

# **Using Genetic Algorithms for Model-Based Object Recognition**

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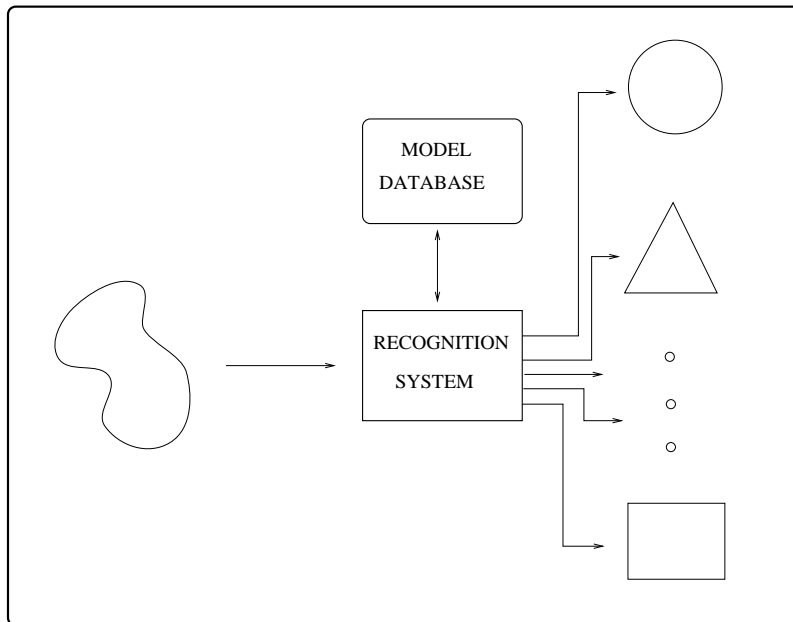
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# MODEL-BASED OBJECT RECOGNITION

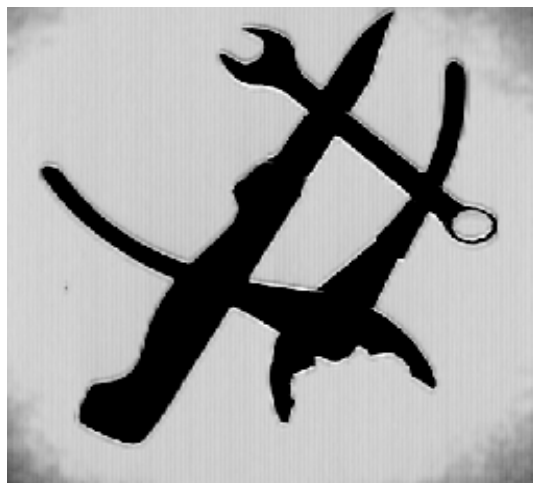
## • Overview

- Environment is rather constrained.
- Search is confined within a finite set of observable models.



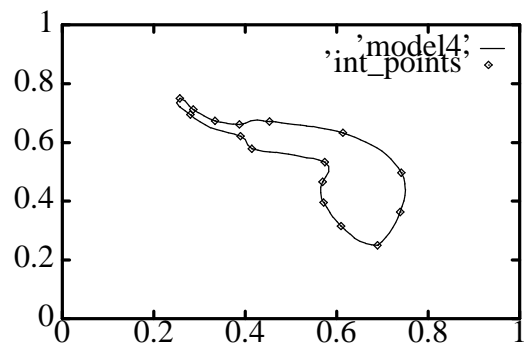
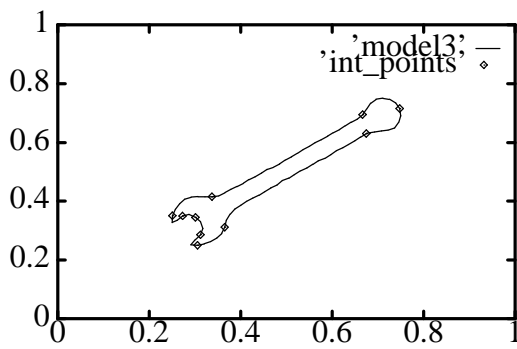
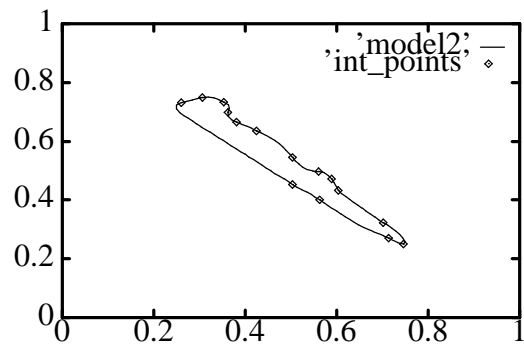
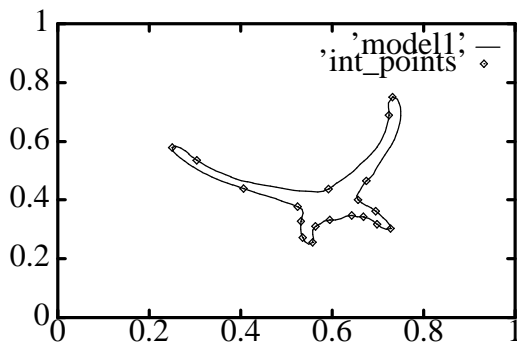
## • Recognition requirements

- Invariant to translation, rotation, and scale.
- Robust to noise and occlusion.



- **Goal of recognition**

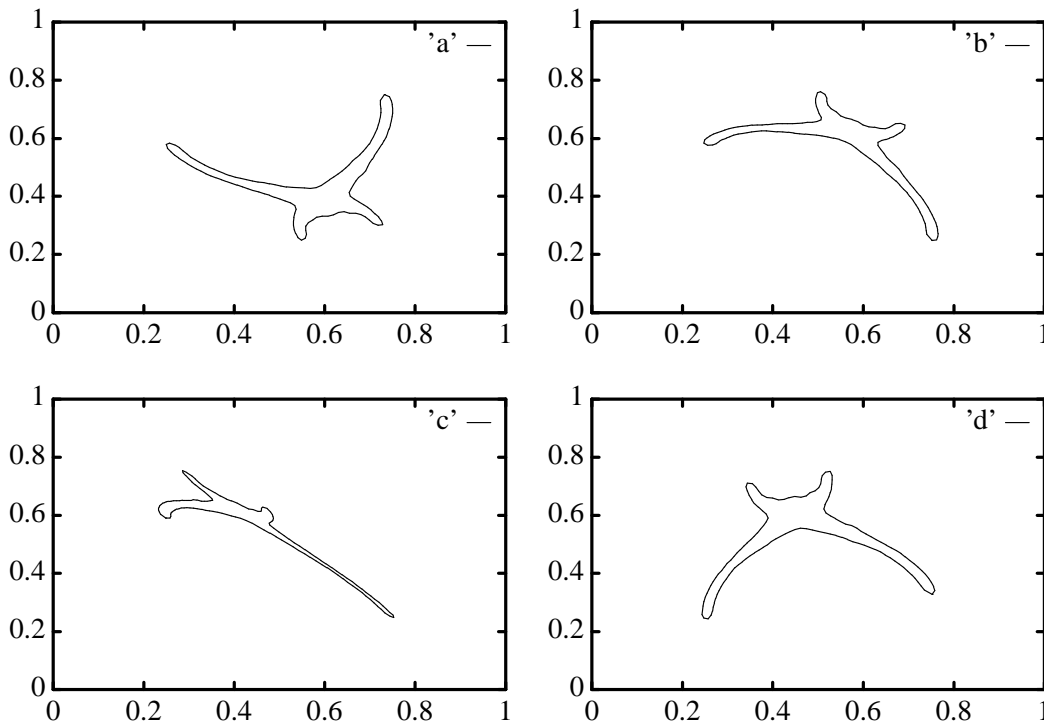
- The recovery of a geometric transformation which aligns the model(s) with the scene.



## • Planar Objects and 2D Affine Transformations

- Assume "weak perspective" projection.
- Different views of the same planar object are related through an *affine transformation*.

$$p' = Ap + b$$



## IMAGE-SPACE APPROACHES

- **Procedure**

- Identify a set of features from the unknown scene which approximately match a set of features from a model object.
- Recover the geometric transformation that the model object has undergone.

- **Examples of methods in this category**

- Interpretation tree (Grimson & Lozano-Perez, 1987)
- Alignment (Huttenlocher and Ullman, 1990)
- Geometric hashing (Lamdan et al., 1990)

## TRANSFORMATION-SPACE APPROACHES

- **Procedure**

- Search the space of possible transformations.
- Find a transformation which aligns a large number of model features with the scene.

- **Examples of methods in this category**

- Hough-transform based methods (Ballard, 1981).
- Pose clustering techniques (Cass, 1988)

## GENETIC ALGORITHMS (GAs)

- **Overview**

- Parallel search algorithms based on the mechanics of natural selection.
- Operate iteratively on a population of structures.
- Each structure represents a candidate solution.
- Structures are modified at each iteration using selection, crossover, and mutation.

- **Why using GAs for Object Recognition ?**

- Genetic algorithms were designed to efficiently search large solution spaces.
- Both the image and transformation spaces are very large !!
- *Image space*:  $O(M^3 S^3)$  possible alignments.
- *Transformation space*: much larger !! (six dimensional)

- **Previous use of GAs in Image Processing/Analysis**

- Feature selection (Roth and Levive, 1994)
- Image segmentation (Swets and Punch, 1995)
- Target recognition (Katz and Thrift, 1994)
- Object recognition (Singh et al., 1997, Ansari et al., 1992)
- Image registration (Fitzpatrick et al., 1984)

- **Problem and Approaches**

- Recognize real, planar, objects from 2D images assuming that the viewpoint is arbitrary.
- Genetic search in the image space (GA-IS)
- Genetic search in the transformation space (GA-TS)

- **Important issues**

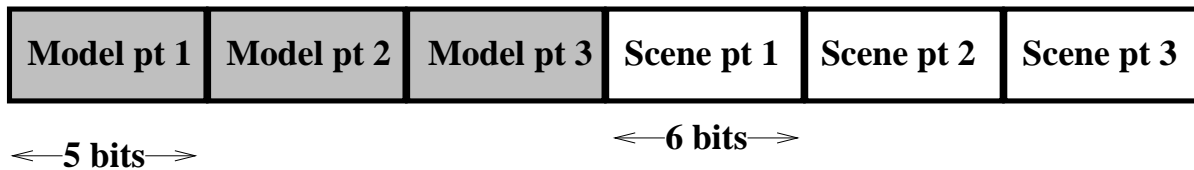
- How to encode solutions ?
- How to modify solutions ?
- How to evaluate solutions ?



## IMAGE-SPACE GENETIC SEARCH

### • Encoding

- At least three model-scene point matches are need to compute the affine transformation.
- Chromosome contains the binary encoded identities of the three pairs of points.
- Model points: 19 (5 bits)
- Scene points: 19 - 45 (6 bits)
- Chromosome length:  $3 \times 5 + 3 \times 6 = 33$  bits



### • Fitness evaluation

1. Compute affine transformation.
2. Apply the transformation on all the model points.
3. Compute the error (BE) between transformed model points and scene points.

$$BE = \sum_{i=1}^M d_j^2$$

( $d_j$  min distance between the  $j$ -th model point and the scene)

$$Fitness = 10000 - BE$$

ESTIMATING THE RANGES OF VALUES OF  
THE PARAMETERS OF AFFINE TRANSFORMATION

$$\begin{bmatrix} x_1 & y_1 & 1 \\ x_2 & y_2 & 1 \\ \dots & \dots & \dots \\ x_M & y_M & 1 \end{bmatrix} \begin{bmatrix} a_{11} \\ a_{12} \\ b_1 \end{bmatrix} = \begin{bmatrix} x'_1 \\ x'_2 \\ \dots \\ x'_M \end{bmatrix} \quad \text{or} \quad Pc_1 = p_{x'} \quad (1)$$

$$\begin{bmatrix} x_1 & y_1 & 1 \\ x_2 & y_2 & 1 \\ \dots & \dots & \dots \\ x_M & y_M & 1 \end{bmatrix} \begin{bmatrix} a_{21} \\ a_{22} \\ b_2 \end{bmatrix} = \begin{bmatrix} y'_1 \\ y'_2 \\ \dots \\ y'_M \end{bmatrix} \quad \text{or} \quad Pc_1 = p_{y'} \quad (2)$$

- Assume that the image coordinates of the unknown views  $(p_{x'}, p_{y'})$  are restricted to belong to a given interval, (e.g., by scaling the image coordinates in  $[0,1]$ ).
- Use **Interval Arithmetic** to find all the possible solutions of (1) and (2) assuming that  $p_{x'}$  and  $p_{y'} \in [0,1]$ .

$$Pc_1^I = p_{x'}^I$$

$$Pc_2^I = p_{y'}^I$$

- Solving (1) and (2) using **Singular Value Decomposition**

$$P = U_P W_P V_P^T$$

$$c_1 = P^+ p_{x'} \quad \text{or} \quad c_1 = \sum_{i=1}^3 \left( \frac{u_i p_{x'}}{w_{ii}} \right) v_i \quad (3)$$

$$c_2 = P^+ p_{y'} \quad \text{or} \quad c_2 = \sum_{i=1}^3 \left( \frac{u_i p_{y'}}{w_{ii}} \right) v_i \quad (4)$$

Evaluate (3) and (4) using Interval Arithmetic (Moore, 1966)

$$t = [t_1, t_2], r = [r_1, r_2]$$

$$t + r = [t_1 + r_1, t_2 + r_2]$$

$$t * r = [\min(t_1 r_1, t_1 r_2, t_2 r_1, t_2 r_2), \max(t_1 r_1, t_1 r_2, t_2 r_1, t_2 r_2)]$$

- Apply *preconditioning* to optimize the ranges.

The computed ranges of values.

Ranges of values			
	range of a11	range of a12	range of b1
original	[-2.953, 2.953]	[-2.89, 2.89]	[-1.662, 2.662]
preconditioned	[-0.408, 0.408]	[-0.391, 0.391]	[0.0, 1.0]

## TRANSFORMATION-SPACE GENETIC SEARCH

### • **Encoding**

- Each chromosome contains six fields.
- Only the range of each coefficient needs to be represented.

$a_{11}$  assumes values in  $[-0.408, 0.408]$

Its range is:  $0.408 - (-0.408) = 0.816$

2 decimal digit accuracy: 82 values must be encoded.

7 bits are needed to encode 82 values.

### • **Decoding**

- Some encoded solutions might be invalid.

7 bits can encode at most 128 values.

$[0, 127]$  should be mapped to  $[0, 81]$

$$a_{11} = MIN(a_{11}) + (82/2^7) * Decimal(W)$$

( $W$  is the binary encoded solution corresponding to  $a_{11}$ )

### • **Fitness evaluation**

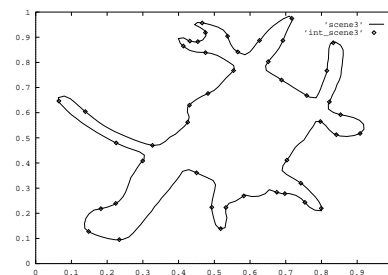
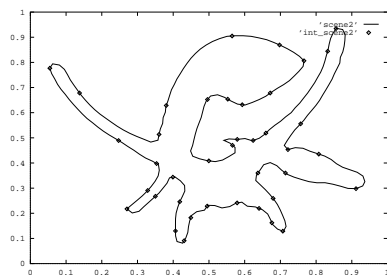
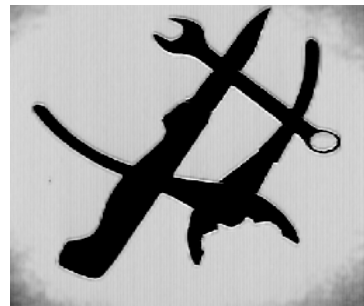
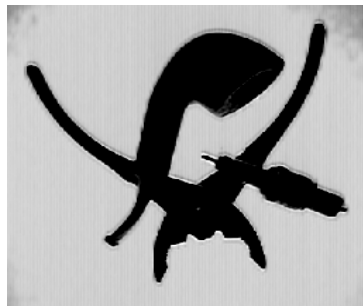
- Same as before (less costly to compute now)

## GENETIC OPERATORS

- Two-point crossover (*pcross*: 0.95).
- Point mutation (*pmut*: 0.05).
- Cross generational selection strategy.
- Fitness scaling (*scaling factor*: 1.2).

## SIMULATIONS AND RESULTS

- Three scenes (S1, S2, S3) of increasing complexity.
- S2, S3 are shown below (S1 was the same as model).
- 10 trials per scene.



- **Parameters**

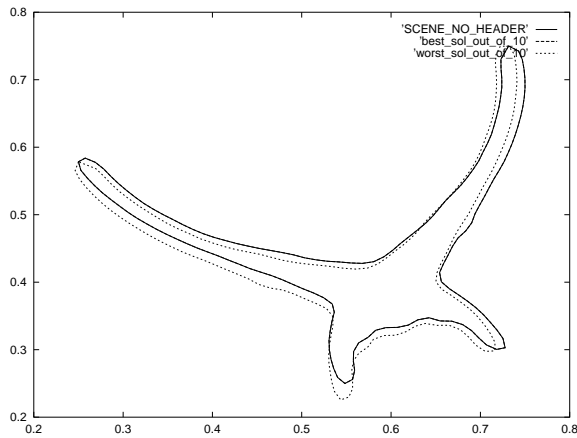
Values of Parameters			
	S1	S2	S3
Population Size	100	200	500
Generations (GA-IS)	30	50	50
Generations (GA-TS)	100	100	100

- All other parameters were the same for all scenes.

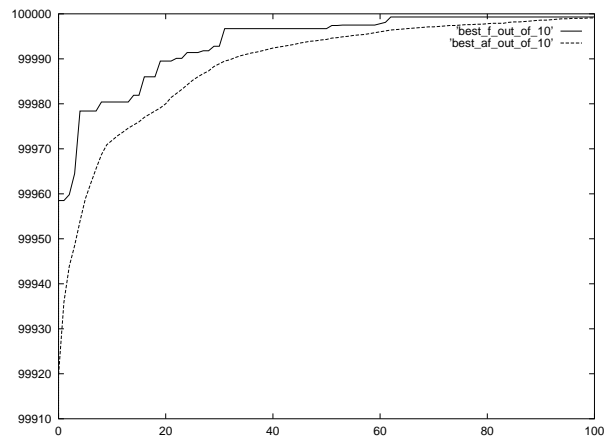
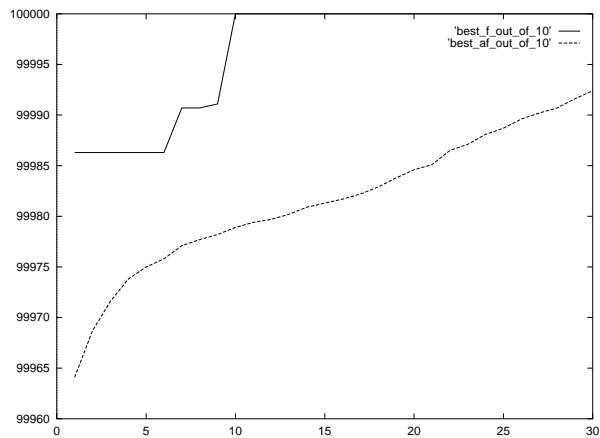
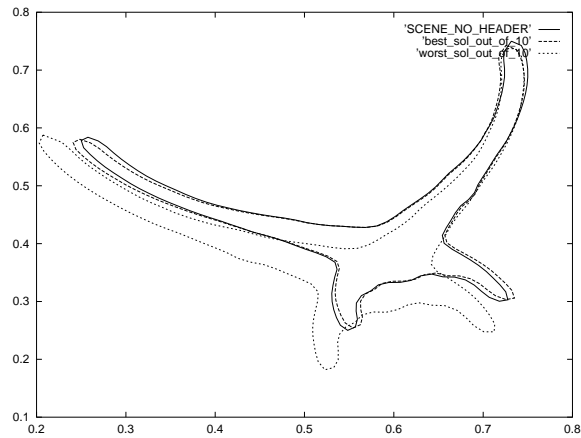
- **Scene1**

- Correct solutions were found in all 10 trials.

GS-IS



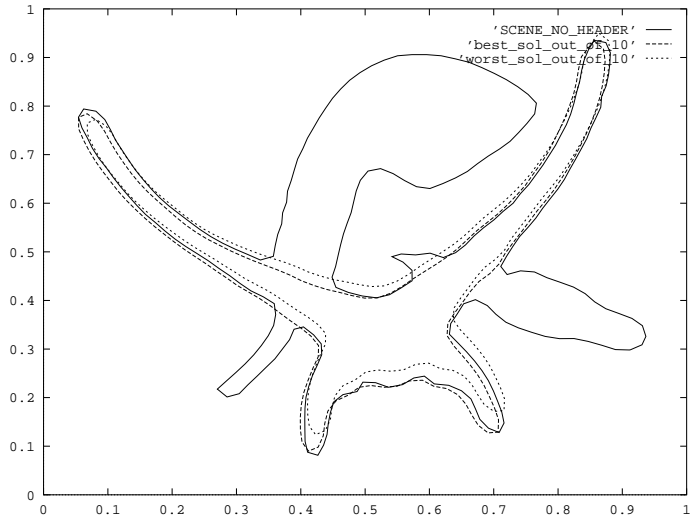
GA-TS



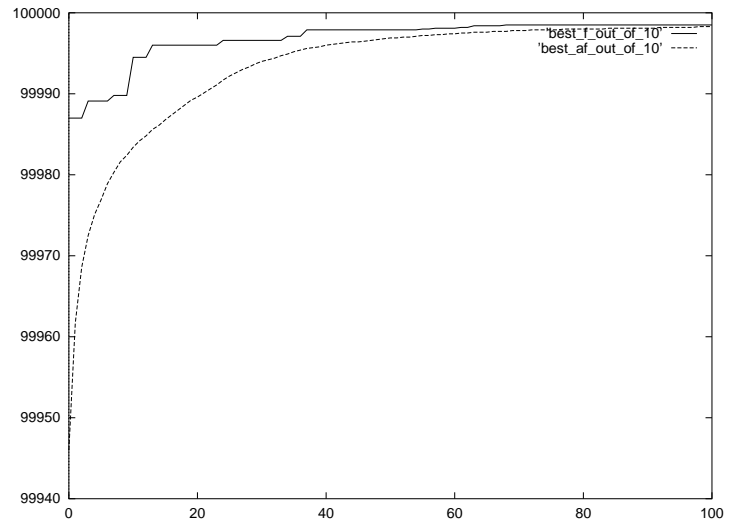
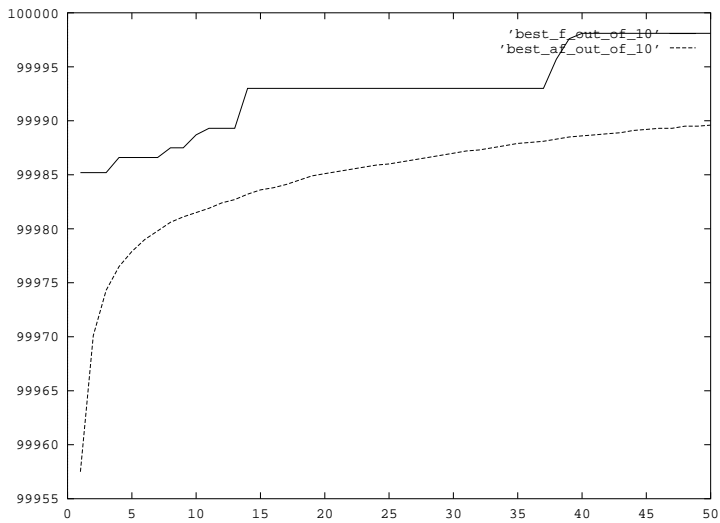
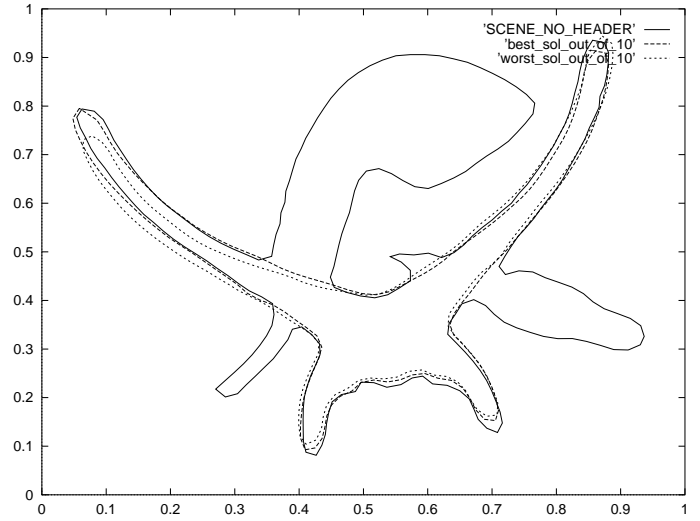
## • Scene2

- Correct solutions were found in all 10 trials.

### GS-IS



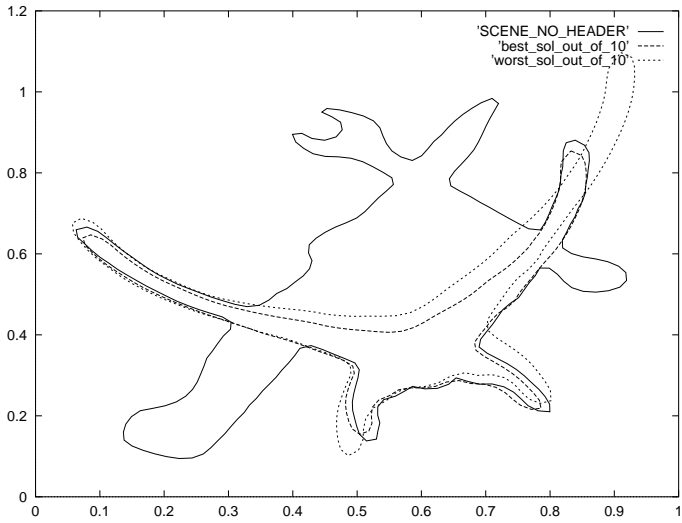
### GA-TS



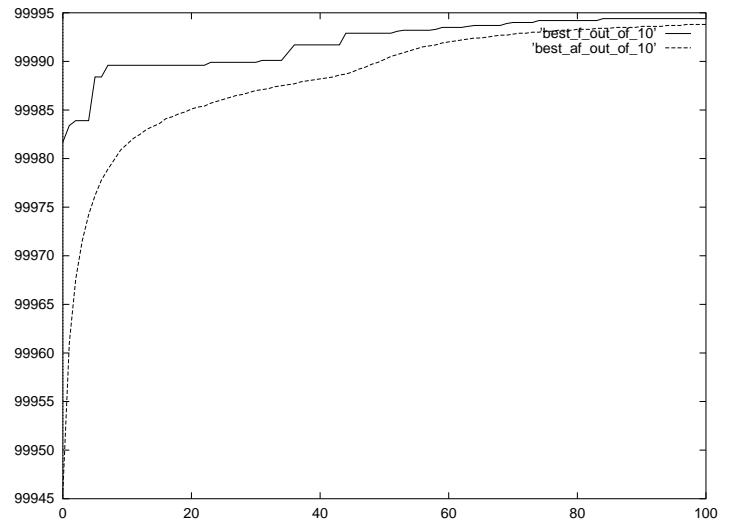
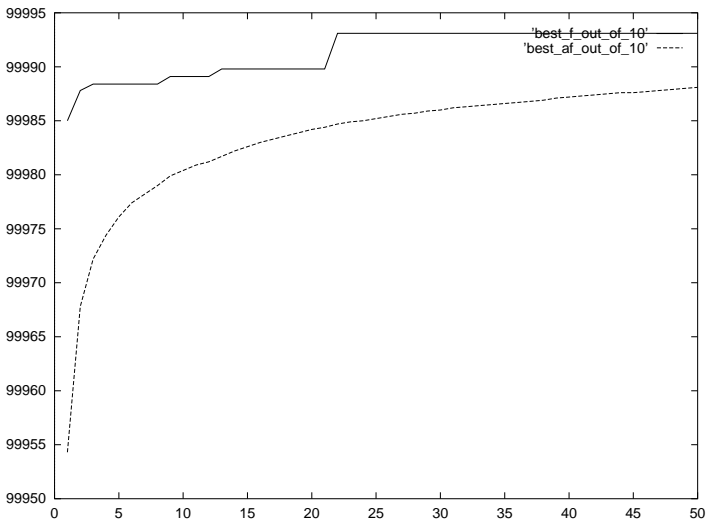
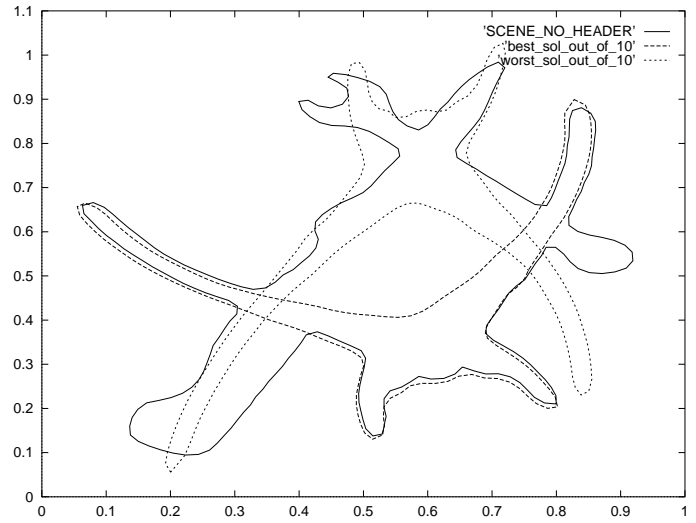
# • Scene3

- The GA-TS approach missed the correct solution once.

## GS-IS



## GA-TS





$$M_3 = \binom{19}{3} = 969$$

*Total number of matches = 3! x M<sub>3</sub> x S<sub>3</sub>*

Summary of results (GA-IS approach).

Results			
Scene	Scene Points	Number of Matches	<i>GA – IS<sub>matches</sub></i>
Scene1	19	5,633,766	1800(0.0003)
Scene2	40	57,442,320	47,800(0.0008)
Scene3	45	82,500,660	133,250(0.0016)

*Total number of possible transformations:*

$$82^2 \times 79^2 \times 101^2 = 428,079,701,284$$

Summary of results (GA-TS approach).

Results		
Scene	Number of Transforms	<i>GA – TS<sub>matches</sub></i>
Scene1	428,079,701,284	8010 (0.000000018)
Scene2	428,079,701,284	8760(0.00000002)
Scene3	428,079,701,284	8620(0.00000002)

- **Conclusions**

- Exact and near exact matches were found reliably and quickly.
- GA-TS converges faster.
- GA-IS finds better solutions.
- GAs are a viable tool for searching the image and transformation spaces efficiently.

- **Future work**

- Incorporate constraints into the fitness function (e.g, geometric constraints).
- Consider more than one models.
- Extend the work to the case of real 3D objects.
- Consider parallel implementations for real time performance.