

# Use of Smart Loads for Power Quality Improvement

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**Abstract**—Electric spring (ES) was originally proposed as a distributed demand-side management technology for making noncritical loads adaptive to the availability of intermittent renewable power generation. The second generation of ES, fed with batteries (ES-2) and associated with a noncritical load, can form a new kind of combined smart load and distributed energy storage technology for smart grids. With its four-quadrant operation, ES-2 is able to offer ancillary grid services in addition to its major functions of voltage and frequency regulation. This paper presents the operating principles and the input current control of ES-2 for power quality improvement such as power factor correction and harmonics reduction. The operating principles and the proposed input current control have been verified with the experimental results obtained from a small-scale power grid. Another weak single-phase power system fed by intermittent wind power is set up to prove the combined operation of ES-2 for power quality improvement and ES-1 (ES with capacitor storage) for voltage stabilization. The experimental results show that ES-2 with input current control can carry out power quality improvement as its ancillary function.

**Index Terms**—Electric Springs (ESs), input current control, power quality, smart grids, smart loads.

## I. INTRODUCTION

THE Paris agreement on climate change has reaffirmed the goal of limiting global temperature rise below 2 degrees Celsius, while efforts are urged to keep the temperature rise preferably less than 1.5 degree Celsius. The use of renewable energy such as wind and solar power is an obvious choice adopted by many countries. But increasing use of intermittent renewable power gives rise to new instability issues in power grid. New control methodology is required to maintain instantaneous balance between power and demand. Smart grid technologies based on remote control and two-way communication [1], [2], real-time monitoring [3], flexible transmission [4], intelligent generation [5], and engaging demand [6] have been proposed. Among them, demand-side management for intelligent power consumption has attracted much attention.

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At present, various methods for demand-side management have been proposed. They can be classified into time-based strategies and incentive-based strategies [7]. In general, the demand-side technologies require the participation of end users in making the decision of whether to respond to requests broadcasted by grid operators [8]. One obvious drawback is that the demand response with human-in-loop cannot enact automatically and is thus insufficient in resisting unpredictable contingencies [9]. The energy storage system located at the demand side can smooth the demand profile and react fast to transient events, but it remains an expensive solution [10].

Based on power electronics technology, the electric spring (ES) offers a fast solution in addressing power quality issues on the demand side. It possesses a few distinctive features, including the abilities to: 1) conduct direct reactive power compensation in maintaining a stable mains voltage; 2) offer indirect active power control in allowing the demand to follow the availability of renewable generation in a continuous and instantaneous fashion; and 3) operate without critical communication infrastructure. The association of an ES with a noncritical load (such as an electric water heater) essentially turn the load into a smart load that consumes energy adaptively. In typical commercial and residential buildings, about 45% of loads can be considered as noncritical [11].

The ES-1 presented in [12] uses reactive power of the power inverter and modulates the active power of the noncritical load to stabilize the mains voltage. Its second version (ES-2) proposed in [13] has more diverse functions. By adding battery storage across the dc link, ES-2 possesses eight operating modes and can provide both active and reactive power compensations. ES-2 with its associated battery storage also forms a new kind of combined smart loads and distributed energy storage technology. Based on ES-2, the three-phase ES was first introduced in [14]. It was implemented to reduce the power imbalance in a three-phase four-wire power system and also retains the useful function of ES-1 for voltage stabilization. Since the first paper in ES appeared in 2012 [12], active research on this topic has been conducted in the U.K. [15]–[17], Denmark [18], [19], China [20], Singapore [21], [22], Middle East [23], [24], and India [25], [26].

The original uses of distributed ES focus primarily on the instantaneous balance of power supply and demand with the aims of mitigating voltage and frequency fluctuations caused by the injection of intermittent renewable energy into the power grid. This project explores new application potential of ES-2 in improving the power quality of the distribution (low-voltage) power grids, whilst retaining their original functions.

Unlike traditional flexible AC transmission (FACT) devices that are installed in a centralized manner for improving

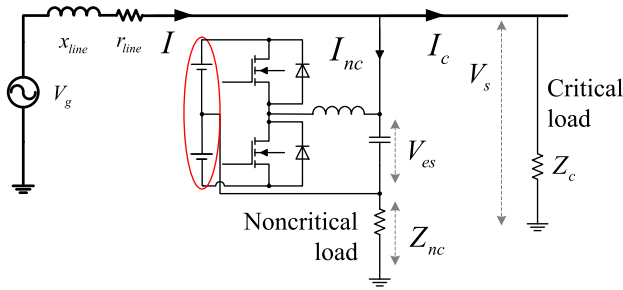


Fig. 1. Practical setup of ES-2.

87 power quality and controlling power flow in high-voltage  
 88 and medium voltage transmission networks [27]-[30], ES is a  
 89 distributed technology installed in the low-voltage distribution  
 90 networks. The ES deals with the power quality issues on the  
 91 demand side in a highly disturbed manner. Numerous ESs are  
 92 expected to be distributed over the power grid in order to  
 93 support system stability and provide power compensation (i.e.,  
 94 an analogy of using an array of mechanical springs to support  
 95 a mattress). Thus, ES-2 can be perceived as a decentralized  
 96 type of series power compensator that can voluntarily adapt the  
 97 power of noncritical load in maintaining the supply-to-demand  
 98 balance and possesses the power factor correction (PFC)  
 99 features. This paper is an extension of a conference paper  
 100 [31] and includes the full analysis and additional experimental  
 101 results. These new results confirm that the integration of ESs  
 102 and adaptive loads can perform PFC at both stable power grids  
 103 and weak power grids.

## II. PRINCIPLES OF THE ES FOR PFC

### A. Operating Modes of ES-2

106 ES-2 is formed by adding a battery across the dc link of  
 107 the original version (Fig. 1). Compared with ES-1 with  
 108 capacitors, ES-2 can generate a voltage with any arbitrary  
 109 phase angle, thereby allowing both active and reactive power  
 110 to be exchanged. Compared with ES-1, ES-2 can provide six  
 111 more operating modes in addition to inductive and capacitive  
 112 mode.

113 The typical setup of the ES in a simple distribution grid is  
 114 shown in Fig. 1. The operating mode of ES-2 is determined  
 115 by the phasor relationship of  $V_{es}$  and  $I_{nc}$ . For ES-1 with  
 116 capacitors,  $V_{es}$  can only be perpendicular to  $I_{nc}$ . However, for  
 117 ES-2,  $V_{es}$  can be in or out of phase with  $I_{nc}$  to give two more  
 118 primary operating modes: 1) negative-resistive mode when  
 119 an ES generates active power by discharging the batteries;  
 120 and 2) resistive mode when an ES active power by charging  
 121 the batteries. Thus, ES-2 possesses four primary operating  
 122 modes. Building upon this, four other secondary operating  
 123 modes, which are combinations of the four primary operat-  
 124 ing modes, are possible additions.

125 To simplify the discussion, the following assumptions are  
 126 made. In the distribution power system given in Fig. 1, the  
 127 mains voltage ( $V_s$ ) is considered to be constant, and the  
 128 noncritical load ( $Z_{nc}$ ) is resistive type. The operating mode of  
 129 ES-2 can thus be determined by observing the vector positions  
 130 of ES voltage ( $V_{es}$ ) and noncritical load voltage ( $V_{nc}$ ). From

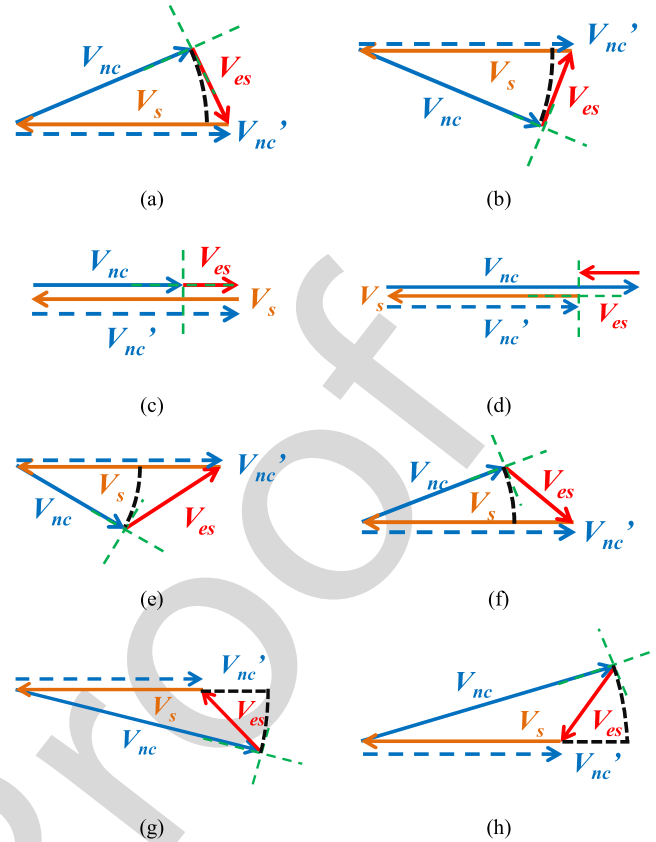


Fig. 2. Voltage vectors of the eight operating modes of ES-2. (a) Capacitive mode. (b) Inductive mode. (c) Resistive mode. (d) Negative resistive mode. (e) Inductive plus resistive mode. (f) Capacitive plus resistive mode. (g) Inductive plus negative resistive mode. (h) Capacitive plus negative resistive mode.

131 Fig. 2(a) and (b), pure capacitive and inductive modes can be  
 132 accomplished by setting  $V_{es}$  to be perpendicular to  $V_{nc}$  ( $V_{es}$   
 133 is  $90^\circ$  leading  $V_{nc}$  for inductive mode and  $90^\circ$  lagging  $V_{nc}$   
 134 for capacitive mode). For these operating modes, ES-2 only  
 135 exchanges reactive power with the power source. The original  
 136 noncritical load voltage ( $V'_{nc}$ , the blue-dotted vector when  
 137 ES-2 is absent), which is in opposite direction to the mains  
 138 voltage ( $V_s$ ), is relocated to a new position  $V_{nc}$  (solid  
 139 blue line) after the introduction of  $V_{es}$ . As a result,  $V_s$   
 140 is decomposed into  $V_{es}$  and  $V_{nc}$ , which reduces the active  
 141 power of noncritical loads. Thus, ES-2 in the inductive mode  
 142 can reduce active power and increase reactive power, while  
 143 ES-2 in the capacitive mode can reduce both active and  
 144 reactive power. Fig. 2(c) and (d) show the voltage vectors of  
 145 ES-2 working in resistive and negative-resistive modes. In both  
 146 the cases, only active power is exchanged between ES-2 and  
 147 the power source. The ES-2 in resistive mode introduces  $V_{es}$ ,  
 148 which suppresses  $V'_{nc}$  to  $V_{nc}$  and thus reduces the active power  
 149 of noncritical loads. In contrast,  $V_{es}$  of negative-resistive mode  
 150 increases  $V'_{nc}$  to  $V_{nc}$  and thus boosts the active power of  
 151 noncritical loads.

152 Based on these four primary operating modes, four hybrid  
 153 secondary operating modes would be possible, as shown in  
 154 Fig. 2(e)–(h), in which active and reactive power are simul-  
 155 taneously exchanged between ES-2 and the power source.

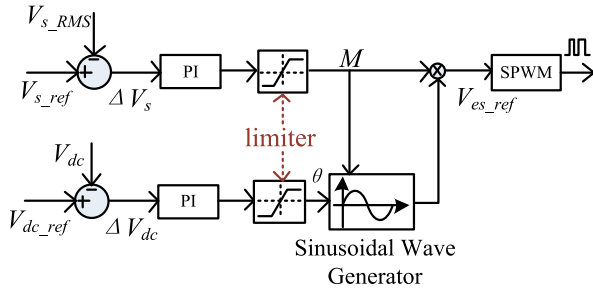


Fig. 3. Input voltage control of ES-1 [12].

Specifically, the four secondary modes are, namely, the resistive plus inductive mode, resistive plus capacitive mode, negative-resistive plus inductive mode, and negative-resistive plus capacitive mode. In all the eight operating modes, the introduction of the  $V_{es}$  can change the loading of the system and thus change the state of the line current.

### B. Principle of ES-2 for PFC

One particular application of ES-2 and its eight operating modes is PFC, which is used to minimize reactive power exchange by controlling the loading current to be in phase with the mains voltage. This technique is common in high-voltage transmissions with centralized compensation. In future smart grids, ES-2 can be installed in low-voltage distribution grid to perform the same task on the demand side.

The hardware implementation of ES-2 for PFC is shown in Fig. 1. The ES-2 here provides one regulated mains on its input and the other adaptive mains on its output. The noncritical load using the adaptive mains can help the utility companies to stabilize the power system and enhance the power quality. In the original ES implementation [12], the “input voltage control” is implemented to address the voltage fluctuation caused by intermittent renewable energy. The simplified control diagram is given in Fig. 3. Different from the ES-1 for voltage regulation, ES-2 is implemented with the “input current control” here to reduce the reactive power of the load bank.

In the setup shown in Fig. 1, the line current ( $I$ ) can be expressed as

$$I = \frac{V_s - V_{es}}{Z_{nc}} + \frac{V_s}{Z_c} \quad (1)$$

where  $I$  is the line current,  $V_s$  is the mains voltage,  $V_{es}$  is the output voltage of ES-2,  $Z_{nc}$  is the impedance of the noncritical load, and  $Z_c$  is the impedance of the critical load.

To further understand the relationship of  $I$  and  $V_{es}$ , the distribution line impedance ( $Z_{line}$ ) is taken into account, which leads to

$$V_s = \frac{V_g + \frac{Z_{line}}{Z_{nc}} V_{es}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} \quad (2)$$

$$I = \frac{\frac{1}{Z_c} + \frac{1}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} V_g + \left( \frac{\left( \frac{1}{Z_c} + \frac{1}{Z_{nc}} \right) \frac{Z_{line}}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} - \frac{1}{Z_{nc}} \right) V_{es}. \quad (3)$$

Equation (3) shows that in a power system with given  $Z_{nc}$ ,  $Z_c$ , and  $Z_{line}$ , and a stable mains voltage  $V_g$  (or in a weak power grid with unstable mains voltage, the ES is implemented to maintain a stable mains voltage), the ES voltage  $V_{es}$  can be modulated to compensate for the line current  $I$ .

To assist the control design, further mathematic analysis is conducted here. In a power system with fixed operating frequency (i.e.,  $f_s = 50$  Hz), all the parameters in fundamental frequency can be expressed in the form of vectors and be located in one synchronous frame. When  $V_g$  is chosen as the reference vector ( $V_g = |V_g| \angle 0^\circ$ ), these vectors can have constant amplitude and phase angle. By applying the notations given in (4) and (5), (3) is further transformed into (6) as

$$\frac{\frac{1}{Z_c} + \frac{1}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} = b_1 + b_2 j \quad (4)$$

$$\left( \frac{\left( \frac{1}{Z_c} + \frac{1}{Z_{nc}} \right) \frac{Z_{line}}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} - \frac{1}{Z_{nc}} \right) = b_3 + b_4 j \quad (5)$$

$$\begin{cases} I_{d\_1st} = b_1 V_g + b_3 V_{esd\_1st} - b_4 V_{esq\_1st} \\ I_{q\_1st} = b_2 V_g + b_3 V_{esq\_1st} + b_4 V_{esd\_1st}. \end{cases} \quad (6)$$

Equation (6) indicates that one single set of solution of fundamental active and reactive ES voltage ( $V_{esd\_1st}$  and  $V_{esq\_1st}$ ) can be determined with the given fundamental active and reactive line currents (i.e., the references of fundamental active and reactive line current,  $I_{d\_ref\_1st}$  and  $I_{q\_ref\_1st}$ ). In the control design, the fundamental active ES voltage ( $V_{esd\_1st}$ ) is used to regulate the  $d$  component of the fundamental line current ( $I_{d\_1st}$ ), and the fundamental reactive ES voltage ( $V_{esq\_1st}$ ) is left to compensate the  $q$  component of the fundamental line current ( $I_{q\_1st}$ ).

### C. Principle of ES-2 for PFC

The usefulness of ES-2 in compensating the line current can be extended to reduce the harmonics generated by nonlinear load that commonly has a front-end converter for power modulation. Examples of nonlinear loads include power supplies for telecom systems, banking machines, and servers. Obviously, certain nonlinear loads such as banking machine require a stable power supply and thus can be considered as critical loads. The ES and its associated noncritical load can be used to remove the adverse effects caused by nonlinear loads. The principle of using the ES to remove the harmonics in line current can be mathematically expressed by rewriting (1) in sinusoidal form and extending it with the consideration of the harmonics. To simplify the analysis, noncritical load is considered as pure resistive load in (7), as shown at the bottom of the next page, in which  $i$  denotes the order of harmonics,  $\omega$  denotes the fundamental frequency,  $\theta_I$ ,  $\theta_{V_s}$ ,  $\theta_{V_{es\_i}}$ ,  $\theta_{I_{c\_i}}$  are, respectively the phase angle of line current, mains voltage, ES voltage, and critical load current.

To mitigate the harmonics, the second part on the right-hand side of (7) must be equal to zero, so that  $I$  contains only a fundamental component. Thus, this requires ES-2 to generate corresponding voltage harmonics, which are of the same orders as the harmonics in  $I_c$ . The remaining first part

244 on the left-hand side of (7) represents the filtered line current  
245 at the fundamental frequency.

#### 246 D. Load-Dependent Characteristics

247 The above assumption of noncritical load as pure resistive  
248 load is not a necessary condition in implementing an ES  
249 system [15]–[17]. Here, (1) is rewritten as (8) to evaluate the  
250 impact of noncritical loads on the operation of the ES

$$251 \quad I = \left( \frac{1}{Z_{nc}} + \frac{1}{Z_c} \right) \cdot V_s - \frac{1}{Z_{nc}} \cdot V_{es} \quad (8)$$

252 The second part on the right-hand side of (8) indicates the  
253 variable part of noncritical current ( $\Delta I_{nc}$ ) with respect to the  
254 ES voltage. In the synchronous frame established in Section  
255 II-B, the second part on the right-hand side of (8) can be  
256 rewritten as

$$257 \quad \begin{cases} \Delta I_{nc_d} = \frac{1}{|Z_{nc}|} \left( -PF \cdot V_{es_d} - \sqrt{1 - PF^2} \cdot V_{es_q} \right) \\ \Delta I_{nc_q} = \frac{1}{|Z_{nc}|} \left( \sqrt{1 - PF^2} \cdot V_{es_d} - PF \cdot V_{es_q} \right) \end{cases} \quad (9)$$

258 if the power factor of the noncritical load is considered.

259 Equation (9) further gives

$$260 \quad \Delta I_{nc_d}^2 + \Delta I_{nc_q}^2 = \frac{V_{es}^2}{|Z_{nc}|^2} \quad (10)$$

261 From (9) and (10), two general conclusions can be drawn  
262 on the impact of noncritical load on the operation of the ES  
263 system as follows.

- 264 1) The power factor of noncritical load has no impact on  
265 the dispatchable range of noncritical load current. The  
266 amplitudes of ES voltage and load impedance determine  
267 the boundary of this dispatchable range.
- 268 2) The power factor of the noncritical load determines the  
269 weighting of  $V_{es_d}$  and  $V_{es_q}$  on  $\Delta I_{nc_d}$  and  $\Delta I_{nc_q}$ . For  
270 the case of pure resistive load,  $\Delta I_{nc_d}$  and  $\Delta I_{nc_q}$  are,  
271 respectively, dependent on  $V_{es_d}$  and  $V_{es_q}$ .

### 272 III. INPUT CURRENT CONTROL OF ES-2 FOR POWER 273 QUALITY IMPROVEMENT

#### 274 A. Structure of Input Current Controller

275 Based on the above discussion, an input current controller  
276 should be used for ES-2 in regulating the line current. The  
277 complete input current control consists of two parts, one for  
278 reactive power compensation and the other for harmonics  
279 cancellation. These two parts can operate independently or  
280 collectively.

281 The first part for reactive power compensation is high-  
282 lighted with the blue rectangle, as shown in Fig. 4. A fast  
283 Fourier transformation (FFT) is set up to derive the frequency

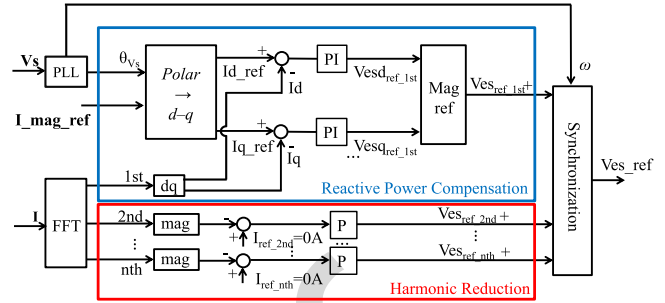


Fig. 4. Control diagram of ES for power quality improvement.

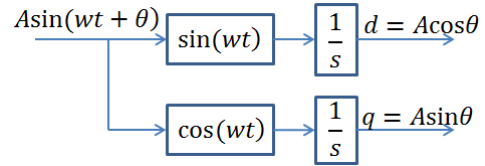


Fig. 5.  $d$ - $q$  transformation.

284 sequences of line current. The fundamental line current is  
285 fed back and decomposed into active ( $I_{d\_1st}$ ) and reactive  
286 ( $I_{q\_1st}$ ) current. Equations (11) and (12) give the mathematical  
287 expressions of the “polar to  $d$ - $q$ ” transformation, and Fig. 5  
288 shows the corresponding block diagram

$$289 \quad d = A \cos \theta = \frac{2}{T} \int_{T_n}^{T_{n+1}} A \sin(\omega t + \theta) \sin(\omega t) dt \quad (11)$$

$$290 \quad q = A \sin \theta = \frac{2}{T} \int_{T_n}^{T_{n+1}} A \sin(\omega t + \theta) \cos(\omega t) dt. \quad (12)$$

291 To minimize the reactive power consumption of the load  
292 bank, the reference of reactive line current ( $I_{q\_ref\_1st}$ ) is set to  
293 be zero ( $I_{q\_ref\_1st} = 0$  A). The reference of active line current  
294 ( $I_{d\_ref\_1st}$ ) is set to keep the total active power unchanged.  
295  $I_{d\_1st}$  and  $I_{q\_1st}$  are compared with their references ( $I_{d\_ref\_1st}$   
296 and  $I_{q\_ref\_1st}$ ). Two PI controllers are set up to process the  
297 error of the respective active and reactive current in generating  
298 the fundamental active and reactive ES voltage reference  
299 ( $V_{esd\_ref\_1st}$  and  $V_{esq\_ref\_1st}$ ). The mathematical expressions of  
300 the control are given as

$$301 \quad V_{esd\_ref\_1st} = (K_{p\_Id} + K_{i\_Id}/s) \cdot (I_{d\_ref\_1st} - I_{d\_1st}) \quad (13)$$

$$302 \quad V_{esq\_ref\_1st} = (K_{p\_Iq} + K_{i\_Iq}/s) \cdot (I_{q\_ref\_1st} - I_{q\_1st}). \quad (14)$$

303 The control loops inside the red box in Fig. 4 are the part  
304 of the input current control for harmonics mitigation. The  
305 harmonic currents in the second and higher orders acquired  
306 by the FFT block are sent to the controller. To remove the  
307 harmonics contained in line current, the amplitude of all the

$$\sum_{i=1}^n I_{ith} \sin(i\omega t + \theta_{I_{ith}}) = \left( \frac{V_s \sin(\omega t + \theta_{V_s}) - V_{es\_1st} \sin(\omega t + \theta_{V_{es\_1st}})}{R_{nc}} + I_{c\_1st} \sin(\omega t + \theta_{I_{c\_1st}}) \right) + \left( -\frac{1}{R_{nc}} \sum_{i=2}^n V_{es\_ith} \sin(i\omega t + \theta_{V_{es\_ith}}) + \sum_{i=2}^n I_{c\_ith} \sin(i\omega t + \theta_{I_{c\_ith}}) \right) \quad (7)$$

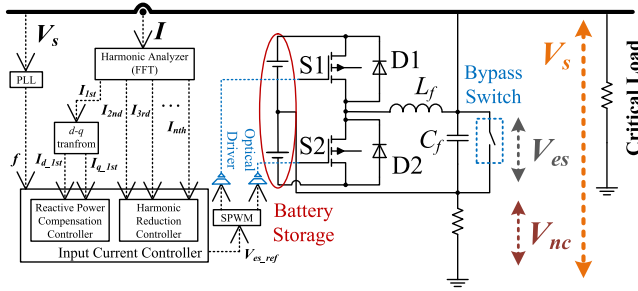


Fig. 6. Hardware implementation of an input current controller.

TABLE I  
SPECIFICATIONS OF ES-2 HARDWARE

| Descriptions        | Parameters    | Values                                         |
|---------------------|---------------|------------------------------------------------|
| Switching frequency | $f_{switch}$  | 20 kHz                                         |
| MOSFET switches     | $S_1, S_2$    | IRFP30N50                                      |
| Filter inductor     | $L_f$         | $L = 500 \mu\text{H}$                          |
| Filter capacitor    | $C_f$         | $C = 13.2 \mu\text{F}$                         |
| Battery voltage     | $V_{battery}$ | $2 \times 125 \text{ V}, 5\text{AH Lead-Acid}$ |
| Sampling frequency  | $f_s$         | 10 kHz                                         |

308 harmonic current references in the second and higher orders  
 309 are set to zero ( $I_{ref\_2nd} = 0 \text{ A}, I_{ref\_3rd} = 0 \text{ A}, \dots, I_{ref\_nth} =$   
 310  $0 \text{ A}$ ). The phasor information of these harmonic currents  
 311 is fed back to the synchronization stage. P controllers are  
 312 implemented to process the errors of the amplitude of the  
 313 harmonic currents in deriving the ES voltage references in  
 314 the second and higher orders. The general control for the  
 315 mitigation of current harmonics is mathematically presented as

$$316 V_{es\_ref\_ith} = K_{p\_ith} \cdot (I_{ref\_ith} - I_{ith}), \quad i = 2, 3, 4, \dots, n. \quad (15)$$

### 317 B. Hardware Implementation of Input Current Controller

318 A prototype of the ES-2 system with the implementation  
 319 of the “input current control” is developed. The hardware  
 320 structure is shown in Fig. 6. The power converter used for ES-  
 321 2 is a half-bridge inverter with batteries on dc link and with  
 322 an LC output filter. In the hardware setup, a relay is connected  
 323 across the output capacitor to bypass ES. This arrangement can  
 324 show the different conditions in the system before and after  
 325 ES-2 is switched ON. Blocks including feedback processing  
 326 block, phase lock loop for synchronization,  $d - q$   
 327 transformation block for decoupling, and sinusoidal pulsewidth  
 328 modulation for inverter control are also set up. The controller  
 329 is implemented in dSpace 1104. The sampling frequency is  
 330 set to be 10 kHz. The PWM pulse has a switching frequency  
 331 of 20 kHz and a deadband of 20 nS. The specifications of the  
 332 hardware setup are given in Table I.

## 333 IV. EXPERIMENTAL RESULTS

### 334 A. ES-2 for Reactive Power Compensation in a Stiff Power 335 System

336 A low-voltage single-phase power system is set up as shown  
 337 in Fig. 7. It consists of a constant ac power source, a short

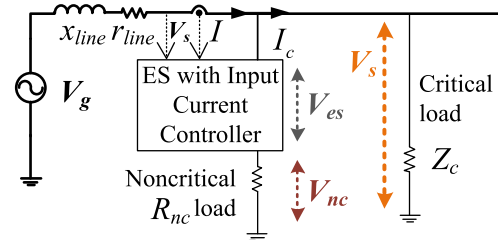


Fig. 7. Experimental setup.

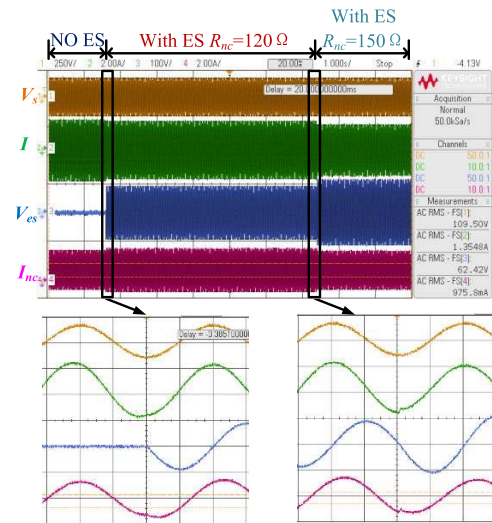
TABLE II  
SPECIFICATIONS OF EXPERIMENTAL SETUP

| Descriptions                | Parameters | Values                                             |
|-----------------------------|------------|----------------------------------------------------|
| Power source voltage        | $V_g$      | 110 V (RMS)                                        |
| Distribution line impedance | $Z_{line}$ | $line = 0.2 \Omega, x_{line} = 0.8 \Omega$         |
| Noncritical load 1          | $R_{nc1}$  | 120 $\Omega$                                       |
| Noncritical load 2          | $R_{nc2}$  | 150 $\Omega$                                       |
| Critical load 1             | $Z_{c1}$   | $220 + j220 \Omega$<br>(capacitive-resistive type) |
| Critical load 2             | $Z_{c2}$   | $220 - j220 \Omega$<br>(inductive-resistive type)  |

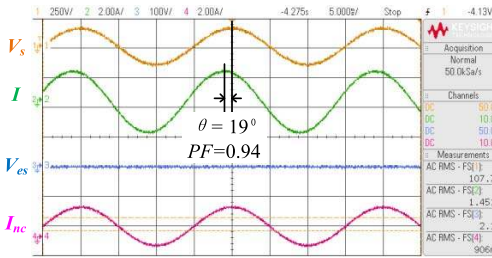
338 distribution line, a resistive noncritical load, an ES-2, and  
 339 a critical load. Specifications of the setup can be found in  
 340 Table II. The ES-2 is programmed to perform reactive power  
 341 compensation with the input current control. A capacitive-  
 342 resistive and an inductive-resistive critical load with low PF are  
 343 used, respectively, to examine the reactive power compensation  
 344 capability of ES-2. In the middle of operations, the noncritical  
 345 load is changed from 120 to 150  $\Omega$  for evaluating the dynamic  
 346 response of the ES system.

347 Measured waveforms of the operating states of the sys-  
 348 tem with a capacitive-resistive critical load are recorded in  
 349 Fig. 8(a). The enlarged waveforms of the two transient states  
 350 when ES-2 is turned ON and the noncritical load is changed  
 351 from 120 to 150  $\Omega$  are also included. It can be observed that  
 352 the ES can react fast enough to the change in noncritical  
 353 load. Measured waveforms of the first steady states of the  
 354 system when ES-2 is inactivated are shown in Fig. 8(b).  
 355 The system has a PF of 0.94 (leading). Measurements of the  
 356 second steady states of the system are shown in Fig. 8(c).  
 357 ES-2 operates in inductive mode to compensate the phase  
 358 angle of line current ( $I$ ) to  $1^\circ$ , and the PF of the system is  
 359 corrected to 0.99 (leading). Measurements of the third steady  
 360 states are recorded in Fig. 8(d) to show the operation of the ES  
 361 system after the noncritical load is changed to 150  $\Omega$ . The PF  
 362 remains compensated to 0.99 (leading), when ES-2 operates  
 363 in inductive mode and generates a compensation voltage with  
 364 a larger RMS value to match the new load condition.

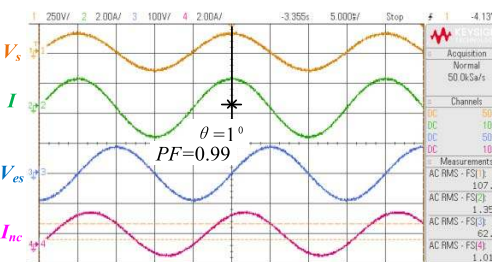
365 The second experiment is conducted with an inductive-  
 366 resistive critical load. ES-2 is turned ON to correct PF to  
 367 be close to 1.0. Fig. 9(a) shows the measured waveforms  
 368 of operating states of the ES-2 system and the enlarged  
 369 waveforms of two transient states when ES-2 is turned ON and  
 370 the noncritical load is changed from 120 to 150  $\Omega$ . In the first



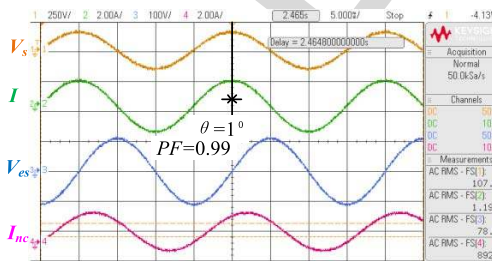
(a)



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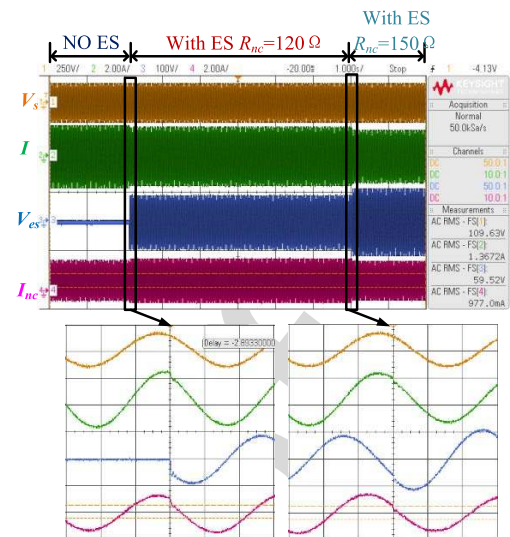


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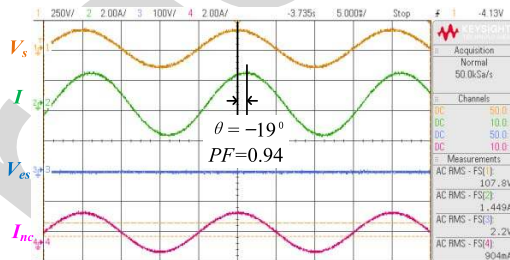


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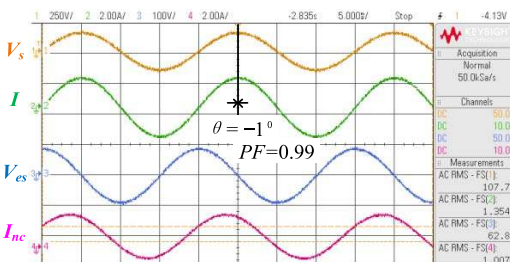
Fig. 8. (a) Captured waveforms of operating states and enlarged transient states for capacitive-resistive load. (b) Captured waveforms of the steady states without ES-2. (c) Captured waveforms of the steady states with ES-2 ( $R_{nc1} = 120 \Omega$ ). (d) Captured waveforms of the steady states with ES-2 ( $R_{nc2} = 150 \Omega$ ).



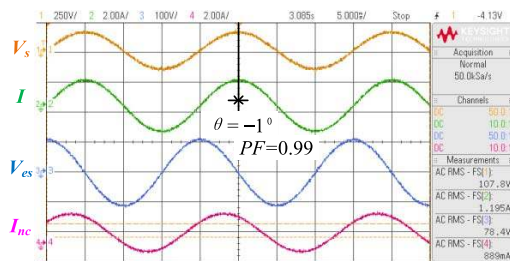
(a)



(b)



(c)



(d)

Fig. 9. (a) Captured waveforms of operating states and enlarged transient states for inductive-resistive load. (b) Captured waveforms of the steady states without ES-2. (c) Captured waveforms of the steady states with ES-2 ( $R_{nc1} = 120 \Omega$ ). (d) Captured waveforms of the steady states with ES-2 ( $R_{nc2} = 150 \Omega$ ).

371 steady states shown in Fig. 9(a), the system is uncompensated  
 372 and has PF of 0.94 (lagging). In the second steady states  
 373 shown in Fig. 9(b), ES-2 operates in capacitive mode to correct  
 374 the PF of the system to 0.99 (lagging). Measurements of the  
 375 third steady states of the system after the noncritical load are

376 changed to  $150 \Omega$  are shown in Fig. 9(c). The PF of the system  
 377 remains at 0.99 (lagging), when ES-2 operates in capacitive  
 378 mode and generates a compensation voltage with a larger RMS  
 379 value to match the new noncritical load condition.

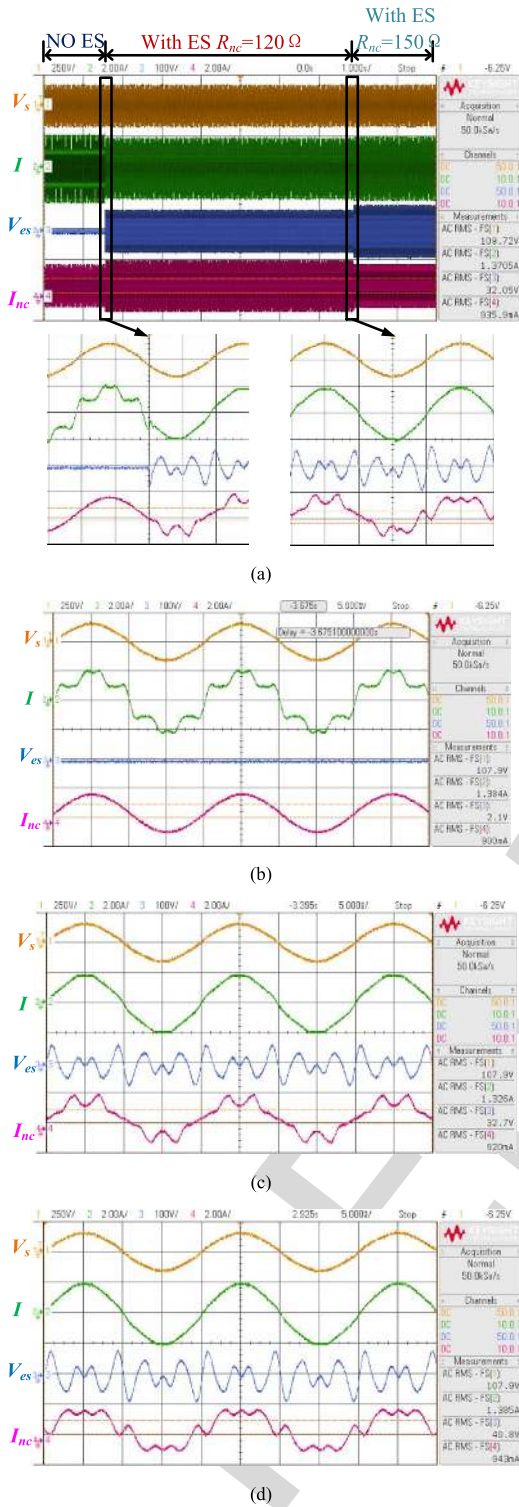


Fig. 10. (a) Captured waveforms of operating states and enlarged transient states for nonlinear load. (b) Captured waveforms of the steady states without ES-2. (c) Captured waveforms of the steady states with ES-2 ( $R_{nc1} = 120\Omega$ ). (d) Captured waveforms of the steady states with ES-2 ( $R_{nc2} = 150\Omega$ ).

B. ES-2 for Harmonic Reduction

This experiment shows the validity of ES-2 in reducing current harmonics. The input current controller modulates the ES-2 voltage to filter line current to be near-sinusoidal. In the middle of the operation, the noncritical load is changed from

TABLE III  
SPECIFICATIONS OF POWER SYSTEM WITH TWO ESs

| Descriptions                | Parameters | Values                                       |
|-----------------------------|------------|----------------------------------------------|
| Power source voltage        | $V_g$      | 230 V (RMS)                                  |
| Distribution line impedance | $Z_{line}$ | $r_{line} = 0.2\Omega, x_{line} = 0.8\Omega$ |
| Noncritical load 1          | $R_{nc1}$  | 77 $\Omega$                                  |
| Noncritical load 2          | $R_{nc2}$  | 77 $\Omega$                                  |
| Critical load               | $Z_c$      | -j116 $\Omega$ (inductive)                   |

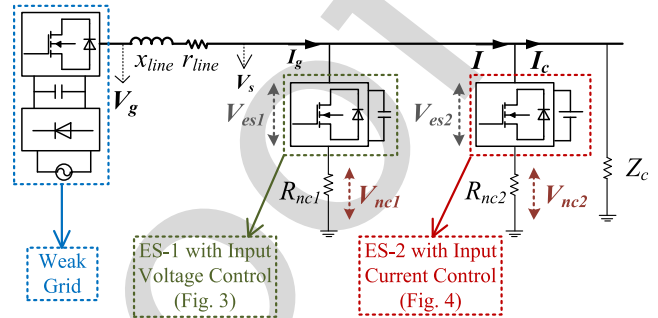


Fig. 11. Experimental setup.

120 to 150  $\Omega$  to test the dynamic response of the ES-2 system. Measurements of the operating states of the system are shown in Fig. 10(a). The waveforms of the two transient states when the ES is activated and the noncritical load is changed are enlarged. It can be observed that the ES system reacts fast enough to cope with the sudden change in load condition. Fig. 10(b) shows the measurements of the first steady states of the system before ES-2 is turned ON. The line current ( $I$ ) is severely distorted due to the implementation of the nonlinear load. Measured waveforms of the second steady states of the system when the ES is activated are shown in Fig. 10(c). ES-2 generates the counteracting harmonic voltage to compensate the nonlinear load. The waveform of the line current ( $I$ ) is significantly improved. Measurements of the third steady states after the noncritical load is changed are shown in Fig. 10(d). The ES-2 generates a harmonic voltage with a larger RMS value to match the changed noncritical load condition.

C. Combined Operation of ES-1 and ES-2

In this experiment, a weak grid with unstable mains voltage is emulated with a programmable power source. A large critical inductive load is used to simulate a power grid with a low power factor. Two ESs (ES-1 and ES-2) associated with their respective noncritical loads are set up as shown in Fig. 11. Both noncritical loads are assumed to be of resistive type. ES-1 is used to reduce the mains voltage fluctuation. The control algorithm shown in Fig. 3 is adopted here [12]. ES-2 implemented with the input current control is used to improve the power factor. The specifications of the system are given in Table III.

The experimental results in Fig. 12 repeat the useful function of ES-1 to stabilize the mains voltage. Fig. 13 shows the power of critical load. It can be seen that the fluctuation of

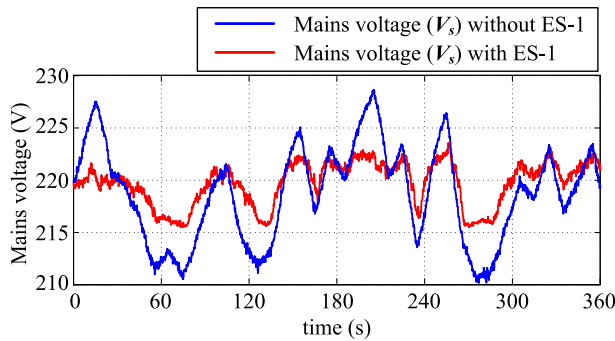


Fig. 12. Mains voltage with and without ES-1 for voltage stabilization.

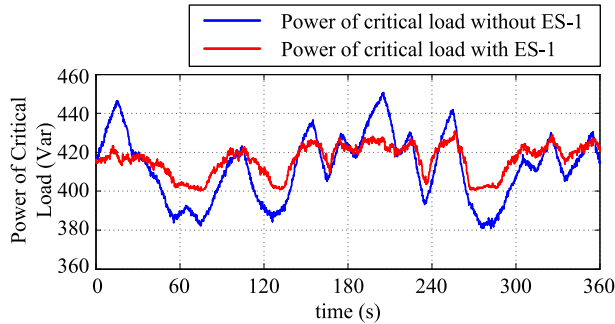


Fig. 13. Power consumption of critical load with and without ES-1.

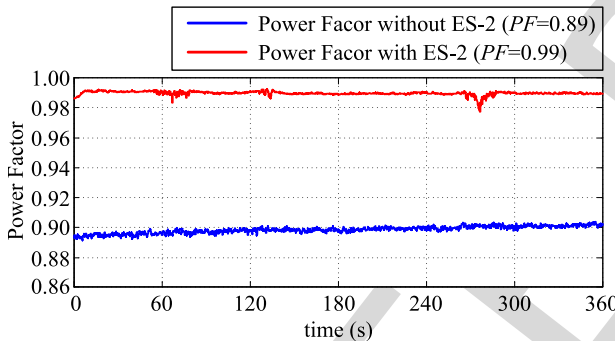


Fig. 14. Power factor with and without ES-2.

mains voltage is flattened and a stable power supply for critical load is guaranteed after ES-1 is switched ON.

With the stabilized mains voltage, ES-2 can carry out PFC using the input current control. Fig. 14 shows that the PF of the load bank is improved from 0.89 (lagging) to 0.99 (lagging). Thus, it can be confirmed that the joint operation of ES-1 and ES-2 is helpful in improving grid stability and enhancing power quality.

## V. CONCLUSION

The use of ESs for stabilizing the mains voltage and frequency in microgrids has previously been reported. This approach forms a new kind of combined smart load and distributed energy storage technology. This project explores the additional functions of ES-2 associated with batteries for power quality improvements. The principles and operations of ES with active power sources are analyzed for providing power quality improvement while maintaining the mains voltage stabilization. A design of an input current controller allowing the ES to operate like a power factor corrector is

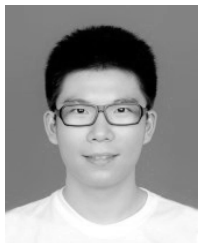
presented and practically verified. The joint operation of ES-1 in stabilizing the mains voltage and ES-2 in performing PFC has been experimentally confirmed in a single-phase hardware power system setup. The experimental results indicate the promising performance of the ES technology as a future distributed demand-side management and distributed energy storage solution.

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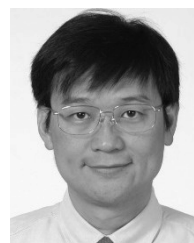


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Dr. Hui is a Fellow of the Australian Academy of Technology and Engineering and the Royal Academy of Engineering, U.K.

# Use of Smart Loads for Power Quality Improvement

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**Abstract**—Electric spring (ES) was originally proposed as a distributed demand-side management technology for making noncritical loads adaptive to the availability of intermittent renewable power generation. The second generation of ES, fed with batteries (ES-2) and associated with a noncritical load, can form a new kind of combined smart load and distributed energy storage technology for smart grids. With its four-quadrant operation, ES-2 is able to offer ancillary grid services in addition to its major functions of voltage and frequency regulation. This paper presents the operating principles and the input current control of ES-2 for power quality improvement such as power factor correction and harmonics reduction. The operating principles and the proposed input current control have been verified with the experimental results obtained from a small-scale power grid. Another weak single-phase power system fed by intermittent wind power is set up to prove the combined operation of ES-2 for power quality improvement and ES-1 (ES with capacitor storage) for voltage stabilization. The experimental results show that ES-2 with input current control can carry out power quality improvement as its ancillary function.

**Index Terms**—Electric Springs (ESs), input current control, power quality, smart grids, smart loads.

## I. INTRODUCTION

THE Paris agreement on climate change has reaffirmed the goal of limiting global temperature rise below 2 degrees Celsius, while efforts are urged to keep the temperature rise preferably less than 1.5 degree Celsius. The use of renewable energy such as wind and solar power is an obvious choice adopted by many countries. But increasing use of intermittent renewable power gives rise to new instability issues in power grid. New control methodology is required to maintain instantaneous balance between power and demand. Smart grid technologies based on remote control and two-way communication [1], [2], real-time monitoring [3], flexible transmission [4], intelligent generation [5], and engaging demand [6] have been proposed. Among them, demand-side management for intelligent power consumption has attracted much attention.

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At present, various methods for demand-side management have been proposed. They can be classified into time-based strategies and incentive-based strategies [7]. In general, the demand-side technologies require the participation of end users in making the decision of whether to respond to requests broadcasted by grid operators [8]. One obvious drawback is that the demand response with human-in-loop cannot enact automatically and is thus insufficient in resisting unpredictable contingencies [9]. The energy storage system located at the demand side can smooth the demand profile and react fast to transient events, but it remains an expensive solution [10].

Based on power electronics technology, the electric spring (ES) offers a fast solution in addressing power quality issues on the demand side. It possesses a few distinctive features, including the abilities to: 1) conduct direct reactive power compensation in maintaining a stable mains voltage; 2) offer indirect active power control in allowing the demand to follow the availability of renewable generation in a continuous and instantaneous fashion; and 3) operate without critical communication infrastructure. The association of an ES with a noncritical load (such as an electric water heater) essentially turn the load into a smart load that consumes energy adaptively. In typical commercial and residential buildings, about 45% of loads can be considered as noncritical [11].

The ES-1 presented in [12] uses reactive power of the power inverter and modulates the active power of the noncritical load to stabilize the mains voltage. Its second version (ES-2) proposed in [13] has more diverse functions. By adding battery storage across the dc link, ES-2 possesses eight operating modes and can provide both active and reactive power compensations. ES-2 with its associated battery storage also forms a new kind of combined smart loads and distributed energy storage technology. Based on ES-2, the three-phase ES was first introduced in [14]. It was implemented to reduce the power imbalance in a three-phase four-wire power system and also retains the useful function of ES-1 for voltage stabilization. Since the first paper in ES appeared in 2012 [12], active research on this topic has been conducted in the U.K. [15]–[17], Denmark [18], [19], China [20], Singapore [21], [22], Middle East [23], [24], and India [25], [26].

The original uses of distributed ES focus primarily on the instantaneous balance of power supply and demand with the aims of mitigating voltage and frequency fluctuations caused by the injection of intermittent renewable energy into the power grid. This project explores new application potential of ES-2 in improving the power quality of the distribution (low-voltage) power grids, whilst retaining their original functions.

Unlike traditional flexible AC transmission (FACT) devices that are installed in a centralized manner for improving

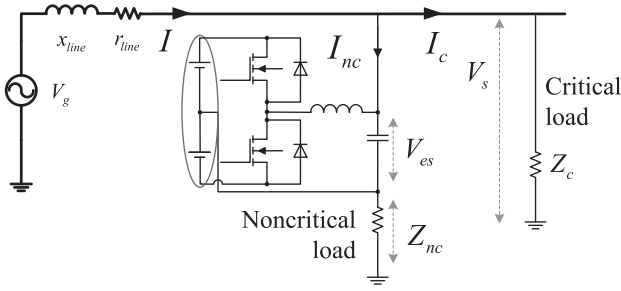


Fig. 1. Practical setup of ES-2.

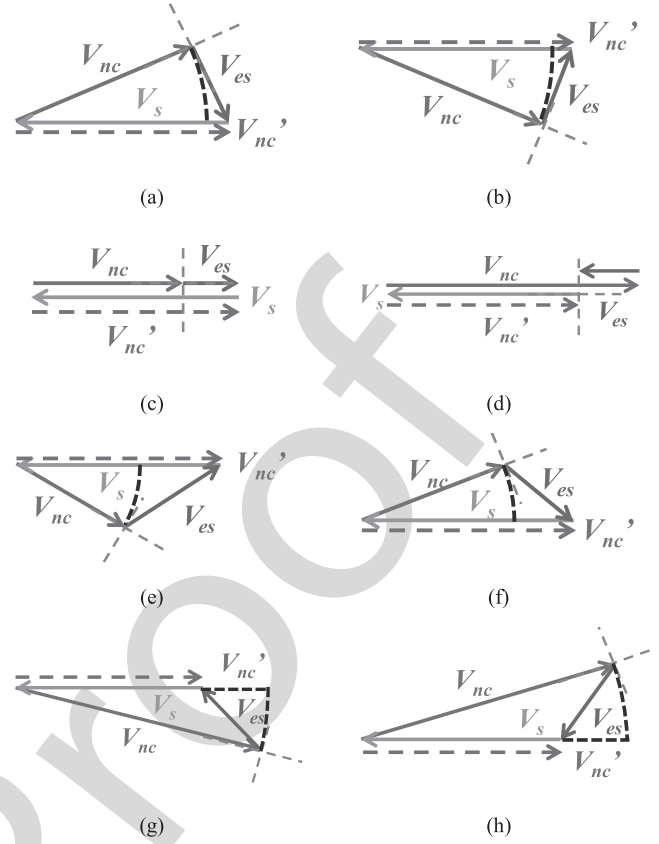


Fig. 2. Voltage vectors of the eight operating modes of ES-2. (a) Capacitive mode. (b) Inductive mode. (c) Resistive mode. (d) Negative resistive mode. (e) Inductive plus resistive mode. (f) Capacitive plus resistive mode. (g) Inductive plus negative resistive mode. (h) Capacitive plus negative resistive mode.

## II. PRINCIPLES OF THE ES FOR PFC

### A. Operating Modes of ES-2

ES-2 is formed by adding a battery across the dc link of the original version (Fig. 1). Compared with ES-1 with capacitors, ES-2 can generate a voltage with any arbitrary phase angle, thereby allowing both active and reactive power to be exchanged. Compared with ES-1, ES-2 can provide six more operating modes in addition to inductive and capacitive mode.

The typical setup of the ES in a simple distribution grid is shown in Fig. 1. The operating mode of ES-2 is determined by the phasor relationship of  $V_{es}$  and  $I_{nc}$ . For ES-1 with capacitors,  $V_{es}$  can only be perpendicular to  $I_{nc}$ . However, for ES-2,  $V_{es}$  can be in or out of phase with  $I_{nc}$  to give two more primary operating modes: 1) negative-resistive mode when an ES generates active power by discharging the batteries; and 2) resistive mode when an ES active power by charging the batteries. Thus, ES-2 possesses four primary operating modes. Building upon this, four other secondary operating modes, which are combinations of the four primary operating modes, are possible additions.

To simplify the discussion, the following assumptions are made. In the distribution power system given in Fig. 1, the mains voltage ( $V_s$ ) is considered to be constant, and the noncritical load ( $Z_{nc}$ ) is resistive type. The operating mode of ES-2 can thus be determined by observing the vector positions of ES voltage ( $V_{es}$ ) and noncritical load voltage ( $V_{nc}$ ). From

Fig. 2(a) and (b), pure capacitive and inductive modes can be accomplished by setting  $V_{es}$  to be perpendicular to  $V_{nc}$  ( $V_{es}$  is  $90^\circ$  leading  $V_{nc}$  for inductive mode and  $90^\circ$  lagging  $V_{nc}$  for capacitive mode). For these operating modes, ES-2 only exchanges reactive power with the power source. The original noncritical load voltage ( $V'_{nc}$ , the blue-dotted vector when ES-2 is absent), which is in opposite direction to the mains voltage ( $V_s$ ), is relocated to a new position  $V_{nc}$  (solid blue line) after the introduction of  $V_{es}$ . As a result,  $V_s$  is decomposed into  $V_{es}$  and  $V_{nc}$ , which reduces the active power of noncritical loads. Thus, ES-2 in the inductive mode can reduce active power and increase reactive power, while ES-2 in the capacitive mode can reduce both active and reactive power. Fig. 2(c) and (d) show the voltage vectors of ES-2 working in resistive and negative-resistive modes. In both the cases, only active power is exchanged between ES-2 and the power source. The ES-2 in resistive mode introduces  $V_{es}$ , which suppresses  $V'_{nc}$  to  $V_{nc}$  and thus reduces the active power of noncritical loads. In contrast,  $V_{es}$  of negative-resistive mode increases  $V'_{nc}$  to  $V_{nc}$  and thus boosts the active power of noncritical loads.

Based on these four primary operating modes, four hybrid secondary operating modes would be possible, as shown in Fig. 2(e)–(h), in which active and reactive power are simultaneously exchanged between ES-2 and the power source.

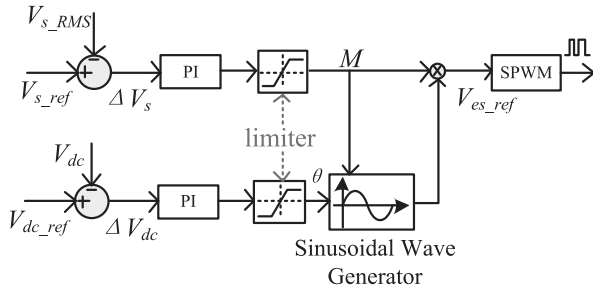


Fig. 3. Input voltage control of ES-1 [12].

Specifically, the four secondary modes are, namely, the resistive plus inductive mode, resistive plus capacitive mode, negative-resistive plus inductive mode, and negative-resistive plus capacitive mode. In all the eight operating modes, the introduction of the  $V_{es}$  can change the loading of the system and thus change the state of the line current.

### B. Principle of ES-2 for PFC

One particular application of ES-2 and its eight operating modes is PFC, which is used to minimize reactive power exchange by controlling the loading current to be in phase with the mains voltage. This technique is common in high-voltage transmissions with centralized compensation. In future smart grids, ES-2 can be installed in low-voltage distribution grid to perform the same task on the demand side.

The hardware implementation of ES-2 for PFC is shown in Fig. 1. The ES-2 here provides one regulated mains on its input and the other adaptive mains on its output. The noncritical load using the adaptive mains can help the utility companies to stabilize the power system and enhance the power quality. In the original ES implementation [12], the “input voltage control” is implemented to address the voltage fluctuation caused by intermittent renewable energy. The simplified control diagram is given in Fig. 3. Different from the ES-1 for voltage regulation, ES-2 is implemented with the “input current control” here to reduce the reactive power of the load bank.

In the setup shown in Fig. 1, the line current ( $I$ ) can be expressed as

$$I = \frac{V_s - V_{es}}{Z_{nc}} + \frac{V_s}{Z_c} \quad (1)$$

where  $I$  is the line current,  $V_s$  is the mains voltage,  $V_{es}$  is the output voltage of ES-2,  $Z_{nc}$  is the impedance of the noncritical load, and  $Z_c$  is the impedance of the critical load.

To further understand the relationship of  $I$  and  $V_{es}$ , the distribution line impedance ( $Z_{line}$ ) is taken into account, which leads to

$$V_s = \frac{V_g + \frac{Z_{line}}{Z_{nc}} V_{es}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} \quad (2)$$

$$I = \frac{\frac{1}{Z_c} + \frac{1}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} V_g + \left( \frac{\left( \frac{1}{Z_c} + \frac{1}{Z_{nc}} \right) \frac{Z_{line}}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} - \frac{1}{Z_{nc}} \right) V_{es}. \quad (3)$$

Equation (3) shows that in a power system with given  $Z_{nc}$ ,  $Z_c$ , and  $Z_{line}$ , and a stable mains voltage  $V_g$  (or in a weak power grid with unstable mains voltage, the ES is implemented to maintain a stable mains voltage), the ES voltage  $V_{es}$  can be modulated to compensate for the line current  $I$ .

To assist the control design, further mathematic analysis is conducted here. In a power system with fixed operating frequency (i.e.,  $f_s = 50$  Hz), all the parameters in fundamental frequency can be expressed in the form of vectors and be located in one synchronous frame. When  $V_g$  is chosen as the reference vector ( $V_g = |V_g| \angle 0^\circ$ ), these vectors can have constant amplitude and phase angle. By applying the notations given in (4) and (5), (3) is further transformed into (6) as

$$\frac{\frac{1}{Z_c} + \frac{1}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} = b_1 + b_2 j \quad (4)$$

$$\left( \frac{\left( \frac{1}{Z_c} + \frac{1}{Z_{nc}} \right) \frac{Z_{line}}{Z_{nc}}}{1 + \frac{Z_{line}}{Z_c} + \frac{Z_{line}}{Z_{nc}}} - \frac{1}{Z_{nc}} \right) = b_3 + b_4 j \quad (5)$$

$$\begin{cases} I_{d\_1st} = b_1 V_g + b_3 V_{esd\_1st} - b_4 V_{esq\_1st} \\ I_{q\_1st} = b_2 V_g + b_3 V_{esq\_1st} + b_4 V_{esd\_1st}. \end{cases} \quad (6)$$

Equation (6) indicates that one single set of solution of fundamental active and reactive ES voltage ( $V_{esd\_1st}$  and  $V_{esq\_1st}$ ) can be determined with the given fundamental active and reactive line currents (i.e., the references of fundamental active and reactive line current,  $I_{d\_ref\_1st}$  and  $I_{q\_ref\_1st}$ ). In the control design, the fundamental active ES voltage ( $V_{esd\_1st}$ ) is used to regulate the  $d$  component of the fundamental line current ( $I_{d\_1st}$ ), and the fundamental reactive ES voltage ( $V_{esq\_1st}$ ) is left to compensate the  $q$  component of the fundamental line current ( $I_{q\_1st}$ ).

### C. Principle of ES-2 for PFC

The usefulness of ES-2 in compensating the line current can be extended to reduce the harmonics generated by nonlinear load that commonly has a front-end converter for power modulation. Examples of nonlinear loads include power supplies for telecom systems, banking machines, and servers. Obviously, certain nonlinear loads such as banking machine require a stable power supply and thus can be considered as critical loads. The ES and its associated noncritical load can be used to remove the adverse effects caused by nonlinear loads. The principle of using the ES to remove the harmonics in line current can be mathematically expressed by rewriting (1) in sinusoidal form and extending it with the consideration of the harmonics. To simplify the analysis, noncritical load is considered as pure resistive load in (7), as shown at the bottom of the next page, in which  $i$  denotes the order of harmonics,  $\omega$  denotes the fundamental frequency,  $\theta_I$ ,  $\theta_{V_s}$ ,  $\theta_{V_{es\_i}}$ ,  $\theta_{I_{c\_i}}$  are, respectively the phase angle of line current, mains voltage, ES voltage, and critical load current.

To mitigate the harmonics, the second part on the right-hand side of (7) must be equal to zero, so that  $I$  contains only a fundamental component. Thus, this requires ES-2 to generate corresponding voltage harmonics, which are of the same orders as the harmonics in  $I_c$ . The remaining first part

244 on the left-hand side of (7) represents the filtered line current  
245 at the fundamental frequency.

#### 246 D. Load-Dependent Characteristics

247 The above assumption of noncritical load as pure resistive  
248 load is not a necessary condition in implementing an ES  
249 system [15]–[17]. Here, (1) is rewritten as (8) to evaluate the  
250 impact of noncritical loads on the operation of the ES

$$251 \quad I = \left( \frac{1}{Z_{nc}} + \frac{1}{Z_c} \right) \cdot V_s - \frac{1}{Z_{nc}} \cdot V_{es} \quad (8)$$

252 The second part on the right-hand side of (8) indicates the  
253 variable part of noncritical current ( $\Delta I_{nc}$ ) with respect to the  
254 ES voltage. In the synchronous frame established in Section  
255 II-B, the second part on the right-hand side of (8) can be  
256 rewritten as

$$257 \quad \begin{cases} \Delta I_{nc\_d} = \frac{1}{|Z_{nc}|} \left( -PF \cdot V_{es\_d} - \sqrt{1 - PF^2} \cdot V_{es\_q} \right) \\ \Delta I_{nc\_q} = \frac{1}{|Z_{nc}|} \left( \sqrt{1 - PF^2} \cdot V_{es\_d} - PF \cdot V_{es\_q} \right) \end{cases} \quad (9)$$

258 if the power factor of the noncritical load is considered.

259 Equation (9) further gives

$$260 \quad \Delta I_{nc\_d}^2 + \Delta I_{nc\_q}^2 = \frac{V_{es}^2}{|Z_{nc}|^2} \quad (10)$$

261 From (9) and (10), two general conclusions can be drawn  
262 on the impact of noncritical load on the operation of the ES  
263 system as follows.

- 264 1) The power factor of noncritical load has no impact on  
265 the dispatchable range of noncritical load current. The  
266 amplitudes of ES voltage and load impedance determine  
267 the boundary of this dispatchable range.
- 268 2) The power factor of the noncritical load determines the  
269 weighting of  $V_{es\_d}$  and  $V_{es\_q}$  on  $\Delta I_{nc\_d}$  and  $\Delta I_{nc\_q}$ . For  
270 the case of pure resistive load,  $\Delta I_{nc\_d}$  and  $\Delta I_{nc\_q}$  are,  
271 respectively, dependent on  $V_{es\_d}$  and  $V_{es\_q}$ .

### 272 III. INPUT CURRENT CONTROL OF ES-2 FOR POWER 273 QUALITY IMPROVEMENT

#### 274 A. Structure of Input Current Controller

275 Based on the above discussion, an input current controller  
276 should be used for ES-2 in regulating the line current. The  
277 complete input current control consists of two parts, one for  
278 reactive power compensation and the other for harmonics  
279 cancellation. These two parts can operate independently or  
280 collectively.

281 The first part for reactive power compensation is high-  
282 lighted with the blue rectangle, as shown in Fig. 4. A fast  
283 Fourier transformation (FFT) is set up to derive the frequency

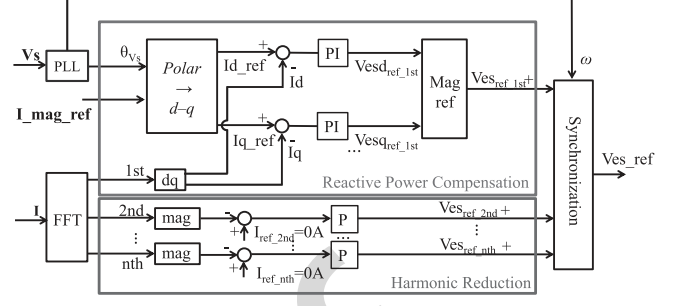


Fig. 4. Control diagram of ES for power quality improvement.

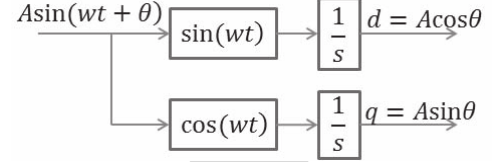


Fig. 5.  $d$ - $q$  transformation.

284 sequences of line current. The fundamental line current is  
285 fed back and decomposed into active ( $I_{d\_1st}$ ) and reactive  
286 ( $I_{q\_1st}$ ) current. Equations (11) and (12) give the mathematical  
287 expressions of the “polar to  $d$ - $q$ ” transformation, and Fig. 5  
288 shows the corresponding block diagram

$$289 \quad d = A \cos \theta = \frac{2}{T} \int_{T_n}^{T_{n+1}} A \sin(\omega t + \theta) \sin(\omega t) dt \quad (11)$$

$$290 \quad q = A \sin \theta = \frac{2}{T} \int_{T_n}^{T_{n+1}} A \sin(\omega t + \theta) \cos(\omega t) dt. \quad (12)$$

291 To minimize the reactive power consumption of the load  
292 bank, the reference of reactive line current ( $I_{q\_ref\_1st}$ ) is set to  
293 be zero ( $I_{q\_ref\_1st} = 0$  A). The reference of active line current  
294 ( $I_{d\_ref\_1st}$ ) is set to keep the total active power unchanged.  
295  $I_{d\_1st}$  and  $I_{q\_1st}$  are compared with their references ( $I_{d\_ref\_1st}$   
296 and  $I_{q\_ref\_1st}$ ). Two PI controllers are set up to process the  
297 error of the respective active and reactive current in generating  
298 the fundamental active and reactive ES voltage reference  
299 ( $V_{esd\_ref\_1st}$  and  $V_{esq\_ref\_1st}$ ). The mathematical expressions of  
300 the control are given as

$$301 \quad V_{esd\_ref\_1st} = (K_{p\_Id} + K_{i\_Id}/s) \cdot (I_{d\_ref\_1st} - I_{d\_1st}) \quad (13)$$

$$302 \quad V_{esq\_ref\_1st} = (K_{p\_Iq} + K_{i\_Iq}/s) \cdot (I_{q\_ref\_1st} - I_{q\_1st}). \quad (14)$$

303 The control loops inside the red box in Fig. 4 are the part  
304 of the input current control for harmonics mitigation. The  
305 harmonic currents in the second and higher orders acquired  
306 by the FFT block are sent to the controller. To remove the  
307 harmonics contained in line current, the amplitude of all the

$$\sum_{i=1}^n I_{ith} \sin(i\omega t + \theta_{I_{ith}}) = \left( \frac{V_s \sin(\omega t + \theta_{V_s}) - V_{es\_1st} \sin(\omega t + \theta_{V_{es\_1st}})}{R_{nc}} + I_{c\_1st} \sin(\omega t + \theta_{I_{c\_1st}}) \right) + \left( -\frac{1}{R_{nc}} \sum_{i=2}^n V_{es\_ith} \sin(i\omega t + \theta_{V_{es\_ith}}) + \sum_{i=2}^n I_{c\_ith} \sin(i\omega t + \theta_{I_{c\_ith}}) \right) \quad (7)$$

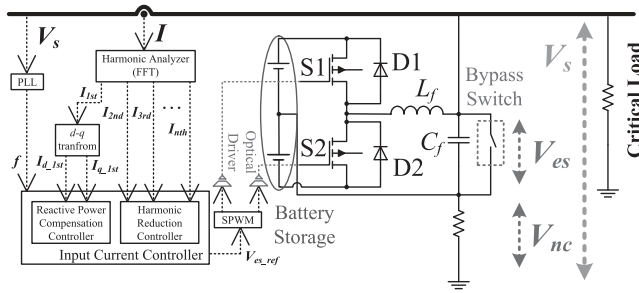


Fig. 6. Hardware implementation of an input current controller.

TABLE I  
SPECIFICATIONS OF ES-2 HARDWARE

| Descriptions        | Parameters    | Values                                   |
|---------------------|---------------|------------------------------------------|
| Switching frequency | $f_{switch}$  | 20 kHz                                   |
| MOSFET switches     | $S_1, S_2$    | IRFP30N50                                |
| Filter inductor     | $L_f$         | $L = 500 \mu\text{H}$                    |
| Filter capacitor    | $C_f$         | $C = 13.2 \mu\text{F}$                   |
| Battery voltage     | $V_{battery}$ | $2 \times 125 \text{ V}$ , 5AH Lead-Acid |
| Sampling frequency  | $f_s$         | 10 kHz                                   |

308 harmonic current references in the second and higher orders  
 309 are set to zero ( $I_{ref\_2nd} = 0 \text{ A}$ ,  $I_{ref\_3rd} = 0 \text{ A}$ ,  $\dots$ ,  $I_{ref\_nth} =$   
 310  $0 \text{ A}$ ). The phasor information of these harmonic currents  
 311 is fed back to the synchronization stage. P controllers are  
 312 implemented to process the errors of the amplitude of the  
 313 harmonic currents in deriving the ES voltage references in  
 314 the second and higher orders. The general control for the  
 315 mitigation of current harmonics is mathematically presented as

$$316 V_{es\_ref\_ith} = K_{p\_ith} \cdot (I_{ref\_ith} - I_{ith}), \quad i = 2, 3, 4, \dots, n. \quad (15)$$

### 317 B. Hardware Implementation of Input Current Controller

318 A prototype of the ES-2 system with the implementation  
 319 of the “input current control” is developed. The hardware  
 320 structure is shown in Fig. 6. The power converter used for ES-  
 321 2 is a half-bridge inverter with batteries on dc link and with  
 322 an LC output filter. In the hardware setup, a relay is connected  
 323 across the output capacitor to bypass ES. This arrangement can  
 324 show the different conditions in the system before and after  
 325 ES-2 is switched ON. Blocks including feedback processing  
 326 block, phase lock loop for synchronization,  $d - q$   
 327 transformation block for decoupling, and sinusoidal pulsewidth  
 328 modulation for inverter control are also set up. The controller  
 329 is implemented in dSpace 1104. The sampling frequency is  
 330 set to be 10 kHz. The PWM pulse has a switching frequency  
 331 of 20 kHz and a deadband of 20 nS. The specifications of the  
 332 hardware setup are given in Table I.

## 333 IV. EXPERIMENTAL RESULTS

### 334 A. ES-2 for Reactive Power Compensation in a Stiff Power 335 System

336 A low-voltage single-phase power system is set up as shown  
 337 in Fig. 7. It consists of a constant ac power source, a short

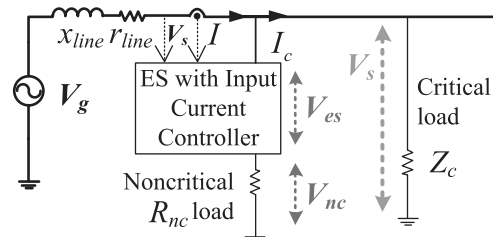


Fig. 7. Experimental setup.

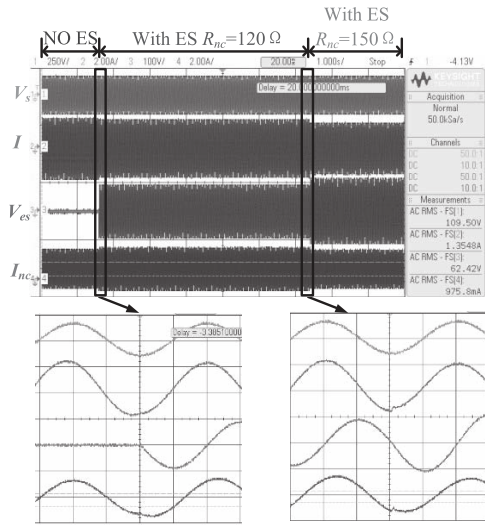
TABLE II  
SPECIFICATIONS OF EXPERIMENTAL SETUP

| Descriptions                | Parameters | Values                                             |
|-----------------------------|------------|----------------------------------------------------|
| Power source voltage        | $V_g$      | 110 V (RMS)                                        |
| Distribution line impedance | $Z_{line}$ | $line = 0.2 \Omega$ , $x_{line} = 0.8 \Omega$      |
| Noncritical load 1          | $R_{nc1}$  | 120 $\Omega$                                       |
| Noncritical load 2          | $R_{nc2}$  | 150 $\Omega$                                       |
| Critical load 1             | $Z_{c1}$   | $220 + j220 \Omega$<br>(capacitive-resistive type) |
| Critical load 2             | $Z_{c2}$   | $220 - j220 \Omega$<br>(inductive-resistive type)  |

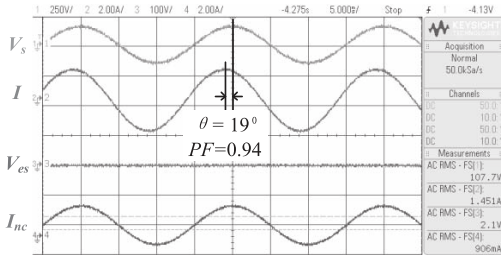
distribution line, a resistive noncritical load, an ES-2, and  
 a critical load. Specifications of the setup can be found in  
 Table II. The ES-2 is programmed to perform reactive power  
 compensation with the input current control. A capacitive-  
 resistive and an inductive-resistive critical load with low PF are  
 used, respectively, to examine the reactive power compensation  
 capability of ES-2. In the middle of operations, the noncritical  
 load is changed from 120 to 150  $\Omega$  for evaluating the dynamic  
 response of the ES system.

338 Measured waveforms of the operating states of the sys-  
 339 tem with a capacitive-resistive critical load are recorded in  
 340 Fig. 8(a). The enlarged waveforms of the two transient states  
 341 when ES-2 is turned ON and the noncritical load is changed  
 342 from 120 to 150  $\Omega$  are also included. It can be observed that  
 343 the ES can react fast enough to the change in noncritical  
 344 load. Measured waveforms of the first steady states of the  
 345 system when ES-2 is inactivated are shown in Fig. 8(b).  
 346 The system has a PF of 0.94 (leading). Measurements of the  
 347 second steady states of the system are shown in Fig. 8(c).  
 348 ES-2 operates in inductive mode to compensate the phase  
 349 angle of line current ( $I$ ) to  $1^\circ$ , and the PF of the system is  
 350 corrected to 0.99 (leading). Measurements of the third steady  
 351 states are recorded in Fig. 8(d) to show the operation of the ES  
 352 system after the noncritical load is changed to 150  $\Omega$ . The PF  
 353 remains compensated to 0.99 (leading), when ES-2 operates  
 354 in inductive mode and generates a compensation voltage with  
 355 a larger RMS value to match the new load condition.

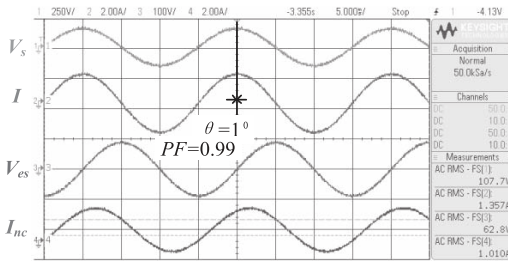
356 The second experiment is conducted with an inductive-  
 357 resistive critical load. ES-2 is turned ON to correct PF to  
 358 be close to 1.0. Fig. 9(a) shows the measured waveforms  
 359 of operating states of the ES-2 system and the enlarged  
 360 waveforms of two transient states when ES-2 is turned ON and  
 361 the noncritical load is changed from 120 to 150  $\Omega$ . In the first  
 362  
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 370



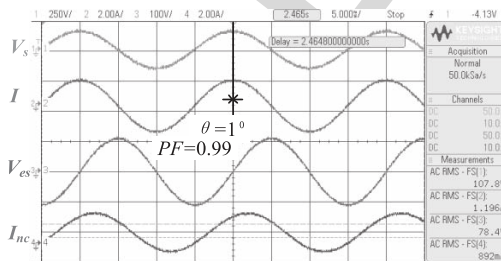
(a)



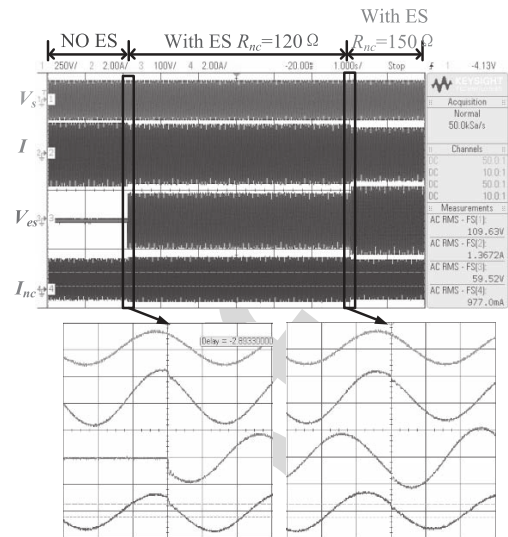
(b)



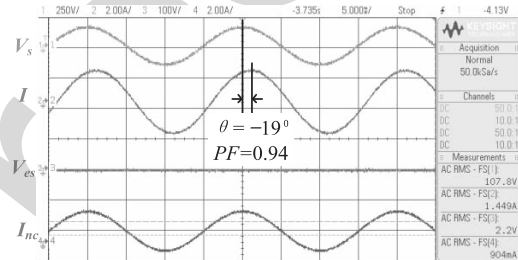
(c)



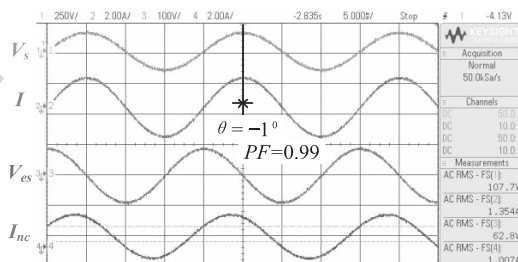
(d)



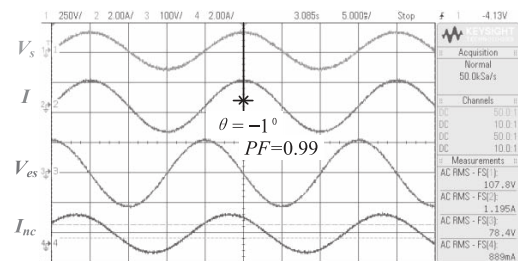
(a)



(b)



(c)



(d)

Fig. 8. (a) Captured waveforms of operating states and enlarged transient states for capacitive-resistive load. (b) Captured waveforms of the steady states without ES-2. (c) Captured waveforms of the steady states with ES-2 ( $R_{nc1} = 120 \Omega$ ). (d) Captured waveforms of the steady states with ES-2 ( $R_{nc2} = 150 \Omega$ ).

Fig. 9. (a) Captured waveforms of operating states and enlarged transient states for inductive-resistive load. (b) Captured waveforms of the steady states without ES-2. (c) Captured waveforms of the steady states with ES-2 ( $R_{nc1} = 120 \Omega$ ). (d) Captured waveforms of the steady states with ES-2 ( $R_{nc2} = 150 \Omega$ ).

371 steady states shown in Fig. 9(a), the system is uncompensated  
 372 and has PF of 0.94 (lagging). In the second steady states  
 373 shown in Fig. 9(b), ES-2 operates in capacitive mode to correct  
 374 the PF of the system to 0.99 (lagging). Measurements of the  
 375 third steady states of the system after the noncritical load are

376 changed to  $150 \Omega$  are shown in Fig. 9(c). The PF of the system  
 377 remains at 0.99 (lagging), when ES-2 operates in capacitive  
 378 mode and generates a compensation voltage with a larger RMS  
 379 value to match the new noncritical load condition.

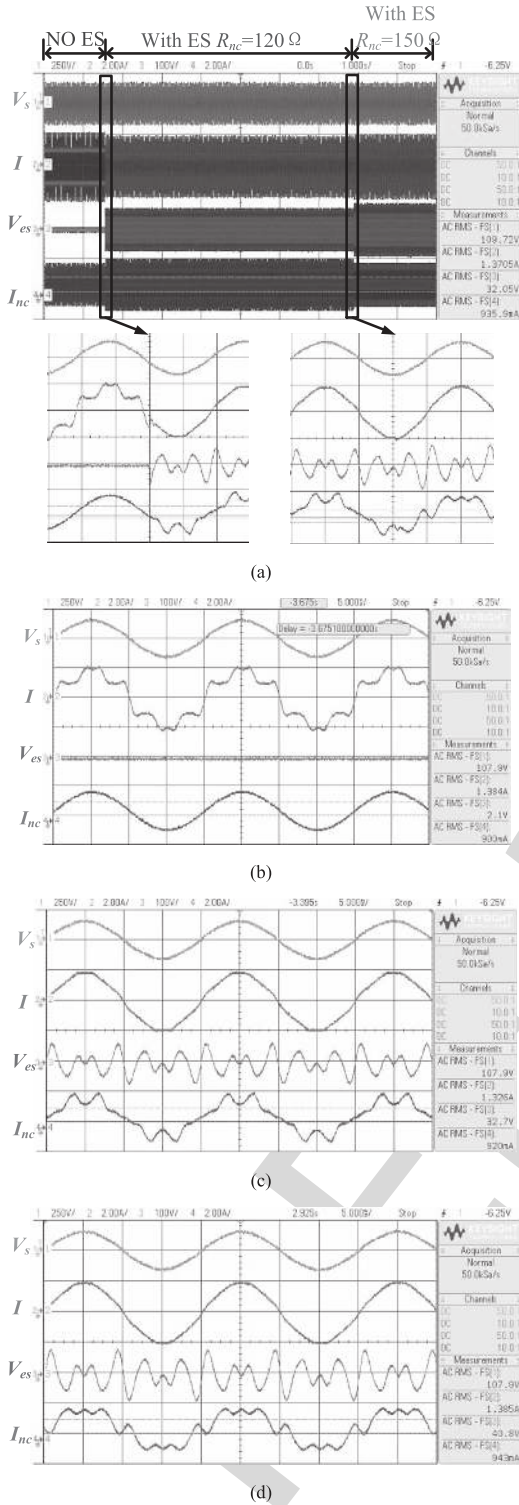


Fig. 10. (a) Captured waveforms of operating states and enlarged transient states for nonlinear load. (b) Captured waveforms of the steady states without ES-2. (c) Captured waveforms of the steady states with ES-2 ( $R_{nc1} = 120 \Omega$ ). (d) Captured waveforms of the steady states with ES-2 ( $R_{nc2} = 150 \Omega$ ).

**B. ES-2 for Harmonic Reduction**

This experiment shows the validity of ES-2 in reducing current harmonics. The input current controller modulates the ES-2 voltage to filter line current to be near-sinusoidal. In the middle of the operation, the noncritical load is changed from

TABLE III  
SPECIFICATIONS OF POWER SYSTEM WITH TWO ESs

| Descriptions                | Parameters | Values                                         |
|-----------------------------|------------|------------------------------------------------|
| Power source voltage        | $V_g$      | 230 V (RMS)                                    |
| Distribution line impedance | $Z_{line}$ | $r_{line} = 0.2 \Omega, x_{line} = 0.8 \Omega$ |
| Noncritical load 1          | $R_{nc1}$  | 77 $\Omega$                                    |
| Noncritical load 2          | $R_{nc2}$  | 77 $\Omega$                                    |
| Critical load               | $Z_c$      | -j116 $\Omega$ (inductive)                     |

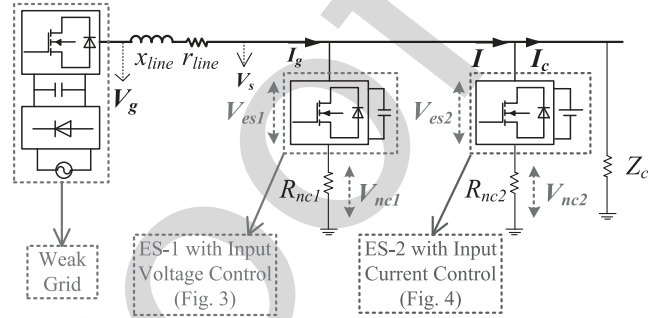


Fig. 11. Experimental setup.

120 to 150  $\Omega$  to test the dynamic response of the ES-2 system. Measurements of the operating states of the system are shown in Fig. 10(a). The waveforms of the two transient states when the ES is activated and the noncritical load is changed are enlarged. It can be observed that the ES system reacts fast enough to cope with the sudden change in load condition. Fig. 10(b) shows the measurements of the first steady states of the system before ES-2 is turned ON. The line current ( $I$ ) is severely distorted due to the implementation of the nonlinear load. Measured waveforms of the second steady states of the system when the ES is activated are shown in Fig. 10(c). ES-2 generates the counteracting harmonic voltage to compensate the nonlinear load. The waveform of the line current ( $I$ ) is significantly improved. Measurements of the third steady states after the noncritical load is changed are shown in Fig. 10(d). The ES-2 generates a harmonic voltage with a larger RMS value to match the changed noncritical load condition.

**C. Combined Operation of ES-1 and ES-2**

In this experiment, a weak grid with unstable mains voltage is emulated with a programmable power source. A large critical inductive load is used to simulate a power grid with a low power factor. Two ESs (ES-1 and ES-2) associated with their respective noncritical loads are set up as shown in Fig. 11. Both noncritical loads are assumed to be of resistive type. ES-1 is used to reduce the mains voltage fluctuation. The control algorithm shown in Fig. 3 is adopted here [12]. ES-2 implemented with the input current control is used to improve the power factor. The specifications of the system are given in Table III.

The experimental results in Fig. 12 repeat the useful function of ES-1 to stabilize the mains voltage. Fig. 13 shows the power of critical load. It can be seen that the fluctuation of



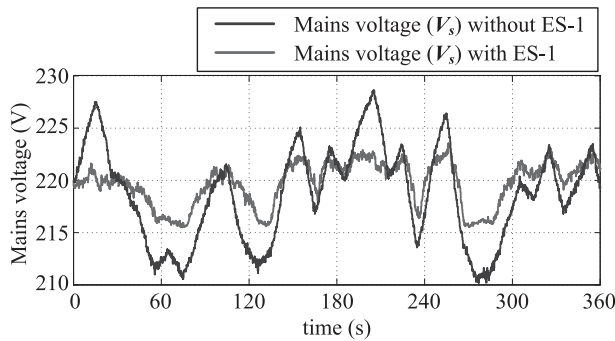


Fig. 12. Mains voltage with and without ES-1 for voltage stabilization.

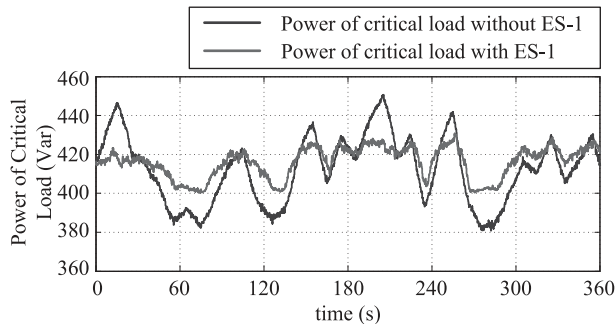


Fig. 13. Power consumption of critical load with and without ES-1.

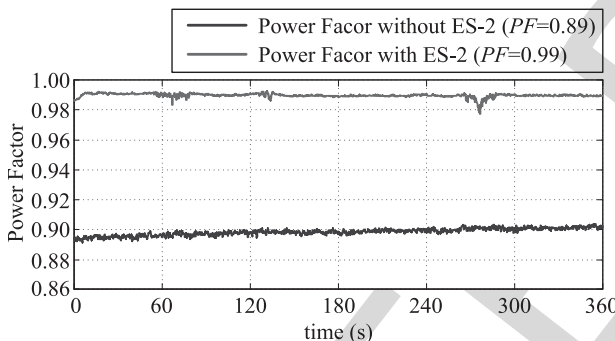


Fig. 14. Power factor with and without ES-2.

mains voltage is flattened and a stable power supply for critical load is guaranteed after ES-1 is switched ON.

With the stabilized mains voltage, ES-2 can carry out PFC using the input current control. Fig. 14 shows that the PF of the load bank is improved from 0.89 (lagging) to 0.99 (lagging). Thus, it can be confirmed that the joint operation of ES-1 and ES-2 is helpful in improving grid stability and enhancing power quality.

## V. CONCLUSION

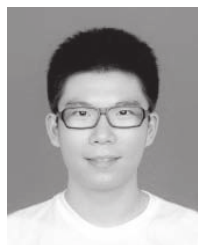
The use of ESs for stabilizing the mains voltage and frequency in microgrids has previously been reported. This approach forms a new kind of combined smart load and distributed energy storage technology. This project explores the additional functions of ES-2 associated with batteries for power quality improvements. The principles and operations of ES with active power sources are analyzed for providing power quality improvement while maintaining the mains voltage stabilization. A design of an input current controller allowing the ES to operate like a power factor corrector is

presented and practically verified. The joint operation of ES-1 in stabilizing the mains voltage and ES-2 in performing PFC has been experimentally confirmed in a single-phase hardware power system setup. The experimental results indicate the promising performance of the ES technology as a future distributed demand-side management and distributed energy storage solution.

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