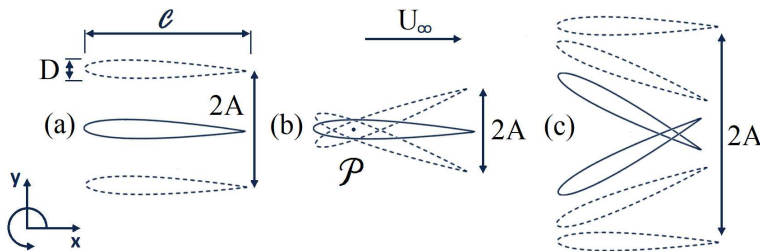


FIGURE 2. Foil kinematics, geometry and coordinate system. (a) pure heave , (b) pure pitch , (c) coupled motion.

(see 2c) occurs by the superposition of these pure motions so that the general equation of motion is:

$$y_c(t, s) = y_h(t, s) + y_\theta(t, s) \quad \text{where :}$$

$$y_h(t, 1) = h_0 \sin(2f\pi t) \quad , \quad y_\theta(t, 1) = (1 - \mathcal{P})\mathcal{C} \sin(\theta(t)) \quad \text{and :} \quad (1.1)$$

$$\theta(t) = \theta_0 \sin(2f\pi t + \psi)$$

Here $\theta(t)$ is the instantaneous value of pure pitch whilst h_0 and θ_0 are the amplitudes of pure heave and pure pitch respectively. The phase difference between pitch and heave is expressed as ψ . Here $\psi = 90^\circ$ because this value is considered optimal in terms of propulsive efficiency (Platzer & Jones 2006).

Another important kinematic parameter in coupled motions is the *effective* angle of attack $\alpha_{eff}(t)$, which is the summation of the instantaneous pitch angle $\theta(t)$ and the heave-induced angle of attack. Thus, for $\psi = 90^\circ$, the amplitude of $\alpha_{eff}(t)$ is :

$$\alpha = \arctan \frac{2\pi f h_0}{U_\infty} - \theta_0 \quad (1.2)$$

In this study we differentiate coupled motions by varying \mathcal{P} and α while keeping $\psi = 90^\circ$.

1.2. Dimensionless parameters

Three non-dimensional parameters are used to describe the interaction between the oscillating foil and the free stream: the Reynolds number based on chord length, $Re = U_\infty \mathcal{C} / \nu$ (where ν is the kinematic viscosity), the thickness-based Strouhal number, Sr , and the non-dimensional TE amplitude (A_D):

$$Sr = \frac{Df}{U_\infty} \quad , \quad A_D = \frac{2y_{c,max}(t, 1)}{D} \quad (1.3)$$

Thus, $St_A = Sr \cdot A_D$ and can be understood as the ratio between the speed of the foil tip and U_∞ (Godoy-Diana *et al.* 2009).

The thrust coefficient C_t is expressed by simply normalising the total force acting on the x axis by the dynamic pressure of the freestream. Time-averaged quantities are presented with an overbar to distinguish them from their instantaneous counterparts.

$$C_t = \frac{\overline{F_x}}{\frac{1}{2}\rho U_\infty^2 \mathcal{C}} \quad (1.4)$$

Grid density	Thrust coefficient	$ \Delta C_t $	Relative $ \Delta C_t $ %
064	0.494	9.3E -2	15.8
128	0.555	3.2E -2	5.45
192	0.575	1.2E -2	2.04
256	0.580	0.7E -2	1.19
512	0.587	—	—

TABLE 1. Computational statistics of grid convergence for a harmonically flapping foil.

1.3. Computational Method

The CFD solver chosen for this study can simulate complex geometries and moving boundaries for a wide range of Reynolds numbers in two- and three-dimensional domains, by utilizing the boundary data immersion method BDIM, (Weymouth & Yue 2011). BDIM solves the viscous time-dependent Navier-Stokes equations and simulates the entire domain by combining the moving body and the ambient fluid through a kernel function. This technique has quadratic convergence and has been validated for flapping foil simulations over a wide range of kinematics (Maertens & Weymouth 2015; Polet *et al.* 2015).

The mesh configuration is a rectangular Cartesian grid with a dense uniform grid near the body and in the near wake, and exponential grid stretching used in the far-field, and the numerical domain uses a uniform inflow, zero-gradient outflow and free-slip conditions on the upper and lower boundaries. Furthermore, no-slip boundary conditions are used on the oscillating foil. Mesh density is expressed in terms of grid points per chord. A uniform grid of $\delta x = \delta y = \mathcal{C}/192$ is used for the results in this work, based on the results of the convergence study shown in table 1.

2. Results and Discussion

2.1. Wake comparison of different kinematics

According to Godoy-Diana *et al.* (2008), the Reynolds number range of naturally occurring flapping foils is $100 < Re < 10000$. In this study, most simulations are conducted for $Re = 1173$ to be within this range and to enable comparison with the experiments of Godoy-Diana *et al.* (2009). Additional simulations are conducted for selected cases at $Re = 11730$ to examine the effects of higher Reynolds number. The pivot points tested for pure pitch and coupling are $\mathcal{P} = 0$ and 0.25. The coupled motion is also tested for three values of $\alpha = 5^\circ, 10^\circ$ and 20° .

We analyse the wake patterns and resultant hydrodynamic loads for the above-mentioned kinematics. Various stages of the wake development can be seen in figure 3 for pure heave, pure pitch for $\mathcal{P} = 0.25$ and coupled motion for $\mathcal{P} = 0$. and $\alpha = 5^\circ$. The transition from the BvK (third row) to the neutral wake where vortices are shed in-line (fourth row) and later the reversed BvK (fifth and sixth rows) is in agreement with literature (Koochesfahani 1989; Godoy-Diana *et al.* 2009; Andersen *et al.* 2017). The coupled motion swifts from a heave dominated to a pitch dominated mode, for increasing A_D , to satisfy the condition of $\psi = 90^\circ$ and $\alpha = const$. At lower $Sr - A_D$ combinations, more complicated wake patterns (for example, 2P wakes (Williamson & Roshko 1988)) at the first row of figure 3 are in accordance with those observed by Andersen *et al.* (2017) for wedge-type foils.

Among the three kinematic test cases significant discrepancies can be seen in close

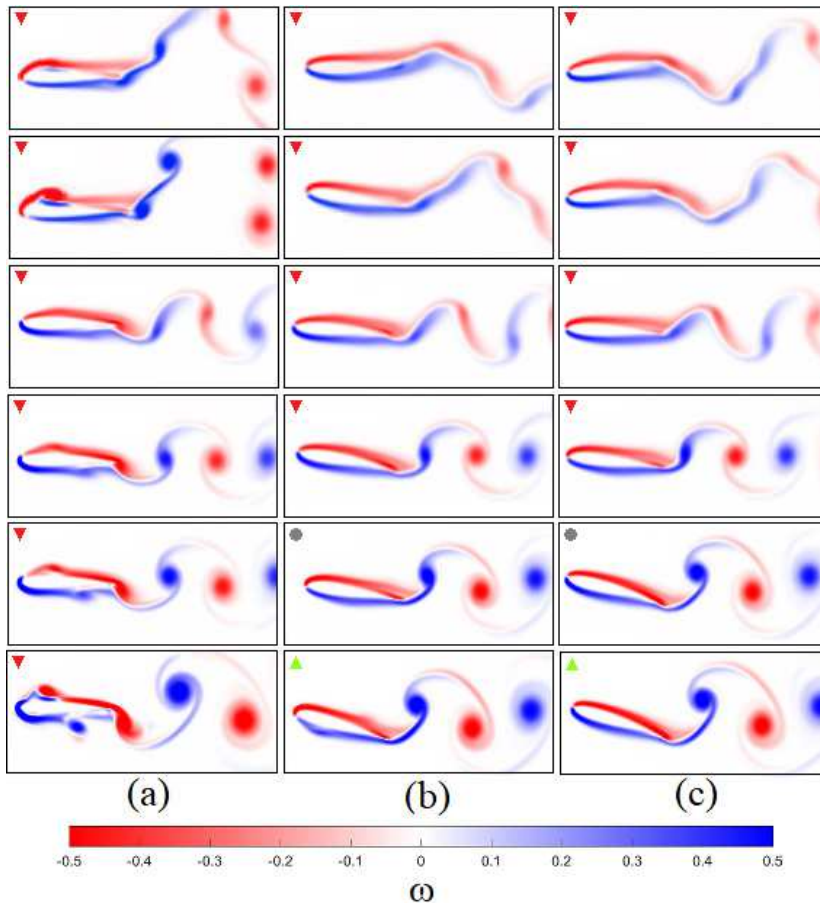


FIGURE 3. Contour plots of normalised instantaneous vorticity magnitude of the 30th cycle at $Sr = 0.15$ and $Re = 1173$, for (a) pure heave at $A_D \sim [0.5 - 0.1]$, (b) pitch at $\mathcal{P} = 0$ and $A_D \sim [0.82 - 1.3]$ and (c) coupled motion at $\mathcal{P} = 0, \alpha = 5^\circ$ and $A_D \sim [1.02 - 1.4]$. Drag regime \blacktriangledown , neutral state \bullet , thrust producing flow \blacktriangle .

proximity to the foil, most notably at the LE. The deep stall (high $\delta\alpha/\delta t$ across the chord) experienced by the pure heaving foil generates LEVs of sizes comparable to the TEVs which travel across the chord and blend with the wake. A closer look at figure 3c reveals that the coupled motion generates the smallest amount of dynamic separation among the three cases, possibly due to the effect of the optimal heave to pitch phase $\psi = 90^\circ$. Finally, as seen in the last row of figure 3, even when the BvK street is fully reversed, some of the cases exist within the drag-producing regime. This lag is expected since a weak propulsive wake is not enough to overcome the profile drag or to compensate for the velocity fluctuations and pressure differences that exist within the control volume (Streitlien & Triantafyllou 1998; Ramamurti & Sandberg 2001; Bohl & Koochesfahani 2009).

Figure 4 shows the best-fit curve that isolates the neutral line (where $U_{wake} \sim U_\infty$), for the different harmonics. These best-fit curves are reproduced in figure 5a in order to compare this neutral line across different kinematics. Although the $Sr-A_D$ phase diagram is suitable to examine a specific kinematics, it is clear that this does not universally describe wake transitions. This is the result of the unique interactions between LEVs

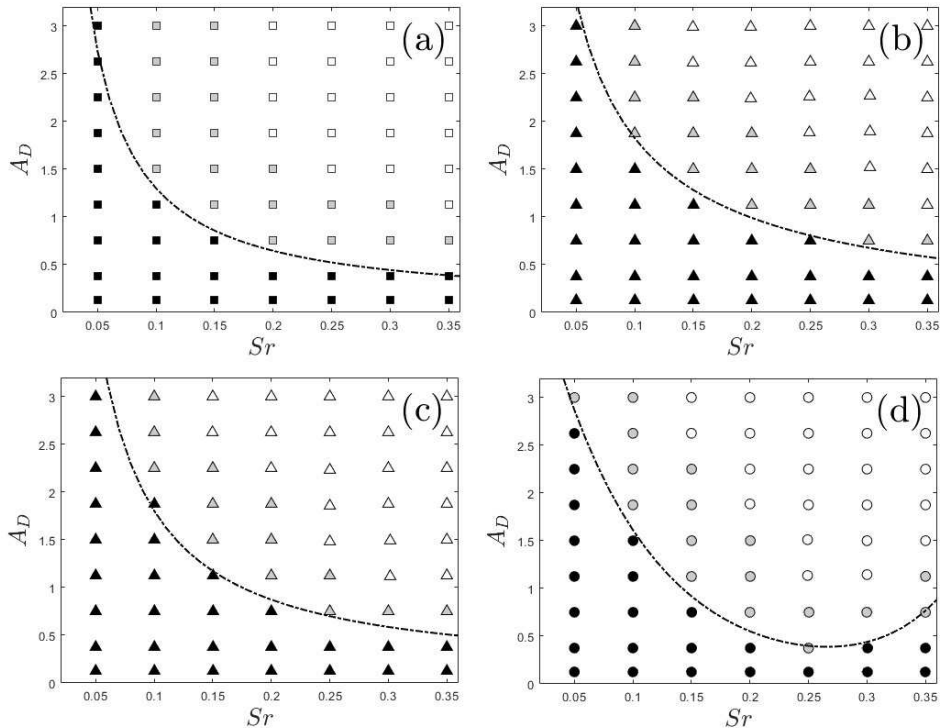


FIGURE 4. $A_D - Sr$ wake map for various kinematics at $Re = 1173$ for (a) pure heave, (b) pure pitch at $\mathcal{P} = 0.25$, (c) pure pitch at $\mathcal{P} = 0$ and (d) coupled motion at $\mathcal{P} = 0$ and $\alpha = 20^\circ$. Black dots: BvK street. Grey dots: reversed BvK wake. White dots: wake symmetry breaking. The dashed black curves correspond to the best-fit curves of the neutral line.

and TEVs that are specific to the motion type. Consequently, this demonstrates the need for a self similar classification of the oscillating amplitude to accurately determine wake development.

2.2. An Alternative Length Scale

Fundamentally, the foil generates thrust force by displacing and accelerating fluid out of its path as it moves through its prescribed trajectory. The quantity of fluid disturbed is dependent on the path length traveled by the foil over one period of oscillation. Thus, a proper indicator of the wake's drag-to-thrust transition should reflect this path length.

To quantify the aforementioned distance, we compare different length approximations of the path length (\mathcal{L}) in one non-dimensional period for a heaving foil. We estimate this length in three different ways: (i) step motion, (ii) square wave and (iii) sine wave. As shown in figure 6a (red curve), the step motion definition is equivalent to the use of A to capture the covered length. As we see in figure 6b, the square wave length Sq captures A in the vertical direction but also the streamwise length (U_∞/f) traversed by TE in one period. Finally, the *trajectory* length Tr of the sine wave (see figure 6c) captures the exact \mathcal{L} traversed by the TE over the entire period:

$$Tr = \int_0^{1/f} \sqrt{\dot{x}^2(t,1) + \dot{y}^2(t,1)} dt \quad (2.1)$$

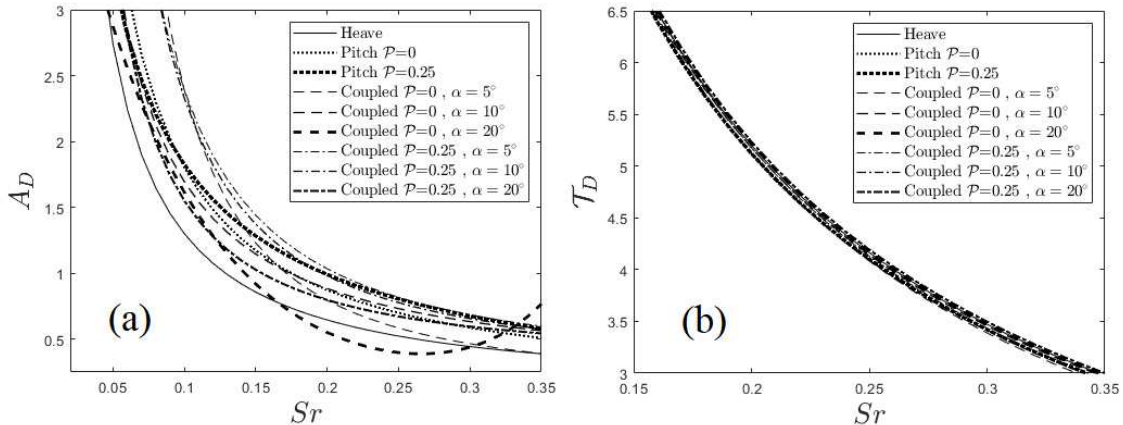


FIGURE 5. Comparison of neutral line best-fit curves for various kinematics on a $Sr - A_D$ phase space (a) and a $Sr - \mathcal{T}_D$ phase space (b) at $Re = 1173$. Here \mathcal{T}_D is the thickness normalised \mathcal{T} .

where \dot{x} is the horizontal velocity of the foil, which is approximately U_∞ for small amplitude motions.

The utility of these three metrics is estimated via the agreement (collapse) of the neutral curves for different types of motions in the $f - \mathcal{L}$ domain. Here, the \mathcal{L} is normalised by D , and this is done so that f can be expressed by Sr at the same domain. Again, figures 6a, 6b and 6c show the neutral lines for different kinematics in $\mathcal{L}_D - 1/Sr$ domains for the aforementioned types of \mathcal{L}_D -namely: A_D , Sq_D and Tr_D respectively. The frequency is represented in the inverse form so that a power law between amplitude and frequency is depicted by a straight line. Since previous studies suggest that BvK reversal depends solely on St_A , we expect a collapse of the neutral line curves of different kinematics when plotted on an $A_D - 1/Sr$ chart. However as seen in figure 6a, these curves are heavily dependent on kinematics. On the other hand, as we move towards Tr (which accurately represents the trajectory length) the collapse of the various neutral line curves is significantly improved (see figure 6c).

Tr is suitable for characterising heave-dominant kinematics since the motion of the entire chord can be represented just by the path traversed by the TE. This means that the displaced fluid per cycle of a heave-dominant motion can be expressed as $Tr \cdot \mathcal{C}$. However, Tr fails to capture the effects of a chordwise gradient A present in pitch-dominant motions, since most of the lateral motion is downstream of the pivot point for $\mathcal{P} < 0.5$ (see figure 3b). This means that Tr overestimates the displaced fluid for these particular cases, and therefore it is still dependent on kinematics (see figure 6c). The effect of the pitching component can be incorporated into the metric by calculating the *average* trajectory length covered by the entire foil (chord) over one period:

$$\mathcal{T} = \bar{\mathcal{L}} = \int_0^1 \int_0^{1/f} \sqrt{\dot{x}^2(t, s) + \dot{y}^2(t, s)} dt ds \quad (2.2)$$

where, s is the coordinate along the chord, with $s = 0$ at LE and $s = 1$ at the TE.

The D -normalised chord-averaged trajectory length \mathcal{T}_D is plotted versus $1/Sr$ in figure 6d. The new metric demonstrates remarkable collapse of different kinematics on the curve corresponding to the neutral line of pure heave (where $Tr_D = \mathcal{T}_D$). Interestingly this curve follows the diagonal of a square and as we move towards more inviscid flows it can be expressed as $\mathcal{T}_D \cdot Sr \approx const \rightarrow 1^+$. More specifically for $Re = 1173$, $\mathcal{T}_D \cdot Sr \approx 1.035$, while

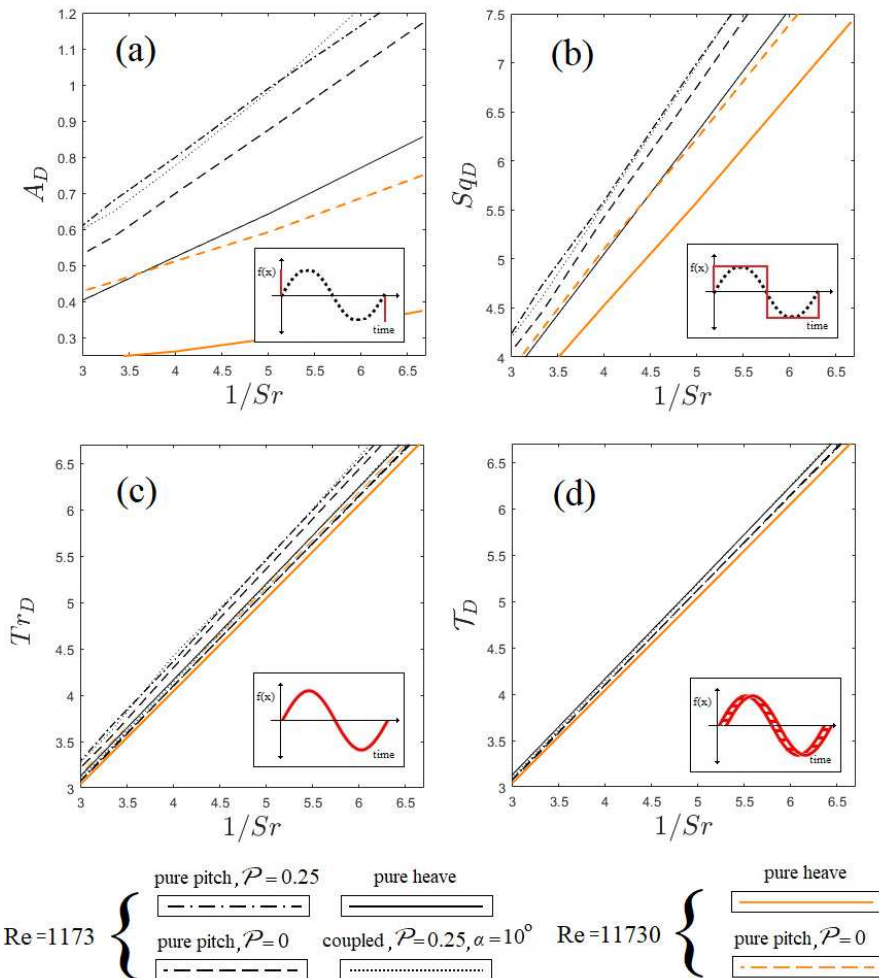


FIGURE 6. Best-fit curves of the neutral line of various harmonic kinematics plotted on A_D-1/Sr (a) , $S_{qD}-1/Sr$ (b) , Tr_D-1/Sr (c) and T_D-1/Sr (d) at $Re = 1173$ and $Re = 11730$. Each point on the y-axis expresses the thickness normalised length of the curve (red) of the corresponding approximation of the path traversed by the foil within one cycle.

for $Re = 11730$, $T_D \cdot Sr \approx 1.015$. This product represents the average speed of the foil over one period. Thus the area in the lower right half of the straight line represents the zone where this average speed is less than U_∞ while the the upper left is where the speed is larger than U_∞ . This provides a very simple physical interpretation of the $1/f - \mathcal{T}$ phase space where, in order for the foil to produce thrust, the kinematics has to be tuned in such a way that the chord averaged speed of the foil along the path over one period must be faster than the free stream. In other words, a path length based Strouhal number ($St_\tau = f\mathcal{T}/U_\infty$) should be greater than 1. As the value of St_τ increases further beyond 1, the wake of the foil becomes stronger producing higher and higher values of thrust.

The universality of the new length scale is evaluated by further examining the agreement of neutral line curves for various kinematic factors such as f , \mathcal{P} , α . Figure 5b shows all the kinematic options where a collapse was demonstrated. The agreement among these curves deteriorates for $Sr < 0.15$ or $Sr > 0.35$, perhaps due to the limitations of using two

dimensional numerical simulations. This is consistent with the observations of Mittal & Balachandar (1995) who found that two-dimensional simulations might result in large force fluctuations and erroneous wake patterns. Additionally non periodic wakes have been observed for pure pitch motion at $\mathcal{P} > 0.6$ which could also contribute to the poor collapse. As α is essentially an indicator of the heave to pitch ratio within a coupled motion case it has no real effect on periodicity at least up to $\alpha = 20^\circ$, and thus the coupled motions presented here agree well with the pure pitch and heave test cases. Clearly, the new metric sets a threshold for the drag-to-thrust wake transition of two-dimensional flapping foils for the entire range of kinematics provided that the resultant wake is periodic.

3. Conclusions

Two dimensional simulations were conducted for a rigid flapping NACA0016 profile at low- and high-Reynolds-numbers incompressible flow. The wake development towards a reversed BvK street was examined for a variety of harmonic motions, amplitudes and frequencies. At very low amplitudes and frequencies 2P wake patterns were observed for the coupled motions in agreement with either the pure heave or the pure pitch cases. This is due to the fact that at such low $Sr - A_D$ combinations the coupled motions are dominated by either the heaving or the pitching component.

In dimensionless amplitude-Period maps, various length scales were evaluated with respect to the neutral line of different motion types. It was revealed that the relationship between A_D and the period is non-linear since the maximum distance from equilibrium cannot properly characterise the displaced volume (or area) required to overcome the drag forces. This is solved by calculating the length of the path traversed by the foil over one period of oscillation.

On a $1/Sr - Tr_D$ graph, the neutral line of pure heave forms a linear curve. Since $U_{foil} = Tr_D/(1/Sr)$, this means that thrust is achieved when $U_{foil} > U_\infty$. Furthermore, the dimensionless chord-averaged trajectories per cycle \mathcal{T}_D of all motion types tested collapse upon the pure heaving case. In other words, the neutral lines of all test cases collapse on a trajectory-based Strouhal $St_{\mathcal{T}} \rightarrow 1^+$. Thus the new metric can serve as a universal length scale that captures the BvK reversal for every combination of harmonic two dimensional kinematics.

This novel method allows us to parametrise drag-to-thrust wake transition of a simple two dimensional harmonically oscillating body via the chosen kinematics without the use of complex fluid dynamic equations. Knowing the onset of thrust for a flapping foil via a single parameter can significantly reduce the effort of designing sufficient biomimetic propulsors. Moreover it will enable scientists and engineers to describe and/or confirm observations regarding the thrust-generating strategies and evolution of natural flyers and swimmers.

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