| 1  | Title: Use of illness   | s severity scores to predict mortality in interstitial lung disease   |  |
|----|---|---|--|
| 2  | patients hospitalised   | with acute respiratory deterioration  |  |
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|    |   |   |  |

#### 29 ABSTRACT

#### 30 Introduction

Hospitalisations relating to acute respiratory deteriorations (ARD) in Interstitial Lung Disease (ILD) have poor outcomes. Factors predicting adverse outcomes are not fully understood and data addressing the use of illness severity scores in prognostication are limited.

35

#### 36 **Objective**

To validate the use of CURB-65 and NEWS-2 severity scores to predict mortality followingARD-ILD hospitalisation.

39

#### 40 Methods

41 A dual-centre prospective observational cohort study of all adults ( $\geq 18y$ ) hospitalised with

42 ARD-ILD in Bristol, UK (n=179). Gender-Age-Physiology (GAP), CURB-65 and NEWS-2

43 scores were calculated for each eligible admission.

44

45 Receiver operating characteristics (ROC) curve analysis was used to quantify the strength of 46 discrimination for NEWS-2 and CURB-65 scores. Univariable and multivariable logistic 47 regression analyses were performed to explore the relationship between baseline severity 48 scores and mortality.

49

#### 50 Results

51 GAP showed some merit at predicting 30-day mortality (AUC=0.64, *P*=0.015); whereas 52 CURB-65 showed modest predictive value for in-hospital (AUC=0.72, *P*<0.001) and 90-day 53 mortality (AUC=0.67, *P*<0.001). NEWS-2 showed higher predictive value for in-hospital

| 54       | (AUC=0.80, P<0.001) and 90-day mortality (AUC=0.75, P<0.001), with an optimal derived              |
|----------|--|
| 55       | cut-off $\geq$ 6.5 found to be sensitive and specific for predicting in-hospital (83% and 63%) and |
| 56       | 90-day (73% and 72%) mortality. In exploratory analyses, GAP score addition improved the           |
| 57       | predictive ability of NEWS-2 against 30-day mortality and CURB-65 across all time-periods.         |
| 58       |  |
|          |  |
| 59       | Conclusion   |
| 59<br>60 | Conclusion NEWS-2 has good discriminatory value for predicting in-hospital mortality and moderate  |
|          |  |
| 60       | NEWS-2 has good discriminatory value for predicting in-hospital mortality and moderate             |

#### 64 KEY MESSAGES

#### 65 What is the key question?

Can NEWS-2 and CURB-65 be used to predict inpatient mortality in a cohort of
patients with acute respiratory deterioration on a background of known interstitial
lung disease?

69

#### 70 What is the bottom line?

- The NEWS-2 score shows high sensitivity and specificity in predicting both 90-day
   and in-hospital mortality in patients hospitalised with ARD-ILD
- Whilst the CURB-65 score showed high sensitivity for predicting mortality, there was
- a low specificity, and did not add value to the predictive ability of the NEWS-2 score.

75

#### 76 Why read on?

- This analysis included 179 patients from two study sites and provides, for the first
   time, prospective evidence for utilising NEWS-2 and CURB-65 as tools to predict in-
- 79 hospital and post hospitalisation morbidity.

#### 80 **INTRODUCTION**

81 Idiopathic pulmonary fibrosis (IPF) is considered the archetypal chronic progressive fibrotic 82 Interstitial Lung Disease (ILD), which can have an unpredictable clinical course punctuated 83 by sudden, severe acute respiratory deteriorations (ARD). ARD related hospitalisations in 84 IPF patients are associated with poor patient outcomes, posing a significant burden on healthcare services.<sup>1-4</sup> ARD-IPF are categorised into parenchymal and extra-parenchymal 85 causes within a conceptual framework.<sup>1</sup> Acute exacerbation (AE), defined within this 86 87 framework describes rapid respiratory deterioration associated with new widespread 88 parenchymal ground glass opacification (with or without consolidation) on the background of 89 established fibrosis and is not fully explained by fluid overload or cardiac failure. Mortality associated with AE-IPF is high;<sup>1,5</sup> although, factors predicting adverse outcomes are not fully 90 91 understood. Whilst originally described in IPF, both ARD and AE are increasingly 92 considered to be features of other fibrosing ILDs; although, their epidemiology and triggers 93 in non-IPF ILDs are less well understood, with no randomised controlled trials examining 94 optimal management.<sup>5</sup>

95

96 The emergence of SARS-CoV-2 and resultant pandemic have severely impacted healthcare 97 provision, with ILD patients at increased risk of severe COVID-19 disease, possibly attributable to immunosuppressive treatment in addition to their chronic lung changes.<sup>6-8</sup> 98 99 Ensuring appropriate healthcare resource allocation and usage, especially with the significant 100 ILD disease burden, may be aided by validated illness scores as predictors of mortality. The CURB-65 score was validated against 30-day mortality in pneumonia,<sup>9</sup> and subsequently 101 102 validated against other conditions including sepsis. The National Early Warning Score-2 103 (NEWS-2) is used throughout UK hospitals to rapidly identify patients at risk of

| 104 | deterioration, <sup>10</sup> and also to predict in-hospital mortality. There are few data on the use of |
|-----|--|
| 105 | illness severity scores to predict short to medium term outcomes following admission. <sup>11–13</sup>   |
| 106 |  |
| 107 | Our previous single-centre, retrospective, observational cohort study of 172 IPF patients                |
| 108 | admitted with ARD, supported the use of CURB-65 and NEWS-2 illness severity scores to                    |
| 109 | predict in-hospital and 90-day mortality. <sup>4</sup> ARD-IPF mortality was high irrespective of cause, |
| 110 | in-line with mortality estimates from other published cohorts; <sup>3</sup> however, data also suggests  |
| 111 | AE-IPF mortality is higher compared to other parenchymal causes of ARD. <sup>14</sup>                    |
| 112 |  |
| 113 | The AvonCAP prospective observational study of acute lower respiratory tract disease                     |

113 The AvonCAP prospective observational study of acute lower respiratory tract disease 114 (aLRTD) provided the opportunity to evaluate CURB-65 and NEWS-2 usage as predictors of 115 mortality in a broad cohort of patients with ARD-ILD hospitalisations following the 116 emergence of SARS-CoV-2. We describe the characteristics of a prospective cohort of 117 patients hospitalised with ARD-ILD, comparing those patients with IPF and non-IPF ILDs 118 and investigating factors associated with worse outcome.

119

#### 120 **METHODS**

#### 121 Study Design

A prospective, dual-centre observational cohort study undertaken at North Bristol and University Hospitals Bristol and Weston NHS Foundation Trusts, encompassing all secondary care institutions in Bristol, UK, as part of the AvonCAP study. The study was approved by the Health Research Authority East of England Ethics Committee, including use of Section 251 of the 2006 NHS Act approved by the Confidentiality Advisory Group (REC:20/EE/0157, ISRCTN:17354061).

#### 129 Study Subjects

Patients hospitalised with worsening respiratory signs/symptoms between 1<sup>st</sup> August 2020
and 9<sup>th</sup> November 2021 were screened. Full inclusion and exclusion criteria are available on
IRSCTN.<sup>15</sup> Only individuals with a multidisciplinary team (MDT)-confirmed diagnosis of
ILD (either pre-existing or arising from the hospitalisation), including but not limited to IPF,
were included in this analysis.

135

Collection of clinical data was undertaken on all eligible participants using a standardised REDCap proforma<sup>16</sup>. Lung Function Tests (LFTs) and 6-min walk test (6MWT) were included if conducted within 6-months of hospitalisation and were not performed specifically for this study. Gender-Age-Physiology (GAP), CURB-65 and NEWS-2 scores were calculated for each hospital admission.<sup>9,17-19</sup>

141

#### 142 Case Definitions

143 The aetiology of ARD-ILD was categorised according to Collard's conceptual framework:

144 (1) Extra-parenchymal causes: pleural effusion, pneumothorax or pulmonary embolism,

(2) AE-ILD: diagnosed in accordance with broadened Collard *et al.* revised criteria <sup>20</sup> to
include all fibrosing ILDs: previous/concurrent ILD, worsening dyspnoea <1 month duration,</li>
new bilateral ground-glass opacification (with/without consolidation) on CT imaging,
superimposed on background of established fibrosis and not fully explained by cardiac
failure/ fluid overload. AE-ILD was further sub-categorised into triggered (clear precipitant)
or idiopathic.

(3) Not AE-ILD: other parenchymal ARD-ILD causes not attributed to an AE, including:
non-pneumonic lower respiratory tract infection (NP-LRTI)/presumed NP-LRTI (defined in
the context of a CT not clearly identifying a radiological cause for the deterioration or

| 154 | unchanged CXR (presumed) but CRP>6mg/ml); pneumonia; cardiac failure/fluid overload;                           |
|-----|--|
| 155 | disease progression; and, those with non-specific trigger (no radiological cause demonstrated                  |
| 156 | on CT imaging with CRP<6mg/ml; for example: anxiety, symptom control and/or palliation).                       |
| 157 | (4) Not fully classified ARD-ILD: hospitalisation without CT imaging on admission but                          |
| 158 | unaltered chest radiograph and CRP <6mg/ml and no clear trigger.   |
| 159 |  |
| 160 | Outcome Measures   |
| 161 | The primary outcome was to validate previously determined baseline CURB-65 score $\geq$ 3.5                    |
| 162 | and NEWS-2 score $\geq 6.5$ as predictors of in-hospital mortality <sup>4</sup> in a broader group of patients |
| 163 | with ILD.  |

164

Secondary outcomes were to determine the utility of the GAP score to predict in-hospital, 30and 90-day mortality rates in patients with ARD-ILD, in addition to determining the overall in-hospital, 30- and 90-day mortality rates for patients with ARD-ILD, hospital length of stay (LOS), thereby highlighting any differences in mortality between IPF and non-IPF ARD cohorts.

170

#### 171 Statistical Analysis

172 Categorical data were presented as numbers and proportions (n, %), continuous non173 parametric data as medians and interquartile range (IQR). Either log rank test, Fisher's exact
174 test or chi-square test was used where appropriate to analyse differences between groups.

175

For the primary analysis, univariable and multivariable logistic regression analyses were performed to explore the relationship between baseline severity scores and mortality. The factors used in the multivariable model were decided *a priori* and were smoking status, GAP

| 179 | score, CURB-65 and NEWS-2 score. Receiver operating characteristic (ROC) curve analysis                   |
|-----|---|
| 180 | was used to quantify the strength of discrimination. Previous data suggested cut-offs of                  |
| 181 | CURB-65>3.5 and NEWS-2>6.5, provided Area Under the Receiver Operating Curve                              |
| 182 | (AUROC) estimates of 0.85 and 0.89 respectively, in the prediction of in-hospital mortality in            |
| 183 | hospitalised ARD-IPF. <sup>4</sup> Assuming an inpatient mortality rate approximating 20%. <sup>4</sup> a |
| 184 | consecutive sample size of 175 patients would be sufficient to validate an AUROC≥0.8 with                 |
| 185 | a lower 95% confidence interval (CI) of the AUROC exceeding a lower acceptability                         |
| 186 | threshold of 0.7. <sup>21</sup> Power calculations were performed using the proprietary Power Analysis    |
| 187 | & Sample Size (PASS) software.  |
|     |   |

188

189 The impact of prognostic factors on survival was computed using a Kaplan-Meier analysis 190 and multivariate Cox proportional hazards model. For all tests, a P<0.05 was considered 191 statistically significant. Data were analysed using IBM SPSS v28.0.

192

193

194 **RESULTS** 

#### 195 **Patient Demographics**

196 Of the total 132,097 patients ≥18 years hospitalised in the study period: 179 patients had 197 confirmed ARD with a multidisciplinary diagnosis of ILD (Figure 1A). The median age of 198 patients was 75 years (IQR 72-84), 64% were male and 57% were ex-smokers (Table 1). IPF 199 was the underlying diagnosis of 40% of the cohort, but a broad range of other ILD diagnoses 200 existed, including: unclassifiable ILD (13%), hypersensitivity pneumonitis (HP) (12%), and 201 connective tissue disease associated-ILD (10%) (Table 1). De novo presentations with ILD 202 were infrequent (3% ILD admissions). Patients had moderately restrictive disease (median 203 FVC% predicted 75 (IQR 63-91), TLCO 44% (IQR 33-58) and a median GAP score of 4

(IQR 3-5), corresponding to GAP stage II. Approximately one third of patients had at least 2
or more (31%, n=56) concurrent medical co-morbidities. Overall, vaccination rates were high
in the cohort: 75% of patients having received pneumococcal and seasonal influenza
vaccination by the time of admission, and 83% of eligible patients (Supplementary Data 1)
having received at least one dose of a COVID-19 vaccination prior to admission.

209

210 IPF patients were statistically older than those with non-IPF ILD diagnoses (IPF 81 years vs

211 non-IPF 77 years, P=0.0068), more likely to be male (IPF 77% vs non-IPF 55%, Fisher's

exact test, P = 0.004), with lower TLCO % predicted (IPF 38% vs non-IPF 54%, P=0.0002)

and higher GAP scores (IPF 5 vs non-IPF 4, P<0.0001) on hospitalisation. IPF and non-IPF

214 ILD patients had comparable baseline spirometry values (Table 1).

215

#### 216 Underlying Aetiology

217 Most (79%) ARD-ILD admissions were due to parenchymal causes other than AE-ILD 218 (Figure 1B). Pneumonia was the most common parenchymal cause (57%, 77/141); the vast 219 majority were community-acquired (83% of pneumonias, n=63), with COVID-19 220 pneumonitis in eleven patients (14%). Other parenchymal causes included cardiac failure 221 (n=21, 15%), NP-LRTI (n=24, 17%, including 3 patients with symptomatic COVID) and 222 disease progression in n=5 (4%). An extra-parenchymal pathology was considered the cause 223 of ARD in 12 patients (7%); 7 with pulmonary embolism, 3 with pleural effusion/empyema, 224 with pneumomediastinum and anaphylaxis in the remaining patients.

225

Non-specific triggers of ARD were identified in approximately 10% of patients and these admissions were related to requirements for palliation and symptom control, including anxiety and breathlessness. AE-ILD was rare in this cohort (n=3, 2%) and seen in patients

- 229 with fibrotic hypersensitivity pneumonitis and IPF. Due to low incidence of AE-ILD we were
- 230 not able to perform analyses to determine differences between patients admitted with AE-ILD
- and other parenchymal causes.

#### 232 Table 1: Characteristics of adults hospitalised with ARD-ILD

| 2 Table 1: Characteristic<br>Characteristic | s of adults hospitalis<br>ARD-ILD | IPF                 | Non IPF                               | P-value  |
|---|-----------------------------------|---------------------|---------------------------------------|----------|
| Characteristic                              | n = 179                           | n = 70              | n = 109                               | I -value |
| Male, n (%)                                 | 114 (64)                          | 54 (77)             | 60 (55)                               | 0.0040   |
|   |                                   |                     |                                       |          |
| Age (years), median (IQR)                   | 79 (72-84)                        | 81 (75-86)          | 77 (70-83)                            | 0.0068   |
| Smoking Status, n (%)<br>Current            | 0 (5)                             | 4 (6)               | 5 (5)                                 | 0.2537   |
| Ex-smoker                                   | 9 (5)<br>102 (57)                 | 4 (6)<br>48 (69)    | 5 (5)<br>54 (50)                      |          |
| Never                                       | 53 (30)                           | 14 (20)             | 39 (36)                               |          |
| Unknown                                     | 15 (8)                            | 4 (6)               | 11 (10)                               |          |
| Ethnicity, n (%)                            | 10 (0)                            | 1 (0)               | 11 (10)                               | 0.7566   |
| Caucasian                                   | 148 (83)                          | 58 (83)             | 90 (83)                               | 0.7500   |
| Other                                       | 11 (6)                            | 6 (9)               | 5 (5)                                 |          |
| Unknown                                     | 20 (11)                           | 6 (9)               | 14 (13)                               |          |
| CCI, median (IQR)                           | 5 (4-6)                           | 5 (4-6)             | 5 (3-6)                               | 0.8765   |
| Aetiology ILD, n (%)                        |                                   |                     |                                       | N/A      |
| IPF   | 70 (39)                           | 70 (39)             | -                                     |          |
| Unclassifiable                              | 23 (13)                           | -                   | 23 (13)                               |          |
| Hypersensitivity pneumonitis                | 22 (12)                           | -                   | 22 (12)                               |          |
| CTD-ILD                                     | 17 (10)                           | -                   | 17 (10)                               |          |
| Smoking related ILD                         | 14 (8)                            | -                   | 14 (8)                                |          |
| CPFE  | 9 (5)                             | -                   | 9 (5)                                 |          |
| NSIP  | 8 (5)                             | -                   | 8 (5)                                 |          |
| Sarcoid                                     | 6 (3)                             | -                   | 6 (3)                                 |          |
| Asbestosis                                  | 4 (2)                             | -                   | 4 (2)                                 |          |
| Other 🗆                                     | 6 (3)                             | -                   | 6 (3)                                 |          |
| Baseline PFTs, median (IQR)                 |                                   |                     |                                       |          |
| Lung function<br>FEV1 % predicted           | 78 (66-93), n=168                 | 81 (69-93), n=68    | 75 (63-93), n=100                     | 0.5627   |
| FVC % predicted                             | 75 (63-91), n=168                 | 75 (64-89), n=68    | 73 (63-93), n=100<br>77 (63-96, n=100 | 0.562    |
| TLCO % predicted                            | 44 (33-58), n=137                 | 40 (30-46), n=58    | 54 (37-61), n=79                      | 0.0002   |
| 6MWT distance (m)                           | 240 (155-311), n=133              | 240 (130-290), n=60 | 245 (168-354), n=73                   | 0.7552   |
| 6MWT minimum sats (%)                       | 87 (84-90) n=133                  | 87 (84-89), n=60    | 87 (84-90), n=73                      | 0.7552   |
|   | · · · · ·                         |                     |                                       |          |
| Fotal GAP score                             | 4 (3-5), n=150                    | 5 (4-6), n=61       | 4 (3-5), n=89                         | <0.000   |
| Admission Severity Scores                   | <b>2</b> (1 A)                    | 2(1,4)              | 1 (1 2)                               | 0 0004   |
| CURB-65, median (IQR)                       | 2 (1-4)                           | 2(1-4)              | 1 (1-3)                               | 0.0096   |
| NEWS-2, median (IQR)                        | 6 (3-8)                           | 6 (3-8)             | 5 (2-8)                               | 0.4246   |
| Respiratory Support, n (%)                  | 20 (11)                           | 10 /1 4             | 10 (0)                                | 0.1202   |
| None  | 20 (11)                           | 10 (14)             | 10 (9)                                | 0.1382   |
| Oxygen                                      | 159 (89)                          | 60 (86)             | 99 (91)                               | 0.1482   |
| HFNO  | 20 (11)                           | 8 (11)              | 12 (11)                               | 1.0000   |
| NIV   | 8 (4)                             | 5 (7)               | 3 (3)                                 | 0.2123   |
| IMV   | 1 (1)                             | 0 (0)               | 1 (1)                                 | 0.4033   |
| Freatment, n (%)                            |                                   |                     |                                       |          |
| Received antibiotics (>2 days)              | 139 (78)                          | 53 (74)             | 86 (79)                               | 0.4391   |
| Received corticosteroids                    | 49 (27)                           | 18 (26)             | 31 (28)                               | 0.7702   |
| Length of stay, median (IQR)                |                                   |                     |                                       |          |
| Hospitalisation days                        | 6 (3-11)                          | 7 (3-11)            | 6 (3-11)                              | 0.5451   |
| Mortality, n (%)                            | . /                               | . ,                 | . ,                                   |          |
| In-hospital mortality                       | 34 (19)                           | 17 (24)             | 17 (16)                               | 0.1848   |
| 30-day mortality                            | 42 (23)                           | 21 (30)             | 21 (19)                               | 0.0901   |
| 90-day mortality                            | 69 (39)                           | 33 (47)             | 36 (33)                               | 0.0614   |
| Survival days, median (IQR)                 | 59 (10-144), n=101                | 23 (10-23), n=41    | 79 (13-144), n=60                     | 0.0012   |
|   |                                   |                     |                                       |          |

*P*-values represent the result of a Log-rank Test or Fisher's exact test between IPF and non-IPFgroups

235

238

Other includes lymphocytic interstitial pneumonia, Langerhan's cell histiocytosis, pleuro parenchymal fibroelastosis, Rosai-Dorfman, organising pneumonia.

6MWT, 6-minute walk test; ARD, acute respiratory deterioration; CCI, Charlson comorbidity index;
CPFE, combined pulmonary fibrosis & emphysema; CTD-ILD, connective tissue disease ILD; GAP,
gender, age and physiology score; HFNO, high flow nasal oxygen; ILD, interstitial lung disease; IPF,
idiopathic pulmonary fibrosis; IMV, invasive mechanical ventilation; IQR, interquartile range; NIV,
non-invasive ventilation NSIP, non-specific interstitial pneumonia; PFTs, pulmonary function tests

246

#### 247 **Primary Outcome**

248 Over half of all patients hospitalised with an ARD-ILD had a CURB-65≥2 (n=99, 55%) and

249 NEWS-2  $\geq$ 5 (n=114, 64%) on admission. Patients with ARD-IPF had a statistically higher

250 baseline CURB-65 compared to those with non-IPF ARD-ILD diagnoses (median CURB-65

251 2 [IQR 1-4] versus 1 [IQR 1-3], P=0.0096), but baseline NEWS-2 scores were comparable

252 (median NEWS-2 6 [IQR 3-8] versus 5 [IQR 2-8] respectively, *P* >0.05) (Table 1).

253

254 GAP score was found to have diminishing utility for in-hospital (AUC=0.604, P=0.087) and 255 some merit at 30-days (AUC=0.642, P=0.015). CURB-65 showed modest predictive value 256 for in-hospital (AUC=0.715, P<0.0001) and 90-day mortality (AUC=0.672, P<0.0001), with the optimal derived cut-off CURB-65 $\geq$ 2.5 in our previous study<sup>4</sup> had high specificity (75, 257 258 88% respectively) but low sensitivity (57, 46% respectively) (Table 2). The optimal derived 259 cut-off for CURB-65 in this cohort was 3.5. The NEWS-2 showed higher predictive value for 260 in-hospital (AUC=0.803, P<0.0001) and 90-day mortality (AUC=0.751, P<0.0001). The 261 optimal cut-off for NEWS-2 $\geq$ 6.5 was found to have high sensitivity (73%) and specificity 262 (72%) for predicting 90-day mortality, in contrast to high sensitivity (83%) and moderate 263 specificity (63%) for predicting in-hospital mortality (Table 2).

265 As the CURB-65 score correlated moderately to NEWS-2 score (R=0.552, P<0.0001), the 266 combined ability of these two scores to predict mortality was assessed (Table 2). NEWS-2 267 retained significance to predict 90-day and in-hospital mortality after allowing for the effect 268 of CURB-65 score (OR 1.34, P<0.0001, and OR 1.47, P<0.0001, respectively); however, 269 CURB-65 was no longer a significant predictor after controlling for NEWS-2 for 90-day (OR 270 1.34, P=0.1648) or in-hospital mortality (OR 1.423, P=0.1791) (Supplementary Data 3). The 271 addition of the GAP score improved the ability of the CURB-65 score to predict mortality 272 (Table 2), with both GAP and CURB-65 retaining significance in each other's presence. In 273 contrast, the GAP score only improved the ability of the NEWS-2 score to predict 30-day 274 mortality.

275

#### 276 Secondary Outcomes

277 The median length of stay for patients admitted with an ARD-ILD was 6 days, but variability 278 was observed across the cohort (IQR 3-11 days). Small numbers of patients received 279 advanced respiratory support during their admission (n=29, 16%) although supplementary 280 oxygen was frequently prescribed (n=159, 89%) (Table 1). ARD-ILD associated mortality 281 was high; 20% of hospitalisations resulted in death, with 30- and 90-day mortality following 282 admission of 24% and 38% (n=68) respectively (Table 1). Survival curve analysis indicated 283 that patients admitted with ARD-IPF had higher in-hospital and 90-day mortality than 284 patients with non-IPF-ARD (Figure 2). Univariate Cox regression analysis indicated that 285 increasing NEWS-2 score was associated with higher mortality risk in-hospital and at 90-286 days; however, increased admission CURB-65 scores were not associated with an increased 287 mortality risk (Table 3). Neither were higher admission CURB-65 nor NEWS-2 scores found 288 to be associated with increased hazard of in-hospital mortality.

### Table 2: Outcomes of ROC curve analysis for evaluating optimal cut-off values for CURB-65, NEWS-2 and GAP scores, correlating with ARD-ILD mortality

291 Evaluation of the receiver operator curve (ROC) curve analysis for CURB-65, NEWS-2 and

292 GAP scores correlate with 30- and 90-day mortality, in addition to in-hospital mortality.

<sup>293</sup> 

|                       | AUC     | 95% CI      | <i>P</i> -value | Decision<br>Point | Sensitivity | Specificity | Youden's<br>Index (J) |
|-----------------------|---------|-------------|-----------------|-------------------|-------------|-------------|-----------------------|
| SINGLE DISEASE S      | SEVERIT | TY SCORES   |                 | -                 |             |             |                       |
| CURB-65               |         |             |                 |                   |             |             |                       |
| In-hospital mortality | 0.715   | 0.620-0.810 | < 0.0001        | 3.5               | 0.200       | 0.965       | 0.17                  |
|                       |         |             |                 | 2.5               | 0.571       | 0.746       | 0.32                  |
| 30-day mortality      | 0.650   | 0.553-0.746 | 0.0021          | 1.5               | 0.476       | 0.737       | 0.21                  |
| 90-day mortality      | 0.672   | 0.591-0.753 | < 0.0001        | 3.5               | 0.130       | 0.973       | 0.10                  |
|                       |         |             |                 | 2.5               | 0.464       | 0.882       | 0.25                  |
| NEWS-2                |         |             |                 |                   |             |             |                       |
| In-hospital mortality | 0.803   | 0.731-0.875 | < 0.0001        | 6.5               | 0.829       | 0.634       | 0.46                  |
| 30-day mortality      | 0.750   | 0.669-0.831 | < 0.0001        | 6.5               | 0.762       | 0.642       | 0.40                  |
| 90-day mortality      | 0.751   | 0.680-0.822 | < 0.0001        | 6.5               | 0.725       | 0.718       | 0.44                  |
| GAP                   |         |             |                 |                   |             |             |                       |
| In-hospital mortality | 0.604   | 0.491-0.717 | 0.0869          | 4.5               | 0.607       | 0.554       | 0.16                  |
| 30-day mortality      | 0.642   | 0.541-0.744 | 0.0151          | 3.5               | 0.871       | 0.353       | 0.22                  |
| 90-day mortality      | 0.564   | 0.471-0.658 | 0.1887          | 4.5               | 0.545       | 0.568       | 0.11                  |
| COMBINATION DI        | SEASE S | SEVERITY SC | ORES            |                   |             |             |                       |
| CURB-65 and NEWS-2    |         |             |                 |                   |             |             |                       |
| In-hospital mortality | 0.805   | 0.731-0.879 | < 0.0001        | 0.157             | 0.857       | 0.620       | 0.48                  |
| 30-day mortality      | 0.681   | 0.589-0.822 | < 0.0001        | 0.225             | 0.624       | 0.681       | 0.40                  |
| 90-day mortality      | 0.757   | 0.687-0.827 | < 0.0001        | 0.392             | 0.710       | 0.682       | 0.39                  |
| CURB-65 and GAP       |         |             |                 |                   |             |             |                       |
| In-hospital mortality | 0.764   | 0.671-0.856 | < 0.0001        | 0.207             | 0.679       | 0.739       | 0.41                  |
| 30-day mortality      | 0.761   | 0.677-0.846 | < 0.0001        | 0.140             | 0.903       | 0.504       | 0.41                  |
| 90-day mortality      | 0.727   | 0.646-0.809 | < 0.0001        | 0.335             | 0.673       | 0.663       | 0.34                  |
| NEWS-2 and GAP        |         |             |                 |                   |             |             |                       |
| In-hospital mortality | 0.802   | 0.745-0.895 | < 0.0001        | 0.239             | 0.679       | 0.802       | 0.48                  |
| 30-day mortality      | 0.810   | 0.732-0.888 | < 0.0001        | 0.177             | 0.839       | 0.639       | 0.48                  |
| 90-day mortality      | 0.752   | 0.675-0.828 | < 0.0001        | 0.342             | 0.782       | 0.642       | 0.43                  |

<sup>294</sup> 

AUC, area under curve; CI, confidence interval; GAP, Gender, Age, Physiology Score; ROC,

receiver operator characteristics. ROCs are provided within Supplementary Data 2 and 3.

297

#### 299

### Table 3: Association of baseline patient factors with in-hospital mortality and 90-day mortality following hospitalisation with ARD-ILD

#### 302 Cox proportional hazard regression univariate analysis

303

Analysis Factor Wald HR **P**-value 95% CI GAP score 0.365 1.089 0.5452 0.826-1.435 **Smoking Status** 3.102 2.022 0.0778 0.924-4.428 In hospital mortality CURB-65 score 3.163 1.565 0.0747 0.955-2.562 NEWS-2 score 3.841 1.188 0.0501 1.000-1.411 GAP score 1.020 0.773 0.3132 0.469-1.274 2.016 **Smoking Status** 1.676 0.1558 0.822-3.419 90-day mortality CURB-65 score 1.089 0.753 0.2967 0.442-1.283 NEWS-2 score 4.251 1.231 0.0391 1.010-1.501

304

305 CI, confidence interval; GAP, Gender, Age, Physiology Score; HR, hazard ratio.

#### 306 **DISCUSSION**

307 The identification of patients with poor prognosis among those with ARD-ILD remains a 308 significant challenge and there are limited data addressing the utility of illness severity scores 309 to predict outcomes of these patients. This prospective study suggests that, as a predictor of 310 mortality in this patient cohort, the NEWS-2 score has good discriminatory value for 311 predicting in-hospital mortality and moderate discriminatory value for predicting 90-day 312 mortality in patients with ARD-ILD. To our knowledge, this is the first prospective evidence 313 for utilising NEWS-2 as a tool to predict in-hospital and post hospitalisation morbidity. A 314 previous retrospective cohort study conducted at one of the study hospitals found that both 315 NEWS-2 and CURB-65 risk stratification tools were independent predictors of mortality in patients with IPF.<sup>4</sup> The current study therefore confirms the findings of this previous 316 317 retrospective cohort<sup>4</sup> and hence NEWS-2 may represent a simple tool to help prognostication. 318 Notably, the optimal derived cut-off value for NEWS-2 in predicting mortality across inpatient, 30- and 90-days was NEWS-2  $\geq$  6.5, the same in both this prospective patient 319 320 cohort and our previously reported retrospective cohort.<sup>4</sup>

321

322 The CURB-65 score was found to have little additional benefit for predicting mortality, either 323 as an individual predictor or when used in exploratory analyses with NEWS-2. Furthermore, 324 we found that CURB-65 was able to predict both 90-day and in-hospital mortality in ARD-325 ILD with high specificity but low sensitivity, in keeping with previous data from our IPF 326 cohort<sup>4</sup>. Aligning with these findings, Yamazaki et al found that CURB-65, Pneumonia 327 Severity Index (PSI) and Sequential Organ Failure Assessment (qSOFA) were all predictive of inpatient mortality from pneumonia in a retrospective study of 79 patients with IPF.<sup>22</sup> In 328 329 that study, the optimal CURB-65 cut-off value was  $\geq$  3.0, differing slightly from the value derived in our previous retrospective IPF cohort,<sup>4</sup> but contrasting with the optimal cut-off 330

 $\geq 2.5$  derived in this current prospective ILD cohort. Notably, the CURB-65 score did not add value to the ability of the NEWS-2 score to predict poor outcomes. In contrast, exploratory analyses indicate there is some evidence of increased predictive ability on outcomes when NEWS-2 and GAP scores are used in tandem. Overall, these findings from different centres and patient populations suggest that the disease severity scores show promise in predicting poor outcome following hospitalisation with acute respiratory deterioration.

337

338 As reported before the emergence of SARS-CoV-2, patients hospitalised with ARD-IPF had a poor prognosis.<sup>4,23–25</sup> The median survival in this cohort was 23 days, slightly shorter than 339 the survival times in the literature ranging between 1 and 4 months after AE-IPF.<sup>26–29</sup> Whilst 340 341 in-hospital and 90-day mortality rates were significantly higher in the IPF group than the non-342 IPF group, all admissions related to an ARD were associated with significant mortality; 24% 343 and 38% for 30- and 90-day mortality, respectively. Our in-hospital mortality data supports 344 early and frank discussions between patients/families and clinicians surrounding the high 345 mortality associated with ARD, even in the context of potentially 'treatable' causes e.g. 346 infection and pulmonary embolism. Furthermore, the high short-term mortality after 347 discharge may prompt clinicians to consider early discussions surrounding palliation and/or 348 transplantation in suitable candidates.

349

There were few admissions secondary to AE-ILD, which prevented further detailed analyses of any differences in the mortality between AE-ILD and other parenchymal causes of ARD. Teramachi *et al* showed 90-day mortality of AE-IPF patients was significantly higher than ARD due to other parenchymal causes (46% (16/35) vs 17% (12/71) respectively; P=0.002).<sup>14</sup> It is not possible to ascertain fully to what extent healthcare access and patient behaviour during the pandemic affected these outcomes. The Task Force for Lung Health

356 suggested that over a third of people with pre-existing lung problems felt pressure to avoid or delay seeking treatment.<sup>30</sup> Hence, patients may have delayed presentation to hospital. 357 358 Alternatively, the emergence of a novel pathogen may have affected outcomes of patients 359 with ARD, either directly or indirectly through changes in the epidemiology of other acute 360 respiratory infection, treatment pathways or other aspects of patient healthcare provision. In 361 non-ILD patients hospitalised with SARS-CoV-2 infection, NEWS-2 correlates moderately 362 well with severe outcomes such as ITU admission, positive airway pressure support or death; however, there were no such significant correlations for CURB-65 (P>0.05).<sup>31</sup> 363

364

This study has many strengths. It was undertaken as a prospective two site cohort study which screened hospital admissions for signs/symptoms of acute respiratory disease. This study therefore does not rely on ICD-10 coding or solely on data-linkage. There were minimal missing data, and the study includes adults who lack capacity to consent through a consultee and by specific authorisation to use certain data without consent, thereby ensuring full ascertainment of ARD-ILD during this period. The medical records were linked with community records to obtain detailed and accurate data for each study participant.

372

373 There are also some limitations of this study. First, we assessed ARD-ILD at both acute care 374 NHS hospitals in Bristol and 83% of this cohort is Caucasian; therefore, we cannot be sure 375 that results are generalisable to other patient populations. Second, we used vital statistics to 376 determine mortality, and did not ascertain the specific cause of death. Third, the number of 377 acute exacerbations in this cohort was low, we were therefore unable to confirm our previous 378 findings that mortality associated with ARD-ILD was high, irrespective of the underlying 379 cause for the deterioration. Lastly, it is difficult to determine whether healthcare access may 380 have affected time to hospitalisation and hence outcomes, as previously mentioned.

381

### 382 CONCLUSION

- 383 Simple illness severity scores may permit refinement of ARD management of ILD patients
- and if survival to discharge is achieved, permit early discussion with patients, referral to
- transplantation or palliative care planning as appropriate.

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482

#### 484 FIGURE LEGENDS

#### 485 Figure 1. Adults hospitalised with acute respiratory deterioration of interstitial lung

- 486 disease
- 487 (A) Flow diagram of study participants and (B) aetiology of acute respiratory deterioration of
- 488 Interstitial lung disease (ARD-ILD).
- 489 ARD; acute respiratory deterioration; AE-ILD, acute exacerbation of interstitial lung disease;
- 490 LRTI, lower respiratory tract infection; COVID-19, coronavirus disease 2019; HF, heart
- 491 failure.
- 492 +n=11 COVID-19 pneumonia, one aspiration pneumonia, one hospitalised pneumonia,
- 493 remainder deemed to be community acquired pneumonia
- 494 \*including 3 with symptomatic COVID-19 but no CXR infiltrates
- 495  $^{\text{ouclassified}}$  CT not performed and CRP <50.
- 496

#### 497 Figure 2: ARD-ILD survival curve analysis

- 498 Kaplan Meier survival curves for (A) in-hospital and (B) 90-day mortality, for patients
- 499 admitted with ARD-IPF (green line) and non-IPF ARD-ILD (blue line).

#### 500 AUTHOR CONTRIBUTIONS

- 501 CH, RW, PW, AF and SLB generated the research question and analysis plan. The data for
- this study was collected by the AvonCAP Research Team, CH, RW, JR, MGG and ZSB. CH,
- 503 MGG, ZSB and RW verified the data. RW, CH, PW, AF and SLB undertook data analysis.
- All authors were involved in the final manuscript preparation and its revisions before
- 505 publication. AF and SB provided oversight of the research.

506

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- 513 NHS Trust.

514

#### 515 **DATA SHARING**

- 516 The data used in this study are sensitive and cannot be made publicly available without
- 517 breaching patient confidentiality regulations. Therefore, individual participant data and a data
- 518 dictionary are not available to other researchers.

519

#### 520 **DECLARATION OF INTEREST**

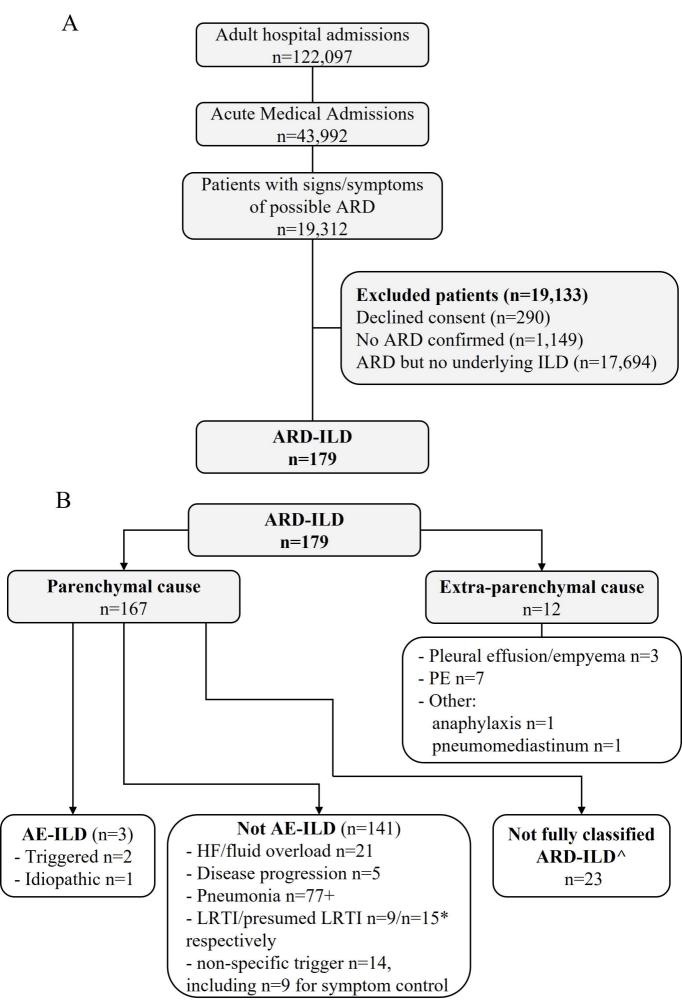
- 521 CH is Principal Investigator of the AvonCAP study, which is an investigator-led University
- of Bristol study funded by Pfizer, Inc, and is currently a member of the BTS Pulmonary
- 523 Infection Specialty Advisory Group (SAG). AF is a member of the Joint Committee on
- 524 Vaccination and Immunization (JCVI) and chair of the World Health Organization European

- 525 Technical Advisory Group of Experts (WHO ETAGE) committee. In addition to receiving
- 526 funding from Pfizer as Chief Investigator for this study, he leads another project investigating
- 527 transmission of respiratory bacteria in families jointly funded by Pfizer and the Gates The
- 528 other authors declare no competing interests.

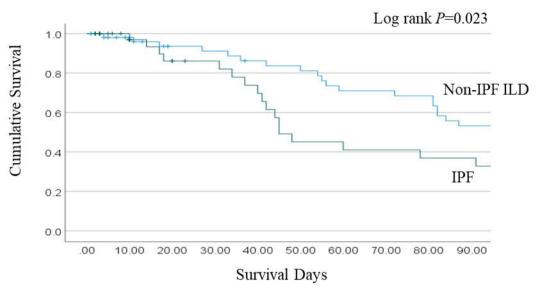
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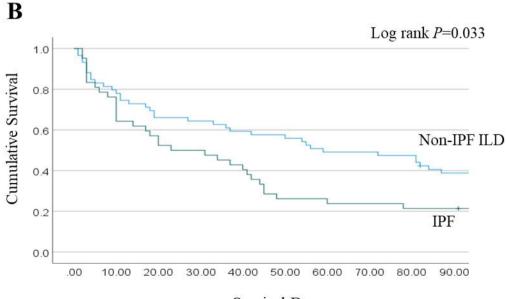
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| Julie CloakeClinical Research and Imaging Centre, UHBW NHS TrustMadeleine CloutBristol Vaccine Centre, University of BristolPip CroxfordNorth Bristol NHS TrustGillian EllsburyVaccines Medical Development, Scientific and Clinical Affairs,<br>Pfizer Inc, UKBradford GessnerVaccines Medical Development, Scientific and Clinical Affairs,<br>Pfizer Inc, UKNiall GraceBristol Vaccine Centre, University of BristolSharon GrayVaccines Medical Development, Scientific and Clinical Affairs,<br>Pfizer Inc, USAOliver GriffithsClinical Research and Imaging Centre, UHBW NHS TrustCharli GrimesNorth Bristol NHS TrustLucy GrimwoodBristol Vaccine Centre, University of BristolZsolt FriedrichNorth Bristol NHS TrustLeah FlemingBristol Vaccine Centre, University of BristolKazminder FoxClinical Research and Imaging Centre, UHBW NHS TrustMilo Jeenes-FlanaganBristol Vaccine Centre, University of Bristol  | James Campling         | ▲ · · · · · · · · · · · · · · · · · · ·   |
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| Pip CroxfordNorth Bristol NHS TrustGillian EllsburyVaccines Medical Development, Scientific and Clinical Affairs,<br>Pfizer Inc, UKBradford GessnerVaccines Medical Development, Scientific and Clinical Affairs,<br>Pfizer Inc, USANiall GraceBristol Vaccine Centre, University of BristolSharon GrayVaccines Medical Development, Scientific and Clinical Affairs,<br>Pfizer Inc, USAOliver GriffithsClinical Research and Imaging Centre, UHBW NHS TrustCharli GrimesNorth Bristol NHS TrustLucy GrimwoodBristol Vaccine Centre, University of BristolZsolt FriedrichNorth Bristol NHS TrustLeah FlemingBristol Vaccine Centre, University of BristolKazminder FoxClinical Research and Imaging Centre, UHBW NHS TrustMilo Jeenes-FlanaganBristol Vaccine Centre, University of Bristol   | Julie Cloake           | Clinical Research and Imaging Centre, UHBW NHS Trust                              |
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| Pfizer Inc, USANiall GraceBristol Vaccine Centre, University of BristolSharon GrayVaccines Medical Development, Scientific and Clinical Affairs,<br>Pfizer Inc, USAOliver GriffithsClinical Research and Imaging Centre, UHBW NHS TrustCharli GrimesNorth Bristol NHS TrustLucy GrimwoodBristol Vaccine Centre, University of BristolZsolt FriedrichNorth Bristol NHS TrustLeah FlemingBristol Vaccine Centre, University of BristolKazminder FoxClinical Research and Imaging Centre, UHBW NHS TrustMilo Jeenes-FlanaganBristol Vaccine Centre, University of Bristol  | Gillian Ellsbury       | -   |
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| Lana Ward            | North Bristol NHS Trust  |
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Survival Days