# Use of hydrogen peroxide as a biocide: new consideration of its mechanisms of biocidal action

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Hydrogen peroxide is extensively used as a biocide, particularly in applications where its decomposition into non-toxic by-products is important. Although increasing information on the biocidal efficacy of hydrogen peroxide is available, there is still little understanding of its biocidal mechanisms of action. This review aims to combine past and novel evidence of interactions between hydrogen peroxide and the microbial cell and its components, while reflecting on alternative applications that make use of gaseous hydrogen peroxide. It is currently believed that the Fenton reaction leading to the production of free hydroxyl radicals is the basis of hydrogen peroxide action and evidence exists for this reaction leading to oxidation of DNA, proteins and membrane lipids *in vivo*. Investigations of DNA oxidation suggest that the oxidizing radical is the ferryl radical formed from DNA-associated iron, not hydroxyl. Investigations of protein oxidation suggest that selective oxidation of certain proteins might occur, and that vapour-phase hydrogen peroxide is a more potent oxidizer of protein than liquid-phase hydrogen peroxide. Few studies have investigated membrane damage by hydrogen peroxide, though it is suggested that this is important for the biocidal mechanism. No studies have investigated damage to microbial cell components under conditions commonly used for sterilization. Despite extensive studies of hydrogen peroxide toxicity, the mechanism of its action as a biocide requires further investigation.

Keywords: oxidizing agents, disinfection, toxicity, mechanism of action

## Introduction

The interest in environmentally friendly, non-toxic and degradable yet potent biocides has never been so high. Oxidizing agents, notably hydrogen peroxide  $(H_2O_2)$ , are increasingly used in a number of medical, food and industrial applications but also in environmental ones such as water treatment. In the medical arena, oxidizing agents are particularly useful for hard surface disinfection and the high-level disinfection of medical devices. Their main advantages are their broadspectrum activity, which includes efficacy against bacterial endospores, their lack of environmental toxicity following their complete degradation, and the fact that, with imaginative formulation, their surface corrosiveness and smell (for peracetic acid-based products) have been greatly reduced. H<sub>2</sub>O<sub>2</sub> is particularly interesting for its application in liquid but also vaporized form for antisepsis and for the disinfection of surfaces and medical devices and for room fumigation (the so-called deep clean).

 $H_2O_2$  was discovered by Louis Thénard in  $1818^1$  and its use as a disinfectant first proposed by B. W. Richardson in  $1891.^2$  It is now in widespread use as a biocide, particularly in applications where its decomposition into non-toxic by-products (water and oxygen) is important. For example, 3%-6% (v/v) peroxide in water is widely used as an antiseptic (in particular on wounds) and general surface disinfectant. Commercial dental disinfectant formulations such as Dentasept<sup>®</sup> (Muller Dental) and Oxigenal (Kavo) use 1% (294 mM) and 0.4% (118 mM)  $H_2O_2$  as an active ingredient, respectively.<sup>3</sup> Many contact lens disinfectant solutions use 3% (882 mM)  $H_2O_2$  as an active ingredient or preservative, including Concerto (Essilor), Oxysept<sup>®</sup> 1 Step (Abbott), Multi<sup>TM</sup> (Sauflon) and AOSept<sup>®</sup> 1-step (Ciba Vision).<sup>4</sup>

The relative safety of  $H_2O_2$  solutions has meant that it had also found extensive use in the food industry. Sapers and Sites<sup>5</sup> discuss a commercial post-harvest wash (Biosafe<sup>®</sup>) that uses  $H_2O_2$  as an active ingredient at an in-use concentration of between 0.27% (79 mM) and 0.54% (159 mM) and a surface disinfectant (Sanosil-25) with an in-use concentration of 0.24% (71 mM)  $H_2O_2$ . They also demonstrated the efficacy of 1% (294 mM)  $H_2O_2$  as a wash to decontaminate apples.

Nikkhah *et al.*<sup>6</sup> state that 'hydrogen peroxide is the most commonly used packing sterilant in aseptic processing systems'. Typically it is used at very high concentrations (35%, 10.3 M) and often in combination with heat.<sup>7</sup> In contrast,  $H_2O_2$  gas (often referred to as vaporized or gaseous  $H_2O_2$ ) is typically used at much lower concentrations and temperatures; indeed it has been suggested that the biocidal activity of gaseous peroxide is distinct from that of liquid peroxide.<sup>8,9</sup>

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There is remarkably little literature discussing the exact mechanism(s) of the biocidal action of  $H_2O_2$ . As suggested in general reviews on the mechanisms of action of biocides,  $H_2O_2$  is considered an oxidizing agent reactive with the biomolecules (proteins, lipids, nucleic acids, etc.) that make up cellular and viral structure/function.<sup>10,11</sup> This situation is complicated by the importance of  $H_2O_2$  as a physiological source of reactive oxygen species (ROS) in respiring cells and as a component of the human innate immune system. The majority of studies investigating the toxic mechanism of  $H_2O_2$  therefore consider it as a source of oxidative stress in the cell to model chronic oxidative damage to cells or to investigate the various killing mechanisms of leucocytes.<sup>12</sup>

This review aims to provide a critical overview of the body of knowledge on the toxic mechanisms of  $H_2O_2$  and, importantly, to examine the relevance of various frequently cited studies to the understanding of the biocidal mechanism of  $H_2O_2$  at typical in-use concentrations, in both the liquid and gaseous phases.

# Mechanism of cytotoxicity of H<sub>2</sub>O<sub>2</sub>

#### Radical formation and Fenton reaction

The mechanism of cytotoxic activity is generally reported to be based on the production of highly reactive hydroxyl radicals from the interaction of the superoxide  $(O_2 \bullet^-)$  radical and  $H_2O_2$ , a reaction first proposed by Haber and Weiss<sup>13</sup> (eq. 1):

$$O_2 \bullet^- + H_2 O_2 \to O_2 + OH^- + OH \bullet$$
 (1)

Further, it is believed that the production of extremely short-lived hydroxyl radicals within the cell by the Haber–Weiss cycle is catalysed *in vivo* by the presence of transition metal ions (particularly iron-II) according to Fenton chemistry<sup>14</sup> (eq. 2):

$$Fe^{2+} + H_2O_2 \rightarrow Fe^{3+} + OH \bullet + OH^-$$
(2)

It is known that, *in vitro*, the hydroxyl radical and other oxygenated species can act as potent oxidizing agents, reacting with lipids, proteins and nucleic acids.<sup>15</sup> It is easy to propose that such reactions can account for the antimicrobial effects of  $H_2O_2$  and a number of scientific publications have used such an explanation to describe the action of the oxidizing agents.

# Evidence for Fenton-like chemistry in biocidal activity of $H_2 O_2$

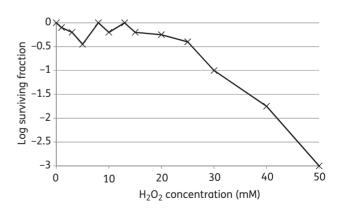
Evidence for this mechanism in the action of  $H_2O_2$  on bacterial cells was provided by Repine *et al.*<sup>16</sup> They demonstrated that growing *Staphylococcus aureus* overnight in Bacto nutrient broth containing increasing concentrations of iron resulted in an increase in intracellular iron content. Incubation of harvested cells at  $37^{\circ}$ C in Hanks' balanced salt solution containing a range of  $H_2O_2$  concentrations showed that those cells with an elevated iron concentration had greater susceptibility to  $H_2O_2$ , as measured by a decrease in the  $H_2O_2$  concentration required to kill 50% of cells after a 60 min exposure. An increase in iron concentration the growth medium was shown to have no effect on the growth rate or viability of *S. aureus* or on catalase or

peroxidase activity. Repine *et al.*<sup>16</sup> also showed that the addition of thiourea, dimethyl thiourea, sodium benzoate and dimethyl sulphoxide inhibited the toxic effects of  $H_2O_2$  in proportion to the effectiveness of the substances as hydroxyl scavengers. Again, the addition of these substances had no effect on viability, nor were they found to directly react with  $H_2O_2$ . Further evidence was found by Mello Filho *et al.*,<sup>17</sup> who showed that the potent iron chelator 1,10-phenoanthroline (1,10-phen) could penetrate cultured mouse cells and protect them against killing by  $H_2O_2$ . The chelator alone had no effect on cell viability.

#### Indirect evidence of DNA damage

Imlay and Linn<sup>18</sup> exposed Escherichia coli K12 to varying concentrations of  $H_2O_2$  for 15 min at 37°C in K medium. They observed that cells were more susceptible to low (<3 mM) concentrations of  $H_2O_2$  than to intermediate (5–20 mM) concentrations. At >20 mM H<sub>2</sub>O<sub>2</sub>, survival was inversely proportional to concentration. This response is shown in Figure 1. A slight dip in the surviving fraction of the culture can be seen at concentrations <3 mM. This effect was found to be reproducible and was greatly magnified in DNA repair-deficient and anoxically grown strains; these were particularly sensitive to  $H_2O_2$  at low concentrations but not especially susceptible to higher concentrations when compared with aerobically grown wild-type cells. Cells starved by incubation in M90 salts for 80 min before exposure to  $H_2O_2$  were not killed by low concentrations of  $H_2O_2$ . Comparisons of the kinetics of killing of an exonuclease-II-deficient strain at various  $H_2O_2$  concentrations were also made. Total kill by both lower and higher  $H_2O_2$  concentrations was found to be timedependent (Figure 2). They postulated that killing of *E. coli* cells by  $H_2O_2$  occurs according to two distinct modes, with mode-1 killing occurring at low concentrations due to DNA damage and mode-2 killing occurring at higher concentrations due to damage to other target(s).

Similar results were obtained by Brandi *et al.*,<sup>19</sup> who also observed the bimodal killing pattern seen by Imlay and Linn<sup>18</sup> after challenging *E. coli* in M9 salts with various  $H_2O_2$  concentrations for 15 min. In addition, it was found that mode-2 killing was markedly reduced in anoxic conditions, whereas no effect was seen on mode-1 killing.

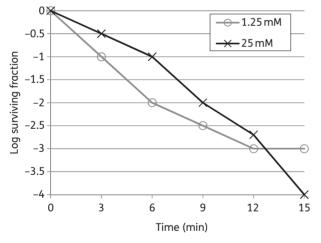


**Figure 1.** Chart showing the  $log_{10}$  surviving fraction of wild-type *E. coli* K12 culture after 15 min of exposure to various  $H_2O_2$  concentrations. Adapted from Imlay and Linn<sup>18</sup> with permission from the American Society for Microbiology.

Brandi *et al.*<sup>19</sup> also compared the effect of the hydroxyl scavenger thiourea on killing by 2.5 or 25 mM H<sub>2</sub>O<sub>2</sub>. Thiourea at 35 mM was found to markedly reduce killing by 25 mM H<sub>2</sub>O<sub>2</sub> (hypothesized to be due to the mode-2 mechanism) whilst the same concentration had no effect on killing by 2.5 mM H<sub>2</sub>O<sub>2</sub> (hypothesized to be due to the mode-1 mechanism). An obvious criticism of this work is that thiourea, a potent reducing agent, is capable of reacting directly with H<sub>2</sub>O<sub>2</sub>. This is a first-order reaction with respect to H<sub>2</sub>O<sub>2</sub> concentration, so it is possible that the thiourea simply reduces the higher concentration of H<sub>2</sub>O<sub>2</sub> without scavenging hydroxyl radicals. Unlike the earlier work of Repine *et al.*,<sup>16</sup> it was not checked that this direct reaction did not occur in their test system, nor were alternative OH• scavengers tested.

Brandi *et al.*<sup>19</sup> concluded that mode-2 killing was dependent on the presence of oxygen and hydroxyl radicals, and suggested that this mechanism is indeed due to the Fenton chemistry previously outlined, whilst the mode-1 killing was not dependent on oxygen and hydroxyl radicals.

A study by Macomber *et al.*<sup>20</sup> using copper export-deficient strains of *E. coli* grown in a copper-supplemented medium showed that these strains accumulated copper within the cell, but that this increase actually inhibited both killing and mutagenesis in a DNA repair-deficient strain by millimolar concentrations of  $H_2O_2$ . Though they could find no definitive explanation for the inhibitory effect of the copper, their work shows that mode-1 and mode-2 killing due to DNA damage is not mediated by copper.



**Figure 2.** Chart showing change in the surviving fraction with time of exposure to  $1.25 \text{ mM H}_2O_2$  (mode-1 killing) and  $25 \text{ mM H}_2O_2$  (mode-2 killing). Adapted from Imlay and Linn<sup>18</sup> with permission from the American Society for Microbiology.

#### In vitro investigations of DNA damage

Due to the relevance of mode-1 killing as a general model of oxidative DNA damage under aerobic conditions and its usefulness in elucidating the cellular mechanisms of prevention and repair of such damage, much subsequent work has focused on the mode-1 mechanisms of DNA damage. Imlay et al.<sup>21</sup> developed an in vitro model capable of producing the same pattern of damage to purified DNA as that observed in the process of killing of bacteria. This model consisted of phage PM2 DNA incubated with ferrous sulphate and various concentrations of ethanol and  $H_2O_2$ . This system produced single-strand breaks in the purified DNA. The production of breaks was reduced by approximately half by the addition of micromolar concentrations of ethanol, but was not further decreased by the addition of up to 10 mM ethanol. Addition of a range of  $H_2O_2$  concentrations to the system containing 10 mM ethanol produced a DNA nick dose response similar to that seen in mode-1 killing of E. coli; the highest number of nicks was produced by 50  $\mu$ M H<sub>2</sub>O<sub>2</sub>, and this was reduced by half and remained approximately constant on addition of  $1-10 \text{ mM H}_2\text{O}_2$ . As ethanol is a potent scavenger of hydroxyl radicals, it was concluded that the mode-1 killing of bacterial cells due to DNA damage by  $H_2O_2$  is not dependent on the production of free hydroxyl radicals, and is more likely to be due to the production of ferryl radical intermediates from DNA complexation.

Further work on Imlay and Linn's *in vitro* model by Luo *et al.*<sup>22</sup> found that DNA nicking was maximal at 50  $\mu$ M H<sub>2</sub>O<sub>2</sub>, dropping to one-third maximal at 3 mM and remaining roughly constant between 3 and 50 mM. Addition of 17  $\mu M$  ethanol to the model reduced nicking by 30% - 50% at all  $H_2O_2$  concentrations. Increasing the ethanol concentration to 10 mM reduced nicking by a further 50% at  $H_2O_2$  concentrations <100  $\mu$ M, but had no effect at higher peroxide concentrations. Increasing the ethanol concentration to 100 mM caused further inhibition at  $H_2O_2$  concentrations <3 mM, but again had no effect at higher concentrations. These findings are summarized in Table 1. Luo et al.<sup>22</sup> therefore concluded that there are at least three chemically distinct classes of oxidant species produced by Fenton-type reactions in the presence of iron: type I are sensitive to  $H_2O_2$  but moderately resistant to ethanol; type II are resistant to both  $H_2O_2$  and ethanol; and type III are sensitive to  $H_2O_2$  and ethanol.

#### Evidence for different types of DNA oxidation reactions

Luo *et al.*<sup>22</sup> went on to investigate the effects of the iron chelators 1,10-phen and 2,2'-dipyridyl (2,2'-dipy) both on *E. coli* killing and on DNA nicking in the *in vitro* model. Both chelators blocked mode-1 killing, whilst 2,2'-dipy had no effect on mode-2 killing, and 1,10-phen substantially enhanced it. Both chelators also

**Table 1.** Effects of increasing  $H_2O_2$  and ethanol concentration on DNA nicking *in vitro*; summarized from Luo *et al.*<sup>22</sup>

Ethanol concentration	$< 100 \ \mu M \ H_2 O_2$	0.1-3 mM H <sub>2</sub> O <sub>2</sub>	$3-50 \text{ mM H}_2\text{O}_2$
No ethanol 17 μM	maximal nicking reduced nicking	1/3× maximal nicking reduced nicking	1/3× maximal nicking reduced nicking
10 mM	further reduced nicking	further reduced nicking	no further reduction in nicking
100 mM	further reduced nicking	no further reduction in nicking	no further reduction in nicking

blocked DNA nicking at low H2O2 concentrations but not at higher concentrations; the peak of DNA nicking activity at  $50 \mu M H_2O_2$  was completely eliminated by the addition of either chelating agent plus 100 mM ethanol, while nicking was constant at around 50% of the maximum between 50  $\mu$ M and 50 mM H<sub>2</sub>O<sub>2</sub> with 2,2'-dipy and 100 mM ethanol, and was enhanced 6-fold by the addition of 1,10-phen between 50  $\mu$ M and 50 mM  $H_2O_2.$  As 2,2'-dipy chelates unbound  $Fe^{2+}$  and remains in solution, whilst 1,10-phen chelates unbound Fe<sup>2+</sup> and then intercalates into the DNA backbone, they proposed the following model of oxidant damage. Type I oxidants are formed by Fe<sup>2+</sup> ions associated but not bound to DNA—suggesting that these could exist in a 'cationic cloud surrounding the polyanionic DNA helix' (Luo *et al.*<sup>22</sup>). Such oxidants are accessible to  $H_2O_2$  quenching, but would require higher concentrations of ethanol to effectively guench them due to high localized concentrations within the 'cationic cloud'. These oxidants are responsible for mode-1 killing. Type II oxidants are formed by  $Fe^{2+}$  more tightly associated with DNA, and once formed they are not accessible to  $H_2O_2$  or ethanol guenching, and are responsible for mode-2 killing. Type III oxidants are produced by free  $Fe^{2+}$  ions in solution, they are easily available to  $H_2O_2$  and ethanol to guench, and due to their short half-life are unlikely to be involved in killing due to DNA damage in vivo. The action of the chelating agents can be explained thus: 2,2'-dipy removes the 'cationic cloud' and causes the formation of type III rather than type I oxidants, inhibiting mode-1 killing; 1,10-phen removes the 'cationic cloud' and possibly free  $Fe^{2+}$ ions and intercalates them into the DNA backbone and causes the formation of type II rather than type I or type II oxidants, enhancing mode-2 killing and inhibiting mode-1 killing. These findinas are summarized in Table 2.

Studies by Henle and Linn<sup>23</sup> suggest that the type I and II oxidants have different preferred cleavage sequences on the DNA molecule, with type I preferentially cleaving the sequences RIGR, TATTY and CTIR and type II preferentially cleaving the sequence <u>NGGG</u> (where the underlined bases show the cleavage site). They suggested that this selectivity could be due to sites of iron localization, or that such sequences act as sinks for radical electrons formed elsewhere on the DNA chain.

#### Direct evidence of DNA damage in vivo

None of the studies described above directly measured DNA damage *in vivo*. DNA repair-deficient strains were found to be more susceptible to killing, and so it was concluded that DNA

**Table 2.** Properties of three types of DNA oxidant formed by  $\rm H_2O_2$  and  $\rm Fe^{2+};$  summarized from Luo et al.^{22}

Property	Type I	Type II	Type III
Resistant to H <sub>2</sub> O <sub>2</sub> ? Resistant to ethanol? Effect of 1,10-phen Effect of 2,2'-dipy Position Killing mode	decreased decreased	yes yes increased none DNA backbone mode-2	no no possibly decreased increased free in solution none

damage was the cause of death, but it could be suggested that this does not logically follow. One can equally easily imagine that lethal DNA damage in fact only occurs in repair-deficient strains and this acts in addition to some other damage to increase the bactericidal effect seen with wild-type strains. Whilst the *in vitro* studies performed using Imlay and Linn's model add support to the hypothesis that DNA damage is the main cause of the bactericidal effect of low concentrations of  $H_2O_2$ , they do not provide proof that such damage also occurs *in vivo*. Studies that directly measure DNA damage caused by  $H_2O_2$  have been performed using several different methods to estimate different types of DNA damage.

Ananthaswamy and Eisenstark<sup>24</sup> exposed *E. coli* strain W3110 to 10 mM  $H_2O_2$  in phosphate buffer for 10 min at 25°C then measured the number of single-strand breaks formed using alkaline sucrose gradient sedimentation. They found that the treatment produced 153 single-strand breaks per genome, of which all but 74 could be repaired by further incubating the culture for 15 min in phosphate buffer at 25°C, and all but 14 could be repaired by incubating the culture for 40 min in M9 medium at 37°C.

Hagensee and Moses<sup>25</sup> exposed *E. coli* strain W3110 to 117 mM  $H_2O_2$  in phosphate buffer, pH 7.4, for 10 min at 37°C then measured the number of single-strand breaks formed using alkaline gradient centrifugation. They found that the treatment produced 482 single-strand breaks per genome, and all but 18 could be repaired by incubating the culture for 4 h in M9 medium at 37°C.

Rohwer and Azam<sup>26</sup> exposed exponential-phase cultures of E. coli strain K37 and the archaeon Haloferax volcanii to 0.2% (59 mM) H<sub>2</sub>O<sub>2</sub> in Luria-Bertani (LB) broth or H. volcanii medium, respectively, for 30 min at room temperature. The treated cultures were then analysed using the terminal deoxyribonucleotide transferase-mediated dUTP nick end labelling (TUNEL) method to label 3'-OH DNA ends and flow cytometry. They found that 97.4% of the H<sub>2</sub>O<sub>2</sub>-treated *E. coli* cells were TUNEL positive (i.e. had 3'-OH ends) compared with <1% of control cells. Similarly, 84.3% of treated H. volcanii cells were TUNEL positive compared with 9.6% of control cells. This effect could be reduced by pre-treating the cultures with protein synthesis inhibitors: chloramphenicol pre-treatment of E. coli reduced the percentage of TUNEL-positive cells after H<sub>2</sub>O<sub>2</sub> exposure to 7.8%, whilst diphtheria toxin pre-treatment reduced the percentage of TUNEL-positive H. volcanii cells to 31.4%. Exposing the *E. coli* culture to  $H_2O_2$  for a longer time (60 min, compared with 30 min) increased fluorescence in TUNEL-positive cells, as did treatment with an increased concentration of  $H_2O_2$  [0.4% (118 mM), compared with 0.2%]. Stationary-phase E. coli cultures exposed to 0.4%  $H_2O_2$  for 30 min did not demonstrate any detectable breaks. The authors therefore concluded that  $H_2O_2$  exposure results in oxidation of DNA bases and that these oxidized bases are recognized and excised by DNA repair mechanisms, resulting in single-strand breaks in the DNA molecule. The amount of breaks thus produced increases with exposure time and concentration of  $H_2O_2$ .

Fernández et  $al.^{27}$  exposed both exponential- and stationaryphase *E. coli* strain TG1 cultures to 10 mM H<sub>2</sub>O<sub>2</sub> in LB broth for 10 min at room temperature. DNA damage was then assessed using a diffusion assay, and 100% of nucleoids in both stationary and exponential phases were found to show 'extensively fragmented DNA', compared with 0.4% and 37.6% of untreated exponential- and stationary-phase cultures, respectively. They therefore concluded that exposure to  $H_2O_2$  causes substantially more damage to DNA than can be assessed using the TUNEL method—i.e. the TUNEL method can detect only the formation of 3'-OH ends in single-strand breaks, and these are not the only lesions that occur.

#### Summary of evidence of DNA damage by $H_2O_2$

Whilst the studies described here give a very complete model of the genotoxic action of low concentrations of  $H_2O_2$  on bacterial cells, one should use caution in applying these findings to the bactericidal mechanism of H<sub>2</sub>O<sub>2</sub> as a disinfectant. None of these studies simultaneously measured reduction in cell count and formation of DNA damage for a range of  $H_2O_2$  concentrations and/or exposure times in order for a correlation between cells killed and DNA damage to be calculated. Variations in strains and species used, treatment conditions and exposure times also make comparison of the studies difficult. In particular, the media used to treat cultures varied areatly between the studies, from simple phosphate buffer to complex media such as LB broth. It has been shown that the presence of other substances can have a significant effect on the efficacy of bactericidal action of  $H_2O_2$ , both positive and negative. For example, Berglin et al.<sup>28</sup> showed that the presence of 0.1 mM cysteine in the growth medium increases sensitivity of E. coli strain K12 100-fold to 0.1 mM  $H_2O_2$ , so conclusions about the bactericidal mechanism need to take media composition into account.

Most crucially, the majority of the studies described used low concentrations of  $H_2O_2$  and long exposure times—typically 50  $\mu$ M to 2.5 mM H<sub>2</sub>O<sub>2</sub> with >15 min of exposure. In contrast, the typical concentration of surface sterilant solution of  $H_2O_2$  is 3%, equivalent to 882 mM. The literature on DNA oxidation by low concentrations of  $H_2O_2$  is therefore of questionable significance to the use of  $H_2O_2$  as a surface disinfectant. As mode-2 killing due to DNA damage is hypothesized to be reliant on Fe<sup>2+</sup> ions closely associated with the DNA molecule and the presence of cellular reductants to drive the Fenton cycle, it is possible that the DNA damage dose-response to H<sub>2</sub>O<sub>2</sub> will reach a maximum at some concentration of  $H_2O_2$ , and that damage to other cellular components will start to become more important at higher concentrations. The mechanisms of  $H_2O_2$  damage to the other major macromolecular targets within the bacterial cell, namely protein and lipids, are not so well studied as DNA damage.

#### Interactions of $H_2O_2$ with proteins and amino acids

Unlike DNA damage, where *in vitro* work shows that  $H_2O_2$  alone is unreactive with DNA, a mechanism exists for the non-radical-based reaction of  $H_2O_2$  with proteins even in the absence of metal ions. Luo *et al.*<sup>29</sup> and Ashby and Nagy<sup>30</sup> discussed in detail the kinetics and mechanism of the reaction of  $H_2O_2$  with cysteine in the absence of metal ions. Kim *et al.*<sup>31</sup> developed a method based on the selective and competitive reaction of  $H_2O_2$  and biotin-conjugated iodoacetamide with cysteine residues exhibiting low  $pK_a$  to allow labelling of proteins containing such residues. Using this method, they identified

several proteins present in various mammalian cells lines that are preferentially oxidized by  $H_2O_2$ . Finnegan *et al.*<sup>9</sup> have also demonstrated oxidation of cysteine, methionine, lysine, histidine and glycine on exposure of 100 mM amino acid to 100 mM  $H_2O_2$  in the absence of added metal ions.

Returning to the metal-ion-catalysed production of hydroxyl radicals, oxidation of several amino acid residues has been shown to occur by this reaction; Dean *et al.*<sup>32</sup> summarized the various products of radical-mediated oxidation of amino acids. The oxidation of protein amino acids can result in a range of modifications, from total cleavage of the protein backbone to subtle side-chain modification of individual residues. The production of carbonyl residues is often used as an indicator of protein oxidation, as it is the outcome of many oxidative modifications and is easily quantified. The effect of  $H_2O_2$  treatment on the amount of protein carbonyls has been assessed by several groups, though, as for DNA damage,  $H_2O_2$  treatment was generally considered as a source of oxidative stress rather than as a biocide.

Tamarit *et al.*<sup>33</sup> grew *E. coli* K12 strain ECL1 anaerobically and challenged these cultures with  $2 \text{ mM H}_2\text{O}_2$  for up to 45 min, following which 1 mL samples of the cultures were taken and crude protein extracts prepared. The protein extracts were derivatized with dinitrophenyl hydrazine (DNPH) and separated by onedimensional SDS-PAGE. Western blot immunoassay was used to detect DNPH-derivatized carbonyl groups on the protein bands, and bands of interest were identified using Edman degradation. It was found that  $H_2O_2$  stress caused a 30% reduction in cell viability (as previously discussed, mortality under these conditions has been suggested to be mostly due to mode-1 killing) and a 3-fold increase in protein carbonyl content of crude extract. Protein bands exhibited widely varving increases in carbonyl content—several proteins, such as alcohol dehydrogenase E, enolase, DNA K, EF-G and an outer membrane protein A, showed a substantial increase in carbonyl content, whilst two major protein bands (EF-Tu and outer membrane protein C) were not oxidized at all. This same pattern was seen with cells grown under aerobic conditions, though the increase in carbonyl content here was not so dramatic (50% compared with 300%) due to the activation of oxidative stress response systems.

Cabiscol *et al.*<sup>34</sup> went on to repeat this work with the yeast *Saccharomyces cerevisiae* treated with 5 mM  $H_2O_2$  for 45 min, and again a selective pattern of protein oxidation was observed.

Whilst the work of Tamarit *et al.*<sup>33</sup> and Cabiscol *et al.*<sup>34</sup> was performed using low concentrations of  $H_2O_2$  more usually associated with mode-1 killing, the exposure time of 45 min used was far longer than the normal exposure time tested for a biocide. In other words, it is possible that the selective oxidation of proteins by low concentrations of  $H_2O_2$  over long exposure times could be reproduced by high concentrations of  $H_2O_2$  over short time scales. The action of 3%  $H_2O_2$  as a surface disinfectant might therefore be far more selective, in terms of those proteins most damaged, than often considered.

At this stage it is appropriate to reflect on the different effects that can be observed with  $H_2O_2$  when tested diluted in water, in formulation with other chemicals or, notably, when in gas form. Finnegan *et al.*<sup>9</sup> observed important differences in the interaction of vaporized (gaseous) and liquid  $H_2O_2$  against amino acids.

Vaporized  $H_2O_2$  (2 mg/L for 10 min, when tested under true gas, non-condensed conditions) was shown not to be able to oxidize amino acids (100 mM) whereas liquid  $H_2O_2$  was shown to oxidize cysteine, methionine, lysine, histidine and glycine at various  $H_2O_2$ /amino acid ratios. However, both liquid (12 mg/L) and vaporized  $H_2O_2$  (2 mg/L for 10 min) completely degraded BSA and aldolase. Others have reported potential cross-linking effects on protein exposure to liquid peroxide but protein degradation (into smaller peptides) on exposure to gaseous peroxide.<sup>35,36</sup> It is clear that liquid and gaseous  $H_2O_2$  interact differently with macromolecules, which may explain their differences in biocidal efficacy.

# Interactions of $\mathsf{H}_2\mathsf{O}_2$ with bacterial cell membranes and lipids

Studies of the effect of  $H_2O_2$  on bacterial cell membranes are also limited. Whilst much work has been performed using  $H_2O_2$ as a source of ROS to simulate the effects of oxidation during ageing on mammalian cells, a literature search using PubMed found only three studies investigating the effects of  $H_2O_2$  on the membrane of any bacteria. Brandi *et al.*<sup>37</sup> exposed *E. coli* cells to mode-1 and mode-2 concentrations of  $H_2O_2$  and studied effects on cell morphology and the cell membrane. They found that low concentrations (1.75 mM), producing mode-1 effects, caused extensive cell filamentation, but that this change in morphology did not occur at higher (17.5 mM)  $H_2O_2$  concentrations; instead a large decrease in cell volume was observed.

Brandi et al.<sup>37</sup> also found that loss of intracellular contents, as measured by lactate dehydrogenase activity in culture medium, occurred at a low rate initially under mode-1 killing conditions, and ceased after 150 min. In contrast, the higher concentration of H<sub>2</sub>O<sub>2</sub> produced a much larger increase in lactate dehydrogenase activity in the culture medium. They hypothesized that the reduction in cell volume seen during mode-2 killing was due to cell membrane damage and loss of intracellular material, and suggested that this was the major component of mode-2 killing.

Baatout *et al.*<sup>38</sup> exposed *Ralstonia* metallidurans, *E. coli*, *Shewanella oneidensis* and *Deinococcus* radiodurans cultures to concentrations of  $H_2O_2$  up to 880 mM (the only study to investigate the typical 3%  $H_2O_2$  used in disinfectant solutions) for 1 h then measured various indicators of cell physiology. They found that cell membrane permeability, as measured by propidium iodide uptake, was markedly increased in all strains at  $H_2O_2$  concentrations >13.25 mM.

Finally, Peterson *et al.*<sup>39</sup> measured the release of organic compounds from the cyanobacterium *Aphanizomenon flos-aquae* after exposure to various water treatments, including  $H_2O_2$ . They found a substantial increase in the release of dissolved organic compounds and the odour compound geosmin with increasing  $H_2O_2$  concentration up to 0.025% (equivalent to 0.73 mM), and that cell membrane damage, as measured by potassium leakage, also increased with peroxide concentration up to 0.01% (equivalent to 0.29 mM).

All three studies showed some degree of cell membrane damage due to exposure to  $H_2O_2$ , and Brandi *et al.*<sup>37</sup> suggested that such damage may be a major component of mode-2 killing of *E. coli*.

# Vaporized H<sub>2</sub>O<sub>2</sub> as a sterilant

The use of vaporized (or gaseous)  $H_2O_2$  as a sterilant was pioneered in the packaging industry by Wang and Toledo in the late 1980s.<sup>40</sup> Its use in preference to a liquid solution of 35% (equivalent to 880 mM)  $H_2O_2$  was initially investigated in order to avoid residual traces being retained on packaging; however, it also offers the advantage over liquid in that large volumes and devices that might be damaged by exposure to water can be easily sterilized. Other advantages over alternative vapour-phase methods (e.g. based on ozone or peracetic acid) are low toxicity and spontaneous breakdown into completely harmless by-products.<sup>40</sup>

Since Wang and Toledo's initial work, commercial vapourphase H<sub>2</sub>O<sub>2</sub> treatments have been developed and their efficacy investigated in several applications, including decontamination of laboratory and medical equipment, hospital wards and pharmaceutical manufacturing facilities. They have been shown to be efficacious against a wide range of organisms, including those producing endospores,<sup>41,42</sup> Gram-positive and Gram-negative vegetative cells,<sup>43,44</sup> DNA and RNA viruses<sup>45-47</sup> and fungi.<sup>44,48,49</sup> These systems vary in their use of H<sub>2</sub>O<sub>2</sub>, ranging from pure gas-based processes (often referred to as 'dry'), condensed peroxide (formed from a saturated gas, referred to as 'wet') and liquid misting systems (for liquid peroxide distribution within an area<sup>50</sup>). Antimicrobial efficacy, surface compatibility and safety aspects can vary between these systems.

Despite the increasing use of such decontamination methods and the growing body of literature detailing the validation of these methods for use in various applications, it appears that little work has been done on understanding the mechanism(s) of biocidal activity of the vapour form of  $H_2O_2$ . Indeed, one early study of a vapour system to sterilize centrifuges performed by Klapes and Vesley<sup>51</sup> concluded 'The application of VPHP [vapour phase  $H_2O_2$ ] as a potential sterilant is still clearly in its infancy: definitive knowledge of the mechanism(s) of cidal action, and the factors which influence it, is lacking', whilst a study of the use of  $H_2O_2$  vapour to deactivate *Mycobacterium tuberculosis* performed by Hall *et al.*<sup>52</sup> stated in the conclusion 'the exact mechanism of action of HPV remains to be fully elucidated'.

The lack of investigation into the vapour-phase  $H_2O_2$  cidal mechanism appears more remarkable in the light of a study performed by Fichet *et al.*,<sup>35</sup> which showed that, of several decontamination methods tested, gaseous  $H_2O_2$  prevented the exhibition of spongiform pathologies in hamsters following intercerebral inoculation with steel wires contaminated with infectious brain matter and then treated with the decontamination methods.

Despite this, Yan *et al.*<sup>53</sup> reported little effect with an H<sub>2</sub>O<sub>2</sub> gas plasma system, but this may be explained by the fact that such a system can be associated with condensed (therefore liquid/gas) H<sub>2</sub>O<sub>2</sub> in contrast to a non-condensed gas-based process (as discussed by Fichet *et al.*<sup>35,36</sup>). A follow-up study performed by Fichet *et al.*<sup>36</sup> with a vacuum-based sterilization process using non-condensed gas reproduced this destruction of infectivity with gaseous H<sub>2</sub>O<sub>2</sub> but not liquid H<sub>2</sub>O<sub>2</sub>. *In vitro* studies showed unfolding and degradation of the prion proteins by gaseous but not liquid H<sub>2</sub>O<sub>2</sub>.

These studies suggest that the ability of  $H_2O_2$  to degrade protein oxidatively is greatly enhanced in the vapour phase

compared with the liquid phase. This had also been observed in studies with the neutralization of bacteria protein toxins, such as those produced by Clostridium botulinum and Bacillus anthracis (McDonnell<sup>8</sup>). Further evidence for this was provided by Finnegan et al.,<sup>9</sup> who showed that vaporized  $H_2O_2$  could completely degrade BSA and aldolase, whilst liquid H<sub>2</sub>O<sub>2</sub> had no effect on either. These results suggest that there are subtle differences in the mechanisms of action of liquid and gaseous  $H_2O_2$ , with potential impact on antimicrobial efficacy. This may not only be the case with protein neutralization or prion infectivity reduction. It has been known for some time that the organism most resistant to liquid peroxide appears to be Bacillus subtilis (or Bacillus atrophaeus), in contrast to that for gaseous peroxide, which is Geobacillus stearothermophilus.48 Similarly, antimicrobial efficacy against viruses can vary between condensed and non-condensed peroxide disinfection processes, with the condensed (high peroxide concentration liquid) systems potentially allowing viruses to be protected from the antimicrobial effects of the liquid/gas.<sup>44,46</sup>

# Conclusions

The two decades since Imlay and Brandi's initial demonstration of the existence of the bimodal effect have seen studies showing that the precise mechanism of genotoxicity of low H<sub>2</sub>O<sub>2</sub> concentrations is far subtler than might initially have been thought. Although  $H_2O_2$  is obviously far too simple a substance to exhibit innate selectivity of action, such is the complexity of the cellular environment that it would nevertheless appear that  $H_2O_2$  genotoxicity occurs due to two distinct mechanisms, both of which cleave preferentially at different sets of nucleotide sequences.  $H_2O_2$  might itself act as a sink for the majority of radicals produced by Fenton chemistry in the target cell, with only those radicals produced immediately proximate to the DNA chain able to react and cause damage. Based on this observation, it is possible that, at higher concentrations of  $H_2O_2$ , the amount of DNA-associated iron becomes the limiting factor in the reaction between  $H_2O_2$  and DNA, and thus further increases in the  $H_2O_2$  concentration might not necessarily result in an increase in the rate of DNA damage. Oxidation of proteins and lipids could thus be more significant to the biocidal mechanism at higher concentrations of  $H_2O_2$ .

Compared with DNA damage, oxidation of other bacterial cell components by  $H_2O_2$  is far less studied, though evidence exists of damage to both proteins and the cell membrane. A number of studies have suggested that oxidation of bacterial proteins is also a more selective process than typically reported, with specific proteins being more or less vulnerable to oxidation.

Whilst the studies described provide clues as to the biocidal mechanism of  $H_2O_2$ , there is a limit to how much can be learned about this from studies designed to investigate the effect of oxidative stress on a particular type of macromolecule. For instance, a study designed only to investigate damage to DNA can tell us whether such damage occurs, but will give no information as to how important this damage is to the bactericidal effect; it is impossible to draw conclusions as to the importance of the putative lesions to the lethal mechanism of  $H_2O_2$  without a simultaneous measurement of damage to all bacterial cell components, and a correlation of this damage with a reduction in viable cell count. Such a study has not been performed, and

consequently our knowledge of the bactericidal mechanism of  $H_2O_2$  action at the molecular level must be considered incomplete, especially with the high  $H_2O_2$  concentrations and short contact times representative of  $H_2O_2$  biocidal applications. Certainly, examination of the evidence appears to dispel the model of free hydroxyl radical production as the main mechanism of  $H_2O_2$  action at higher concentrations.

Finally, it is apparent that there is likely a qualitative difference between the biocidal mechanisms of liquid-phase and gas-phase  $H_2O_2$  that cannot be explained by current models. Evidence suggests that  $H_2O_2$  vapour can fragment protein without Fenton-like reactions and the importance of this phenomenon needs to be examined.

Despite extensive studies of  $H_2O_2$  toxicity, the mechanism of its action as a biocide requires further investigation. This may assist in the optimization of its antimicrobial effects for future antimicrobial and neutralization applications.

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G. M. is an employee of, and a minor stockholder with, Steris Ltd. All other authors: none to declare.

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