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Using Single and Multiple Unmanned Aerial Vehicles for Microscopic Driver Behaviour Data Collection at Freeway Interchange Ramps

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1 **Using Single and Multiple Unmanned Aerial Vehicles for Microscopic Driver**
2 **Behaviour Data Collection at Freeway Interchange Ramps**

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36 Abstract

37 This paper presents a detailed methodological framework for collecting microscopic driver and
38 vehicle behaviour data over a long road segment with an application to the entire stretch of a
39 freeway ramp segment using single and multiple Unmanned Aerial Vehicles (UAVs). The
40 methodology allows users to collect reliable and complete trajectories of traffic movements at
41 areas with challenging physical characteristics (long road segment, horizontal curvature, changing
42 elevation, and presence of shadow), challenging traffic characteristics (high traffic volume, high
43 speeds, and high speed changes), and restrictive regulations (UAVs prohibited from hovering over
44 the freeway or the right-of-way). Different UAV setups were recommended and can be used
45 depending on the site conditions. Specific commercial software and procedures used to complete
46 the data collection are explained. The methodology was applied at two ramps and verified with
47 speed data acquired from differential GPS receivers using three different error metrics. Results
48 showed good performance of the proposed methodology, including when aerial videos must be
49 taken from oblique angles.

50

51 **Keywords:** Traffic Data Collection, Microscopic Driver Behavior Data, Freeway Ramp Terminal,
52 Multiple Unmanned Aerial Vehicles, Traffic Analysis

53 **1. Introduction**

54 Little information is available in the literature about the speeds and behaviour of freeway
55 drivers as they travel along an interchange's ramp and speed change lane (SCL). Most studies (e.g.,
56 Ahammed et al. 2008; El-Basha et al. 2007; Yi and Mulinazzi 2007) that examined driver
57 behaviour at freeway ramp terminals observed drivers along the SCL only. Little is also known
58 about the traffic conflicts and interactions that happen between freeway and ramp drivers during
59 the merging and diverging activities. As indicated in the literature (Fitzpatrick and Zimmerman
60 2007; Torbic et al. 2012), existing knowledge about driver and vehicle merging/diverging
61 behaviour in current North American design guides (AASHTO 2018; TAC 2017) is based on
62 limited studies conducted on passenger cars between the 1930s and 1950s. The design criteria for
63 the freeway SCLs are also based on the laws of kinematics with assumptions related to operating
64 speeds and acceleration/deceleration capabilities of passenger vehicles (Fitzpatrick and
65 Zimmerman 2007; Torbic et al. 2012). Factors such as driver gap acceptance behaviour and the
66 presence of heavy vehicles have not been explicitly considered. Consequently, several researchers
67 have expressed a need to evaluate current SCL design values and called for more research in this
68 area (Fitzpatrick and Zimmerman 2007; Fitzpatrick et al. 2012).

69 One possible explanation for the lack of driver behaviour data at interchanges is the difficulties
70 in observing vehicles over the entire stretch of a ramp segment in a cost-effective, efficient, and
71 safe manner. Most existing traffic data collection technologies need to be installed on the freeway
72 or within the right-of-way. Typical examples are laser/lidar guns and fixed video cameras; both
73 cannot track all vehicles on all travel lanes at the same time or for a long distance unless multiple
74 units are used. Alternative data collection techniques include using instrumented probe vehicles
75 and driving simulators. However, the accuracy of driving simulators and the sample size required

76 for these studies are two significant concerns. The Naturalistic Driving Study (NDS) conducted
77 through the Strategic Highway Research Program (SHRP 2) can address some of these concerns
78 by collecting the data over a long period of time such that drivers' behaviour will not be altered
79 due to presence of data collection equipment (Dingus et al. 2015). Yet, the equipment cost is
80 another limitation to such studies (Turner et al. 1998).

81 Unmanned Aerial Vehicles (UAVs), on the other hand, can be a powerful tool for
82 investigating driver behaviour at the microscopic level, specifically in areas where traditional data
83 collection is difficult. Recent research has demonstrated that UAVs can overcome the limitations
84 of traditional data collection methods due to their mobility, flexibility, and ability to cover large
85 areas (Khan et al. 2017a, 2018). Additionally, traffic data captured by UAVs contain more
86 information than those collected by traditional methods (Wang et al. 2016), specifically the non-
87 camera-based methods. Besides traditional data such as speed, density, and flow, UAV videos
88 could provide vehicle-level data, such as lane-change and car-following information (Wang et al.
89 2016). Combined with image processing tools, the use of UAVs can be a promising technique to
90 provide comprehensive trajectory-based information and driver behaviour at different road
91 segments.

92 The objectives of this paper are to present a detailed methodology for collecting and extracting
93 accurate vehicle trajectories over a long road segment with challenging physical characteristics
94 using UAVs and video image processing and apply the methodology in a case study to extract
95 trajectories of freeway ramp vehicles and microscopic driver behaviour data over the entire ramp
96 segment. The major tasks covered in this paper include developing a methodology to process UAV
97 videos, collecting aerial video data using single and multiple UAVs, and extracting driver merging
98 and diverging behaviour parameters. The approach adopted for the video analysis consists of three

99 consecutive steps: video stabilization, camera calibration, and vehicle tracking. The methodology
100 was applied at an interchange with two ramps and verified with speed data acquired from
101 differential GPS receivers.

102 **2. UAV Use in Traffic Data Collection**

103 Using UAVs in traffic data collection needs considerable planning and management for
104 efficient use within local laws and regulations (Khan et al. 2017b). For example, Canadian
105 legislations restrict UAV operations for commercial purposes, at nighttime, in adverse weather
106 conditions, and within controlled airspace. Canadian legislations also prohibit UAVs to be hovered
107 over highways or in built-up areas, flown higher than 120 meters above the ground level, or
108 operated within 30 meters of bystanders. These restrictions can prevent recording the videos of
109 traffic movements from optimal top-down camera angles and consequently pose a challenge in
110 employing UAVs in traffic data collection. Another challenge is the UAV's short battery life which
111 makes it difficult to obtain long video footages. Depending on wind conditions and thermal uplift,
112 most of today's commercial UAV batteries, except for much larger and more complicated types,
113 can generally provide 18 to 28 minutes of flight time. A third critical challenge is related to the
114 analysis of UAV videos, which is more complicated than those acquired via stationary camera
115 systems (Khan et al. 2017b). Although most UAVs are equipped with a mechanical stabilizer,
116 UAV footages suffer from camera motions and shakiness, due to wind gusts or vibrations of the
117 UAV's mechanical parts (Khan et al. 2017a). A slight shakiness in the video footage can lead to
118 large errors in vehicles' trajectories, especially when the videos are taken from an oblique angle
119 and long distance (Barmounakis et al. 2016; Khan et al. 2017a). Moreover, detection of vehicles
120 in aerial videos is still an active research problem in computer vision, mainly due to their small

121 size with regard to the entire frame and potential interference of vehicles close to each other
122 laterally or longitudinally (Maiti et al. 2019).

123 Yet, considerable research has been recently conducted using a variety of methodological
124 approaches and frameworks for the collection and extraction of vehicle trajectory data from UAV
125 videos. Generally, existing methods to process UAV videos can be classified based on the level of
126 human involvement as manual, semi-automated, and automated image processing techniques. It
127 can be further subcategorized according to the type of vehicle detection algorithm into three types:
128 traditional computer vision, traditional machine learning, and deep learning.

129 The key advantages of manual and semi-automated video processing techniques, though time-
130 consuming and laborious, are that they are easier to use, can provide highly accurate results, and
131 require less computational power (Khan et al. 2017b). Most related studies in the literature have
132 applied semi-automated computer vision-based techniques to extract kinematic traffic data from
133 UAV video footages (Khan et al. 2017a). For example, Salvo et al. (2014) used UAV video-based
134 data extracted using semi-automated video analysis techniques to investigate driver gap acceptance
135 behaviour at an urban intersection in Italy. The speed data acquired from UAV videos were found
136 to be close to those measured from a differential GPS placed on a probe vehicle. Barmponakis et
137 al. (2016) conducted a similar UAV-based study to examine vehicles' kinematic characteristics at
138 a low-volume four-leg intersection in Greece. Gu et al. (2019) and Ma et al. (2020) evaluated
139 driver behaviour and safety at an interchange in China based on microscopic traffic data acquired
140 from UAV videos. All previous studies used Tracker, an open-source video processing software
141 developed by Brown and Cox (2009), to extract the positions of individual vehicles from the UAV
142 videos. This software, however, works well only on videos that are taken from a nearly top-down
143 angle or when the direction of vehicle motion is perpendicular to the camera view. These

144 conditions are difficult to satisfy in collecting data over a long segment in most situations due to
145 the regulations concerning UAV operations, as mentioned earlier.

146 Recently, the advances in computer vision have allowed researchers to consider automatic
147 approaches to process and analyze UAV video data (Apeltauer et al. 2015; Feng et al. 2020; Ke et
148 al. 2020; Khan et al. 2017a; Kim et al. 2019). The main advantage is that automatic video analysis
149 systems can provide quick results with minimum human interactions. However, building a robust
150 and accurate automated video processing system is still a challenging task involving a series of
151 complex algorithms and extensive computational power (Khan et al. 2017b). In addition,
152 traditional computer vision-based systems suffer from limitations such as illumination changes,
153 occlusion, deformation, and background clutter (Shakeel et al. 2019). Khan et al. (2017b) indicated
154 that the accuracy of automatic image processing systems fluctuates with changes in conditions
155 such as light and climate. Moreover, deep learning models, particularly those based on the
156 convolutional neural network (CNN), rely on massive-annotated data and large networks with a
157 large number of parameters (Liu et al. 2020). Annotated image-based datasets are still manually
158 labelled, which is a labour-intensive operation. It was also noted that although deep learning-based
159 detection models offer more accurate and robust results than traditional computer vision-based
160 models, they still have difficulties in detecting vehicles travelling in shaded areas, close to each
161 other, or at far distances from the UAV recording sensor. Most of these issues should be expected
162 on freeway ramps, especially in urban areas where the shadow of nearby trees and fences can
163 deteriorate the reliability of these automated techniques. It is also noted that freeway ramps allow
164 the exchange of traffic movements on grade-separated intersections and are therefore always
165 associated with a change in elevation along each vehicle's path. This adds to the complexity of

166 video processing compared to at-grade intersections, where vehicles are mostly assumed to
167 experience no change in elevation along their paths.

168 In summary, previous studies using UAVs to collect traffic data have mostly been conducted
169 over small areas such as low-volume intersections using only one UAV in near ideal conditions.
170 Studies that covered longer segments did not include shaded areas or consider geometric
171 characteristics that include horizontal curves or steep grades. Procedures may not be reliably
172 extended to traffic data collection over long and high-speed road segments, especially when the
173 site exhibits challenging characteristics in terms of geometry and shaded areas. Furthermore,
174 legislative restrictions prohibiting UAVs from flying over highways and right-of-way mean that
175 aerial videos can only be taken at oblique angles or at far distances from the area of interest.
176 Therefore, this study covers a gap in UAV data collection research to address these issues and
177 provide a practical and safe methodology to obtain complete and reliable vehicle trajectories over
178 a long segment of high-speed, high-volume road. Applying the methodology to the most
179 challenging area of the freeway, which is the SCL and ramp, would prove the methodology's
180 robustness.

181 **3. Methodology**

182 The methodology adopted in this study is divided into three main phases: data collection, data
183 processing, and trajectory analysis. Figure S1 presents a flowchart of the tasks and tools involved
184 in each phase in addition to data collection using a probe vehicle for comparison with UAV data.

185 ***3.1. Phase I: Data Collection***

186 With the aim of developing a methodology that is robust enough to cover long segments of
187 high-speed, high-volume roads with challenging site characteristics, the specific road segment
188 emphasized in this paper is the freeway ramp terminal, including the ramp proper and SCL. As

189 mentioned earlier, this segment has unique features that make UAV videos an ideal tool for traffic
190 data collection but also has features that add to the challenges in UAV video analysis.

191 In selecting the UAV setup to be used at a specific site, the hovered altitude can first be
192 determined to allow camera coverage of the entire study area, and can be calculated using UAV
193 camera characteristics and the ground dimensions of the study area. However, this altitude must
194 not exceed the maximum allowable altitude according to local regulations or the altitude at which
195 the wind speed does not exceed the maximum UAV's wind speed tolerance, which can be
196 determined by cross-referencing information from weather forecasting services and UAV
197 specifications.

198 Then, the UAV's hovered location and setup can be determined based on the specific site
199 conditions. As mentioned earlier, an ideal setup is to fly the UAV over the center of the study area
200 and record the videos from a top-down angle. However, because of regulations prohibiting UAVs
201 from flying over highways, Figure 1 illustrates three alternative settings that can be used depending
202 on the site conditions. The figure uses an exit ramp terminal for illustrative purposes, and the same
203 procedures can be used at entrance ramps.

204 If site conditions permit, Setup 1 (Figure 1a) is the preferred setting using one UAV with the
205 camera positioned such that the paths of SCL vehicles are at an approximately zero horizontal
206 angle from the camera. When a suitable area for UAV takeoff/landing is not available at the same
207 side of the study area, the UAV has to be flown at the opposite side of the study area with the
208 direction of vehicles' paths at an oblique angle to the camera as shown in Setup 2 (Figure 1b). The
209 drawback is that the accuracy of video processing could decrease significantly as the tilt angle
210 and/or the distance between the camera and the vehicles increase. In both setup plans, the camera
211 needs to be positioned such that a portion of the freeway mainline upstream the SCL is covered

212 with the study area to investigate the extent of vehicle deceleration (or acceleration) on the freeway
213 mainline lanes before they diverge off (or after the merge onto) the freeway.

214 When the study area of interest is relatively large, two UAVs need to be used at the same time,
215 as shown in Setup 3 (Figure 1c). For freeway ramp areas, the first UAV can be set to focus on the
216 freeway mainline and SCL, while the second focuses on the ramp proper. The two UAVs are then
217 set to hover at approximately the same altitude with around 3-5% overlap area while covering the
218 entire study area. One of the main advantages of flying two UAVs simultaneously is that the
219 collected videos would have a high level of details of vehicles and road surface, which would
220 significantly improve the overall accuracy of image processing, especially at low altitudes. An
221 UAV with dual cameras could also be used to cover the study area in Setup 3. However, such an
222 UAV system is currently not available for over-the-shelf use.

223 Finally, the camera settings and video resolution need to be properly selected based on the
224 expected vehicle speeds (Pueo 2016). Generally, 4k video resolution (3840×2160 pixels), 29.97
225 frames per second (fps) frame rate, and 1/60-second shutter speed would be sufficient for ramp
226 vehicles and virtually all vehicles on a freeway with 100 km/h speed limit. However, a higher
227 shutter speed of 1/120-second is recommended for freeways to capture very aggressively speeding
228 vehicles.

229 **3.2. Phase II: Data Processing**

230 In this phase, the raw UAV videos are processed in three consecutive steps: video
231 stabilization, camera calibration, and vehicle tracking. Each step is a computer vision problem that
232 requires a specific software or algorithm to solve.

233 3.2.1. Video Stabilization

234 Video stabilization is the first and most important step in UAV video-based data processing.
235 UAV footages must be properly stabilized before conducting any video analysis as a small
236 shakiness in the UAV footages can dramatically affect the overall accuracy of the extracted traffic
237 information, especially when the footages are taken from a high altitude. Although stabilization
238 features are built in most UAVs, recording videos will still contain some camera shakiness that
239 needs to be removed using digital video stabilization techniques. In this paper, the Mocha Pro
240 software (version 7.50) from BORIS FX and Imagineer Systems Limited was employed to
241 stabilize shaky videos based on a two-dimensional planar motion tracking technique, which is very
242 effective and robust in eliminating camera motions in video sequences. Compared to a point
243 feature matching technique proposed by Khan et al. (2017a), the stabilization process in Mocha
244 Pro is more flexible, quicker, and less sensitive to vehicle movements in the video scene.

245 The Mocha Pro software requires the user to perform few steps before it can automatically
246 stabilize a shaky UAV video. First, a 2D planar layer must be defined and drawn around objects
247 that remain stationary in all video frames. A mask layer is then added on top of the previous layer
248 to mask out moving objects and ensure that the tracking algorithm only tracks the motions caused
249 by the camera movements. The software then identifies several types of camera motions, including
250 X-Y translation, scale, rotation, and perspective. Once the desired camera motions are chosen by
251 the user, the software tracks each camera motion in every frame and aligns all frames with a
252 reference frame (e.g., the first frame). The software also allows the user to use the tracking data to
253 remove lens distortion and further enhance the video quality.

254 The level of stabilization can be checked by tracking a stationary point through the video
255 sequences and checking whether its pixel coordinates in the reference frame change between

256 frames. This step can be conducted using an automated point-based tracking algorithm, readily
257 available in Adobe After Effect software or MATLAB computer-vision toolbox. If the output
258 video still contains shaky frames, the stabilization process can be repeated using the output video
259 as the next input video. However, doing so might reduce the quality of the final output video.

260 3.2.2. Camera Calibration

261 Camera Calibration is the process of converting image-pixel coordinates into real-world
262 coordinates. Upon reviewing different options for this process, this study used an open-source
263 software called T-Calibration. The software uses the well-known Tasi algorithm (Tsai 1987) to
264 calibrate the camera view (Laureshyn and Nilsson 2018). The software allows users to estimate
265 camera intrinsic and extrinsic parameters, including the camera focal length, principal point,
266 translation, orientation, skew angle, and radial distortion. From these camera parameters, the
267 relationship between the video image coordinates and real-world coordinates can be determined,
268 lens distortions can be corrected, and reliable geometric and dynamic metric information can be
269 derived from the UAV video. The calibration procedure described here can be applied to single
270 or multiple UAVs as each camera is calibrated individually.

271 The camera calibration using the T-Calibration tool requires two images, which can be two
272 still frames with different camera views or a still frame and a satellite image for the area where the
273 video was recorded. In this study, a still frame from the stabilized video was used along with a
274 satellite image from the open-source satellite imagery platform Google Earth Pro. Figure S2 shows
275 an example of the still frame and satellite image used to calibrate the UAV camera view at one
276 site. It is important that the two images have the same resolution, and the date of the satellite image
277 is close to the date of the video data. To further enhance the calibration process, the satellite image
278 was rectified to the correct map coordinate system using the georeferencing toolbar in ArcMap

279 software (version 10.7) and high-resolution orthoimages normally available through transportation
280 authorities. The orthoimages used in this study were obtained from Carleton University library
281 based on LiDAR data collected by the City of Ottawa between 2015 and 2017. The fundamental
282 vertical accuracy of the used LiDAR data was 8.6 cm, while the ground pixel resolution of
283 orthoimages was 5 cm.

284 Once the two images are defined in the software, a local X-Y Cartesian coordinate system is
285 placed on the road surface, potentially at the center of the region of interest, and calibrated
286 according to a specific real-world scale. In this study, the origin point (0, 0) of the local Cartesian
287 coordinate system was placed at the start point of the SCL taper for exit terminals or at the painted
288 nose for entrance terminals. The positive X-axis was set in the direction of vehicle motion and the
289 positive Y-axis in the perpendicular direction pointing away from the freeway mainline lanes for
290 exit ramps or towards the freeway mainline lanes for entrance ramps. This setting of the coordinate
291 system ensures that the headings of all exiting or entering vehicles are along the positive X and Y
292 directions.

293 After defining the coordinate system, the next step is to match and annotate points that are
294 clearly visible in the video frame and satellite image, as demonstrated by the red dots in Figure S2.
295 Common matching points that can be clearly identified in freeway scenes are pavement markings,
296 manholes, and light pole bases. To ensure a high level of accuracy, a sufficient number of matching
297 points need to be annotated and distributed over the entire camera view (Laureshyn and Nilsson
298 2018). It was noted in this study that using at least 150 matching points for Setup 1 and 2, which
299 were close to each other and distributed over the entire study area, improved the calibration
300 accuracy. In Setup 3 where two UAVs were used and each camera covered a relatively smaller

301 area than the single UAV, 70 matching points for each camera were sufficient to produce a good
302 calibration model.

303 Traditionally, the true positions of the matching points can be measured directly in the field
304 by a high-accuracy instrument such as Total Station or extracted from high-resolution aerial maps.
305 Because of safety concerns in taking field measurements on freeways, the true positions of the
306 matching points in this study were extracted from the LiDAR and orthoimages. Following
307 Laureshyn and Nilsson (2018) recommendations, the elevations of the measured points were used
308 in the calibration model assuming that vehicles move on a non-flat plane. Once the calibration is
309 completed, the software draws a grid on the camera scene at the center of the Cartesian coordinate
310 system and its projection on the aerial map plane (Figure S2) and displays the average and
311 maximum camera and map errors. Figure S3 shows a heatmap generated by the software for the
312 ranges of the calibration errors in the camera and world planes. Expectedly, the calibration errors
313 increase as the distance from the camera increases.

314 3.2.3. *Vehicle Tracking*

315 The final video processing step is to detect and track individual vehicles in the UAV video
316 sequences. In this study, a semi-automated video analysis software called T-Analyst, which has
317 been used in several traffic-related studies including (Kazemzadeh et al. 2020; Madsen and
318 Lahrman 2017; van Haperen et al. 2018), was used due to its efficiency, accuracy, and simplicity.
319 The software is also integrated with the calibration software, making video analysis faster and
320 more efficient. Unlike the Tracker software used in other studies, the T-Analyst software allows
321 users to upload and analyze multiple video files even with high-resolution formats, including 4k
322 resolution. Once the stabilized UAV video and calibration model are uploaded into the software,
323 it would allow the user to manually locate the spatial positions of vehicles in the video stream by

324 placing a 3D bounding box around them at regular time intervals, such as every half second
325 (Madsen and Lahrmann 2017). Based on the positions of the 3D bounding box and time interval,
326 the software creates trajectories of each tracked vehicle and determines its speed and acceleration
327 (Madsen and Lahrmann 2017). One of the useful features of this software is that it allows the user
328 to open and work on two video files at the same time, which enhances the process of tracking
329 individual vehicles in Setup 3 as the vehicle moves from one UAV camera view to another. The
330 software also allows the user to estimate vehicles' trajectories along curved segments, which
331 further enhances the accuracy of the extracted data on long segments. Another main advantage of
332 the T-Analyst software is that it allows the user to zoom in to 200% to facilitate the process of
333 tracking vehicles travelling on shaded areas or at far distances from the UAV camera.

334 In this study, ramp vehicles were tracked every 15 frames (around 0.5 seconds). The smooth
335 function tool within the software was then used to obtain the vehicle trajectory over all frames.
336 This smooth function estimates the X-Y coordinate of the tracked vehicle between the points that
337 were manually tracked every 15 frames using linear interpolation and moving average methods
338 (Monte Malveira 2019). Upon finishing the tracking process, the software allows the user to
339 visualize the accuracy of the tracking process by showing the projection of vehicle trajectories
340 from the video space to the real-world space. The final output of the tracking process is the
341 vehicle's X-Y coordinates, speed, and acceleration in each frame within the tracking area, which
342 can be exported in a tabular format and saved as an Excel file. The same procedure can be followed
343 for extracting trajectories of freeway mainline vehicles.

344 Two additional steps are needed when using two UAVs (Setup 3). The first step is to match
345 the overlapping trajectories belonging to the same vehicle observed in both camera views. The
346 second step is to connect trajectories from the two UAVs to construct a single complete trajectory

347 of each vehicle across the entire study area. This step requires linking the coordinate systems in
348 the camera views of the two UAVs, which can be achieved by referencing a point of specific
349 physical characteristics such that it is easily identified in both camera views. In this paper,
350 overlapping trajectories of the same ramp vehicle were matched manually. The output data
351 extracted from UAV 1 in the overlapping area can then be evaluated against their corresponding
352 output data from UAV 2 to assess the data accuracy as each camera is calibrated separately. After
353 ensuring that the results in the overlapping area in both cameras are very close, the final values of
354 overlapping points can be taken as the average of the two cameras.

355 **3.3. Phase III: Trajectory Analysis**

356 The final phase in the proposed methodology is the extraction of driver behaviour parameters
357 from the trajectory data acquired from the video processing in the previous phase. As this paper
358 focuses on freeway ramp areas, the driver behaviour parameters of interest include vehicles' speed
359 profiles on freeway mainline lanes and on ramp, acceleration/deceleration on SCLs,
360 merging/diverging speed, merging/diverging location, and accepted merging gaps. All these
361 parameters can be extracted from the vehicle trajectories. For example, by setting the origin point
362 for an exit terminal as the taper starts, as shown in Figure S4a, the diverge point can be identified
363 as the first point in the vehicle trajectory where both the X and Y coordinates are positive. Once
364 the diverge point is found, other parameters can be extracted, such as diverging speed, SCL length
365 utilized, and deceleration rate. The merging point at entrance ramp terminals is determined in a
366 similar way by positioning the origin point at the painted nose.

367 It should be mentioned that the tracking reference point in the T-Analyst software is the
368 bottom center of the vehicle 3D bounding box that is close to the road surface (Figure S4b). The
369 extracted trajectories can be processed to find the diverging point as the point at which the

370 passenger-side (right) front corner crosses into the deceleration lane or the merging point as the
 371 point at which the driver-side (left) front corner crosses into the freeway right lane. Based on this
 372 definition, the vehicle's dimensions, which can be extracted from the tracking software, can be
 373 used along with the X-Y coordinate of the tracking reference point to determine the X-Y coordinate
 374 of the diverge or merge point.

375 With setting the X-Y coordinate in the way explained earlier as shown in Figure S4 for the
 376 case of an exit ramp terminal, the vehicle's heading is always in the first quadrant, i.e., $x_{c2} > x_{c1}$
 377 and $y_{c2} \geq y_{c1}$. The same condition is also satisfied for an entrance terminal with the origin point
 378 positioned at the painted nose and the Y-axis pointing towards the freeway mainline lanes. The
 379 coordinates of the right front corner (in diverging) or the left front corner (in merging) can then be
 380 calculated as:

$$(1) \quad \theta = \tan^{-1} \frac{y_{c2} - y_{c1}}{x_{c2} - x_{c1}}$$

$$(2) \quad x_{f2} = x_{c2} + \frac{L}{2} \cos \theta - \frac{W}{2} \sin \theta$$

$$(3) \quad y_{f2} = y_{c2} + \frac{L}{2} \sin \theta + \frac{W}{2} \cos \theta$$

381 Where: x_{c1}, y_{c1} = the previous X and Y coordinates of the tracking reference point; x_{c2}, y_{c2} = the
 382 current X and Y coordinates of the tracking reference point; x_{f2}, y_{f2} = the current X and Y
 383 coordinates of the right front (in diverging) or left front corner (in merging); L = vehicle's length;
 384 W = vehicle's width; and θ = vehicle's heading angle.

385 These equations can be easily applied in Excel or any programming language for the extracted
 386 trajectories. The exact frame for the diverge or merge point can then be found when the sign of the
 387 Y-coordinate of the relevant vehicle corner changes from negative to positive.

388 4. Case Study

389 4.1. Data Collection

390 The proposed methodology was applied to a case study involving two sites of freeway ramp
391 terminals on Highway 417, Ottawa, Canada, which is a divided multilane freeway with a speed
392 limit of 100 km/h, within the limits of the study area. The two sites were the eastbound (EB) exit
393 and entrance ramps of Parkdale Avenue interchange (Figure S5), which will simply be referred to
394 as the exit and entrance ramps. The annual average daily traffic (AADT) on the freeway's mainline
395 lanes in this area has grown from 163,200 veh/d in 2006 to over 177,000 veh/d in 2016.

396 The video data were collected in August 2018, during a weekday, in the daytime between
397 11:00 am to 01:00 pm, and in good weather conditions with the wind speeds at the hovered
398 (recording) altitude not exceeding 7.5 m/s. The UAV videos were captured under these conditions
399 to minimize the effects of shakiness, instability, or shadows in the collected videos, as suggested
400 by Barmounakis et al. (2016). Moreover, it was important to observe the traffic movements
401 during the off-peak daytime hours to obtain traffic data under free-flow conditions. Such data
402 should reflect drivers' merging/diverging behaviours when not restricted by traffic congestion.

403 Both selected ramps have a single taper-type SCL. The length of the study area, measured
404 from the traffic signal at the crossroad to the beginning/end of the SCL taper, was 255 and 423 m
405 at the exit and entrance ramps, respectively. The deceleration/acceleration SCL length was
406 measured from the point at which the SCL width is 3.60 m to the ramp controlling feature as
407 defined in AASHTO (2018).

408 Prior to recording the UAV videos, initial field investigations and reconnaissance were carried
409 out to identify the proper space for the UAV takeoff, landing, and hovering operations.
410 Subsequently, Setup 2 using a single UAV was selected for the exit ramp, while Setup 3 with a

411 pair of UAVs was selected for the entrance ramp. The hovering spot was selected to be as close as
412 possible to the highway and the center of the study area. The UAV takeoff/landing area was
413 selected as close as possible to the hovering spot to minimize the distance and time between the
414 UAV takeoff/landing and hovering and maximize the recording time.

415 At the exit ramp, the UAV was hovered at 166.73 m altitude, and its spot was 97.13 m away
416 from the takeoff/landing spot, as shown by the yellow line in Figure S5a. The distance between
417 the UAV recording spot and the furthest point in the study area was 363.21 m, as shown by the
418 blue line in Figure S5a. At the entrance ramp (Figure S5b), the recording altitude was 191.60 and
419 194.45 m, and the hovering spot was 18.02 and 109.45 m away from the takeoff/landing spot, for
420 UAV1 and UAV 2, respectively. The distance between the furthest point of the study area in each
421 camera view and the recording spots of UAV 1 and UAV 2 was approximately 157.70 and 144.63
422 m, respectively, as shown by the blue lines in Figure S5b.

423 The flights were carried out by two licensed UAV pilots using two DJI Phantom 3 Professional
424 ready-to-fly quadcopter UAVs. Each UAV was equipped with a 3-axis gimbal stabilization and an
425 advanced camera that can capture a 4k video at 29.97 (fps). Since each UAV had a maximum
426 battery lifetime of around 23 minutes per charge, four additional batteries were used for each UAV
427 to obtain an hour's worth of aerial video. Swapping the UAV batteries was performed nearly after
428 15 minutes of continuous recordings with a five-minute gap between every two consecutive flights.
429 A total of 126 minutes of aerial video data were collected by the UAVs (65 and 61 minutes at the
430 exit and entrance ramps, respectively). Figure S6 shows sample video footages captured by the
431 UAVs at the exit and entrance ramps.

432 A probe vehicle was also used to collect GPS-based vehicle trajectories at the exit ramp while
433 the UAV videos were recorded to check the accuracy of the data extracted from UAV videos.

434 Differential GPS data were collected using two Leica GPS receivers (static and rover receivers).
435 After post-processing, these two GPS receivers provided data at a rate of 10 readings/second and
436 position accuracy in the range of $\pm 1-5$ cm for rover operations (Leica 1999). A total of six trips by
437 the probe vehicle were recorded by the GPS and UAV at the exit ramp.

438 **4.2. Results**

439 The collected UAV video data were processed following the data processing phase (Phase II)
440 of the methodology presented earlier on 64-bit Windows 10 platform with an Intel i7-8700K CPU,
441 64 GB of memory, and Nvidia GeForce GTX 1080 TI. All ramp vehicles were tracked in the UAV
442 footages over the study area using the T-Analyze software. Finally, trajectories of the tracked
443 vehicles were extracted for every frame in the videos and stored in a spreadsheet.

444 As mentioned earlier, when using two UAVs, the speeds of vehicles in the overlapping area
445 can be used to validate the accuracy of the analysis methodology. Table 1 shows a sample
446 overlapping data for ten randomly selected ramp vehicles observed at the same reference point in
447 both UAV cameras. As shown in the table, the speeds extracted from both UAVs had a maximum
448 difference of 0.8 m/s (3.94%), which indicates that the setup and methodology produced consistent
449 results.

450 Figure 2 shows sample trajectory data for 10 ramp vehicles at each of the exit and entrance
451 ramps, respectively. Figure 2a and Figure 2c show the space-time diagrams, while Figure 2b and
452 Figure 2d show the speed profiles with the diverge/merge point marked as a circle on each profile.
453 Several driver diverging and merging parameters can be extracted from these figures. For example,
454 the space-time diagram can provide the exact time at which ramp vehicles merged onto the
455 freeway, which can be cross-referenced with the space-time diagram for freeway right lane vehicle
456 to analyze driver's gap acceptance behaviour. In addition, the speed profiles can be used to analyze

457 the acceleration/deceleration behaviour and diverge/merge speed and location. For instance,
458 Figure 2b shows that drivers at this location tended to diverge off the freeway immediately after
459 the beginning of the SCL taper. The effect of the queue at the traffic light at the crossroad can be
460 observed in the same figure as several diverging vehicles stopped close to the gore nose area.
461 Figure 2d shows that the merging vehicles had significant frequent speed adjustments on the SCL
462 with some vehicles merging at the taper after the end of SCL and that almost all vehicles were still
463 accelerating after they had merged onto the freeway right lane.

464 **4.3. Comparison with GPS Speeds**

465 The performance of the proposed methodology is already evident from comparing the results
466 of the vehicles in the overlap area in Setup 3 that was used for the entrance ramp, as shown earlier
467 in Table 1. The methodology was also evaluated by comparing the UAV-extracted speeds of the
468 probe vehicle at the exit ramp against the GPS speeds, as shown in Figure 3. The UAV
469 measurements (approximately 30 readings per second) were matched with the GPS measurement
470 (10 readings per second) based on the vehicle's geolocation. The average of the 30 readings was
471 then compared with the average of the 10 readings at every one second, as shown in Figure 3. It
472 should be noted that some GPS data were missing in Trips 1, 4, and 5, which is evident in the gaps
473 in the relevant graphs, possibly because of the dense trees and noise barriers near the freeway right
474 lane shoulder, which might obstruct the GPS signals.

475 In addition to subjective evaluation, three error indicators; Mean Absolute Deviation (MAD),
476 Root Mean Square Error (RMSE), and Mean Absolute Percentage Error (MAPE); were calculated
477 as follows:

$$(4) \quad \text{MAD} = \frac{1}{n} \sum_{i=1}^n |\text{Probe Vehicle Speed}_{\text{GPS}} - \text{Probe Vehicle Speed}_{\text{UAV}}|$$

$$(5) \quad \text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (\text{Probe Vehicle Speed}_{\text{GPS}} - \text{Probe Vehicle Speed}_{\text{UAV}})^2}$$

$$(6) \quad \text{MAPE} = \frac{1}{n} \sum_{i=1}^n \left| \frac{\text{Probe Vehicle Speed}_{\text{GPS}} - \text{Probe Vehicle Speed}_{\text{UAV}}}{\text{Probe Vehicle Speed}_{\text{GPS}}} \right| * 100$$

478 As shown in Table S1, all estimated values of MAD and RMSE were lower than 5 km/h, and
 479 all estimated values of MAPE were less than 5%, except for Trip 01. These results confirm that
 480 the probe vehicle's speeds obtained from the UAV videos were very close to those measured by
 481 the differential GPS method. Generally, RMSE within 5 km/h and MAPE within 5% are
 482 considered good results, especially for videos recorded at an oblique angle (Khan et al. 2018).

483 **5. Discussion and Conclusions**

484 This paper proposed a detailed step-by-step UAV-based traffic data collection and extraction
 485 methodology. The methodology, which consists of only three steps, can be followed by users who
 486 are not versed in UAV operation to collect reliable microscopic traffic data over a long segment
 487 of a high-speed, high-volume road, especially when the site has challenging conditions in terms of
 488 road geometry (curvature and elevation differences) as well as having significant parts of the
 489 segment covered by shadow due to presence of trees and fences. Such difficulties have limited
 490 many researchers (e.g., Xu et al. 2020) to only manual video image processing techniques. This
 491 paper, therefore, differs from previous UAV-based traffic-related studies in several aspects. First,
 492 to allow covering a relatively long segment, the proposed methodology allows for employing
 493 single and multiple UAVs to simultaneously videotape traffic over the entire study area. Because

494 of restrictions on UAV operations, aerial videos are captured from camera angles different from
495 optimum UAV camera configuration. Finally, the methodology utilizes manual tracking to allow
496 reliable and accurate extraction of vehicle trajectories in shaded areas or at far distances from the
497 UAV recording sensor. However, a limitation of this study is that the UAV-based video data were
498 collected in the daytime and good weather conditions (no precipitation or strong wind). In addition,
499 the semi-automated approach can be time consuming if the study area has extremely high traffic
500 volumes, which might make the tracking process a difficult task for some users.

501 A case study was presented where the methodology involving the use of a single and two
502 UAVs was applied to two ramps: entrance and exit. Given that the UAVs used simultaneously on
503 a specific site are calibrated separately, the collected data from both UAVs are independent.
504 Therefore, the extracted speed data at the site covered by two UAVs were compared for vehicles
505 in the overlap area. On the other hand, a probe vehicle was used at the site covered by a single
506 UAV to compare the extracted speed data to GPS-based speeds. The findings revealed the good
507 performance of the proposed methodology, including when aerial videos must be taken from
508 oblique angles. The extracted trajectories for ramp vehicles were shown to be easily manipulated
509 to extract information such as speed profiles, space-time diagrams, and location of diverge/merge
510 point.

511 Future research will focus on applying the proposed methodology for extracting a sufficient
512 sample of driver and vehicle behaviour parameters at freeway ramp terminals to examine
513 performance of acceleration and deceleration SCL lengths. The data can be used to develop
514 statistical models for driver behaviour parameters at ramp terminals that can be used in other
515 research related to the operational and safety performance or design of ramps and SCL. Examples
516 of studies integrating detailed driver behaviour into the application of freeway SCL design include

517 (Abdelnaby and Hassan 2014; Fatema and Hassan 2013). It is noted that in all these studies, several
518 assumptions had to be made regarding the drivers' behaviour related to merging or diverging
519 because of lack of reliable data or models to quantify this behaviour.

520 **Acknowledgments**

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523 Table 1. Summary of comparison of a random sample of vehicles in the overlapping area of the
 524 two UAVs.

Vehicle	Vehicle Speed at the Same Reference Point (m/s)		Absolute Difference	
	Using UAV 1	Using UAV 2	(m/s)	(%)
Vehicle 01	19.00	19.40	0.40	2.08%
Vehicle 02	16.70	17.10	0.40	2.37%
Vehicle 03	18.90	19.10	0.20	1.05%
Vehicle 04	18.60	19.10	0.50	2.65%
Vehicle 05	19.30	19.20	0.10	0.52%
Vehicle 06	19.70	20.10	0.40	2.01%
Vehicle 07	22.90	23.30	0.40	1.73%
Vehicle 08	19.90	20.70	0.80	3.94%
Vehicle 09	20.40	20.40	0.00	0.00%
Vehicle 10	20.60	21.20	0.60	2.87%

525 **List of Figures**

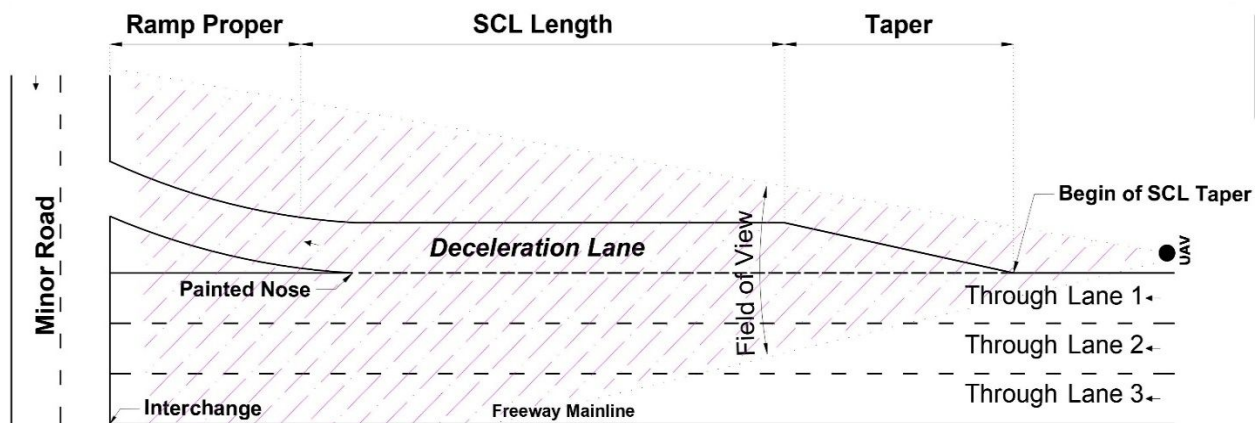
526 Figure 1. UAV setups and coverage areas.....33

527 Figure 2. Driver and vehicle behaviour data.34

528 Figure 3. Comparison between probe vehicle’s speeds acquired by GPS and UAV.35

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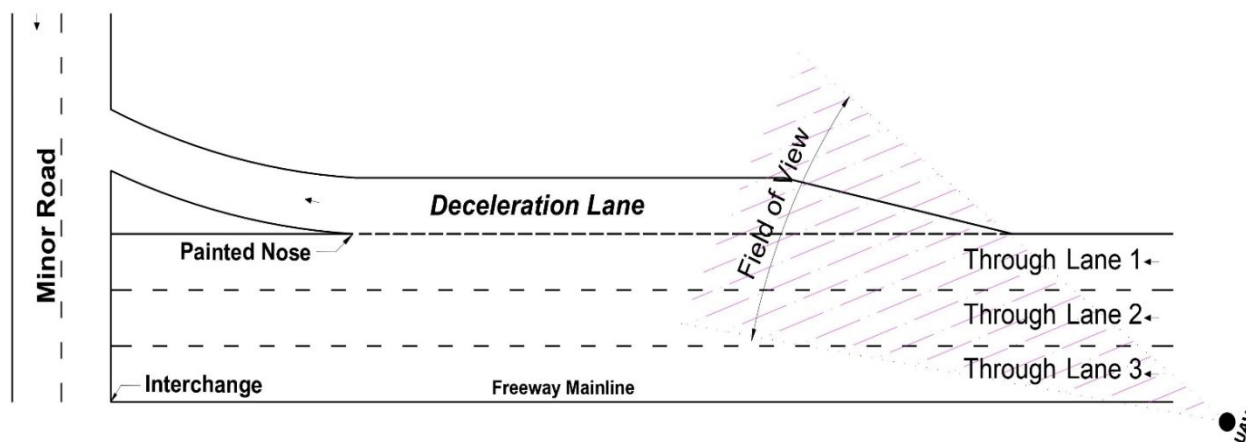
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(a) Setup 1

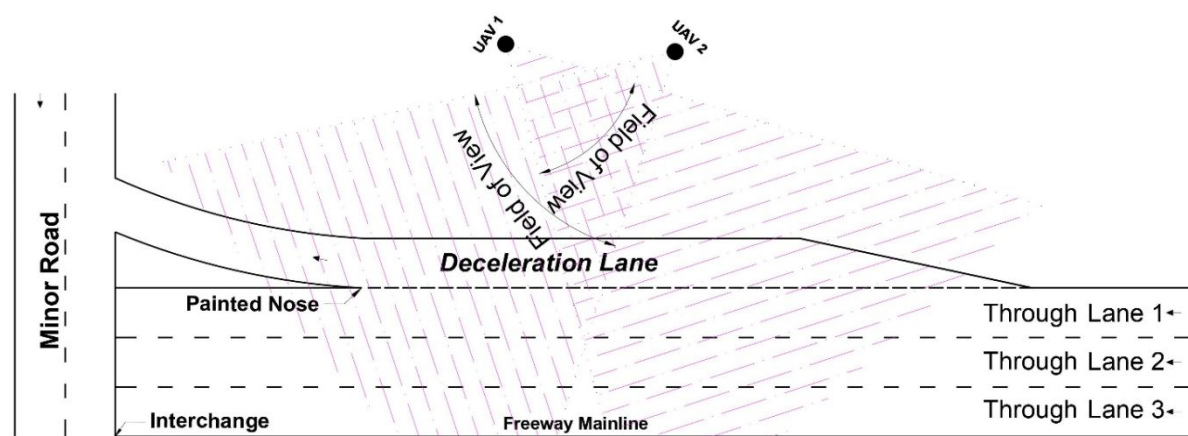
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(b) Setup 2

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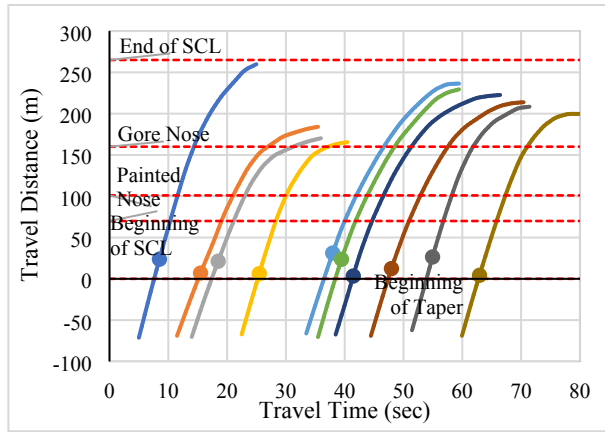


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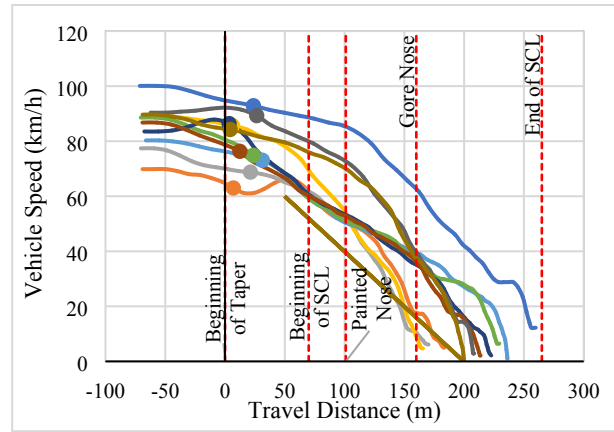
(c) Setup 3

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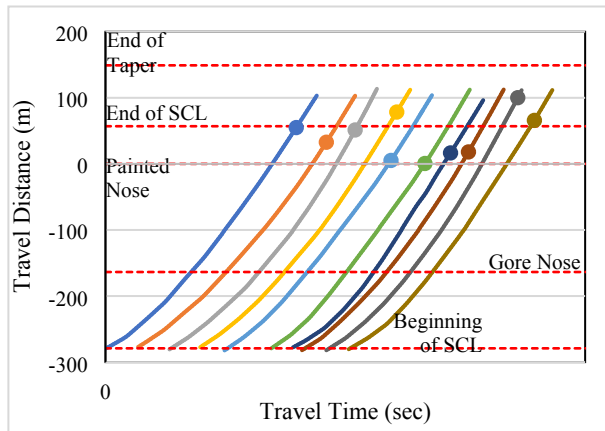
Figure 1. UAV setups and coverage areas.



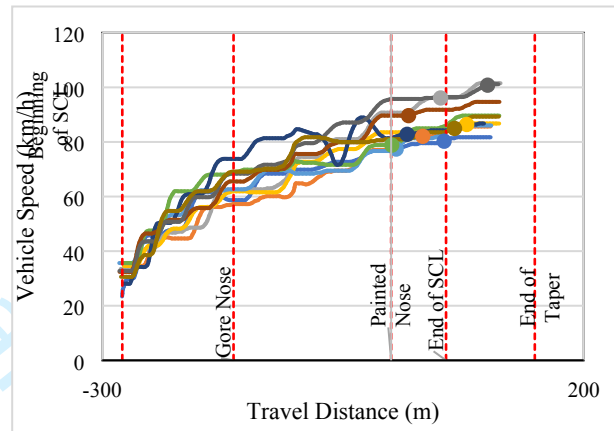
(a) Diverging space-time diagram



(b) Diverging speed profiles



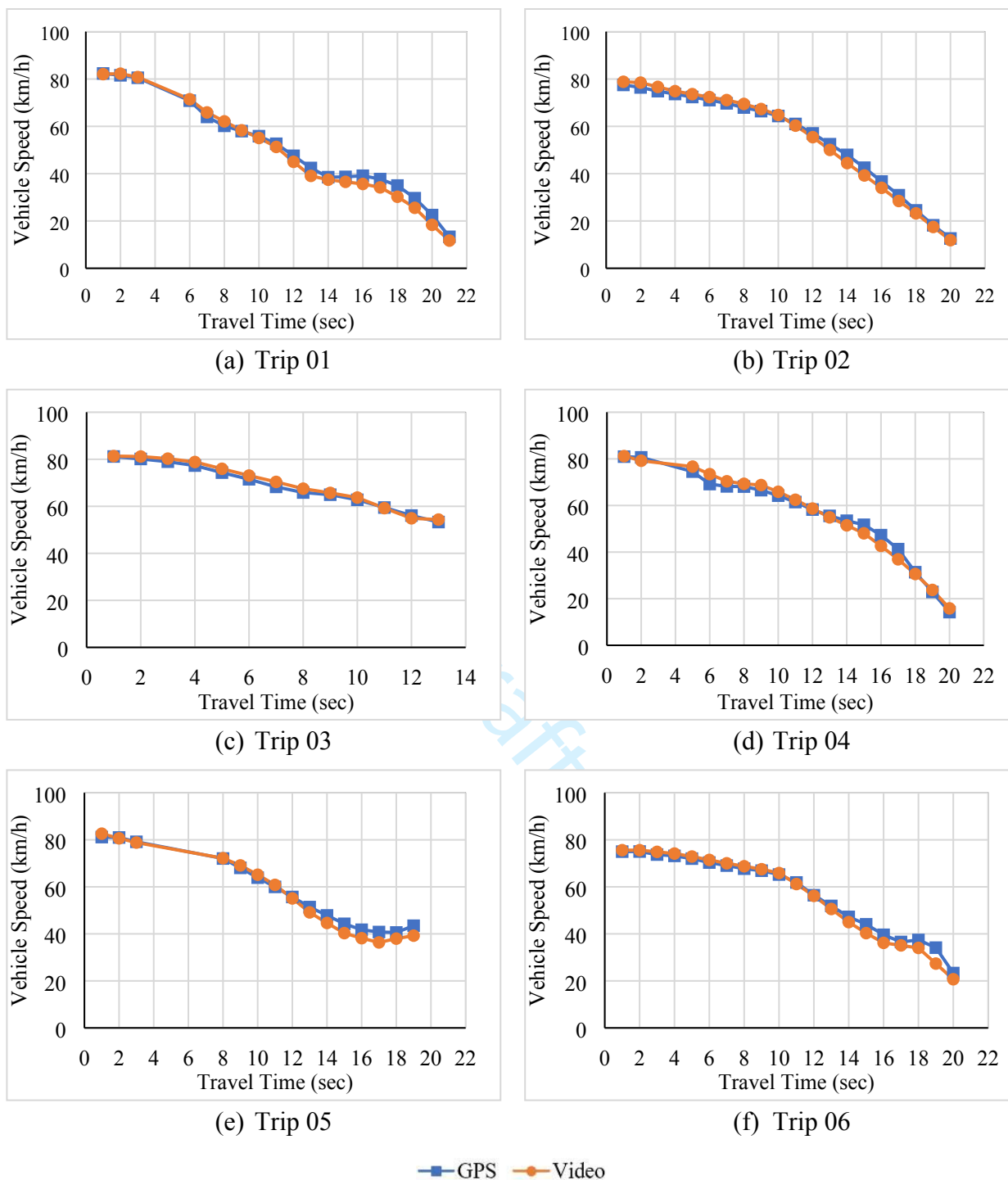
(c) Merging space-time diagram



(d) Merging speed profiles

536

Figure 2. Driver and vehicle behaviour data.



537

Figure 3. Comparison between probe vehicle's speeds acquired by GPS and UAV.