

Preprints are preliminary reports that have not undergone peer review. They should not be considered conclusive, used to inform clinical practice, or referenced by the media as validated information.

# Using a multi-stakeholder experience-based design process to co-develop the Creating Active Schools Framework

#### Andrew Daly-Smith ( a.daly-smith@leedsbeckett.ac.uk )

Leeds Beckett University https://orcid.org/0000-0003-4830-9376

#### Tom Quarmby

School of Sport, Leeds Beckett University, Leeds

#### Victoria S.J. Archbold

School of Sport, Leeds Beckett University, Leeds

#### Nicola Corrigan

Public Health England, Yorkshire and the Humber

#### **Dan Wilson**

Yorkshire Sport Foundation

### Geir Kare Resaland

Centre for Physically Active Learning, Centre for Education, Arts and Sports, Western Norway University of Applied Sciences, Sogndal

#### John B Bartholomew

Department of Kinesiology and Health Education, University of Texas at Austin, Austin, Texas

#### Amika Singh

Department of Public and Occupational Health, Amsterdam UMC, Amsterdam Public Health, Amsterdam

#### Hege Tjomsland

Centre for Physically Active Learning, Faculty of Education Arts and Sports, Western Norway University of Applied Sciences

#### Lauren B Sherar

School of Sport, Exercise and Health Sciences, Loughborough University

#### Anna Chalkley

School of Sport, Exercise and Health Sciences, Loughborough University

#### Ash C Routen

NIHR Applied Research Collaboration East Midlands, Diabetes Research Centre, University of Leicester, Leicester

#### Darren Shickle

Leeds Institute of Health Sciences, University of Leeds

#### Daniel D Bingham

Born in Bradford, Bradford Institute for Health Research, Bradford Teaching Hospitals Foundation Trust, Bradford

#### Sally E Barber

Born in Bradford, Bradford Institute for Health Research, Bradford Teaching Hospitals Foundation Trust, Bradford

#### Esther van Sluijs

Centre for Diet and Activity Research, MRC Epidemiology Unit, University of Cambridge, Cambridge

#### Stuart J Fairclough

Dept. Sport and Physical Activity, Edge Hill University

#### Jim McKenna

School of Sport, Leeds Beckett University, Leeds

#### Research

Keywords: Whole-school, children, whole-system, Double diamond, co-development, physical activity, policy, Physical Education, experience-based co-design

Posted Date: January 21st, 2020

DOI: https://doi.org/10.21203/rs.2.18783/v2

License: 🐵 🕀 This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License

Version of Record: A version of this preprint was published on February 7th, 2020. See the published version at https://ijbnpa.biomedcentral.com/articles/10.1186/s12966-020-0917-z.

# Abstract

Background UK and global policies recommend whole-school approaches to improve childrens' inadequate physical activity (PA) levels. Yet, recent metaanalyses establish current interventions as ineffective due to suboptimal implementation rates and poor sustainability. To create effective interventions, which recognise schools as complex adaptive sub-systems, multi-stakeholder input is necessary. Further, to ensure 'systems' change, a framework is required that identifies all components of a whole-school PA approach. The study's aim was to co-develop a whole-school PA framework using the double diamond design approach (DDDA). Methodology Fifty stakeholders engaged in a six-phase DDDA workshop undertaking tasks within same stakeholder (n=9; UK researchers, public health specialists, active schools coordinators, headteachers, teachers, active partner schools specialists, national organisations, Sport England local delivery pilot representatives and international researchers) and mixed (n=6) stakeholder groupings. Six draft frameworks were created before stakeholders voted for one 'initial' framework. Next, stakeholders reviewed the 'initial' framework, proposing modifications. Following the workshop, stakeholders voted on eight modifications using an online questionnaire. Results Following voting, the Creating Active Schools Framework (CAS) was designed. At the centre, ethos and practice drive school policy and vision, creating the physical and social environments in which five key stakeholder groups operate to deliver PA through seven opportunities both within and beyond school. At the top of the model, initial and in-service teacher training foster teachers' capability, opportunity and motivation (COM-B) to deliver whole-school PA. National policy and organisations drive top-down initiatives that support or hinder whole-school PA. Summary To the authors' knowledge, this is the first time practitioners, policymakers and researchers have co-designed a whole-school PA framework from initial conception. The novelty of CAS resides in identifying the multitude of interconnecting components of a whole-school adaptive sub-system; exposing the complexity required to create systems change. The framework can be used to shape future policy, research and practice to embed sustainable PA interventions within schools. To enact such change, CAS presents a potential paradigm shift, providing a map and method to guide future co-production by multiple experts of PA initiatives 'with' schools, while abandoning outdated traditional approaches of implementing interventions 'on' schools.

## Background

Globally, 50% of children do not meet the internationally recognised target of 60 minutes of moderate-to-vigorous physical activity (MVPA) per day [1,2]. This figure rises to 80% in higher-income countries [2] and persists into adolescence [3]. Given these figures, it is not surprising that the latest global physical activity report card states "children's physical activity poses a serious level of concern" [4]. To effectively address such high levels of concern, health promotion endeavours need to target all levels and settings. As the majority of children have exposure to school it is unsurprising that global and UK policy recommend whole-school approaches as one of the most promising investments for physical activity in childhood [5–8]. Specifically, within the UK, the government provide ring-fenced funding to support primary schools (children aged 5 to 11) to provide a minimum of 30-minutes of physical activity per day for all pupils [9,10].

Despite these calls, it remains unclear what are the most effective whole-school approaches to sustain change and how they can be successfully implemented. Concurrently, recent meta-analyses' establish that school-based interventions have little, if any, effect on school-time MVPA [11] or daily MVPA [12]. This may result from the challenge of designing and delivering feasible and sustainable approaches in schools, as evidenced by the many randomised controlled trials of school-based physical activity programmes displaying poor implementation e.g. [13–15]. These findings have been attributed to 'top-down' approaches where researchers and external stakeholders drive intervention design with limited input from school stakeholders [16]. Co-production of interventions by all stakeholders is therefore essential, galvanising both bottom-up and top-down approaches to create 'systems change' [5,17].

The failure to establish effective physical activity interventions suggests a need to mobilise schools and align the willing support being offered by associated stakeholders. To do so, a whole-school physical activity framework is required that moves beyond the conceptual understanding of the school environment to one which presents schools as a wider 'complex adaptive sub-system' [18,19]. Complex adaptive systems possess "many heterogeneous components that dynamically interact and produce an emergent effect greater than the individual elements, which must persist and adapt to changing circumstances" [20]. We believe the deficiency of current conceptual models to present a map of the many component parts likely explains why schools and associated stakeholders fail to implement interventions at the desired level and sustain implementation over an extended period of time [21–24]. Further, while all frameworks (e.g. comprehensive school physical activity programme [21]) incorporate socio-ecological theory, no frameworks have embedded a modern understanding of behavioural science (e.g. COM-B) [25]. This is essential to enable all stakeholders to appreciate the magnitude of the factors that need to be addressed and, adopt evidence-based approaches to change the behaviours and create system change.

New integrated approaches have emerged that enable the identification and combination of the expertise of multiple stakeholders in the development of systems approaches [16]. Yet, to date, these have not been applied within the school setting, nor to design a comprehensive whole-school physical activity framework. Importantly, these human-centered approaches focus on outcomes that enthuse, incentivise, and build on the strengths of all stakeholders [26]. One common approach - experience-based co-design - has been widely used to create systems change in emergency medicine and mental health care settings [27,28]. A specific method of experience-based co-design is the *Double Diamond Design Approach* (DDDA) [29] that has been used to develop service improvements in health and social care [30], patient-centered cancer treatment facilities [31], and organisational medical care [32]. The DDDA draws on recent discoveries on how to optimise both divergent - creating choices- and convergent - making choices - creative thinking processes [29,33]. With DDDA, stakeholders progress through a four-stage reflective process to discover, define, develop, and deliver an innovative solution to a problem. The strength of this design approach resides in the collaboration between multiple stakeholders within an innovative development process to produce an understanding greater than the sum of the individual parts [26].

The aim of the current study was to co-develop a whole-school physical activity framework with multiple stakeholders, using the DDDA. To our knowledge, this will be the first UK-based whole-school physical activity framework and the first time that any framework has involved experience-based co-design from conception. Given the novelty of this approach, the following section will present a detailed methodology of the design approach to demonstrate the iterative nature of the design process as each phase impacts the next.

# Methodology

## framework development process

### Participants

Purposive sampling [28], a key facet of experience-based co-design methodology, was used to identify participants for the six initial same stakeholder groups (1. UK researcher, 2. public health specialist, 3. active schools coordinator, 4. headteacher, 5. teacher and 6. active partner schools specialist; stakeholder descriptors, see Additional file 1). Participants (*n*=50, Table 1) were recruited through networks of three of the authors (research, ADS; practice, DW; policy, NC). Other than national researchers and public health representatives, all participants were recruited from across the Yorkshire and Humber region, one of the largest regions within the UK (5.4 million people across 15 local authorities; 1,776 primary schools). The region has the same levels of physical (in)activity and educational outcomes than the rest of the UK, resulting from a broad range of ethnicities, socioeconomic status and rural/urban landscapes. The stakeholders were specifically compiled to ensure representation of the different demographics, local authorities and a range of professional experiences. To ensure a broad national perspective (i.e. to include opportunities and barriers beyond the experience of regional schools), we invited national organisations and representatives for the Sport England Local Delivery Pilots. In addition, active schools researchers from beyond the UK were invited to present an international perspective. No limits were placed on the number of participants in the final three stakeholder groups. Participants were contacted via telephone and/or e-mail and were required to return consent prior to engaging in the workshop. Ethical clearance was granted by Leeds Beckett University Research Ethics Committee (N<sup>o</sup> 60271).

### Overview

The initial whole-school physical activity framework development phase took place during a two-day event in June 2019, Leeds, UK. On day one, the stakeholders observed a conference for 80 school senior leaders and governors on whole-school approaches to physical activity. Specifically, the six initial stakeholder groups were tasked with observing an idea generation workshop where school leaders were tasked with identifying strategies to increase MVPA by at least two minutes during one of seven selected school-day segments. A two-minute increase was suggested to encourage school leaders to identify small changes that were more feasible to implement in a packed curricular and were, therefore, more likely to be sustainable. On day two, the stakeholders engaged in the whole-school physical activity framework development process using the DDDA [29] (stage 1; Figure 1). Following the framework development day, an online questionnaire (stage 2) was used to modify the 'initial' whole-school physical activity framework development day.

## Stage one: Double Diamond Design Approach

The DDDA was divided into six phases with one or more tasks (A/B) per phase (outline; Figure 1). Within each phase, stakeholders worked in the same stakeholder groups (e.g. teachers) or mixed stakeholder groups. All discussions were recorded via dictaphones on each table. Stakeholders were allocated to mixed stakeholder groups using the following principles: first, one member from each of the six initial same stakeholders groups, ensuring a balance in the number of years of experience. Second, stakeholders from the national organisations, local delivery pilots and international researchers were allocated to a group, ensuring a balance in experience and group numbers. Due to illness, only five Public Health Specialists were present. Therefore, stakeholders from the local delivery pilot were allocated first and were asked to play dual roles where they possessed the relevant expertise.

The workshop began with a summary of day one. Next, lead researchers briefed participants on the DDDA and the expected outcome, which was to *create a whole-school physical activity framework that would support every child to increase their physical activity levels, working towards achieving 30 minutes of in-school and 60-minutes of daily MVPA.* Stakeholders were not introduced to examples of current school-based physical activity frameworks [21,23,34] until the beginning of phase four. The research leads took this decision as they did not want to influence the initial designs. In addition, the UK and international researchers were members of each mixed stakeholder group and were aware of the different frameworks so could refer to these within group discussions if it was deemed appropriate.

The six development phases are outlined in Figure 1. Specifically, within phase five, individuals voted for the draft framework that best achieved the brief. Each participant received three votes with a maximum of two votes being allowed for any one framework. Any uncast votes were placed on the side of the voting slip. Participants were not allowed to vote for their own framework. Overall, mixed stakeholder group four's draft framework received the most votes (average number of votes per individual 1.2, see additional file 2). When the votes were broken down by the same stakeholder groups, framework four was the most popular choice in seven of the nine groupings.

Within phase six, the participants reformed into same stakeholder groups to review framework four, the "initial framework" discussing; i) what was good about the 'initial' framework and what needed improving? ii) how the framework may be used by their stakeholder group and iii) the next steps? On completion of phase six, the lead facilitators drew the workshop to a close and informed the participants of the next whole-school physical activity framework development stage.

#### Stage two: online questionnaire

An additional stage - beyond the DDDA - was undertaken to refine the 'initial' framework (framework four) to ensure it reflected the needs of all stakeholders. The refinement process was undertaken remotely via an online questionnaire. To develop the questionnaire, the lead author extracted proposed modifications to the 'initial' framework from the audio recordings from the nine same stakeholder groups phase six, final discussions. Eight proposed modifications were suggested (Table 3), with visual modifications being made to framework four to demonstrate each proposed alteration. Finally, the prototype frameworks that represented each proposed modification were viewed, discussed and approved by four authors. Once approved, an online questionnaire was created to enable the original stakeholders to vote (sequentially) on the suggested modifications. This was emailed two weeks after the initial workshop and remained open for two weeks.

## Results

#### Online questionnaire results: modification to the "initial framework"

Seventy-four percent of the original stakeholders responded to the online questionnaire. Proposed modifications were accepted when; >50% of the participants voted to accept a modification and >50% of the stakeholder groups (five or more) voted for the modification. Proposals one, two, three, four, six and seven were accepted (Table 2). Proposals five and eight were rejected. Finally, due to proposal five being declined, four authors designed an alternate representation of the social and physical environment, weaving the environments through the five people-orientated pillars.

### Creating Active Schools Framework: description

### Overview

The primary outcome of the study was the CAS Framework (Figure 2). The framework identifies the multiple components required to establish schools as a complex adaptive sub-system which, in turn, will facilitate whole-school physical activity implementation. The bottom half of the framework outlines the in-school factors, while the top half identifies factors associated with teacher training, behavioural science and the role of national and international organisations and policy development; the wider system beyond an individual school.

### Whole school practice and ethos

The cornerstone of the CAS framework is establishing whole-school practice and ethos for physical activity - the underlying sentiment that informs the beliefs, customs and practices around creating a physically active school; the central box. Working downwards, whole-school practice and ethos drives internal school policy and vision, both essential components of creating a whole-school physical activity approach through engaging relevant stakeholders and creating facilitative social and physical environments [35,36].

### Key stakeholders

Five groups are included in policy and vision as essential stakeholders; school leaders, teachers and other school staff, children/young people, parents/guardians, and wider stakeholders (e.g. active school coordinators, public health specialists). School leaders (principal, wider senior leadership team and governors) are responsible for leading the development of the policy and vision statements and managing associated resources. Teachers and wider school staff are central to creating positive social and physical environments, alongside delivering initiatives within the seven opportunities. Other school staff include playground supervisors or teaching assistants, both of whom can play an important role in whole-school physical activity provision. Children and young people may form pupil councils or lead opportunities for physical activity (e.g. playground leaders). Parents/ guardians play a significant role in supporting children to engage in extracurricular activities and may also form parent associations. Wider stakeholders may include; active school coordinators, active partner school specialists or external private, charity or voluntary sector organisations who deliver initiatives within the seven opportunities, or, support schools with systems-level change. All stakeholders are essential to creating and sustaining a whole-school physical activity approach.

## The social and physical environment

The five people-orientated pillars operate within the physical and social environment. The physical environment reflects the amount, variety (e.g. green space, playground, school hall) and quality of school spaces and resources available [37]. The social environment reflects the degree to which the stakeholders engage and support each other to provide physical activity. For example, teachers who implement physically active learning within supportive school social environments experience fewer implementation barriers [38–40].

#### Seven opportunities for physical activity

Combined, the environment and key stakeholders determine the implementation of physical activity across seven opportunities. The opportunities are determined by what the school can control (from the centre to the left) and opportunities that the school can influence (to the right of centre). The opportunities with the greatest potential impact on whole-day physical activity reside closest to the framework midline. Expanding physical activity into curricular lessons (not Physical Education) using exercise breaks or physically active learning both enhance levels of MVPA [41,42]. Moving left, Physical Education [43–46] and break/ lunch (recess) [46–48] interventions that extend the duration, increase the frequency and/or enhance the delivery have been shown to be effective [49]. Finally, trips (e.g. museums) and events (e.g. sports day, summer fair) provide one-off opportunities for physical activity engagement.

Right of centre, before/ after school clubs and active travel are opportunities that schools can influence but cannot control, as responsibility largely resides with children and their families. Once engaged, the school does, however, play a central role in determining the amount and quality of such provision (e.g. active travel plans). If successful, both opportunities can significantly contribute to a child's whole-day physical activity levels [11,50–53]. Finally, the school can influence family and community physical activity beyond school time. This may involve providing active homework [54] or opening school facilities

beyond the school day to support community organisations [55]. The culmination of establishing a whole-school practice and ethos that includes physical activity focused policies and vision, positive environments, engagement with stakeholders and provision of effective opportunities, will enhance the amount and quality of physical activity experiences children receive in and beyond school.

#### Teacher training and behavioural change theory

Working upward from whole-school practice and ethos, wider political and policy systems - beyond the school - strongly influence the in-school provision of physical activity. Initial teacher training and in-service training (CPD) are central to enhancing the capability and motivation of school staff to implement physical activity. Currently, initial teacher training fails to provide newly qualified teachers with sufficient capability to become effective whole-school physical activity practitioners [34,56–58]. Until initial teacher training evolves to meet the demands of contemporary teachers who are tasked with delivering a curriculum focussed on physical, social and emotional development, high-quality in-service training (CPD) is required [58]. Training should enhance delivery skills, while also upskilling teachers and school leaders to lead systems change for physical activity. Behavioural science is a required component of all training programmes (initial teacher training or in-service training (CPD)) to enhance the capability, opportunity and motivation (COM-B, Michie et al., 2011) of all stakeholders and the school system, thus maximising the likelihood of change. This, in turn, will influence the capability, motivation and opportunity of the children within the schools.

### National organisations and policy

The final part of the framework involves national organisations and policies that drive the educational focus of schools and the training needs of the key stakeholders. While physical activity may not be front and centre of such policies, it is essential that they align to support physical activity and avoid inadvertently promoting conflicting behaviours (e.g. prolonged sitting in lessons). Finally, it is essential that the framework - and approaches within - are informed by research evidence to ensure the highest quality provision for the children whom they serve.

## Discussion

To the authors' knowledge, this is the first time that practitioners, policymakers and researchers who understand the powerful driving agents of school systems and teacher and pupil physical activity behaviour, have co-designed a whole-school physical activity framework from initial conception. The underlying DDDA, previously used to develop high-quality systems change in multiple healthcare settings, was used to develop innovative practice and evidence-based framework that meets the needs of each stakeholder as well as the important constituent parts required for effective and sustainable implementation. To achieve such an outcome, it was essential for the design process to incorporate multiple stages of divergent and convergent thinking to optimise the final framework by challenging and refining initial views. As a result, CAS has high face validity, and because it has been endorsed by a range of professional groups, it also demonstrates professional and contextual credibility.

## Implications for practice

Providing greater detail than previous frameworks [21–24], CAS confirms the large number of components that must be addressed so schools can evolve into adaptive sub-systems with physical activity at the heart of their provision. While little is currently known about the inter-relationship between the different elements - and CAS does not identify those with the greatest effect - it is the first framework to establish whole-school ethos and practice at the heart of whole-school physical activity provision. Importantly, and in agreement with previous frameworks, CAS refutes the notion of deploying single-element interventions; it reinforces the need to create systems change through school leadership groups. Such change has already been identified within current research and frameworks [21,24], yet remains elusive within the school environment [34,59].

Framing every school as a unique, complex adaptive sub-system, CAS establishes the importance of whole-school ethos and practice. This is consistent with Meadow's [60] 12 levers of influence where value-based leverage is central to creating sustainable change; this is best achieved by (i) identifying systems goals, (ii) understanding the paradigm guiding the design of the new system and (iii) encouraging a shift in the decision-making paradigm as new challenges arise. The dynamism and complexity outlined by the potential interplay of so many facets helps to put flesh on the bones of the notion of 'compensating feedback', which explains why powerful sub-systems, like schools, resist powerfully [61]. To create change, CAS confirms that so much needs to be made right. This was most evident in the interactions between the five stakeholders within the social and physical environments; these are levels that many physical activity initiatives and previous frameworks overlook [5].

The CAS framework presents seven physical activity opportunities, a greater number than observed in previous frameworks [21]. The specific positioning of each opportunity draws on contemporary physical activity promotion theory (expand, extend and enhance) and a recent meta-analysis to place the most effective toward the framework midline [11,49]. Combined, this ensures that practitioners are being guided by the current evidence base to operationalise the most effective physical opportunities.

Of great surprise, the seven opportunities were positioned at the lowest level, suggesting not only their fragility but also the importance of higher-level factors. While previous frameworks and contemporary research recognise the need for higher-level engagement, this is contrary to current practice which is often characterised by limited engagement with different levels of the school system [62]. Consistent with previous whole-school frameworks [21,24], the CAS framework reminds all stakeholders to move beyond simple interventions to become 'systems thinkers in action' [17]; this reminds practitioners to address at least three levels of their school system; the grand system (e.g., Schools), local system (e.g., a single school) and system parts (the mechanisms of individual events/provision) [63].

The old counselling adage of 'the map is not the territory' applies to CAS; CAS does not identify the specifics of any individual school. Change leaders who may act as whole-school physical activity champions - as seen in the comprehensive school physical activity programme and Action Schools BC frameworks

[21,24,64] – can use the framework to develop a bespoke process to fit the unique requirements of their school. With over 20 active components, physical activity champions will require a system change plan. That plan will identify the priorities and modify the existing structures to create change. Perhaps this is the first framework where all of the components have been collated. For the first time, CAS reveals the complex challenges facing these champions, especially primary school teachers and senior leaders with little expertise in physical education delivery, let alone systems change for whole-school physical activity [23]. Given this complexity, as highlighted in the CSPAP partnership framework [23], it makes sense to address these issues in initial teacher training programs and in-service training (CPD); in-service teachers will need to embed the skills that establish (i) the capability, opportunity and motivation for systems change and (ii) systems change that secures whole-school physical activity. Importantly, the CAS framework is the first that embeds a modern, eclectic behaviour change framework (COM-B) [25]. The integration of the COM-B framework reflects a need for accessible language while retaining an underlying complexity of 21st-century behavioural decision-making.

Unique to CAS is the practical implementation of the framework where schools and wider stakeholders can promote self-reflection by mapping current provision and identifying underserved components. Maintaining the co-production process, initiatives should be implemented with, rather than on schools. At this stage, it is essential that children, who were not engaged in our co-development process, become equal partners in identifying, developing and implementing future interventions. To support schools, an evidence-based audit tool would emphasise the importance of all CAS framework components, especially whole-school ethos, practice, policy and vision; components often neglected in previous interventions. While CAS was developed within a specific UK context, its flexible nature allows replication elsewhere. Moreover, while secondary (high) schools may benefit from using CAS as a guiding framework, it is important that they establish face validity and acceptability as initial priorities. Perhaps the first step is to identify early adopters and seek to test and learn new/novel processes for creating whole-school practice and ethos aligned to physical activity.

### Implications for policy

Similar to the CSPAP framework, CAS establishes the important role of national organisations and policy. The uniqueness of CAS resides in the graphical representation of the national organisations and policy layer as it reinforces the importance of creating both horizontal and vertical alignment of people, organisations and policies; this will help ensure that all changes move in the same direction. Vertical alignment reflects the need for key issues to be reinforced throughout all processes down to the level of individual pupils and moments within the school day. In contrast, horizontal alignment requires a common shared vision within each level of the system (e.g. national organisations and government departments, e.g., in the UK of Health & Social Care, Education and Digital, Culture, Media & Sport). Misalignment between horizontal and/or vertical issues is likely to create unhelpful friction that challenges the creation of a clear whole-school ethos [17], weakening any resulting interventions. To enact policy and evolve current practice, uniquely, CAS not only provides a checklist for change agents but also a template for the development of a healthy schools rating scheme. Multiple schemes currently exist [65,66], yet few reflect the full range of influential components. As a result, they may lack the detail required to promote effective and sustainable physical activity initiatives.

Government education, health and sports departments that value physical activity can use the CAS framework to drive strategic change within the education system. Naming all departments, for the first time, promotes the use of one central framework for whole-school physical activity as it can be used to drive combined efforts across all UK government departments and policies. Such alignment, as previously stated, is central to creating a sustainable adaptive system that promotes one vision of creating an active school; CAS is unique in graphically representing this opportunity. Furthermore, bodies that hold schools to account for educational standards (e.g., Office for Standards in Education in the UK) can utilise CAS as a tool to support schools to embed physical activity throughout the school day. In addition, national and localised sport and health organisations that set strategies for grassroots sports and health improvement can use CAS to highlight their role in whole-school physical activity. CAS will enable organisations to align their provision and develop more efficient and sustainable practises in schools and their local communities.

#### Implications for research.

The CAS framework provides researchers with an understanding of the multiple components that need to be addressed to create and evaluate whole-school physical activity interventions. It emphasises the need for researchers to move beyond push approaches and co-develop interventions with multiple stakeholders within the school setting from conception [16]. The challenge for researchers resides in creating programmes that create systems-level change within schools. Unlike previous frameworks, CAS highlights the need to focus on school-level change, the role of each key stakeholder group and the social and physical environments, not just the interventions within the seven opportunities [62]. CAS, therefore, reveals the required components researchers must be aware of when supporting schools in the design, delivery and evaluation of future physical activity interventions. Further, this study provides a template for physical activity researchers in the UK and beyond to adopt experience-based co-design approaches, specifically using the DDDA approach.

## Strength and limitations

A particular strength of the CAS framework is that it is, to the authors' knowledge, the first to deploy a co-development methodology with multiple stakeholders holding deep and wide experience of UK school systems. While pioneering, the final framework is based on the vision of a specific group of stakeholders and this specific process. Involvement of further stakeholders (children, parents, school nurses etc) or indeed alternate "experts" may have yielded different outcomes. Yet, CAS reflects insights from the UK and select westernised high-income countries meaning it is likely to provide a reasonable reflection of the components of a whole-school physical activity framework within similar countries and education systems. In addition, the flexibility of CAS enables contrasting school systems to prioritise different components with the framework to meet curricular and logistical needs. Children were not included in the development of the CAS Framework due to the focus on systems and processes, rather than implementation. It is envisaged that children, as key stakeholders, will be central to creating a school's individual implementation plan and will support the implementation of the actions needed to provide effective physical activity opportunities.

This is the primary instance that an experience-based co-design process - the DDDA - has been used within the whole-school physical activity field. Recognising our relative inexperience in design, and notwithstanding that the paper provides a powerful template for future projects, improvements may emerge from a more refined design process. Further, while the framework provides a map, it does little to identify how the respective parts interact, nor does it specify the optimal sequence(s) or interactions that need to take place [67]. Future research and practice collaborations will need to investigate the implementation of the framework.

## Conclusion

The CAS Framework was co-produced from initial conception by multiple experts who understand the powerful driving agents of school systems and teacher and student physical activity behaviour. The novelty of the CAS framework resides in formally identifying the multitude of interconnecting components of a whole-school adaptive sub-system; this exposes the complexity required to create systems change. The iterative design process, involving multiple stakeholders who understand the layers of influence within and beyond schools, has high face validity which may, for the first time, consolidate and direct the efforts of all stakeholders. The CAS framework can be used to shape future policy, research and practice to embed sustainable physical activity interventions within schools. To enact such change, the CAS framework presents a potential paradigm shift, providing a map and method to guide future co-production by multiple experts of initiatives 'with' schools, while abandoning outdated traditional approaches of implementing interventions 'on' schools. To facilitate this change, a practical toolkit and resources are required to support schools to implement whole-systems change that meets the needs of their individual setting. To maximise reach, the toolkit should be housed on a web portal with face-to-face workshops for those schools and stakeholders who require more specific support.

## Abbreviations

MVPA, moderate-to-vigorous physical activity; DDDA, Double-diamond design approach; PA, physical activity; CAS Framework, creating Active Schools Framework; UK, United Kingdom;

## Declarations

## Ethics approval and consent to participate

The protocol and informed consent were approved by Leeds Beckett University Research Ethics Committee (No 60271).

## Consent for publication

Not applicable

## Availability of data and materials

Data sharing not applicable to this article as no datasets were generated or analysed during the current study.

## **Competing interests**

The authors declare that they have no competing interests.

## Funding

The conference and workshop were jointly funded through an internal Leeds Beckett Research grant, the Yorkshire Sport Foundation and Public Health England (Yorkshire and Humber). Twinkl Educational Publishing kindly sponsored the conference and workshop event. ACR is funded by the National Institute for Health Research (NIHR) Applied Research Collaboration East Midlands (ARC EM). The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care. Yorkshire Sport Foundation (author, DW) and Public Health England (author, NC) were involved in the design of the study, interpretation of the online voting results and creation of the final Creating Active Schools Framework.

## Authors' contributions

ADS, TQ, VSJA, NC, DW & JM created the study concept. ADS led the design of the study with all authors contributing to the study design and ethics submission. ADS, NC and DW recruited study participants. ADS and TQ led the co-design workshop. ADS, DW, NC, JM and DS reviewed the initial framework and suggested visual representations for the proposals. ADS and DW designed the final CAS framework. ADS and JM drafted the manuscript and had the overall responsibility for the final content. All authors contributed to sections of the draft manuscript and have critically read, reviewed, and approved the final manuscript.

## Acknowledgements

We would like to acknowledge all of the participants who engaged in the workshop and contributed to the development of the Creating Active Schools Framework. In addition, we would like to thank Dr Laurie Patterson and Luke Norris who provided research support on the workshop day.

## References

- 1. Hallal PC, Andersen LB, Bull FC, Guthold R, Haskell W, Ekelund U, et al. Global physical activity levels: surveillance progress, pitfalls, and prospects. Lancet. 2012;380:247–57.
- 2. Tremblay MS, Barnes JD, González SA, Katzmarzyk PT, Onywera VO, Reilly JJ, et al. Global Matrix 2.0: Report Card Grades on the Physical Activity of Children and Youth Comparing 38 Countries. J Phys Act Health. 2016;13:S343–66.
- 3. Guthold R, Stevens GA, Riley LM, Bull FC. Global trends in insufficient physical activity among adolescents: a pooled analysis of 298 population-based surveys with 1.6 million participants. The Lancet Child & Adolescent Health [Internet]. Elsevier; 2019; Available from: https://doi.org/10.1016/S2352-4642(19)30323-2
- 4. Aubert S, Barnes JD, Abdeta C, Abi Nader P, Adeniyi AF, Aguilar-Farias N, et al. Global Matrix 3.0 Physical Activity Report Card Grades for Children and Youth: Results and Analysis From 49 Countries. J Phys Act Health. 2018;15:S251–73.
- 5. Arrigon D. What works in schools and colleges to increase physical activity? A resource for head teachers, college principals, staff working in education settings, school nurses, directors of public health, county sports partnerships and wider partners [Internet]. Public Health England; 2019 Jul. Available from:

 $https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/821463/What_works_in_schools_and_colleges_to_ir_normality.pdf and the state of the state$ 

- 6. {Global Advocacy Council for Physical Activity, International Society for Physical Activity and Health}. The Toronto Charter for Physical Activity: A Global Call for Action. J Phys Act Health. 2010;7 Suppl 3:S370–85.
- 7. World Health Organisation. Physical activity strategy for the WHO European Region 2016–2025 [Internet]. World Health Organisation; 2016. Available from: https://apps.who.int/iris/bitstream/handle/10665/329407/9789289051477-eng.pdf
- 8. World Health Organisation. Global Action Plan on Physical Activity 2018-2030: More Active People for a Healthier World [Internet]. World Health Organisation; 2018. Available from: https://apps.who.int/iris/bitstream/handle/10665/272722/9789241514187-eng.pdf?ua=1
- 9. Department of Health and Social Care. Childhood obesity: a plan for action, chapter-2 [Internet]. HM Government; 2018. Report No.: 2. Available from: https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\_data/file/718903/childhood-obesity-a-plan-for-action-chapter-2.pdf
- 10. Department for Education. PE and sport premium for primary schools [Internet]. GOV.UK. Department for Education; 2019 [cited 2019 Nov 30]. Available from: https://www.gov.uk/guidance/pe-and-sport-premium-for-primary-schools
- 11. Jones M, Defever E, Letsinger A, Steele J, Mackintosh KA. A mixed-studies systematic review and meta-analysis of school-based interventions to promote physical activity and/or reduce sedentary time in children. J Sport Health Sci. 2020;9:3–17.
- 12. Love R, Adams J, Sluijs EMF. Are school-based physical activity interventions effective and equitable? A meta-analysis of cluster randomized controlled trials with accelerometer-assessed activity. Obes Rev. 2019;20:859–70.
- 13. Adab P, Pallan MJ, Lancashire ER, Hemming K, Frew E, Barrett T, et al. Effectiveness of a childhood obesity prevention programme delivered through schools, targeting 6 and 7 year olds: cluster randomised controlled trial (WAVES study). BMJ. British Medical Journal Publishing Group; 2018;360:k211.
- 14. Donnelly JE, Greene JL, Gibson CA, Smith BK, Washburn RA, Sullivan DK, et al. Physical Activity Across the Curriculum (PAAC): a randomized controlled trial to promote physical activity and diminish overweight and obesity in elementary school children. Prev Med. 2009;49:336–41.
- 15. Gorely T, Harrington DM, Bodicoat DH, Davies MJ, Khunti K, Sherar LB, et al. Process evaluation of the school-based Girls Active programme. BMC Public Health. 2019;19:1187.
- 16. Rütten A, Frahsa A, Abel T, Bergmann M, de Leeuw E, Hunter D, et al. Co-producing active lifestyles as whole-system-approach: theory, intervention and knowledge-to-action implications. Health Promot Int [Internet]. 2017; Available from: http://dx.doi.org/10.1093/heapro/dax053
- 17. Fullan M. Leadership & Sustainability: System Thinkers in Action. Corwin Press; 2005.
- 18. Bagnall A-M, Radley D, Jones R, Gately P, Nobles J, Van Dijk M, et al. Whole systems approaches to obesity and other complex public health challenges: a systematic review. BMC Public Health. 2019;19:8.
- 19. Renger R. System evaluation theory (SET): A practical framework for evaluators to meet the challenges of system evaluation. Evaluation Journal of Australasia. SAGE Publications; 2015;15:16–28.
- 20. Luke DA, Stamatakis KA. Systems science methods in public health: dynamics, networks, and agents. Annu Rev Public Health. 2012;33:357-76.
- 21. Carson R, Castelli D, Beighle A, Erwin H. School-based physical activity promotion: a conceptual framework for research and practice. Child Obes. 2014;10:100–6.
- 22. Van Acker R, De Bourdeaudhuij I, De Martelaer K, Seghers J, Kirk D, Haerens L, et al. A Framework for Physical Activity Programs Within School– Community Partnerships. Quest. Routledge; 2011;63:300–20.
- 23. Webster C, Beets M, Weaver R, Vazou S, Russ L. Rethinking Recommendations for Implementing Comprehensive School Physical Activity Programs: A Partnership Model. Quest. 2015;67:185–202.
- 24. Naylor P-J, Macdonald HM, Reed KE, McKay HA. Action Schools! BC: a socioecological approach to modifying chronic disease risk factors in elementary school children. Prev Chronic Dis. 2006;3:A60.
- 25. Michie S, van Stralen MM, West R. The behaviour change wheel: a new method for characterising and designing behaviour change interventions. Implement Sci. 2011;6:42.
- 26. Chan K. A Design Thinking Mindset Beyond the Public Health Model: Design Thinking for Public Health. World Medical & Health Policy. 2018;10:111–9.
- 27. Donetto S, Tsianakas V, Robert G. Using Experience-based Co-design (EBCD) to improve the quality of healthcare: mapping where we are now and establishing future directions. London: King's College London [Internet]. 2014; Available from: https://www.kcl.ac.uk/nmpc/research/nnru/publications/reports/ebcd-where-are-we-now-report.pdf

- 28. Mulvale G, Moll S, Miatello A, Murray-Leung L, Rogerson K, Sassi RB. Co-designing Services for Youth With Mental Health Issues: Novel Elicitation Approaches. International Journal of Qualitative Methods. SAGE Publications Inc; 2019;18:1609406918816244.
- 29. Design Council. What is the framework for innovation? Design Council's evolved Double Diamond [Internet]. Design Council. 2015 [cited 2019 Nov 9]. Available from: https://www.designcouncil.org.uk/news-opinion/what-framework-innovation-design-councils-evolved-double-diamond
- 30. Wolstenholme D, Grindell C, Dearden A. A co-design approach to service improvement resulted in teams exhibiting characteristics that support innovation. Design for Health. Routledge; 2017;1:42–58.
- 31. Carmel-Gilfilen C, Portillo M. Designing With Empathy: Humanizing Narratives for Inspired Healthcare Experiences. HERD. 2016;9:130-46.
- 32. Ferreira FK, Song EH, Gomes H, Garcia ÉB, Ferreira LM. New mindset in scientific method in the health field: Design Thinking. Clinics. 2015;70:770-2.
- 33. Brown T. Change by Design: How Design Thinking Transforms Organizations and Inspires Innovation. Harper Collins; 2009.
- 34. Daly-Smith A, Quarmby T, Archbold VSJ, Routen AC, Morris JL, Gammon C, et al. Implementing physically active learning: Future directions for research, policy, and practice. Journal of Sport and Health Science. 2020;9:41–9.
- 35. Allison KR, Vu-Nguyen K, Ng B, Schoueri-Mychasiw N, Dwyer JJM, Manson H, et al. Evaluation of Daily Physical Activity (DPA) policy implementation in Ontario: surveys of elementary school administrators and teachers. BMC Public Health. 2016;16:746.
- 36. Gamble A, Chatfield SL, Cormack ML Jr, Hallam JS. Not Enough Time in the Day: A Qualitative Assessment of In-School Physical Activity Policy as Viewed by Administrators, Teachers, and Students. J Sch Health. 2017;87:21–8.
- 37. Morton KL, Atkin AJ, Corder K, Suhrcke M, Van Sluijs EMF. The school environment and adolescent physical activity and sedentary behaviour: a mixedstudies systematic review. Obes Rev. Wiley Online Library; 2016;17:142–58.
- 38. Quarmby T, Daly-Smith A, Kime N. "You get some very archaic ideas of what teaching is ... ": primary school teachers' perceptions of the barriers to physically active lessons. Education 3-13. Routledge; 2018;1–14.
- 39. Routen AC, Johnston JP, Glazebrook C, Sherar LB. Teacher perceptions on the delivery and implementation of movement integration strategies: the CLASS PAL (Physically Active Learning) Programme. Int J Educ Res. Elsevier; 2018;88:48–59.
- 40. Gammon C, Morton K, Atkin A, Corder K, Daly-Smith A, Quarmby T, et al. Introducing physically active lessons in UK secondary schools: feasibility study and pilot cluster-randomised controlled trial. BMJ Open [Internet]. 2019 [cited 2019 Mar 2]; Available from: https://ueaeprints.uea.ac.uk/69986/
- 41. Bartholomew JB, Jowers EM, Roberts G, Fall A-M, Errisuriz VL, Vaughn S. Active Learning Increases Children's Physical Activity across Demographic Subgroups. Translational Journal of the American College of Sports Medicine. 2018;3:1.
- Norris E, van Steen T, Direito A, Stamatakis E. Physically active lessons in schools and their impact on physical activity, educational, health and cognition outcomes: a systematic review and meta-analysis. Br J Sports Med. BMJ Publishing Group Ltd and British Association of Sport and Exercise Medicine; 2019;bjsports – 2018–100502.
- 43. Alderman BL, Benham-Deal T, Beighle A, Erwin HE, Olson RL. Physical education's contribution to daily physical activity among middle school youth. Pediatr Exerc Sci. 2012;24:634–48.
- 44. Fairclough SJ, Stratton G. Effects of a physical education intervention to improve student activity levels. Phys Educ Sport Pedagogy. Routledge; 2006;11:29–44.
- 45. Kerr C, Smith L, Charman S, Harvey S, Savory L, Fairclough S, et al. Physical education contributes to total physical activity levels and predominantly in higher intensity physical activity categories. European Physical Education Review. SAGE Publications Sage UK: London, England; 2018;24:152–64.
- 46. Brusseau T, Kulinna P. An Examination of Four Traditional School Physical Activity Models on Children's Step Counts and MVPA. Res Q Exerc Sport. 2015;86:88–93.
- 47. Erwin H, Ickes M, Ahn S, Fedewa A. Impact of Recess Interventions on Children's Physical Activity—A Meta-Analysis. American Journal of Health Promotion. SAGE Publications Inc; 2014;28:159–67.
- 48. Ridgers N, Salmon J, Parrish A, Stanley R, Okely A. Physical activity during school recess: a systematic review. Am J Prev Med. 2012;43:320-8.
- 49. Beets M, Okely A, Weaver R, Webster C, Lubans D, Brusseau T, et al. The theory of expanded, extended, and enhanced opportunities for youth physical activity promotion. Int J Behav Nutr Phys Act. 2016;13:120.
- 50. Chillón P, Evenson KR, Vaughn A, Ward DS. A systematic review of interventions for promoting active transportation to school. Int J Behav Nutr Phys Act. 2011;8:10.
- 51. Lee MC, Orenstein MR, Richardson MJ. Systematic review of active commuting to school and children's physical activity and weight. J Phys Act Health. 2008;5:930–49.
- 52. Mears R, Jago R. Effectiveness of after-school interventions at increasing moderate-to-vigorous physical activity levels in 5- to 18-year olds: a systematic review and meta-analysis. Br J Sports Med [Internet]. 2016; Available from: http://dx.doi.org/10.1136/bjsports-2015-094976
- Beets MW, Beighle A, Erwin HE, Huberty JL. After-school program impact on physical activity and fitness: a meta-analysis. Am J Prev Med. 2009;36:527– 37.
- 54. Duncan S, Stewart T, McPhee J, Borotkanics R, Prendergast K, Zinn C, et al. Efficacy of a compulsory homework programme for increasing physical activity and improving nutrition in children: a cluster randomised controlled trial. Int J Behav Nutr Phys Act. 2019;16:80.
- 55. Kahn EB, Ramsey LT, Brownson RC, Heath GW, Howze EH, Powell KE, et al. The effectiveness of interventions to increase physical activity. A systematic review. Am J Prev Med. 2002;22:73–107.
- 56. Domville MS, Watson PM, Richardson DJ, Graves LEF. Educator perspectives on factors influencing children's school-based physical activity. Health Promot Int [Internet]. 2018; Available from: http://dx.doi.org/10.1093/heapro/day041

- 57. Heikinaro-Johansson P, Hasanen E, McEvoy E, Lyyra N. Preparing physical and health education pre-service teachers to support students' physical activity and wellbeing during the school day. Curriculum Studies in Health and Physical Education. Routledge; 2018;9:43–57.
- 58. Lander N, Koorts H, Mazzoli E, Moncrieff K, Salmon J. The feasibility and impact of embedding pedagogical strategies targeting physical activity within undergraduate teacher education: Transform-Ed! Pilot and Feasibility Studies. 2019;5:125.
- 59. Turner L, Calvert HG, Carlson JA. Supporting Teachers' Implementation of Classroom-Based Physical Activity. Translational Journal of the ACSM. 2019;4:165–72.
- 60. Meadows D, Others. Places to Intervene in a System. Whole Earth Rev. POINT; 1997;91:78-84.
- 61. Senge PM. The fifth discipline: The art and practice of the learning organization. Broadway Business; 2006.
- 62. Chalkley AE, Routen AC, Harris JP, Cale LA, Gorely T, Sherar LB. A retrospective qualitative evaluation of barriers and facilitators to the implementation of a school-based running programme. BMC Public Health. 2018;18:1189.
- 63. Patterson L, Holladay R, Eoyang G. Radical Rules for Schools: Adaptive Action for Complex Change. Human Systems Dynamics Institute; 2013.
- 64. Naylor P-J, Macdonald HM, Zebedee JA, Reed KE, McKay HA. Lessons learned from Action Schools! BC—an "active school" model to promote physical activity in elementary schools. J Sci Med Sport. Elsevier; 2006;9:413–23.
- 65. McMullen J, Ní Chróinín D, Tammelin T, Pogorzelska M, van der Mars H. International Approaches to Whole-of-School Physical Activity Promotion. Quest. Routledge; 2015;67:384–99.
- 66. Department for Education. Healthy schools rating scheme [Internet]. London: Department for Education ; 2019. Available from: https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\_data/file/814914/Healthy\_schools\_rating\_scheme.pdf
- 67. Lewis MA, Fitzgerald TM, Zulkiewicz B, Peinado S, Williams PA. Identifying Synergies in Multilevel Interventions: The Convergence Strategy. Health Educ Behav. SAGE Publications Inc; 2017;44:236–44.

## Table 1

## Table 1 Key stakeholder characteristics

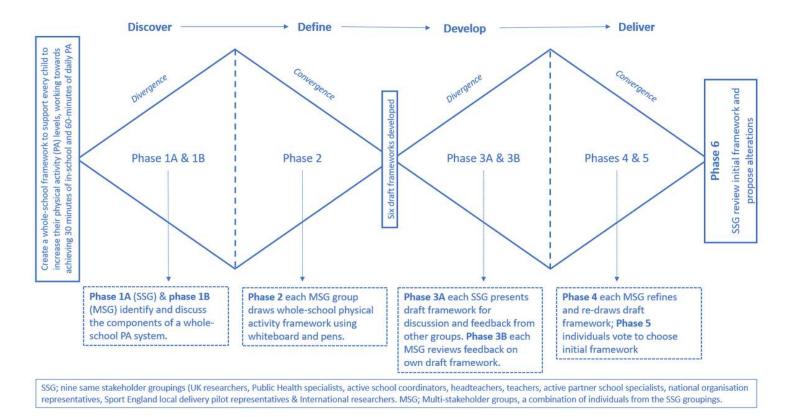
takeholder Group	Proportion (%) of males/females	Years in current role mean (range)	Years in current profession mean (range)	Years as a qualified teacher mean (range)	
UK researchers $(n = 6)$	33/67	6.46 (3.0-12.0)	13.50 (10.0-18.0)	-	
Public Health specialists $(n = 5)$	0/100	2.40 (0.8-3.0)	15.30 (8.0-21.5)	-	
Active school coordinators $(n = 6)$	17/83	8.17 (2.0-16.0)	13.96 (7.8-20.0)	17.75 (0.0-32.0)	
Headteachers $(n = 6)$	83/17	6.20 (3.8-9.8)	18.47 (13.7-30.0)	18.47 (13.7-30.0)	
Teachers $(n = 6)$	50/50	4.93 (0.7-11.7)	13.38 (7.0-20.0)	11.71 (0.0-20.0)	
Active partner school specialists $(n=6)$	33/67	3.63 (0.4-9.8)	6.04 (0.4-15.0)	2.67 (0.0-16.0)	
National organisation representative $(n = 5)$	40/60	5.9 (1.17-16.0)	20.0 (10.0-41.0)	11.8 (0.0-41.0)	
Local deliver pilot representatives $(n = 5)$	80/20	5.43 (0.3-15.0)	20.8 (10.0-40.0)	15.0 (0.0-40.0)	
International researchers $(n = 5)$	60/40	11.62 (1.0-22.0)	18.73 (5.0-28.7)	0.40 (0.0-2.0)	

## Table 2

				Table 2 The proportion (%) of stakeholders who agreed with the eight proposals to modify the initial whole- school physical activity framework				
1. Change skills, knowledge & competence to capability, opportunity & motivation	2. Change teacher practice & ethos to whole- school practice & ethos.	3. Change 5 original pillars to 5 people- orientated pillars	4. Show social & physical environment as interweaving through the 5 pillars.	5. Present the five pillars and social/ physical environments as a DNA helix?	6. Introduce a new part to the model where children are included as the main beneficiaries?	7. Change the best-practice physical activity box to include 7 PA segments/ opportunities?	8. Rotate the model 90 degrees to the left?	
UK Researchers (n = 5)	100	100	80	100	20	80	60	40
Public Health $(n = 5)$	100	80	100	100	0	80	80	80
Active Schools $(n = 4)$	75	100	100	100	50	100	50	50
Head Teachers (n = 5)	100	100	100	80	60	80	40	60
Teachers $(n = 5)$	100	80	60	100	60	100	60	20
Active Partners (n = 4)	100	100	100	75	25	100	75	50
Nat Organisations (n = 3)	33	67	100	100	67	100	100	100
LDP $(n = 1)$	100	100	100	100	100	100	100	100
Int Researchers (n=5)	100	100	100	80	40	80	0	40
Total (n = 37)								
Overall in agreement	92	92	92	92	41	89	57	54
Number of groups with + ve response	8 of 9	9 of 9	9 of 9	9 of 9	3 of 9	9 of 9	6 of 9	4 of 9
Accept/ Decline modification	Accept	Accept	Accept	Accept	Decline	Accept	Accept	Decline

Modifications were accepted when > 50% of the total sample voted for the change and more than half of the stakeholder groups (5 or more) voted for the change. Bold text indicates proposal acceptance.

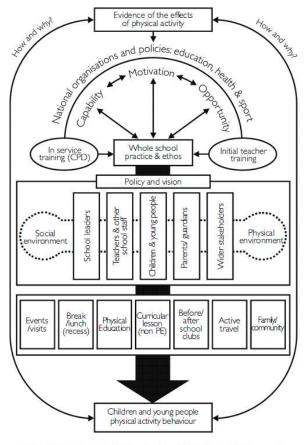
# Figures



## Figure 1

The Double Diamond Design Approach used to develop the Creating Active Schools Framework.

# #CreatingActiveSchools



© 2019 Leeds Beckett University, Yorkshire Sport Foundation, Public Health England. All rights reserved.

### Figure 2

Creating Active Schools (CAS) Framework

## **Supplementary Files**

This is a list of supplementary files associated with this preprint. Click to download.

- Additionalfile2Workshopvotingresults.docx
- Additionalfile1Stakeholderroledescriptions.docx