## Update on the Neuropathological Diagnosis of Frontotemporal Dementias

JOHN Q. TROJANOWSKI, MD, PHD AND DENNIS DICKSON, MD

Kev Words: Corticobasal degeneration; Dementia lacking distinct histology; Frontotemporal dementia; Pick's disease; Progressive supranuclear palsy.

Previous criteria for Frontotemporal Dementia have primarily been designed for research purposes (1-5). An international group of experts on clinical and neuropathological aspects of frontotemporal dementia (FTD) recently re-assessed criteria for the diagnosis of FTD at a meeting entitled "The Frontotemporal Dementia and Pick's Disease Criteria Conference" held at the National Institutes of Health in Bethesda, MD on July 7, 2000 (see ref #1 and the roster of meeting participants in the Acknowledgments). Building upon a substantial literature on these disorders, the goal of the conference was to update previous FTD diagnostic criteria, taking into account recent research advances to refine guidelines for the clinical and neuropathological diagnosis of FTD (1). Here we provide a brief overview of the most salient points of the neuropathology recommendations for disorders included among FTDs.

Although Pick's disease can be considered the prototype of FTDs, in the last 3 decades it became increasingly clear to several research groups that there were a number of other distinct FTD variants that lacked the lobar atrophy and related neuropathology of Pick's disease (1). This prompted the use of a number of different names to designate these disorders, including FTD, frontal lobe degeneration of the non-Alzheimer-type, frontotemporal lobar degeneration (FTLD), dementia lacking distinct histopathology (DLDH), progressive aphasia and semantic dementia (1). Moreover, since several kindreds with FTD and parkinsonism linked to chromosome 17 were shown to have pathogenic tau gene mutations, the term FTDP-17 was used to refer to this hereditary group of FTDs, while a less well-characterized disorder in other patients with evidence of FTD as well as clinical and pathological findings of motor neuron disease (MND) has been designated FTD with MND, and hereditary forms of this disease have been linked to chromosome 9 (1). Since these and other terms have been used to refer to FTDs, thereby leading to a nearly impenetrable nomenclature,

conference participants recommended that FTD be used as the clinical diagnostic term for these disorders, while FTLD and the other terms listed in this paper were recommended for the currently known neuropathological variants of FTDs (1).

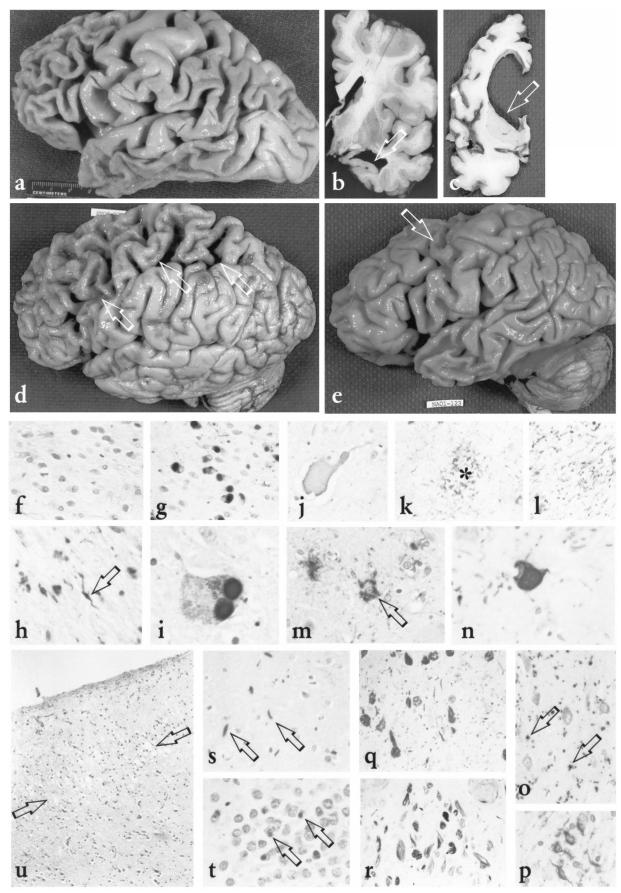
While gross examination of postmortem brain from FTD patients often show frontotemporal atrophy, microscopic and other studies are needed to distinguish FTD variants from Alzheimer disease (AD), dementia with Lewy bodies (DLB), and other disorders (Fig. 1). The brain regions to be examined as well as the most relevant diagnostic methods are described in the conference report and previous citations therein (1). Notably, it was recommended that traditional histochemical methods be complemented by more sensitive immunohistochemical techniques to detect tau pathology, as well as by immunohistochemistry for ubiquitin since some lesions in FTDs are negative for tau yet positive for ubiquitin (1). Similarly, other diagnostically relevant pathologies (e.g. ballooned or achromatic neurons) are most effectively de-tected by immunostaining with antibodies to neurofilament or alpha-B-crystallin proteins, and the same applies to Lewy bodies (LBs) and related lesions in neurodegenerative synucleinopathies that require the use of antibod- in ies to alpha-synuclein (1), though other techniques also may assist in establishing a diagnosis of FTD subtypes. For example, Western blot analyses of tau proteins separate disorders into those with and without insoluble tau, and the profile of insoluble tau differentiates those with a predominance of 3 microtubule-binding repeats (3R tau), 4 microtubule-binding repeats (4R tau), or both 3R and 4R tau (2, 3), while recent studies suggest that FTLD shows reduced soluble tau, no insoluble tau, and normal tau mRNA levels (4).

## Towards an Updated Neuropathological Classification of FTDs

Consistent with the views embodied in consensus criteria for the postmortem diagnosis of AD that only probabilistic statements can be made about relationships between neuropathology and clinical manifestations (5), the FTD conference participants acknowledged that the clinical features of FTD do not predict the underlying disease and that neuropathology alone cannot establish whether or not a patient had FTD (1). Further, the conference

From The Center for Neurodegenerative Disease Research, Department of Pathology and Laboratory Medicine (JQT), University of Pennsylvania School of Medicine, Philadelphia, Pennsylvania and The Mayo Clinic Jacksonville (DD), Jacksonville, Florida.

Correspondence to: Dr. John Q. Trojanowski, Neuropathology Working Group Chair, Department of Pathology and Laboratory Medicine, Univ. of Pennsylvania School of Medicine, HUP, Maloney Bldg., Room A009, Philadelphia, PA, USA 19104-4283.



report emphasized the need to exclude other brain disorders that could account for the clinical syndrome to establish a neuropathological diagnosis of an FTD subtype, and that there should be a plausible correlation between the neuropathology and the clinical findings in patients with FTD (1). Informed by these principles, the meeting participants recommended the following neuropathological classification of FTDs: 1) When the neuropathology primarily includes tau positive lesions, neuron loss, gliosis and predominantly insoluble 3R tau, the likely diagnoses are a) Pick's disease and b) FTD with parkinsonism linked to chromosome 17 (FTDP-17). 2) When the neuropathology primarily includes tau positive lesions, neuron loss, gliosis, and predominantly insoluble 4R tau, the likely diagnoses are a) corticobasal degeneration, b) progressive supranuclear palsy, and c) FTDP-17. 3) When the neuropathology primarily includes tau positive inclusions, neuron loss, gliosis, and insoluble 3R and 4R tau, the likely diagnoses are a) neurofibrillary tangle dementia and b) FTDP-17. 4) When neuropathology primarily includes frontotemporal neuronal loss and gliosis, no tau/ubiquitin positive inclusions, no insoluble tau, and reduced soluble tau, the likely diagnosis is FTLD (also known as DLDH). 5) When neuropathology primarily includes frontotemporal neuronal loss and gliosis with ubiquitin-positive, tau-negative inclusions and without detectable amounts of insoluble tau with or without MND, but MND-type inclusions are present, the likely

diagnoses are a) FTLD with MND and b) FTLD with MND-type inclusions, but without MND.

While the recommended neuropathological classification of FTDs that emerged from this conference was based on recent advances in understanding the structural neuropathology of these disorders, conference participants also drew upon similar advances in biochemical, molecular biological and genetic studies, and they acknowledged the possible existence of other yet to be defined FTD subgroups (designated as "other") in each of  $\frac{1}{2}$ the 5 diagnostic categories summarized above. In addition, a comprehensive and practice-oriented algorithm for the diagnosis of FTDs was included in the conference  $\frac{9}{3}$ report (1). Finally, the conference participants emphaiterative process that drew upon previous efforts to improve the diagnosis of FTDs, but that efforts to validate  $\frac{a}{c}$  and refine these criteria should continue in order to imand refine these criteria should continue in order to init-prove methods for the early and accurate diagnosis of FTDs and hasten discovery of effective strategies to treat them. ACKNOWLEDGMENTS The studies by the authors that are summarized here were supported by grants from the National Institute on Aging the National Alzheimer's

The studies by the authors that are summarized here were supported by grants from the National Institute on Aging, the National Alzheimer's Association, and the Mayo Clinic Foundation. We thank the families of the FTD patients who made it possible to pursue the research advances upon which the criteria are based. Finally, these criteria would not have c (a–e) and microscopic (f–p) findings in various FTD subtypes.

Fig. 1. Composite figure showing representative macroscopic (a-e) and microscopic (f-p) findings in various FTD subtypes. a: Pick's disease brain showing frontal and temporal lobar atrophy, with less obvious atrophy of inferior parietal lobule and " a: Pick's disease brain showing frontal and temporal lobar atrophy, with less obvious atrophy of interior parietal lobule and sparing of pre- and post-central gyri, the posterior superior temporal gyrus, and the occipital pole. b: Tangle-only dementia brain children brain section at level of mammillary body). The posterior superior temporal lobe (coronal section at level of mammillary body). The section are level of optic chiasm). d: CBD brain demonstrating circumscribed atrophy of the parasagittal superior frontal gyrus and superior frontal gyrus disease brain characteristic section are level of optic chiasm). d: CBD brain demonstrating circumscribed atrophy of the parasagittal superior frontal gyrus and superior parietal lobule including the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-central gyru (arrows) e: ETLD with motor neuron disease brain characteristic section at the pre- and post-centra and superior parietal lobule, including the pre- and post-central gyri (arrows). e: FTLD with motor neuron disease brain char- g acterized by atrophy of the pre-central gyrus (arrow) with preservation of the post-central gyrus, while the temporal and frontal lobes also show atrophy. f-i: 3R tauopathy. f: Pick's disease—the dentate fascia shows neuronal loss and inclusions visible with routine histology (H&E). g: Pick's disease—the dentate fascia shows many round Pick bodies by tau immunohistochemistry. h: Pick's disease—white matter glial inclusions (arrow) and threads are seen with tau immunostaining. i: Pick's disease- 2 Pick bodies in brainstem (locus ceruleus) neurons are demonstrated by tau immunostaining. j-p: 4R tauopathies. j: A ballooned neuron from CBD brain immunostained with a neurofilament antibody, but ballooned neurons are also common in Pick's disease. k: An astrocytic plaque in the neocortex of a CBD brain is comprised of a cluster of short stubby tau positive processes surrounding a  $\overline{\circ}$ central clear zone (asterisk). 1: Neuropil threads are numerous in both gray and white matter of CBD when detected using tau 🖹 immunostains. m: Tufted astrocytes (arrow) are common in the motor cortex and the striatum of PSP revealed by tau immunohistochemistry. n: Neuronal inclusions in PSP are typically seen as globose neurofibrillary tangles (NFTs) by tau immunohistochemistry (pontine base). o: Argyrophilic grains are dot-like lesions (arrows) in neurons of the medial temporal lobe and amygdala, N and tau immunohistochemistry commonly reveals them in 4R tauopathies, such as this brain from an FTDP-17 patient. p: Coiled bodies are oligodendroglial lesions composed of filamentous tau that are commonly seen using tau immunohistochemistry in several 4R tauopathies, including CBD, PSP, and FTDP-17 as shown here with tau antibodies in the white matter of an FTDP-17 brain. q and r: 3R + 4R tauopathy. Tangle-only disease is a medial temporal lobe tauopathy with many NFTs, but few or no senile plaques. Many of the NFTs are extracellular lesions, and compared with the tau immunostain in (q), the Gallyas silver stain in (r) shows more lesions because phospho-tau epitopes are lost in extracellular NFTs. s-u: FTLD with no insoluble tau. s: Ubiquitin immunostains show clusters of curvilinear processes in the upper cortical lamina in FTLD with MND-type inclusions. t: FTLD with MND inclusions are round ubiquitin-positive, tau-negative inclusions (arrows) that are found in the dentate fascia of the hippocampus. u: Superificial spongiosis in upper cortical lamina (arrows) is the hallmark of FTLD, especially those cases without any distinctive or diagnostic neurodegenerative lesions (H&E).

been possible to formulate without the collegial and dedicated efforts of all conference participants listed below.

The chairs of the conference were Drs. Marilyn S. Albert, Harvard Medical School, Murray Grossman University of Pennsylvania and Bruce Miller, UCSF/Mt. Zion Medical Center. Organizational and logistical support were provided by Ms. Helen-Ann Comstock and Ms. Lisa Radin of the Southeastern Pennsylvania Chapter of the Alzheimer's Association and Dr. Creighton Phelps of the National Institute on Aging. The chairs of the clinical and neuropathology work groups at the meeting were Drs. Guy McKhann, Johns Hopkins University and John Q. Trojanowski, University of Pennsylvania, respectively. In addition, the other meeting participants who contributed to the deliberations of these work groups and this summary were Catherine Bergeron, MD, FRCPC, University of Toronto, Canada; Arne Brun, MD, Lund University Hospital, Lund, Sweden; Tiffany Chow, MD, Rancho Los Amigos, USC Alzheimer's Disease Center, Los Angeles, CA; H. Branch Coslett, MD, University of Pennsylvania, Philadelphia, PA; Andre Delacourte, MD, Inserm, French Institute of Health & Medical Research, Lille, France; Dennis Dickson, MD, Mayo Clinic Jacksonville, FL; Daniel Geschwind, MD, PhD, University of California, Los Angeles, CA; Jordan Grafman, PhD, NIH/NINDS, Bethesda, MD; Neil Graff-Radford, MD, Mayo Clinic, Jacksonville, FL John H. Growdon, MD, Harvard Medical School, Boston, MA; Lars Gustafson, MD, Lund University, Lund, Sweden; Peter Heutink, MD, Erasmus University, Rotterdam, The Netherlands; Michael Hutton, MD, Mayo Clinic, Jacksonville, FL; Virginia M-Y. Lee, PhD, University of Pennsylvania, Philadelphia, PA; Carol F. Lippa, MD, MCP, Hahnemann University, Philadelphia, PA; Irene Litvan, MD, Henry Jackson Foundation, Bethesda, MD; David Neary,

MD, The Royal Infirmary, London, UK; Martin Rossor, MA, MD, FRCP, University College, London, UK; Gerard Schellenberg, PhD, Dept. Veterans Affairs, Seattle, WA; Julie S. Snowden, MD, The Royal Infirmary, London, UK; Jean Paul Vonsattel, MD, Columbia University, New York, NY; John van Swieten, Dijkzigt Hospital, Rotterdam, The Netherlands.

## REFERENCES

- 1. McKhann GM, Albert MS, Grossman M, Miller B, Dickson DW, Trojanowski JQ. Clinical and pathological diagnosis of frontotem-poral dementia: Report of work group on frontotemporal dementia and Pick's disease. Arch Neurol 2001; (In press) Dickson DW. Neuropathology of Alzheimer's disease and other de-mentias. Clin. Geriatr. Med 2001:17:209–28
- 2. Dickson DW. Neuropathology of Alzheimer's disease and other dementias. Clin Geriatr Med 2001;17:209-28
- 3. Lee VM-Y, Goedert M, Trojanowski JQ. Neurodegenerative tauopathies. Annu Rev Neurosci 2001;24:1121-59
- Zhukareva V, Vogelsberg-Ragaglia V, Van Deerlin VMD, et al. Loss of brain tau defines novel sporadic and familial tauopathies
- Żhukareva V, Vogelsberg-Ragaglia V, Van Deerlin VMD, et al. Loss of brain tau defines novel sporadic and familial tauopathies with frontotemporal dementia. Ann Neurol 2001;49:165–75 National Institute on Aging/Reagan Institute Working Group on Diagnostic Criteria for the Neuropathological Assessment of Al-zheimer's Disease. Consensus recommendations for the postmortem diagnosis of Alzheimer's disease. Neurobiol Aging 1997;18(4S): S1–S2 ceived August 2, 2001 cepted August 24, 2001 5. National Institute on Aging/Reagan Institute Working Group on

Received August 2, 2001 Accepted August 24, 2001