

Urinary Tract Infection (Catheter-Associated Urinary Tract Infection [CAUTI] and Non-Catheter-Associated Urinary Tract Infection [UTI]) Events

Table of Contents

Introduction	1
Definitions:	2
Figure 1: Associating Catheter Use to UTI	3
Table 1. Urinary Tract Infection Criteria	5
Monthly Summary Data	11
Table 2: Denominator Data Collection Methods	11
Data Analyses	14
Rates and Ratios	15
Additional Resources	16
Table 3. CAUTI Measures Available in NHSN	17
References	18

Introduction

Urinary tract infections (UTIs) are the fifth most common type of healthcare-associated infection, with an estimated 62,700 UTIs in acute care hospitals in 2015. UTIs additionally account for more than 9.5% of infections reported by acute care hospitals¹. Virtually all healthcare-associated UTIs are caused by instrumentation of the urinary tract.

Approximately 12%-16% of adult hospital inpatients will have an indwelling urinary catheter (IUC) at some time during their hospitalization, and each day the indwelling urinary catheter remains, a patient has a 3%-7% increased risk of acquiring a catheter-associated urinary tract infection (CAUTI).²⁻³

CAUTI can lead to such complications as prostatitis, epididymitis, and orchitis in males, and cystitis, pyelonephritis, gram-negative bacteremia, endocarditis, vertebral osteomyelitis, septic arthritis, endophthalmitis, and meningitis in patients. Complications associated with CAUTI cause discomfort to the patient, prolonged hospital stay, and increased cost and mortality⁴. It has been estimated that each year, more than 13,000 deaths are associated with UTIs.⁵

Prevention of CAUTI is discussed in the CDC/HICPAC document, *Guideline for Prevention of Catheter*associated Urinary Tract Infection.⁶



Settings: Surveillance may occur in any inpatient location(s) where denominator data can be collected, such as critical intensive care units (ICU), specialty care areas (SCA), step- down units, wards, inpatient rehabilitation locations, and long term acute care locations. Neonatal ICUs may participate, but only off plan (not as a part of their monthly reporting plan). A complete listing of inpatient locations and instructions for mapping are located in the <u>CDC Locations and Descriptions</u> chapter.

Note: Surveillance for CAUTI after the patient is discharged from the facility is not required. However, if discovered, any CAUTI with a date of event (DOE) on the day of discharge or the next day is attributable to the discharging location and should be included in any CAUTIs reported to NHSN for that location (see Transfer Rule <u>Chapter 2</u>). No additional indwelling urinary catheter days are reported.

Refer to the NHSN Patient Safety Manual, <u>Chapter 2 Identifying Healthcare Associated Infections in NHSN</u> and <u>Chapter 16 NHSN Key Terms</u> for definitions of the following universal concepts for conducting HAI surveillance.

- I. Date of event (DOE)
- II. Healthcare associated infection (HAI)
- III. Infection window period (IWP)
- IV. Present on admission (POA)
- V. Repeat infection timeframe (RIT)
- VI. Secondary BSI attribution period (SBAP)
- VII. Location of Attribution (LOA)
- VIII. Transfer rule

Definitions:

<u>Urinary tract infections</u> (UTI) are defined using Symptomatic Urinary Tract Infection (SUTI) criteria, and Asymptomatic Bacteremic UTI (ABUTI). (See <u>Table 1</u>)

Note: UTI cannot be considered secondary to another site of infection.

<u>Indwelling catheter</u>: A drainage tube that is inserted into the urinary bladder through the urethra, is left in place, and is connected to a drainage bag (including leg bags). These devices are also called Foley catheters. Indwelling urinary catheters that are used for intermittent or continuous irrigation are also included in CAUTI surveillance. Condom or straight in-and-out catheters are not included nor are nephrostomy tubes, ileoconduits, or suprapubic catheters unless an indwelling urinary catheter (IUC) is also present.

<u>Catheter-associated UTI (CAUTI)</u>: A UTI where an indwelling urinary catheter was in place for more than two consecutive days in an inpatient location on the **date of event**, with day of device placement being Day 1*,



AND

an indwelling urinary catheter was in place on the date of event or the day before. If an indwelling urinary catheter was in place for more than two consecutive days in an inpatient location and then removed, the date of event for the UTI must be the day of device discontinuation or the next day for the UTI to be catheter-associated.

*If the IUC was in place prior to inpatient admission, the catheter day count that determines device – association begins with the admission date to the first inpatient location. This allows for consistency with device denominator count (see <u>Table 2 Denominator Data Collection Methods</u>)

Example of Associating Catheter Use to UTI:

A patient in an inpatient unit has an IUC inserted, and the following day is the date of event for a UTI. Because the IUC has not been in place for more than two consecutive days in an inpatient location on the date of event, this is not a CAUTI. However, depending on the date of admission, this may be a healthcare-associated UTI and sets an RIT. Please refer to SUTI 1b: Non-CAUTI.

Notes:

• SUTI 1b cannot be catheter-associated.

Indwelling urinary catheters that are removed and reinserted: If, after an IUC removal, the patient is without an IUC for at least 1 full calendar day (NOT to be read as 24 hours), then the IUC day count will start anew. If instead, a new IUC is inserted before a full calendar day has passed, the indwelling urinary catheter device day count, to determine eligibility for a CAUTI, will continue uninterrupted.

Figure 1: Associating Catheter Use to UTI

	March 31	April 1	April 2	April 3	April 4	April 5	April 6
	(Hospital day 3)						
Patient A	IUC	IUC	IUC	IUC	IUC	IUC	No IUC
				replaced			
	Day 3	Day 4	removed	(Foley	Day 7	removed	
				Day 6)		Day 8	
			(Foley				
			Day 5)				
Patient B	IUC	IUC	IUC	No IUC	IUC	IUC	IUC
			removed				
	Day 3	Day 4			replaced	Day 2	Day 3
			(IUC		(IUC Day		
					1)		
			Day 5)				



Rationale: NHSN surveillance for infection is not aimed at a specific device. Instead surveillance is aimed at identifying risk to the patient that is the result of device use in general.

Notes:

- In the examples above, Patient A is eligible for a CAUTI beginning on March 31, through April 6th, since an IUC was in place for some portion of each calendar day until April 6th. A UTI with date of event on April 6th would be a CAUTI since the IUC had been in place greater than two days and was removed the day before the date of event.
- Patient B is eligible for a CAUTI on March 31 (IUC Day 3) through April 3. The IUC had been in place for greater than two days and a HAI occurring on the day of device discontinuation or the following calendar day is considered a device-associated infection.
- If the patient did not have a CAUTI by April 3, the patient is not eligible for a CAUTI until April 6, when the second IUC had been in place for greater than two days.



Table 1. Urinary Tract Infection Criteria

Criterion	Urinary Tract Infection (UTI)			
	Symptomatic UTI (SUTI)			
	Must meet at least <u>one</u> of the following criteria:			
SUTI 1a	Patient must meet 1, 2, <u>and</u> 3 below:			
Catheter- associated Urinary Tract Infection (CAUTI) in any age patient	 Patient had an indwelling urinary catheter that had been in place for more than 2 consecutive days in an inpatient location on the date of event AND was either: Present for any portion of the calendar day on the date of event[†], OR Removed the day before the date of event[‡] 			
	 Patient has at least <u>one</u> of the following signs or symptoms: fever (>38.0°C) 			
	suprapubic tenderness*			
	 costovertebral angle pain or tenderness* 			
	urinary urgency ^			
	urinary frequency ^			
	dysuria ^			
	 Patient has a urine culture with no more than two species of organisms identified, at least one of which is a bacterium of ≥10⁵ CFU/mI (See <u>Comments</u>). All elements of the SUTI criterion must occur during the IWP (See IWP Definition <u>Chapter 2</u> <u>Identifying HAIs in NHSN</u>). 			
	 [†] When entering event into NHSN choose "INPLACE" for Risk Factor for IUC [‡] When entering event into NHSN choose "REMOVE" for Risk Factor for IUC *With no other recognized cause (see <u>Comments</u>) ^ These symptoms cannot be used when catheter is in place. An IUC in place could cause patient complaints of "frequency" "urgency" or "dysuria". Note: Fever is a non-specific symptom of infection and cannot be excluded from UTI determination because it is clinically deemed due to another recognized cause. 			



SUTI 1b	Patient must meet 1, 2, <u>and</u> 3 below:
Non- Catheter- associated Urinary Tract Infection (Non- CAUTI)	 One of the following is true: Patient has/had an indwelling urinary catheter, but it has/had not been in place for more than two consecutive days in an inpatient location on the date of event⁺ OR Patient did not have an indwelling urinary catheter in place on the date of event nor the day before the date of event⁺
patient	 2. Patient has at least <u>one</u> of the following signs or symptoms: fever (>38°C) suprapubic tenderness* costovertebral angle pain or tenderness* urinary frequency ^ urinary urgency ^ dysuria ^
	 3. Patient has a urine culture with no more than two species of organisms identified, at least one of which is a bacterium of ≥10⁵ CFU/ml. (See <u>Comments</u>) All elements of the SUTI criterion must occur during the IWP (See IWP Definition <u>Chapter 2</u> <u>Identifying HAIs in NHSN</u>). [†] When entering event into NHSN choose "NEITHER" for Risk Factor for IUC *With no other recognized cause (see <u>Comments</u>) [^]These symptoms cannot be used when IUC is in place. An IUC in place could cause patient
	 complaints of "frequency" "urgency" or "dysuria". Note: Fever is a non-specific symptom of infection and cannot be excluded from UTI determination because it is clinically deemed due to another recognized cause.



	Patient must most 1, 2, and 2 holows
	Patient must meet 1, 2, <u>and</u> 5 below.
50112	
	 Patient is ≤1 year of age (with[‡] or without an indwelling urinary catheter)
CAUTI or	
Non-CAUTI in	2. Patient has at least <i>one</i> of the following signs or symptoms:
patients 1	• fever (>38.0°C)
year of age	• hypothermia (<36 Ω° C)
or less	• appea*
	• bradycardia*
	• lethargy*
	• vomiting*
	 suprapubic tenderness*
	3. Patient has a urine culture with no more than two species of organisms
	identified at least one of which is a bacterium of $>10^5$ CEU/mL (See Comments)
	All elements of the CLTL exiterion must ecour during the IM/D (See IM/D Definition
	All elements of the SOTI criterion must occur during the twp (see twp Definition
	<u>Chapter 2 Identifying HAIs in NHSN).</u>
	[*] If patient had an IUC in place for more than two consecutive days in an inpatient location and the IUC was in place on the date of event or the previous day the CAUTI criterion is met. If no such IUC was in place, UTI (non-catheter associated) criterion is met.
	*With no other recognized cause (See <u>Comments</u>)
	Note: Fever and hypothermia are non-specific symptoms of infection and cannot be excluded from UTI determination because they are clinically deemed due to another recognized cause.



Comments	"Mixed flora" is not available in the nathogen list within NSHN. Therefore, it cannot be
comments	reported as a pathogon to most the NHSNULT criteria. Additionally "mixed flora"
	reported as a pathogen to meet the whish off citteria. Additionally, finited hora
	represent at least two species of organisms. Therefore, an additional organism recovered
	from the same culture would represent more than two species of microorganisms. Such a
	specimen also cannot be used to meet the UTI criteria.
	The following excluded organisms cannot be used to meet the UTI definition:
	Any Candida species as well as a report of "yeast" that is not otherwise specified
	➤ mold
	dimorphic fungi or
	parasites
	An acceptable urine specimen may include these organisms if one bacterium of <u>></u> 100,000 CFU/mI is also present. Additionally, these non-bacterial organisms identified from blood cannot be deemed secondary to a UTI since they are excluded as organisms in the UTI definition.
	Suprapubic tenderness whether elicited by palpation (tenderness-sign) or provided as a subjective complaint of suprapubic pain (pain-symptom), documentation of either found in the medical record is acceptable as a part of SUTI criterion if documented in the medical record during the Infection Window Period.
	Lower abdominal pain or bladder or pelvic discomfort are examples of symptoms that can be used as suprapubic tenderness. Generalized "abdominal pain" in the medical record is not to be interpreted as suprapubic tenderness as there are many causes of abdominal pain and this symptom is too general.
	Left or right lower back or flank pain are examples of symptoms that can be used as costovertebral angle pain or tenderness. Generalized "low back pain" is not to be interpreted as costovertebral angle pain or tenderness.



	Asymptomatic Bacteremic Urinary Tract Infection (ABUTI) (in any age patient)
	Patient must meet 1, 2, and 3 below:
	 Patient with* or without an indwelling urinary catheter has <u>no</u> signs or symptoms of SUTI 1 or 2 according to age.
	 Patient has a urine culture with no more than two species of organisms identified, at least one of which is a bacterium of ≥10⁵ CFU/ml (see <u>Comment</u> section below).
	 Patient has organism identified** from blood specimen with at least <u>one</u> matching bacterium to the bacterium at ≥ 100,000 CFU/ml identified in the urine specimen, or is eligible <u>LCBI criterion 2</u> (without fever) and matching common commensal(s) in the urine. All elements of the ABUTI criterion must occur during the Infection Window Period (See Definition <u>Chapter 2 Identifying HAIs in NHSN)</u>.
	*Patient had an IUC in place for more than two consecutive days in an inpatient location on the date of event, and IUC was in place on the date of event or the day before. <i>Catheter - associated ABUTI is reportable if CAUTI is in the facility's reporting plan for</i> <i>the location.</i>
	** Organisms identified by a culture or non-culture based microbiologic testing method which is performed for purposes of clinical diagnosis or treatment (e.g., not Active Surveillance Culture/Testing (ASC/AST).
Comments	 A urine specimen with "Mixed flora" cannot be used to meet the urine criterion. Additionally, the following excluded organisms cannot be used to meet the UTI definition: Any Candida species as well as a report of "yeast" that is not otherwise specified mold dimorphic fungi or parasites
	An acceptable urine specimen may include these excluded organisms if one bacterium of >100,000 CFU/ml is also present. Additionally, these non-bacterial organisms identified from blood cannot be deemed secondary to a UTI since they are excluded as organisms in the UTI definition







Monthly Summary Data

Numerator Data: The <u>Urinary Tract Infection (UTI) form (CDC 57.114)</u> is used to collect and report each CAUTI that is identified during the month selected for surveillance. The <u>Instructions for Completion of</u> <u>Urinary Tract Infection form</u> include brief instructions for collection and entry of each data element on the form. The UTI form includes patient demographic information and information on whether an indwelling urinary catheter was present. Additional data include the specific criteria met for identifying the UTI, whether the patient developed a secondary bloodstream infection, whether the patient died, and the organisms isolated from cultures and their antimicrobial susceptibilities.

Reporting Instructions:

If no CAUTIs are identified during the month of surveillance, the "Report No Events" box must be checked on the appropriate denominator summary screen, (for example, <u>Denominators for Intensive Care Unit</u> (ICU)/Other Locations (Not NICU or SCA/ONC).

Denominator Data: Device days and patient days are used for denominators (See <u>Key Terms</u> chapter). The method of collecting device-day denominator data may differ depending on the location of patients being monitored. The following methods may be used:

Table 2: Denominator Data Collection Methods

Denominator Data	Details
Collection Method	
Manual, Daily	Denominator data (patient days and device days) should be collected at
(specifically, collected at	the same time, every day, for each location performing surveillance to
the same time every day	ensure that differing collection methods don't inadvertently result in
of the month)	device days being greater than patient days.
	The Instructions for Completion of Denominators for Intensive Care Unit (ICU)/Other Locations (Not NICU and SCA/ONC) and Instructions for Completion of Denominators for Specialty Care Areas (SCA)/Oncology (ONC) contain brief instructions for collection and entry of each data element on the form. Indwelling urinary catheter days, which are the number of patients with an indwelling urinary catheter device, are collected daily, at the same time each day, according to the chosen location using the appropriate form (CDC <u>57.117</u> and <u>57.118</u>). These daily counts are summed and only the total for the month is entered into NHSN. Indwelling urinary catheter days



Denominator Data	Details
Collection Method	
	and patient days are collected separately for each of the locations monitored.
Manual, sampled	To reduce staff time spent collecting surveillance data, once weekly
once/week (collected at	sampling of denominator data to generate estimated urinary catheter
the same time on the	days may be used as an alternative to daily collection in non-oncology
same designated day,	ICUs and wards (see Notes below). Sampling may not be used in SCA/ONC
once per week)	locations or NICUs. During the month, the number of patients in the location (patient-days) and the number of patients with an indwelling urinary catheter (urinary catheter-days) is collected on a designated day each week (for example, every Tuesday), at the same time during the month.
	Evaluations of this method have repeatedly shown that use of Saturday or Sunday generate the least accurate estimates of denominator data, and, therefore, these days should not be selected as the designated day. ⁷⁻⁹ If the day designated for the collection of sampled data is missed, collect the data on the next available day instead.
	The following must be collected and entered NHSN:
	 The monthly total for patient-days, based on collection daily The sampled total for patient-days The sampled total urinary catheter-days
	When these data are entered, the NHSN application will calculate an estimate of urinary catheter-days.
	Notes:
	 To ensure the accuracy of estimated denominator data obtained by sampling, only ICU and ward location types with an average of 75 or more urinary catheter-days per month are eligible to use this method. A review of each location's urinary catheter denominator data for the past 12 months in NHSN will help determine which locations are eligible. The accuracy of estimated denominator data generated by sampling can be heavily influenced by incorrect or missing data. Careful implementation of data collection following the guidance in this protocol is essential to avoid erroneous fluctuations in rates or Standardized Infection Ratios (SIRs).



Denominator Data	Details
Collection Method	
Electronic	For <u>any</u> location, denominator data from electronic sources (for example, urinary catheter days from electronic charting), may be used after validation of a minimum three consecutive months proves the data to be within 5% (+/-) of the manually-collected, once a day counts.
	 When converting from one electronic counting system to another electronic counting system, the new electronic system should be validated against manual counts as above. If electronic counts for the new electronic system are not within 5% of manual counts, resume manual counting and continue working with IT staff to improve design of electronic denominator data extraction (while reporting manual counts) until concurrent counts are within 5% for 3 consecutive months. Note: This guideline is important because validating a new electronic counting system against an existing electronic system can magnify errors and result in inaccurate denominator counts. Perform the validation of electronic counts separately for each location event events.
	conducting CAUTI surveillance.



Data Analyses

All data that is entered into NHSN can be analyzed at event or summary level. The data in NHSN can be visualized and analyzed in various ways, for example, descriptive analysis reports for both the denominator and numerator data.

Types of CAUTI Analysis Reports

Standardized Infection Ratio

The Standardized Infection Ratio (<u>SIR</u>) is a summary measure used to track HAIs at a national, state, or local level over time. The SIR adjusts for various facility and/or patient-level factors that contribute to HAI risk within each facility. In HAI data analysis, the SIR compares the actual number of HAIs reported to the number that would be predicted, given the standard population (i.e., NHSN baseline), adjusting for several risk factors that have been found to be significantly associated with differences in infection incidence. The number of predicted infections is calculated using probabilities from negative binomial regression models constructed from 2015 NHSN data. For more information on SIR and the CAUTI parameter estimates, please the SIR guide: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/nhsn-sir-guide.pdf</u>

$SIR = \frac{Observed (O)HAIs}{Predicted (P)HAIs}$

An SIR greater than 1.0 indicates that more HAIs were observed than predicted; conversely, an SIR less than 1.0 indicates that fewer HAIs were observed than predicted.

While the CAUTI SIR can be calculated for single locations, the measure also allows you to summarize your data by multiple locations, adjusting for differences in the incidence of infection among the location types. For example, you will be able to obtain one CAUTI SIR adjusting for all locations reported. Similarly, you can obtain one CAUTI SIR for all ICUs in your facility.

For more information on using the CAUTI SIR reports, please see the troubleshooting guide: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/clabsicauti_sirtroubleshooting.pdf</u>.

For further information regarding the p-value and 95% confidence interval, please the following guide: <u>https://www.cdc.gov/nhsn/ps-analysis-resources/keys-to-success.html</u>

Note: The SIR will be calculated only if the number of predicted CAUTIs (numPred) is ≥ 1 to help enforce a minimum precision criterion.



The Standardized Utilization Ratio (SUR)

The SUR, or Standardized Utilization Ratio is a summary measure used to track device use at a national, state, or local, or facility level over time. The SUR adjusts for various facility and/or location-level factors that contribute to device use. The method of calculating an SUR is similar to the method used to calculate the Standardized Infection Ratio (SIR), a summary statistic used in NHSN to track healthcare-associated infections (HAIs). In device-associated HAI data analysis, the SUR compares the actual number of device days reported to what would be predicted, given the standard population (specifically, the NHSN baseline), adjusting for several factors that have been found to be significantly associated with differences in device utilization.

 $SUR = \frac{Observed (O) Catheter Days}{Predicted (P) Catheter Days}$

In other words, an SUR greater than 1.0 indicates that more device days were observed than predicted; conversely, an SUR less than 1.0 indicates that fewer device days were observed than predicted. SURs are currently calculated in NHSN for the following device types: central lines, urinary catheters, and ventilators.

More information regarding the CAUTI SUR model and the parameter estimates can be found at: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/nhsn-sur-guide-508.pdf</u> <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/run-interpret-sur-reports.pdf</u>

Rates and Ratios

The CAUTI rate per 1000 urinary catheter days is calculated by dividing the number of CAUTIs by the number of catheter days and multiplying the result by 1000.

 $CAUTI Rate = \frac{No. of CAUTIs}{No.of Catheter Days} * 1000$

Device Utilization Ratio

The Urinary Catheter Utilization Ratio is calculated by dividing the number of urinary catheter days by the number of patient days.

 $DUR = \frac{No. of Urinary Catheter Days}{No. of Patient Days}$

These calculations will be performed separately for the different types of ICUs, specialty care areas, and other locations in the institution, except for neonatal locations. DURs are useful for the purposes of tracking device use over shorter periods of time and for internal trend analyses.



Descriptive Analysis

Descriptive analysis output options of numerator and denominator data, such as line listings, frequency tables, and bar and pie charts are available in the NHSN application. SIRs, SURs and CAUTI rates and run charts are also available.

Line List: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/linelists.pdf</u> Frequency Tables: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/frequencytables.pdf</u> Bar Chart: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/BarCharts.pdf</u> Pie Chart: https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/PieChart.pdf

Guides on using NHSN analysis features are available at: <u>www.cdc.gov/nhsn/PS-Analysis-resources/reference-guides.html</u>.

NHSN Group Analysis

NHSN Group Users can perform the same analysis as facility level users in NHSN. A few helpful tools in NHSN for groups are listed in the resources below. These tools are guides on how to start and join a Group; how to create a template to request data from facilities; how to determine the level of access granted by the facility following the previous steps, and how to analyze the facilities data.

Group Analysis Resources

NHSN Group Users Page: https://www.cdc.gov/nhsn/group-users/index.html

Group User's Guide to the Membership Rights Report: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/GroupAnalysisWebinar.pdf</u>

Group User's Guide to the Line Listing- Participation Alerts: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/group-alerts.pdf</u>

Additional Resources

Analysis Resources: <u>https://www.cdc.gov/nhsn/ps-analysis-resources/index.html</u> Analysis Reference Guides: <u>https://www.cdc.gov/nhsn/PS-Analysis-resources/reference-guides.html</u> NHSN Training: <u>https://www.cdc.gov/nhsn/training/index.html</u> Data Quality Website: https://www.cdc.gov/nhsn/ps-analysis-resources/data-quality/index.html



Table 3. CAUTI Measures Available in NHSN

<u>Measure</u>	Calculation	Application
CAUTI SIR	Number of Observed CAUTIs Number of Predicted CAUTIs	Both location specific and summarized measure
CAUTI Rates	Number of CAUTIs per location Number of Urinary Catheter Days per location * 1000	Location specific measure only
Urinary Catheter SUR	Number of Observed Catheter Days Number of Predicted Catheter Days	Both location specific and summarized measure
DUR	Number of Catheter Days for a location Number of Patient Days for a location	Location specific measure only



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