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## Remarkable variability in morphology, hygroscopicity and optical properties of soot aerosols during internal mixing in the atmosphere.

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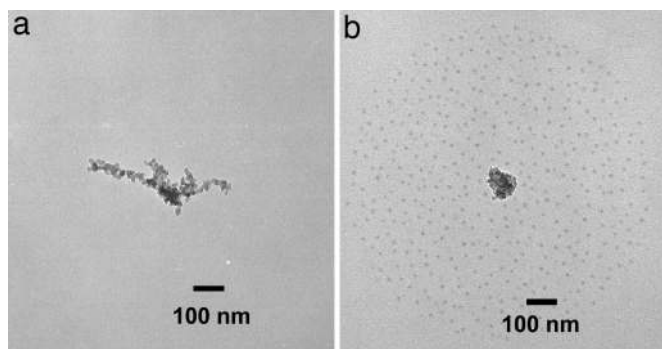
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**Fig. 1.** TEM images of soot particles: fresh soot (a) and soot after exposure to  $\text{H}_2\text{SO}_4$  vapor and 5% RH (b). The gaseous concentration of sulfuric acid is  $1.4 \times 10^{10}$  molecules $\cdot\text{cm}^{-3}$ . The cloud of small droplets surrounding the soot particle corresponds to sulfuric acid, which was shaken off the coated soot particle after impacting on the TEM grid. A high impacting velocity of soot particles on the grid surface resulted in a circular and uniform distribution of small sulfuric acid droplets around the soot core. The droplets gradually disappeared after exposure to heating produced by the electron beam as a result of evaporation, confirming their volatile nature. The particle concentrations were monitored upstream and downstream of the  $\text{H}_2\text{SO}_4$  bath to confirm that particle concentrations did not increase as a result of particle nucleation.

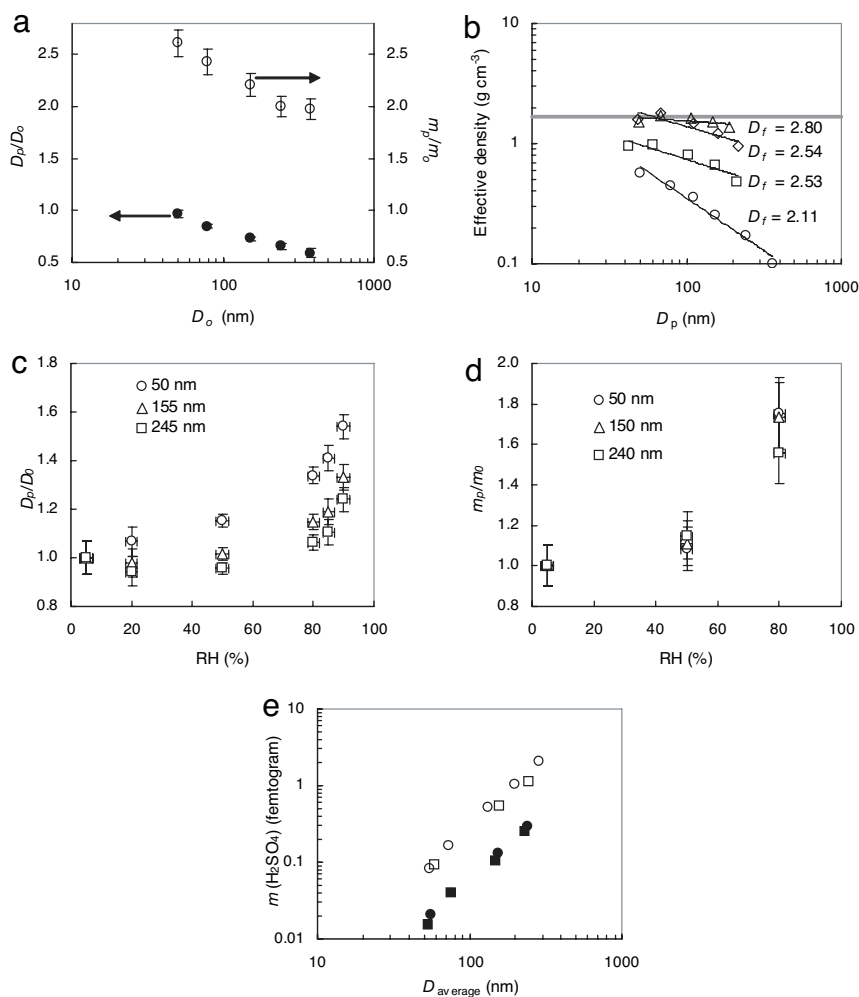
ates after  $\text{H}_2\text{SO}_4$  exposure exhibited a considerable restructuring and shrinking to a more compact form (Fig. 1b).

Because of the complex morphology of soot particles, we used two approaches to characterize the mixing state and hygroscopic growth on the basis of a particle mobility-based diameter ratio  $D_p/D_o$  and mass ratio  $m_p/m_o$ , where the subscripts p and o denote the  $\text{H}_2\text{SO}_4$ -coated (condensed) and fresh particles, respectively. There existed distinct patterns between the changes in the mobility diameter and mass of soot particles after exposure to gaseous  $\text{H}_2\text{SO}_4$  (Fig. 2a). Measurements with a tandem differential mobility analyzer (TDMA) showed that the mobility diameter decreased after  $\text{H}_2\text{SO}_4$  exposure, with the  $D_p/D_o$  value of slightly less than unity for 50-nm particles and 0.6 for 360-nm particles. In contrast, the particle mass measured by an aerosol particle mass (APM) analyzer increased after exposure to  $\text{H}_2\text{SO}_4$  because of  $\text{H}_2\text{SO}_4$  condensation to the soot particles. The  $\text{H}_2\text{SO}_4$  mass fractions of the coated soot particles reached 0.43 for 50-nm particles and 0.35 for 360-nm particles. Combining the mobility diameter and mass measurements yielded the effective density, which changed from 0.56 to 1.60  $\text{g}\cdot\text{cm}^{-3}$  for 50-nm particles and from 0.10 to 0.94  $\text{g}\cdot\text{cm}^{-3}$  for 360-nm particles after  $\text{H}_2\text{SO}_4$  condensation (Fig. 2b). The effective density of  $\text{H}_2\text{SO}_4$ -coated soot particles was  $\approx 3$ –10 times larger than that for fresh soot agglomerates, reflecting soot restructuring and consistent with TEM measurements (Fig. 1). The compaction was more pronounced for larger soot agglomerates. The decrease in mobility diameter was also accompanied by a change in particle fractal dimension, which increased from 2.1 for fresh soot to 2.8 for  $\text{H}_2\text{SO}_4$ -coated soot exposed to 90% RH (Fig. 2b). The effective density and fractal dimension of  $\text{H}_2\text{SO}_4$ -coated soot approached the estimated bulk values (1.7  $\text{g}\cdot\text{cm}^{-3}$  and 3, respectively) of the soot- $\text{H}_2\text{SO}_4$  mixture, indicating a transformation from highly agglomerated to nearly spherical particles. Hence, although the measurements based on the particle mobility-equivalent diameter alone were inconclusive because of restructuring, the mixing state of soot particles could be quantified from the combined measurements of particle mobility size and mass. Other previous studies also found variable effective density and fractal dimension of soot particles from diesel combustion by using combined size and mass measurements (22, 23). We found that soot agglomerates subjected to  $\text{H}_2\text{SO}_4$  condensation and

subsequent heating to 200°C recovered their initial mass ( $1.01 \pm 0.04$ ) despite changes in morphology (Fig. 2b), indicating negligible chemical interaction between sulfuric acid and the soot surface and a physical adsorption process. In a recent Fourier transform infrared spectroscopy study of soot particles exposed to sulfuric acid vapor (7), the observed spectral features were described as a superposition of soot and sulfuric acid spectra, showing no chemical interaction between soot particles and  $\text{H}_2\text{SO}_4$ .

The hygroscopic size (Fig. 2c) and mass (Fig. 2d) growths of both fresh and  $\text{H}_2\text{SO}_4$ -coated soot were measured at various fresh-particle diameters between 50 and 245 nm as a function of RH. For fresh soot of all sizes we found little change in the particle mobility size in the RH range of 5–90%, indicating negligible growth or shrinkage. Considerable change in the mobility size was observed for  $\text{H}_2\text{SO}_4$ -coated soot agglomerates. The hygroscopic size and mass growth depended on the initial fresh-particle size and RH (Figs. 2c and d). The growth (size or mass) ratios were referred to  $\text{H}_2\text{SO}_4$ -coated soot particles at 5% RH ( $D_o$  or  $m_o$ ). The size growth curve for 50-nm particles had a shape characteristic of pure  $\text{H}_2\text{SO}_4$  droplets, but the maximum growth factor (1.52 at 90% RH) was less than that of pure sulfuric acid (2.03). Mobility sizes of larger particles, with diameters of 155 and 245 nm, decreased when RHs were increased to 20–50%, presumably because of collapse of the agglomerates that occurred after uptake of  $\text{H}_2\text{SO}_4$  and  $\text{H}_2\text{O}$ . At 90% RH, however, the uptake was sufficient to produce significant growth in mobility sizes. The hygroscopic mass growth, however, increased steadily with RH for all particle sizes (Fig. 2d), indicating  $\text{H}_2\text{O}$  condensation and a net mass gain. The mobility-size growth factor showed a stronger dependence on the initial particle size than the mass growth factor for a given RH. The delayed and smaller hygroscopic size growth for larger soot particles was also indicative of restructuring after condensation of water. The smaller 50-nm soot agglomerates were sufficiently compact and acquired a larger  $\text{H}_2\text{SO}_4$  mass fraction to cause nearly complete restructuring and subsequent growth at 5% RH. Larger, more agglomerated particles with a lower density and lower  $\text{H}_2\text{SO}_4$  mass fraction exhibited growth only at a higher RH (20–50%) after substantial restructuring of the agglomerates.

The irregular geometry and complex microstructure of soot agglomerates have been suggested to enhance condensation of water and other chemical species because of a decreased equilibrium vapor pressure from the negative curvature (Kelvin) effect (15), especially for larger particles. We measured the absolute mass coating of sulfuric acid on soot agglomerates and polystyrene latex (PSL) spheres (Fig. 2e) to evaluate the effects of chemical composition and morphology. Soot is graphite-like and a highly conjugated polycyclic aromatic system, whereas PSL is a saturated polymer chain with aromatic substituents. The differences in the molecular composition between soot and PSL lead to distinct chemical and physical properties. For instance, soot is a strong light absorber and a good electrical conductor, whereas PSL is transparent and dielectric. Nevertheless, soot and PSL particles of similar mobility sizes acquired almost identical masses of sulfuric acid (Fig. 2e). The measurements between soot and PSL also provided a comparison for irregular aggregates and smooth spherical particles, indicating that the  $\text{H}_2\text{SO}_4$  coating was independent of the chemical makeup and microphysical structure of the particles. The efficient  $\text{H}_2\text{SO}_4$  coating on the two types of particles is explained by the sticky nature and high water affinity of  $\text{H}_2\text{SO}_4$ . Sulfuric acid molecules readily condense on particles, and the condensed  $\text{H}_2\text{SO}_4$  is subsequently stabilized from the interaction with water vapor: water uptake onto the condensed  $\text{H}_2\text{SO}_4$  lowers the equilibrium vapor pressures of both components ( $\text{H}_2\text{SO}_4$  and water) and causes the condensation



**Fig. 2.** Effects of sulfuric acid on properties of aerosol particles. (a) Changes in the particle mobility size and mass after exposure of soot agglomerates to sulfuric acid and water: the particle size  $D_p/D_o$  (filled circles and left axis) and mass  $m_p/m_o$  (open circles and right axis) ratios after  $\text{H}_2\text{SO}_4$  exposure and  $\text{H}_2\text{O}$  stabilization at 5% RH. The gaseous concentration of sulfuric acid is  $1.4 \times 10^{10}$  molecules $\cdot\text{cm}^{-3}$ . The subscripts p and o refer to  $\text{H}_2\text{SO}_4$ -coated soot particles at 5% RH and fresh soot particles, respectively. (b) Effective density ( $\rho_{\text{eff}}$ ) of fresh and exposed soot determined from the mass [differential mobility analyzer–aerosol particle mass (DMA–APM)] and mobility (DMA–DMA) measurements: circles, fresh soot; diamonds,  $\text{H}_2\text{SO}_4$ -coated soot at RH 5%; squares,  $\text{H}_2\text{SO}_4$ -coated soot heated to 200°C to remove condensed sulfuric acid; triangles,  $\text{H}_2\text{SO}_4$ -coated soot humidified to RH 90% and then dried to a RH of 5%. The gray line corresponds to the estimated bulk density of the soot– $\text{H}_2\text{SO}_4$  mixture ( $1.7 \text{ g}\cdot\text{cm}^{-3}$ ). The  $\text{H}_2\text{SO}_4$  concentration is  $1.4 \times 10^{10}$  molecules $\cdot\text{cm}^{-3}$ . The fractal dimension ( $D_f$ ) is 2 for a plane and 3 for a solid sphere. (c) Hygroscopic mobility-size growth ratio ( $D_p/D_o$ ) of  $\text{H}_2\text{SO}_4$ -coated soot particles. The  $\text{H}_2\text{SO}_4$  concentration is  $1.4 \times 10^{10}$  molecules $\cdot\text{cm}^{-3}$ . The subscripts p and o refer to  $\text{H}_2\text{SO}_4$ -coated soot particles at a higher RH and at 5% RH, respectively. The circles, triangles, and squares correspond to coated soot particles with fresh-particle sizes of 50, 155, and 245 nm, respectively. (d) Hygroscopic mass growth ratio ( $m_p/m_o$ ) of  $\text{H}_2\text{SO}_4$ -coated soot particles. The  $\text{H}_2\text{SO}_4$  concentration is  $1.4 \times 10^{10}$  molecules $\cdot\text{cm}^{-3}$ . The subscripts p and o refer to  $\text{H}_2\text{SO}_4$ -coated soot particles at a higher RH and at 5% RH, respectively. The circles, triangles, and squares correspond to coated soot particles with fresh-particle sizes of 50, 155, and 245 nm, respectively. (e) Absolute-mass coating of sulfuric acid on soot agglomerates (squares) and PSL spheres (circles) after  $\text{H}_2\text{SO}_4$  exposure and  $\text{H}_2\text{O}$  stabilization at 5% RH. Open and filled symbols represent sulfuric acid vapor concentrations of  $1.4 \times 10^{10}$  and  $2.5 \times 10^9$  molecules $\cdot\text{cm}^{-3}$ , respectively. The diameter ( $D_{\text{average}}$ ) corresponds to the average of uncoated and coated particle, because the mobility diameter varies after sulfuric acid condensation. In a, c, and d, the vertical error bars represent random error of the measurements (2 SDs), and the values are averaged over at least two measurements.

process to be practically irreversible under typical atmospheric conditions (24, 25).

Our measurements suggest that the condensation rate of sulfuric acid is proportional to the particle surface area regardless of the particle morphology. The surface area of soot agglomerates can be approximated by the surface area of a sphere of similar mobility diameter. To validate this assumption, we calculated the surface area of fresh soot agglomerates by using the absolute masses of soot agglomerates from DMA–APM measurements, the diameters of primary spheres from TEM photographs, and the material soot density of  $1.77 \text{ g}\cdot\text{cm}^{-3}$ . Assuming that the surface area of agglomerates is represented as the sum of surfaces of primary spheres, we found that the surface

areas of fresh soot particles were close to the areas of solid spheres of the same mobility diameter. The dependence of the condensed  $\text{H}_2\text{SO}_4$  mass varied closely with the square of mobility size, because the data in Fig. 2e could be expressed in the form of the condensed  $\text{H}_2\text{SO}_4$  mass  $\propto D_p^x$ , and the proportionality factor was determined by the gaseous  $\text{H}_2\text{SO}_4$  concentration. The power-law fit to the data presented in Fig. 2e produced excellent correlation ( $r^2 = 0.99$ ) between the coated  $\text{H}_2\text{SO}_4$  mass and the particle size with the exponent ( $x$ ) in the range of 1.8–1.9. Our laboratory results are consistent with atmospheric measurements showing that the steady-state atmospheric  $\text{H}_2\text{SO}_4$  concentrations are reasonably predicted from the production rates and loss rates by condensation on preexisting particles, if the loss





aggregates, the primary spherules interact collectively with electromagnetic waves to lead to stronger scattering. Increasing RH resulted in further growth of the aqueous shell and scattering enhancement, reaching a factor of 10 at 80% RH. A similar yet smaller enhancement was observed for the absorption cross-section, which increased by a factor of 1.5 after H<sub>2</sub>SO<sub>4</sub> coating and subsequent exposure to 80% RH. The absorption cross-section did not vary monotonically with RH because of the competition between water condensation and soot-core restructuring. A minimum in absorption cross-section at ≈50% RH likely corresponded to the nearly complete compaction of the soot agglomerates observed in TDMA measurements (Fig. 2c). At 80% RH, the scattering and extinction cross-sections of coated particles were  $2.6 \times 10^{-10}$  and  $5.2 \times 10^{-10}$  cm<sup>2</sup>, yielding a single scattering albedo of 0.5. We observed similar but weaker optical effects for smaller soot particles, indicating that the optical effects were more pronounced for larger particles (i.e., 320 nm). The changes in the effective density and optical properties of coated soot also alter the effective refractive index, which is another factor that determines the optical properties. Previous calculations have suggested an increased absorption cross-section attributable to coating of inorganic salts on soot particles (5, 34) but could not take into account the complex variation in morphology of soot agglomerates during atmospheric processing.

Our measurements provide quantitative determination of the mixing state, morphology, hygroscopicity, optical properties, and CCN activation of soot internally mixed with sulfuric acid. The results reveal that soot particles acquire a large mass fraction of sulfuric acid during atmospheric aging. Most previous studies found small hygroscopic growth of soot (with a size growth factor of <1.1) at 80% RH (8–12, 15–17). Because the coating of soot agglomerates with sulfuric acid and water is accompanied by restructuring to a more compact form, the measurement of mobility-size growth factors by using TDMA alone, a technique widely used in atmospheric field studies, may not accurately reflect the mixing state of soot particles or, thus, the hygroscopic properties. The changes in the morphology and effective density of soot aerosols during atmospheric processing are likely relevant to health effects such as deposition of particles in the human respiratory system (35). We also demonstrate that the condensation of H<sub>2</sub>SO<sub>4</sub> occurs at a similar rate on soot agglomerates and PSL spheres of the same mobility size. Under typical tropospheric RH conditions, H<sub>2</sub>SO<sub>4</sub> molecules will readily condense onto aerosol surfaces because of its sticky nature. Subsequently, the condensed H<sub>2</sub>SO<sub>4</sub> will be effectively stabilized by water molecules, leading to irreversible condensation. The H<sub>2</sub>SO<sub>4</sub> condensation rate will only depend on the particle surface area (and hence size) but is independent of morphology or chemical composition of aerosols. Hence, our observation is applicable to atmospheric particles of other types, and the results provide guidance for modeling mass transfer on atmospheric aerosols, which is essential to assessment of their atmospheric lifetimes and climate impacts.

The dramatic internal mixing and hygroscopic growth of soot particles under subsaturated conditions likely impact visibility and air quality, in addition to direct and indirect climate forcing. Our results imply that the aerosol optical depth (visibility) of aged soot in polluted air is considerably enhanced (decreased) compared with fresh soot and correlates strongly with RH. Enhanced light absorption and scattering caused by condensation of gaseous H<sub>2</sub>SO<sub>4</sub> on soot particles can stabilize the atmosphere because of cooling at the surface and warming aloft. A stable atmosphere retards vertical transport (36), which will have an important feedback on air quality, because a stable atmosphere exacerbates accumulation of gaseous and particulate matter pollutants within the planetary boundary layer (PBL). For the local and regional climate, a large optical effect

of aged soot reduces the diurnal variation of the near-surface temperature, whereas trapping of water vapor within the PBL increases humidity near the surface. Less surface heating and atmospheric stabilization will also impact cloud dynamics by reducing vertical sensible and latent heat exchanges and restricting convective development (4, 36), whereas warming in the atmosphere will decrease RH or supersaturation (36). The exact radiative and cloud-forming effects of soot particles in the atmosphere will depend on the time scale of the aging process and the number fraction of the internally H<sub>2</sub>SO<sub>4</sub>-mixed soot particles in the total aerosol population. It is plausible that the optically induced effects of aged soot particles (i.e., atmospheric stabilization and decreasing RH or supersaturation) dominate under heavily polluted conditions (36), whereas the CCN effect is more pronounced in less polluted air or for transported particles in the regional and global atmosphere (37).

## Methods

Soot particles were generated by incomplete combustion of propane in a Santoro-type laminar diffusion burner (7). Typical flow rates were 30 ml·min<sup>-1</sup> of propane and 1.7 liter·min<sup>-1</sup> of air. Soot particles were collected through a 0.5- to 1.0-mm orifice in a stainless steel sampling tube suspended ≈15 cm above the flame tip and diluted by a 6 liter·min<sup>-1</sup> N<sub>2</sub> carrier gas flow. The excess flow was removed through a critical orifice by a pump to provide the desired sample flow rate. The soot-laden flow was subsequently introduced into a diffusion drier to reduce the RH to <0.5%.

Measurements of soot size distributions, morphology, mixing state, and hygroscopicity were conducted by using a system comprising two DMAs (TSI 3081), an APM analyzer, and a condensation particle counter (TSI 3760A). During an experiment, the polydisperse soot aerosol was brought to charge equilibrium by a polonium-210 bipolar diffusion charger, and particles of a known size were selected from the dry aerosol stream by applying a fixed voltage to the first DMA. This monodisperse flow was exposed to sulfuric acid vapor in a 50-cm-long 3-cm-i.d. reservoir containing 86–96 wt % H<sub>2</sub>SO<sub>4</sub> solution at room temperature and then to an elevated-RH environment in a multitube Nafion humidifier (Perma Pure), in which the RH was controlled between 5% and 90%. In the TDMA mode, the change in particle size was measured by scanning the voltage applied to the second DMA. In the DMA-APM mode, the change in the particle mass was measured by stepping the APM voltage at selected rotation speeds. The effective density ( $\rho_{\text{eff}}$ ) and fractal dimension ( $D_f$ ) of aerosol particles were calculated from measured particle mass ( $m$ ) and mobility diameter ( $D_p$ ) according to

$$m \propto D_p^{D_f} \quad [1]$$

$$\rho_{\text{eff}} = \frac{6m}{D_p^3 \pi} \quad [2]$$

The vapor concentration of H<sub>2</sub>SO<sub>4</sub> in the reservoir was determined by using ion-drift-chemical ionization mass spectrometry (21) and ranged from 10<sup>9</sup> to 10<sup>10</sup> molecules·cm<sup>-3</sup>. To suppress evaporation of sulfuric acid from the coated soot particles, the RH was adjusted to 5% after H<sub>2</sub>SO<sub>4</sub> exposure in all measurements by adding a small flow of humidified nitrogen a few centimeters downstream of the H<sub>2</sub>SO<sub>4</sub> reservoir. Thus, the hygroscopic size and mass growth was referenced to the size and mass ratios at 5% RH. Coating with sulfuric acid resulted only in minor (3–15%) broadening in the TDMA particle-size distributions. The error in RH measurements was <2%.

Cloud activation by soot particles was measured by using a commercial CCN counter (Droplet Measurements Technologies). A DMA was used to select the size and determine the size distribution of soot aerosols. The size-selected particles were then introduced into the CCN counter, and the number concentrations of activated particles were measured at a given supersaturation. The particle size to achieve activation at the selected supersaturation was determined from a plot of the ratios of the concentrations of CCN to aerosols as a function of the aerosol size. Soot aerosols without H<sub>2</sub>SO<sub>4</sub> exposure exhibited no activation.

The morphology of soot particles was examined by using a JEOL 2010 TEM, which was operated at an accelerating voltage of 100 kV. Samples of the soot-containing aerosols were collected on Cu TEM grids (200 mesh with amorphous carbon film).

Light extinction and scattering by soot aerosols at 532 nm were measured by using a cavity ring-down spectrometer and a nephelometer (TSI 3563), respectively, interfaced to a DMA–DMA system. Absorption was calculated from the difference between extinction and scattering. A monodisperse sample produced by a single DMA contains larger, multiply charged particles, the presence of which can bias the measured optical properties. Therefore, doubly charged particles selected with the second DMA after recharging the aerosol from the first DMA were used for optical measurements.

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