

ORIGINAL ARTICLE

Validation of an asthma questionnaire for use in healthcare workers

G L Delclos, A A Arif, L Aday, A Carson, D Lai, C Lusk, T Stock, E Symanski, L W Whitehead, F G Benavides, J M Antó

Occup Environ Med 2006;**63**:173–179. doi: 10.1136/oem.2005.021634

The survey instrument can be viewed on the OEM website (<http://www.occenvmed.com/supplemental>)

See end of article for authors' affiliations

Correspondence to: Dr G L Delclos, The University of Texas–Houston, School of Public Health, 1200 Herman Pressler St, Suite RAS W1018, Houston, TX 77030, USA; George.Delclos@uth.tmc.edu

Accepted 27 October 2005

Background: Previous studies have described increased occurrence of asthma among healthcare workers, but to our knowledge there are no validated survey questionnaires with which to study this occupational group.

Aims: To develop, validate, and refine a new survey instrument on asthma for use in epidemiological studies of healthcare workers.

Methods: An initial draft questionnaire, designed by a multidisciplinary team, used previously validated questions where possible; the occupational exposure section was developed by updating health services specific chemical lists through hospital walk-through surveys and review of material safety data sheets. A cross-sectional validation study was conducted in 118 non-smoking subjects, who also underwent bronchial challenge testing, an interview with an industrial hygienist, and measurement of specific IgE antibodies to common aeroallergens.

Results: The final version consisted of 43 main questions in four sections. Time to completion of the questionnaire ranged from 13 to 25 minutes. Test–retest reliability of asthma and allergy items ranged from 75% to 94%, and internal consistency for these items was excellent (Cronbach's $\alpha \geq 0.86$). Against methacholine challenge, an eight item combination of asthma related symptoms had a sensitivity of 71% and specificity of 70%; against a physician diagnosis of asthma, this same combination showed a sensitivity of 79% and specificity of 98%. Agreement between self-reported exposures and industrial hygienist review was similar to previous studies and only moderate, indicating the need to incorporate more reliable methods of exposure assessment. Against the aeroallergen panel, the best combinations of sensitivity and specificity were obtained for a history of allergies to dust, dust mite, and animals.

Conclusions: Initial evaluation of this new questionnaire indicates good validity and reliability, and further field testing and cross-validation in a larger healthcare worker population is in progress. The need for development of more reliable occupational exposure assessment methods that go beyond self-report is underscored.

Previous studies in various countries have described an increased occurrence of asthma among specific groups of healthcare workers (HCWs), including nurses and respiratory therapists.^{1–11} In the USA, the health services industry was second only to the transportation equipment manufacturing sector in total number of reported asthma cases (16% of the total), and five of the top 11 industries and nine of the 22 leading occupations associated with significantly increased asthma mortality were related to healthcare services.¹²

Validation of asthma questionnaires to date has largely focused on their ability to predict asthma in populations.^{13–19} Recent studies have underscored the importance, when conducting aetiological research on asthma rather than screening, of developing instruments that favour specificity over sensitivity, both for the definition of asthma as well as for exposure assessment.^{20–22} To our knowledge few or no asthma questionnaires, designed for aetiological research, have undergone formal validation in a putative high risk population such as that of healthcare workers.^{20 23 24}

The purpose of this study was to develop, validate, measure reliability, and refine a new asthma survey instrument for subsequent use in epidemiological studies of healthcare workers. The survey instrument (formatted for use with the Cardiff Teleform software (Cardiff Software, Inc., Vista, CA), to facilitate direct data entry) can be viewed on the OEM website (<http://www.occenvmed.com/supplemental>).

METHODS

Questionnaire development

The initial draft questionnaire was designed to be completed in under 30 minutes and consisted of four sections: (a) asthma and asthma symptoms (12 questions, with subquestions); (b) occupational exposures and job history (17 questions, with subquestions); (c) non-occupational exposures and asthma risk factors (9 questions); and (d) demographics (8 questions). The survey development team was multidisciplinary and included industrial hygienists, occupational/pulmonary physicians, epidemiologists, and survey design experts. A preliminary version of the questionnaire was first tested for language clarity, ease of completion, timing, and cognition in an initial small pilot study of volunteer HCWs from the Houston area.

Asthma related questions were originally derived from the International Union Against Tuberculosis and Lung Diseases (IUATLD) bronchial symptom questionnaire, and included a cluster of five questions from that instrument that had exhibited the best combination of sensitivity and specificity for the detection of bronchial hyperresponsiveness (collectively referred to as the “discriminant function predictor” or DFP).¹⁵ A separate question on physician diagnosed asthma was also included, as well as questions on age or year of

Abbreviations: DFP, discriminant function predictor; HCW, healthcare worker; MD asthma, physician diagnosis of asthma

asthma diagnosis and on work absences due to asthma or respiratory symptoms.

The occupational exposure section focused on current and longest jobs held, job titles, practice setting, duration and frequency of exposure to a list of specific chemicals, and a history of exposure to accidental chemical spills or gas releases. Lists and descriptions of chemical agents present in healthcare settings were initially identified from the literature and collapsed into specific sections for development of individual questionnaire items, with the input of three industrial hygienists (TS, ES, LW) and two occupational physicians (GD, AC).^{9 23 25} To update these lists, this team also conducted a series of walk-through surveys and review of material safety data sheets in three large Houston hospitals: a 350 bed paediatric hospital (3200 employees); a 450 bed specialty cancer referral centre (10 000 employees); and a 1200 bed tertiary referral and general hospital (4600 employees). This process resulted in the development and inclusion of two separate chemical lists in the initial draft questionnaire: (a) a list of 39 chemical agents, for which respondents were asked to indicate any exposure on at least one occasion per month for six months or longer; and (b) a separate set of questions regarding frequency of exposure (never, at least once a month, at least once a week, every day, or more than once a day) to nine general classes of agents (disinfectants, cleaning agents, latex products, microorganisms, aerosolised medications, mildew, adhesives/glues, gases/vapours, and paints/craft materials).

The non-occupational exposure and asthma risk factors section of the draft survey instrument contained questions related to common environmental aeroallergens and allergies, family history of atopy and asthma, household pets, smoking habits, residential housing characteristics, and recreational exposures, derived where possible from previously developed questionnaires.^{14 23}

Validation study

A cross-sectional study was conducted in a convenience sample of non-smoking, currently employed HCWs between 18 and 65 years of age, both with and without asthma, recruited via widespread advertisement in the Houston metropolitan area. Exclusion criteria included pregnancy, a prior diagnosis of chronic obstructive pulmonary disease, emphysema, and/or chronic bronchitis. Sample size calculations were based on information obtained from the initial pilot study; calculations were made separately for the asthma (median sensitivity, 55%; specificity, 80%) and non-occupational exposure ("allergy") (median sensitivity, 41%; specificity, 50%) sections of the questionnaire.²⁶ The resulting minimum sample size was approximately 96 persons.

The study protocol was approved by the University of Texas–Houston Committee for the Protection of Human Subjects. Study participants completed the draft questionnaire, a non-specific bronchial challenge test with methacholine, and a detailed occupational exposure interview with an industrial hygienist, and provided a blood sample for measurement of RAST specific IgE antibodies to a panel of indoor and outdoor aeroallergens common in the south-western USA. The order in which these various tests were performed was random, and the research team was blinded to the medical histories and questionnaire responses of the study participants. Two weeks after this session, participants were asked to complete a second, abbreviated questionnaire to assess test–retest reliability of responses, measured by the kappa (κ) statistic.²⁷

Internal consistency reliability for item groups in the asthma and non-occupational exposure sections of the questionnaire was measured by Cronbach's α values.²⁸ Internal consistency was also assessed through exploratory

Table 1 Study population descriptive statistics (n = 118)

Variable	
Gender	
Male	30 (25.4%)
Female	88 (74.6%)
Race/ethnicity	
Non-Hispanic White	43 (36.4%)
Hispanic	27 (22.9%)
Non-Hispanic Black	31 (26.3%)
Other	17 (14.4%)
Age, mean (SD)	35.8 (10.2) y
Years employed as health professional, mean (SD)	13.4 (10.1) y
Ever asthma (self-reported)	27 (22.9%)
Prior physician diagnosis of asthma	24 (20.3%)
PC ₂₀ ≤ 8 mg/ml	65 (55.1%)
PC ₂₀ ≤ 4 mg/ml	57 (48.3%)
DFP positive*	44 (37.3%)
Atopy†	56 (47.5%)
Elevated anti-latex IgE antibody†	13 (11%)

*Five item discriminant function predictor (DFP) for bronchial hyperresponsiveness.¹⁵

†A specific IgE serum titre of ≥0.35 kU/l (class I) for one or more common indoor and outdoor aeroallergens was considered indicative of atopy; the same cut-off value was used for the anti-latex IgE antibody.

principal factor analysis, applied to the asthma section of the questionnaire, as reported recently.¹⁸ The same procedure was used to identify groupings of chemical agents in the occupational exposure section, in order to shorten the original list of 39 chemicals.²⁹

Asthma related items were validated against two measures, the provocative concentration of methacholine that produced a 20% or greater decrease in forced expired volume in one second (FEV₁) from the baseline (PC₂₀) and a previous physician diagnosis of asthma (MD asthma). Two separate cut-off points for PC₂₀ were evaluated, ≤8 mg/ml and ≤4 mg/ml. Previous studies have shown that a "cut point" of 8 mg/ml is clinically practical, as virtually 100% of symptomatic asthmatics and only 4.5% of non-asthmatic subjects will have values at or below this concentration of either methacholine or histamine. The ≤4 mg/ml level was added as a second cut-off level that could add greater specificity.³⁰ Performance of these questions was also compared to that of the 5-question DFP.^{15 16} Prediction equations were developed using PC₂₀ ≤8 mg/ml, PC₂₀ ≤4 mg/ml, and MD asthma as dichotomous outcome variables. Variables related to asthma symptoms were then added into the logistic model and sensitivity, specificity, and percentage correctly classified were computed, based on an analysis of receiver operating curve characteristics (ROC). To evaluate construct validity, these questionnaire items were tested for associations with two known non-occupational asthma risk factors (atopy defined by RAST panel results and a family history of hay fever) and one established occupational risk factor (latex sensitisation, defined as an elevated anti-latex IgE antibody). Strength of these associations was expressed as the crude odds ratios (OR) and corresponding 95% confidence intervals.

For the occupational exposure section, criterion validity was assessed by comparing the level of agreement (κ) between the industrial hygienists (taken as the "gold standard") and the study subject's self-reported exposure history for selected questions on job/industry classification, as well as for exposure (type, frequency within ± one category level) to the nine classes of agents. Construct validity was evaluated, in a limited fashion, by testing the known association between latex allergy (as self-reported on the questionnaire) and both MD asthma and PC₂₀ ≤8 mg/ml.

Criterion validity for the non-occupational and asthma risk factor section of the questionnaire was determined by

comparison of the allergy related questions to RAST panel serum titres. A serum titre of ≥ 0.35 kU/l (class I) for one or more allergens was considered indicative of atopy. Those items that offered the best combination of sensitivity and specificity were retained in the final version of the questionnaire. Construct validity was then examined by testing these latter items for expected associations with asthma and bronchial hyperresponsiveness, as defined by MD asthma and $PC_{20} \leq 8$ mg/ml respectively, using simple logistic regression.

RESULTS

One hundred eighteen subjects participated in the validation study. Descriptive statistics of the study population are presented in table 1. Time to completion of the questionnaire ranged from 13 to 25 minutes.

Reliability

Internal consistency for respiratory symptoms (Cronbach's $\alpha = 0.86$), allergic symptoms when near animals or trees (Cronbach's $\alpha = 0.86$), and allergy questions (Cronbach's $\alpha = 0.89$) was excellent. Exploratory principal factor analysis produced a 12-item model that separated asthma related questions into three domains: "wheezing" (4 items: wheezing, wheezing at home, nocturnal wheezing, and nocturnal cough), "shortness of breath" (5 items: shortness of breath, shortness of breath with activity, shortness of breath at home, nocturnal chest tightness, and trouble breathing), and "no asthma" (3 items: absence of wheezing with a cold, absence of a history of asthma, and absence of a prior physician diagnosis of asthma). Each domain was then tested for associations with $PC_{20} \leq 8$ mg/ml; all yielded significant associations in the expected direction ("wheezing", OR = 1.52, $p = 0.047$; "shortness of breath", OR = 1.68, $p = 0.017$; "no asthma", OR = 0.53, $p = 0.008$). Similarly, when tested for associations with $PC_{20} \leq 4$ mg/ml, all three

domains yielded significant odds ratios ("wheezing", OR = 1.49, $p = 0.049$; "shortness of breath", OR = 2.00, $p = 0.002$; "no asthma", OR = 0.54, $p = 0.006$).

Principal factor analysis conducted on the list of 39 chemical agents produced a 28-item model, collapsed into five domains: "cleaning agents", "sterilising agents/disinfectants", "strong odours", "anaesthetics/nebulised medications", and "miscellaneous" (table 2).

Test-retest reliability ranged from 75% to 95% for both asthma and allergy related questionnaire items (overall $\kappa = 0.70$).

Validity

Analysis of ROC characteristics identified a subset of eight asthma related items that offered the best combination of sensitivity and specificity, while retaining good internal consistency (Cronbach's $\alpha = 0.75$), when tested against PC_{20} and MD asthma. Table 3 lists the individual questionnaire items for both the 8-question predictor and the DFP.

Table 4 summarises the results of the 8-question predictor and the 5-item DFP when applied to this study population. Use of the 8-question predictor resulted in 70–94% of study participants being correctly classified with regard to asthma and bronchial hyperresponsiveness, versus 65–93% for the DFP.

Construct validity testing for associations between each of the asthma definitions (8-item predictor, MD asthma, and DFP) and known non-occupational (atopy, family history of hay fever) and occupational (latex sensitisation) factors yielded elevated odds ratios in the expected direction, although some of the confidence intervals included the null (table 5).

In the occupational exposure history section of the questionnaire, when self-reported exposures were compared to the industrial hygienist's assignment of individual exposures, greater agreement was observed with respect to job titles ($\kappa = 0.57$ and 0.67 , for longest held and current/most recent job, respectively) than for practice setting ($\kappa = 0.46$ and 0.51 , for longest held and current/most recent job, respectively). Agreement on type and duration of exposure to agents varied, depending on the agent class. For current/most recent job, agreement was greatest for exposure to latex products ($\kappa = 0.60$), disinfectants/sterilising agents ($\kappa = 0.59$), cleaning agents ($\kappa = 0.56$), aerosolised medications ($\kappa = 0.53$), and gases/vapours ($\kappa = 0.48$), and marginal for exposure to bacteria/viruses ($\kappa = 0.43$) and adhesives/glues ($\kappa = 0.41$). Agreement was poor ($\kappa < 0.40$) for exposure to mildew/fungi and paints/crafts materials. For longest held job, agreement was greatest for exposure to latex products ($\kappa = 0.63$), disinfectants/sterilising agents ($\kappa = 0.60$), bacteria/viruses ($\kappa = 0.53$), and aerosolised medications ($\kappa = 0.45$), and marginal for exposure to cleaning agents ($\kappa = 0.44$) and gases/vapours ($\kappa = 0.42$). Agreement was poor ($\kappa < 0.40$) for exposure to mildew/fungi, adhesives/glues, and paints/crafts materials. The odds ratios for an association between self-reported latex allergy and both MD asthma or $PC_{20} \leq 8$ mg/ml were elevated in the expected direction, although confidence intervals included the null (table 5).

In the non-occupational and asthma risk factor section, items regarding a personal history of allergic conditions and family history of allergic conditions exhibited a wide range of sensitivity (19–74%), but high specificity (71–89%) when compared to RAST panel results. Sensitivity was highest for a history of hay fever (74%) and "dust" allergy (68%), and lowest for allergy to chemicals (19%) and a family history of skin allergies (28%). Specificity was highest for a history of allergy to chemicals (89%), animals (86%), dust mite (86%), and medications (82%), and lowest for hay fever (45%). The

Table 2 Final classification of chemical agents, based on exploratory principal factor analysis

Domain	Agent	
"Cleaning agents"	Bleach	
	Cleaners for rooms and counter tops	
	Cleaners/abrasives	
	Cleaners for restrooms and toilets	
	Detergents	
"Sterilising agents"	Disinfectants	
	Glutaraldehyde	
	Ortho-phthaldehyde	
	Chloramines	
"Anaesthetics/nebulised medications"	Anaesthetics	
	Antiseptics	
	Antibiotics	
	Bronchodilators	
	Nebulised medications (e.g. pentamidine, ribavirin)	
	Talc	
	Iodine	
	"Strong odours"	Ammonia
		Paints (acrylics, stains, varnishes)
		Solvents like toluene, xylene, benzene, hexane, mineral spirits, paint thinners
Pesticides		
Tobacco smoke (including passive)		
Toner for copiers or printers		
"Miscellaneous"	Glues and adhesives	
	Acetaldehyde	
	Alkalis	
	Ethylene oxide	
	Formalin/formaldehyde	
Nitric oxide		

Table 3 Individual questionnaire items for the 8-item predictor and 5-item discriminant function predictor (DFP)*

Predictor	Questionnaire item
8-item predictor	Have you ever had trouble with your breathing? (continuously or repeatedly)
	Have you had an attack/episode of shortness of breath at any time in the last 12 months?
	Have you had wheezing or whistling in your chest at any time in the last 12 months?
	Have you been awakened during the night by an attack of any of the following symptoms in the last 12 months: (a) cough? (b) chest tightness?
	When you are near animals, feathers, or in a dusty part of the house, do you ever get itchy or watery eyes?
5-item DFP*	When you are near animals, feathers, or in a dusty part of the house, do you ever get a feeling of tightness in your chest?
	When you are near trees, grass, or flowers, or when there is a lot of pollen around, do you ever get itchy or watery eyes?
	Have you ever had trouble with your breathing? (continuously or repeatedly)
	Have you had an attack/episode of shortness of breath that came on following strenuous activity at any time in the last 12 months?
	Have you had wheezing or whistling in your chest at any time in the last 12 months?
	Have you been awakened during the night by an attack of the following symptom in the last 12 months: shortness of breath
	When you are near animals, feathers, or in a dusty part of the house, do you ever get a feeling of tightness in your chest?

*From Burney *et al.*¹⁵

best combinations of sensitivity and specificity were obtained for a history of allergies to dust, dust mite, and animals. In the evaluation of construct validity, the odds for an association with either MD asthma or $PC_{20} \leq 8$ mg/ml were elevated for all three of these items (table 5).

DISCUSSION

It is well recognised that questionnaire based definitions of asthma may not necessarily correspond to the clinical definition of asthma, and that there is no universally accepted "gold standard" definition of asthma for use in epidemiology studies.¹⁸ Prior validation studies of asthma questionnaires have generally relied on comparison of questionnaire items on asthma and asthma-like symptoms to putative gold standards, including physiological measures of non-specific bronchial hyperresponsiveness, previously validated questionnaires, or physician diagnosed asthma.^{15 31 32} Depending on the standard used, as well as on the nature of the questionnaire items, sensitivity and specificity have varied.

The present study used an approach that compared the performance of the asthma section of the questionnaire to all three of these standards (PC_{20} , MD asthma, and the previously validated DFP). The DFP exhibited a specificity of 81% and sensitivity of 52%, virtually identical to those obtained by Burney *et al* in their original validation studies.¹⁵ In contrast, the 8-item predictor in this study showed a higher sensitivity (71%) and lower specificity (70%), but resulted in a slightly higher percentage of "correctly

classified" cases than the DFP (70% versus 65%). As a predictor of bronchial hyperresponsiveness, therefore, there was little measurable difference between the 8-item predictor and the DFP. Kongerud *et al* used a modified MRC questionnaire to test 296 workers in a Norwegian aluminium plant, and compared questionnaire responses to the clinical judgement of a chest physician.³² Questions on wheezing and dyspnoea showed sensitivities of 77% and 75%, and specificities of 82% and 88%, respectively. The sensitivity of 79% and specificity of 98% found with our 8-item predictor (94% of cases correctly classified), therefore, compares favourably with these results and slightly better than the DFP, supporting its suitability for use in future asthma epidemiology studies. The combination of several symptom based questions to define asthma has been found to perform better, and is less conducive to misclassification, than reliance on a single question or questions that include the term "asthma".¹⁸

An important limitation of many occupational asthma surveys is the inability to distinguish between pre-existing asthma and work related asthma. Although not specifically validated in this study, this questionnaire also includes items regarding time of asthma onset (relative to entry into the healthcare profession), worsening of asthma and/or respiratory symptoms with work, amelioration when away from work, and work absences due to asthma and/or respiratory symptoms. Combining these questions with the validated asthma and bronchial hyperresponsiveness predictors should allow a better approximation to these asthma-workplace relationships.^{5 33}

Table 4 Criterion validity: performance of the 8-item predictor and 5-item discriminant function predictor* (DFP) versus bronchial hyperresponsiveness (PC_{20}) and a prior physician diagnosis of asthma (MD asthma) (n = 118 subjects)

Predictor	Test positive	Sensitivity	Specificity	Correctly classified (%)
8-item predictor†				
$PC_{20} \leq 8$ mg/ml	62 (52.5%)	71%	70%	70%
$PC_{20} \leq 4$ mg/ml	44 (37.2%)	61%	85%	74%
MD asthma	21 (17.8%)	79%	98%	94%
5-item DFP				
$PC_{20} \leq 8$ mg/ml	44 (37.2%)	52%	81%	65%
$PC_{20} \leq 4$ mg/ml	33 (30.0%)	47%	90%	69%
MD asthma	18 (15.3%)	71%	99%	93%

*From Burney *et al.*¹⁵

†For each dichotomised outcome variable, separate 8-item logistic regression models were developed, based on the best combination of sensitivity and specificity. In each case, the 8 items included ever experiencing trouble breathing (continuously or repeatedly), wheezing in the previous 12 months, an attack of shortness of breath in the previous 12 months, having been awakened by nocturnal cough and/or chest tightness, and allergic respiratory symptoms when around animals, feathers, a dusty part of the house, or outdoor environmental allergens and pollens.

Table 5 Construct validity: association between three different indicators of asthma and known asthma risk factors, and between different indicators of common allergies and asthma

Indicator	Risk factor	OR	95% CI
Asthma			
8-item predictor*	Atopy	3.41	1.30 to 8.94
	Family history of hay fever	2.09	0.76 to 5.73
	Elevated latex IgE antibody	5.37	0.68 to 42.47
MD asthma†	Atopy	4.91	1.69 to 14.27
	Family history of hay fever	3.14	1.25 to 7.89
	Elevated latex IgE antibody	4.20	1.44 to 12.28
5-item DFP‡	Atopy	1.97	0.92 to 4.22
	Family history of hay fever	3.47	1.58 to 7.65
	Elevated latex IgE antibody	4.25	1.46 to 12.34
Allergies			
Animals	MD asthma†	4.04	1.55 to 10.50
	PC ₂₀ ≤ 8 mg/ml	4.21	1.64 to 10.79
Dust	MD asthma†	4.42	1.61 to 12.16
	PC ₂₀ ≤ 8 mg/ml	2.05	0.98 to 4.29
Dust mite§	MD asthma†	10.25	3.21 to 32.71
	PC ₂₀ ≤ 8 mg/ml	2.24	0.92 to 5.44
Latex	MD asthma†	1.71	0.57 to 5.17
	PC ₂₀ ≤ 8 mg/ml	1.46	0.58 to 3.65

OR, odds ratio; 95% CI, 95% confidence interval.

*8-item predictor for PC₂₀ ≤ 8 mg/ml.

†MD asthma: history of physician diagnosed asthma.

‡From Burney *et al.*¹⁵

§n = 118 subjects for all associations except with dust mite allergen (n = 102).

Various methods are used to retrospectively assess occupational exposures in epidemiology studies, including self-reported exposure, detailed interviews with workers or relatives, expert industrial hygiene assessment of occupational histories, and use of a priori developed job-exposure matrices; each of these methods has its limitations. In this study, the occupational exposure section of the questionnaire is based on self-reported exposures to a list of agents, established through a detailed process that included review of previous lists, hospital walk-through surveys, and exploratory principal factor analysis, to identify a fairly comprehensive checklist of agents that is still brief enough to be answered in a short period of time. Agreement between study participants and the industrial hygienists (median $\kappa = 0.45$) was similar to a previous study that examined this issue in a case-control study of cancer and occupational exposures in Canada (median $\kappa = 0.51$).³⁴ Self-reported exposure to checklists of chemical agents has been reported to have high specificity (ranging from 83% to 97%), but low sensitivity (median 61%; range 39–91%) when compared to expert assessment by hygienists and chemists, which could lead to misclassification of exposure when used in population based studies.³⁴ On the other hand, detailed industrial hygiene interviews with workers or their proxy require a large time commitment, are costly and often logistically difficult, and have exhibited suboptimal validity.^{35–38} Fritschi and colleagues also questioned whether use of expensive, time consuming expert assessment was an acceptable “gold standard”, acknowledging that few data exist on the validity of this method.³⁴ Louik *et al* further note that expert assessment is also limited by a scarcity of qualified experts.³⁸

The similarity of findings between this validation study and previous studies underscores the need to incorporate more reliable methods of exposure assessment that go beyond self-report. Conceivably, in the case of our questionnaire, some advantage might be gained by combining both methods sequentially—that is, the self-reported exposures could be subjected to subsequent additional review by one or more industrial hygiene experts, and this is being explored. However, we have also developed a healthcare worker specific job-exposure matrix, focused on asthmagens,

that emphasises high specificity, and which is undergoing detailed validation and field testing.^{20 21 33}

Certain limitations of this study should be noted. Although methacholine challenge testing was selected as the “gold standard” for asthma, it is well known that airway hyperresponsiveness is present in a certain proportion of asymptomatic persons without asthma, which could affect the specificity of certain questionnaire items.^{39 40} Use of more than one “gold standard” for the definition of asthma in this study probably offset this effect, by providing a range of sensitivity and specificity values for the 8-item predictor that may allow a broader characterisation of susceptible subgroups. A similar issue arises for the RAST antibody panel, where some asymptomatic persons may have significantly elevated titres of these antibodies.⁴¹ However, the good specificity (86%) shown by the questionnaire items for allergens known to be strongly related to asthma (dust mite and animals) suggests that this effect was small. Differences in opinions and judgement among professionals are a fact of life, and using an industrial hygienist review and classification of occupational exposures as the “gold standard” for questionnaire items in the occupational exposure section can introduce misclassification bias. The development of the previously mentioned highly specific job-exposure matrix, with multiple levels of expert input, should decrease this effect.

In this convenience sample, the large number of persons with previously diagnosed asthma, bronchial hyperresponsiveness, and atopy provided a sufficient number of cases to test questionnaire validity. In this regard, the study population was not likely to be strictly representative of the target population. On the other hand, the study population would be expected to be representative of the general healthcare worker population by virtue of profession (all were healthcare workers), educational level and language, providing confidence on the relevance, understanding, ease of completion, and applicability of the various questionnaire items to this worker population.

All study participants were non-smokers, in order to reduce confounding from chronic obstructive pulmonary disease in the validation study. It may be more difficult to control this

Main messages

- Previous studies in various countries have described an increased occurrence of asthma among specific groups of healthcare workers.
- Although some questionnaires exist for the evaluation of asthma and exposures in the workplace, to our knowledge none have undergone formal validation in a healthcare worker population. Evaluation of the performance of this new questionnaire for the study of asthma in healthcare workers indicates good validity and reliability for the detection of asthma and for the characterisation of non-occupational exposures and other asthma risk factors. The validity and reliability of assessment of occupational exposures was only moderate and similar to previous studies based on self-report.
- Although the instrument was specifically designed for use in the healthcare sector, this validation methodology could also be adapted for studies of other worker populations.

Policy implications

- Use of this validated questionnaire in epidemiological studies of healthcare workers should improve the quality of asthma research in this large sector of the employed workforce.
- The rigorous methodological approach to questionnaire validation employed in this study may serve as a model for epidemiological studies of other occupational groups.

effect when the questionnaire is applied in a population based study. A section on smoking history is part of the final questionnaire, which will allow control for this confounder.

Based on findings from this validation study, the questionnaire was reduced to 43 main questions and appeared to be easily completed by participants, making it applicable for use in other healthcare worker groups, including house-keeping personnel, security, facilities maintenance, etc. The education level of the study population was quite high, however; thus care should be taken before using this questionnaire in a broader cross-section without further cognitive testing and validation.

In summary, initial evaluation of the performance of this new questionnaire for the evaluation of asthma in healthcare workers indicates good validity and reliability for the detection of asthma and for the characterisation of non-occupational exposures and other asthma risk factors. Although occupational exposure assessment was shown to have a reliability similar to previous studies, it would be preferable to develop additional approaches that go beyond self-report, providing a separate measure of exposure to workplace risk factors. Further field testing and cross-validation of this instrument are currently being undertaken by our group in a large cross-sectional study of licensed healthcare professionals in Texas. Although the instrument was specifically designed for use in the healthcare sector, if the field studies support this validation study, then this methodology could also be adapted to studies of other worker populations.

ACKNOWLEDGEMENTS

The authors greatly appreciate the assistance provided on this project by Cheryl Kellner, CPT, who performed the methacholine challenges; Maria Sandoval, LVN, Michelle McHugh, MS, and Marice Barahona for their assistance during the multiple testing sessions; Keith Burau, PhD for his data processing support; and the Houston healthcare workers who graciously gave their time and participation.

Authors' affiliations

G L Delclos, L Aday, A Carson, D Lai, C Lusk, T Stock, E Symanski, L W Whitehead, The University of Texas School of Public Health, Houston, Texas, USA

A A Arif, Department of Family and Community Medicine, Division of Health Services Research, Texas Tech University Health Science Center, Lubbock, Texas, USA

F G Benavides, Department of Experimental and Health Sciences, Pompeu Fabra University, Barcelona, Spain

J M Antó, Municipal Institut of Medical Research (IMIM-IMAS), Barcelona, Spain

Funding: Supported in part by Grant Nos 5R01OH03945-01A1 and T42CCT610417 from the National Institute for Occupational Safety and Health/Centers for Disease Control and Prevention. The funding agency had no involvement in the study design, data collection, data analysis, interpretation of data, writing of the paper, and/or in the decision to submit the paper for publication.

Competing interests: none

REFERENCES

- 1 **Lefcoe NM**, Wonnacott TH. The prevalence of chronic respiratory disease in the male physicians of London, Ontario. *Can Med Assoc J* 1970;**102**:381–5.
- 2 **Bardy JD**, Malo JL, Seguin P, et al. Occupational asthma and IgE sensitization in a pharmaceutical company processing psyllium. *Am Rev Respir Dis* 1987;**135**:1033–8.
- 3 **Kern DG**, Frumkin H. Asthma in respiratory therapists. *Ann Intern Med* 1989;**110**:767–73.
- 4 **Christiani DC**, Kern DG. Asthma risk and occupation as a respiratory therapist. *Am Rev Respir Dis* 1993;**148**:671–4.
- 5 **Dimich-Ward H**, Wymer ML, Chan-Yeung M. Respiratory health survey of respiratory therapists. *Chest* 2004;**126**:1048–53.
- 6 **Gannon PF**, Bright P, Campbell M, et al. Occupational asthma due to glutaraldehyde and formaldehyde in endoscopy and x-ray departments. *Thorax* 1994;**50**:156–9.
- 7 **Meredith SK**, Taylor VM, McDonald JC. Occupational respiratory disease in the United Kingdom 1989: a report to the British Thoracic Society and the Society of Occupational Medicine by the SWORD project group. *Br J Ind Med* 1991;**48**:292–8.
- 8 **Vandenplas O**, Delwiche JP, Evrard G, et al. Prevalence of occupational asthma due to latex among hospital personnel. *Am J Respir Crit Care Med* 1995;**151**:54–60.
- 9 **De la Hoz R**, Young RO, Pederson DH. Exposure to potential occupational asthmagens: prevalence data from the National Occupational Exposure Survey. *Am J Ind Med* 1997;**31**:195–201.
- 10 **Liss GM**, Sussman GL, Deal K, et al. Latex allergy: epidemiological study of 1351 hospital workers. *Occup Environ Med* 1997;**54**:335–42.
- 11 **Pechter E**, Davis LK, Tumpowsky C, et al. Work-related asthma among health care workers: surveillance data from California, Massachusetts, Michigan, and New Jersey, 1993–1997. *Am J Ind Med* 2005;**47**:265–75.
- 12 **Attfield M**, Bang KM, Castellan RM, et al. Work-related lung disease surveillance report 2002: asthma and COPD highlights. NORA 2003 Symposium: Working Partnerships Research to Practice Conference, Washington DC, 23–24 June, 2003.
- 13 **MRC**. Medical Research Council. Standardized questionnaires of respiratory symptoms. *BMJ* 1960;**2**:1665.
- 14 **Ferris BG**. Epidemiology Standardization Project (American Thoracic Society). *Am Rev Respir Dis* 1978;**118**(6 pt 2):1–120.
- 15 **Burney PG**, Chinn S, Britton JR, et al. What symptoms predict the bronchial response to histamine? Evaluation in a community survey of the bronchial symptoms questionnaire (1984) of the International Union Against Tuberculosis and Lung Disease. *Int J Epidemiol* 1989;**18**:165–73.
- 16 **Burney PG**, Laitinen LA, Perdrizet S, et al. Validity and repeatability of the IUATLD (1984) Bronchial Symptoms Questionnaire: an international comparison. *Eur Respir J* 1989;**2**:940–5.
- 17 **Galobardes B**, Sunyer J, Anto JM, et al. Effect of the method of administration, mail or telephone, on the validity and reliability of a respiratory health questionnaire. The Spanish Centers of the European Asthma Study. *J Clin Epidemiol* 1998;**51**:875–81.
- 18 **Sunyer J**, Basagaña X, Burney P, et al. International assessment of the internal consistency of respiratory symptoms. *Am J Respir Crit Care Med* 2000;**162**:930–5.
- 19 **Herrick RF**, Stewart PA, eds. International workshop on retrospective exposure assessment for occupational epidemiologic studies. *Appl Occup Environ Hyg* 1991;**6**:417–554.

- 20 **Kennedy SM**, Le Moual N, Choudat D, *et al.* Development of an asthma specific job exposure matrix and its application in the epidemiological study of genetics and environment in asthma (EGEA). *Occup Environ Med* 2000;**57**:635–41.
- 21 **Zock JP**, Cavallé N, Kromhout H, *et al.* Evaluation of specific occupational asthma risks in a community-based study with special reference to single and multiple exposures. *J Expo Anal Environ Epidemiol* 2004;**14**:397–403.
- 22 **Ravault C**, Kauffmann F. Validity of the IUATLD (1986) questionnaire in the EGEA study. *Int J Tuberc Lung Dis* 2001;**5**:191–6.
- 23 **NIOSH Division of Respiratory Studies**. Initial Questionnaire of the NIOSH Occupational Asthma Identification Project (OAID) (n.d.). Retrieved 28 February 2004 from <http://www.cdc.gov/niosh/asthpage.html>.
- 24 **Bardana EJ**, **Montanaro A**, O'Hollaren MT. *Occupational asthma*. Philadelphia, PA: Hanley and Belfus, 1992.
- 25 **DHHS**. U.S. Department of Health and Human Services. *Guidelines for protecting the safety and health of health care workers*. Publication number 88-119. DHHS (NIOSH), 1988.
- 26 **Cochran WG**. *Sampling techniques*, 3rd edn. New York: Wiley, 1977.
- 27 **Fleiss JL**. *Statistical methods for rates and proportions*. Hoboken, NJ: John Wiley and Sons, 1981.
- 28 **Aday LA**. *Designing and conducting health surveys*, 2nd edn. San Francisco, CA: Jossey-Bass, 1996.
- 29 **Kogevinas M**, Zock JP, Kromhout H, *et al.* Assessment of mixed exposures in a population-based study on occupational asthma. NORA 2003 Symposium: Working Partnerships Research to Practice Conference, Washington DC, 23–24 June, 2003.
- 30 **Cockcroft DW**, Berscheid BA, Murdock KY. Sensitivity and specificity of histamine PC₂₀ determination in a random selection of young college students. *J Allergy Clin Immunol* 1992;**89**:23–30.
- 31 **Abramson MJ**, Hensley MJ, Saunders NA, *et al.* Evaluation of a new asthma questionnaire. *J Asthma* 1991;**28**:129–39.
- 32 **Kongerud J**, Boe J, Soyseth V, *et al.* Aluminium potroom asthma: the Norwegian experience. *Eur Respir J* 1994;**7**:165–72.
- 33 **Le Moual N**, Kennedy SM, Kauffmann F. Occupational exposures and asthma in 14000 adults from the general population. *Am J Epidemiol* 2004;**160**:1108–16.
- 34 **Fritschi L**, Siemiatycki J, Richardson L. Self-assessed versus expert-assessed occupational exposures. *Am J Epidemiol* 1996;**144**:521–7.
- 35 **Coggon D**, Pippard EC, Acheson, eds. Accuracy of occupational histories obtained from wives. *Br J Ind Med* 1985;**42**:563–564.
- 36 **Ahlborg GA**. Validity of exposure data obtained by questionnaire. *Scand J Work Environ Health* 1990;**16**:284–8.
- 37 **Jaffe M**. Validity of exposure data derived from a structured questionnaire. *Am J Epidemiol* 1992;**135**:564–70.
- 38 **Louik C**, Frumkin H, Ellenbecker MJ, *et al.* Use of a job-exposure matrix to assess occupational exposures in relation to birth defects. *J Occup Environ Med* 2000;**42**:693–703.
- 39 **Toren K**, Brisman J, Jarvholm B. Asthma and asthma-like symptoms in adults assessed by questionnaires. *Chest* 1993;**104**:600–8.
- 40 **Boulet LP**. Asymptomatic airway hyperresponsiveness. A curiosity or an opportunity to prevent asthma? *Am J Respir Crit Care Med* 2003;**167**:371–8.
- 41 **Soriano JB**, Anto JM, Sunyer J, *et al.* Risk of asthma in the general Spanish population attributable to specific immunoresponse. Spanish Group of the European Community Respiratory Health Survey. *Int J Epidemiol* 1999;**28**:728–34.

Answers to questions on *Solvent neurotoxicity* by F D Dick, on pages 221–226

- (1) (a) False; (b) False; (c) True; (d) True; (e) True
- (2) (a) True; (b) True; (c) True; (d) True; (e) False
- (3) (a) True; (b) False; (c) False; (d) False; (e) True
- (4) (a) True; (b) False; (c) True; (d) False; (e) True
- (5) (a) True; (b) True; (c) False; (d) True; (e) True