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1 VARIATION AND SELECTION IN AXON NAVIGATION THROUGH MICROTUBULE-

2 DEPENDENT STEPWISE GROWTH CONE ADVANCE

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24 ABSTRACT

Myosin II (MII) activity is required for elongating mammalian sensory axons to change speed 25 and direction in response to Nerve Growth Factor (NGF) and laminin-1 (LN). NGF signaling 26 induces faster outgrowth on LN through regulation of actomyosin restraint of microtubule 27 advance into the growth cone periphery. It remains unclear whether growth cone turning on LN 28 29 works through the same mechanism and, if it does, how the mechanism produces directed advance. Using a novel method for substrate patterning, we tested how directed advance 30 occurs on LN by creating a gap immediately in front of a growth cone advancing on a narrow 31 32 LN path. The growth cone stopped until an actin-rich protrusion extended over the gap. adhered to LN, and became stabilized. Stepwise advance over the gap was triggered by 33 microtubule +tip entry up to the adhesion site of the protrusion and was independent of traction 34 force pulling. We found that the probability of microtubule entry is regulated at the level of the 35 individual protrusion and is sensitive to the rate of microtubule polymerization and the rate of 36 rearward actin flow as controlled by adhesion-cytoskeletal coupling and MII. We conclude that 37 growth cone navigation is an iterative process of variation and selection. Growth cones extend 38 leading edge actin-rich protrusions that adhere transiently (variation). Microtubule entry up to 39 40 an adhesion site stabilizes a protrusion (selection) leading to engorgement, consolidation, protrusive activity distal to the adhesion site, and stepwise growth cone advance. The 41 42 orientation of the protrusion determines the direction of advance.

43 INTRODUCTION

Axon elongation has been characterized through modeling as a biased random walk that 44 involves discrete growth steps (Katz et al., 1984). It has also been suggested that growth 45 cones navigate using a spatial sensing mechanism (detecting a change in concentration 46 gradient across the growth cone) as opposed to a temporal sensing mechanism (detecting 47 48 changes in receptor occupancy over time) (Goodhill and Urbach, 1999; Mortimer et al., 2008). The view that growth cone turning is driven by an actin-based sensing and steering 49 mechanism that involves stabilization of polarized protrusions as a first step (perhaps through 50 51 actin bundling and adhesion) is widely accepted (Bentley and Toroian-Raymond, 1986; Davenport et al., 1993; Gomez and Spitzer, 1999; Kater and Rehder, 1995; Menon et al., 52 2015; Robles and Gomez, 2006; Zheng et al., 1996). Similar mechanisms have been proposed 53 for neurite initiation (Dent et al., 2007), elongation (Suter and Miller, 2011), and for chemotaxis 54 behavior by non-neuronal cells (Stephens et al., 2008). However, microtubule dynamics also 55 correlate with growth cone movement (Sabry et al., 1991; Tanaka and Kirschner, 1991), initial 56 neuronal polarization (Witte et al., 2008) and are required for turning (Buck and Zheng, 2002). 57 Bulk advance of microtubules correlates with neurite elongation (Athamneh et al., 2017) and 58 59 microtubule associated proteins have been implicated in steering (Pavez et al., 2019). In addition, it has been shown that microtubules or microtubule-based motors can be 60 61 manipulated to influence turning even when actin dynamics are unperturbed (Challacombe et 62 al., 1997) (Buck and Zheng, 2002; Kahn and Baas, 2016; Nadar et al., 2008). Thus, it remains unclear whether microtubule advance drives growth cone advance or vice versa. 63

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To determine if actin cytoskeletal dynamics drives turning, we focused on the role of actin-65 dependent motor protein, myosin II (MII) in regulating growth cone direction and advance. 66 Previous work showed that growth cone turning on laminin-1 (LN) at a border with poly-l-67 ornithine (PLO) is MII dependent (Turney and Bridgman, 2005) and also that MII may influence 68 adhesion to LN (Ketschek et al., 2007). Furthermore, inhibition of MII prevents turning in 69 70 response to inhibitory cues that do not induce collapse (Hur et al., 2011). In recent work we determined that NGF stimulates outgrowth through regulation of actomyosin restraint of 71 microtubule advance (Turney et al., 2016). However, the common requirement for MII is also 72 73 consistent with the possibility that growth cone preference for a substrate (i.e., turning) is partially a consequence of the relative degree of MII-dependent traction force pulling 74 consistent with an actin-based steering mechanism as described by the molecular clutch 75 hypothesis (Mitchison and Kirschner, 1988; Suter and Forscher, 2001). In addition it has been 76 proposed that MII-dependent traction forces generated by the growth cone may stimulate 77 elongation by axon stretching and intercalated growth (Suter and Miller, 2011). To distinguish 78 between these possibilities, we devised a simple assay to force growth cones to advance in 79 discrete steps. Neurons were grown in a microfluidic Campenot chamber with their axons 80 81 exiting onto narrow lanes of LN that were widely spaced (to prevent crossing between lanes) and had non-adhesive gaps over which growth cones had to step in order to continue advance 82 83 on LN, PLO or fibronectin (FN). We reasoned that if a growth cone could extend filopodia 84 across a gap to form an adhesive contact on LN the slowing of retrograde flow and development of tension caused by adhesion-cytoskeletal coupling would induce stepwise 85 growth cone advance through traction force pulling (Lamoureux et al., 1989). If crossing is 86 87 blocked by inhibition of MII activity then we could infer that MII influences growth cone

preference for LN primarily through traction force pulling supporting the prevailing view that substrate coupling is instructive (necessary and sufficient) for advance during directed growth and that the main function of MII is to develop pulling forces via the adhesion sites. However, if MII inhibition does not block advance then the core mechanism may be regulation of actomyosin restraint of microtubule advance as we recently proposed (Turney et al., 2016). Here we test between these possibilities.

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95 RESULTS

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97 Novel assay for analysis of stepwise growth cone advance

Mouse dorsal root ganglion (DRG) or superior cervical ganglion (SCG) neurons were cultured 98 in a microfluidic Campenot chamber that served to hinder migration of glia into the axon 99 compartment and to chemically isolate distal axon segments and growth cones from cell 100 101 bodies. Axons exiting the channels of the chamber (10 µm wide) grew onto narrow LN lanes (9-12 µm wide). On many lanes we produced gaps (non-adhesive regions) over which axons 102 103 had to cross for the elongation to continue. Both the lanes and the gaps were created by a new method of substrate patterning (Live Cell Substrate Patterning or LSCP; see Experimental 104 Procedures) which uses region of interest (ROI) scanning of intense multiphoton laser light to 105 106 remove PLO, FN and LN from the glass surface in well-defined patterns. The irradiated substrate regions could be distinguished from non-irradiated regions using reflected light laser 107 scanning microscopy. The non-irradiated regions appeared darker in reflected light and 108 109 supported adhesion and growth, while the lighter, irradiated regions did not (Figure 1). Reflected light was also used to determine close (adhesive-type) contacts of the protrusions 110

with the substratum (Gomez et al., 1996). Gaps that were more than twice the lane width (~10 111 µm) caused elongation to stop (Figures 1A and 1B). While stopped, growth cones continued to 112 extend protrusions in multiple directions from the leading edge, but the protrusions appeared to 113 have short lifetimes (see below) because frequently they did not adhere to the substrate. 114 (Figure 1C; Movie S1). If elongation stopped for multiple hours, growth cones and proximal 115 116 neurites appeared to enlarge presumably due to having increased mass. If the gap length was less than the lane width (<10 µm), growth cones could occasionally extend protrusions (i.e. 117 filopodia) that were long enough to reach over the gap to contact the substrate on the other 118 119 side. If a protrusion formed a contact on LN increasing its lifetime, the growth cone typically crossed after a short delay and continued to advance. For gap lengths ranging from 120 approximately the lane width to twice the lane width, the timing of crossing appeared to vary 121 stochastically. In a series of experiments individual axons exiting the channels of the chamber 122 and entering the axon compartment were followed for up to a 22 h period as they grew along 123 lanes (9-12 µm in width) and then stopped or stopped and then crossed gaps (Figure 1B and 124 2A). We monitored multiple axons in separate lanes by long-term time-lapse imaging to 125 capture the rare gap crossing events. The cumulative number of gap crossings increased with 126 127 time such that ~80% of the total occurred within 16 h (Table 1).

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Stepwise growth cone advance requires adhesion and is facilitated by MII-dependent cytoskeletal coupling, but does not require traction force generation

To test whether traction force generation drives growth cone advance, we created LN lanes that terminated at a border with PLO or FN, often placing a gap between the two substrates (Figure 2). Growth cones advancing on LN stopped when they reached a border. The lanes

were too narrow for the growth cones to turn or sidestep. At a border with PLO, they could 134 remain stopped for at least 60 h (longest time point observed). Some protrusions spanning the 135 gap were stable after making contact with PLO yet did not lead to further growth cone 136 advance. At a border with FN, growth cone advance paused (typically 3-4 h) and then, after 137 adhesive contact was made with FN, continued at a slower speed (Figure 2C, D). The above is 138 139 consistent with the idea that the speed of growth cone advance is a function of the level of adhesion-cytoskeletal coupling on substrates that support formation of adhesion complexes. In 140 previous work, we found that retrograde flow rates were lower on LN than on FN suggesting 141 142 that coupling is stronger on LN (Turney et al., 2016). Retrograde flow rates were highest on PLO, and, accordingly, PLO does not appear to support adhesion-cytoskeletal coupling (Table 143 2). If traction force pulling is required for advance, then its contribution is likely to be larger on 144 LN than on FN, and smallest on PLO (Turney et al., 2016). 145

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Finally, we assessed crossing of gaps on LN lanes. Time-lapse observations revealed that 147 growth cone advance occurred after a protrusion made adhesive contact with LN on the other 148 side of the gap (Figure 3A). Formation of an adhesive contact usually led to further protrusive 149 150 activity distal to the contact and then growth cone advance. The crossing events were rare (roughly 1 per h) primarily because most protrusions were too short (Table 1) and extended 151 152 only part way over the gap (9-14 µm long). Thus, growth cones remained stopped for large 153 periods of time until a sufficiently long protrusion formed to make contact with the substrate 154 over the gap.

155

The lanes we created were straight and narrow, so growth cones could not turn but only 156 advance by crossing a gap. Nevertheless, we suggest that growth cone behavior is 157 fundamentally similar whether at a gap or at a naturally occurring decision point because it 158 involves a pause in growth cone advance, exploration of the environment by protrusions, 159 adhesion and then stabilization leading to further advance. Interestingly growth cone advance 160 161 appears to pause in vivo at a decision point whether or not the growth cone crosses or it turns (Mason and Erskine, 2000). Thus, the same mechanism may underlie stepwise advance 162 163 during decision point crossing and turning. One candidate is the actin-based steering 164 mechanism involving MII dependent pulling force that aids in advance (Bridgman et al., 2001). 165

If a protrusion made contact with LN over a gap, it often persisted and became enlarged 166 leading to growth cone advance. However, approximately 30% of the protrusions detached 167 and retracted (Figure 3A; Table 1). The average lifetime of a protrusion was longer if it had 168 169 adhered to LN than if it only extended over the irradiated substrate (i.e., had not adhered) (63% and 23% of adherent (N=22) and nonadherent (N=111) filopodia had lifetimes > 2 min,170 respectively). One possible explanation for the retraction is that the adhesion was not 171 172 sufficiently strong to overcome MII dependent tension generated within the protrusion through a molecular clutch mechanism (Mitchison and Kirschner, 1988). Strong adhesion may be 173 174 required for MII-dependent tension to pull the cytoplasm across the gap and allow elongation 175 to continue. If tension is required to cross the gap then inhibiting MII may prevent advance. To test this possibility, we applied the MII inhibitor blebbistatin locally (in axon compartment only). 176 177 Surprisingly the crossing of gaps was not eliminated. Blebbistatin (Bleb) is a specific inhibitor 178 of myosin (mainly MII) and when used under appropriate conditions has direct effects only on

myosin activity in mammalian cells (Allingham et al., 2005; Kolega, 2004; Limouze et al., 2004;
Straight et al., 2003). For gaps of the same length, the frequency of crossing was roughly the
same (81% vs 87% in 16 h; Table 1), indicating that MII dependent tension or traction force
pulling is not required for crossing.

183

184 Time-lapse imaging revealed that growth cones crossing events at gaps were very similar with or without blebbistatin treatment (Figure 3B; Movie S2). However, blebbistatin treatment did 185 cause a subpopulation of filopodia to increase in length (Figure 4A). These longer filopodia 186 187 were more likely to make contacts with LN on the other side of a gap possibly aiding in crossing. Filopodia contacted LN on the other side of a gap approximately twice per hour in 188 blebbistatin treated growth cones and once per hour in controls (Table 1). However, the 189 number of crossing failures increased to close to 60% with blebbistatin treatment (Table 1) 190 suggesting that the adhesion to LN or related processes were compromised leading to more 191 192 failures. Therefore, the more frequent filopodial contacts were matched by an increased rate of failures resulting in a crossing frequency similar to that of controls. 193

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195 Growth cone advance correlates with microtubule entry into a protrusion

If advance over a gap does not require MII-dependent tension, then what drives advance when a protrusion makes adhesive contact over a gap? One possibility is that crossing only occurs if invading microtubules stabilize a protrusion. Consistent with this possibility, we stained for dynamic microtubules using an antibody to tyrosinated tubulin and found that microtubules were often present (>60%) in protrusions that had reached across gaps and formed adhesive contacts (Figure 4B). As a further test, we applied a low concentration of nocodazole (330 nM)

to the axon compartment in order to interfere with microtubule polymerization. The 202 concentration was calibrated to cause elongation to slow but not stop (Rochlin et al., 1996). 203 Upon reaching a gap, growth cones remained active, grew in volume, but crossed only rarely 204 during monitoring for up to a 36 h period (Figure 5A). Analysis of time-lapse images revealed 205 that the failure to cross was mainly due to a decrease in the number of filopodia reaching over 206 207 a gap and forming adhesive contact with LN. We found that the average length of filopodia was shorter in nocodazole (Noc) treated growth cones than in controls (Table 1). In 8.5 h of time-208 lapse recordings from five growth cones stopped at blocks, we captured only four instances of 209 210 adhesive contacts. One of the four contacts led to crossing. The frequency of crossing determined from intermittent imaging (of a larger population) decreased to approximately 10% 211 as measured over 16 h (Table 1). Washout of nocodazole led to increased filopodia length and 212 a return to a higher frequency of crossing (Figure 6; 14 of 20 growth cones (70%) crossed by 213 16 h after washout). Low concentrations of taxol (100 nM) applied to the axon chamber had 214 qualitatively the same effect as nocodazole at short times (<8 h) (Yvon et al., 1999), no 215 216 crossing events were observed at 8 h (N=11). Although crossing was not observed at 16 h, we did not systematically study the effects of taxol further because growth cones sometimes 217 218 slightly retracted or retreated from the gap with this longer treatment period. The higher 219 crossing failure rate during nocodazole treatment suggests that dynamic microtubule advance 220 may be required to stimulate extension of longer actin-rich protrusions perhaps because 221 microtubules may enhance distal Rac1 activity by mediating the delivery or assembly of microtubule-bound Rac1 signaling complexes (Rochlin et al., 1999; Waterman-Storer et al., 222 223 1999).

224

To assess whether microtubule entry into protrusions was substrate and adhesion dependent, 225 we fixed growth cones stopped at a border with the adhesive substrate PLO and stained them 226 for dynamic microtubules and f-actin. Microtubules were only rarely (<5%) found in the portions 227 of protrusions in contact with PLO (Figure 5B). On lanes that had a gap at the border with 228 PLO, growth cones also stopped and over time produced protrusions that reached across the 229 230 gap and contacted PLO. From time-lapse observations (30-60 min) these protrusions were rarely retracted indicating stable adhesive interactions, but they did not cause elongation to 231 resume (see Figure 2B). After 1 to 8 h, we fixed and stained for actin and dynamic 232 233 microtubules. A few of the protrusions (6 of 30 growth cones (20%)) contained microtubules extending either up to the PLO or only part way over the gap (Figure 5C, left panel). In 234 235 contrast, when growth cones were treated with blebbistatin, the protrusions frequently (10 of 12 growth cones (>82%)) had microtubules extending over the gap and onto PLO (Figure 5C, 236 right panel). In time-lapse recordings, F-actin containing protrusions were seen to make 237 238 contact with PLO and grow in size shortly afterward. Over time, growth cones continued to advance on the PLO lanes. This is consistent with our previous finding that blebbistatin 239 suppresses the ability of growth cones to alter their direction of growth at LN-PLO borders 240 241 (Turney and Bridgman, 2005). These findings support the possibility that increased MIIdependent retrograde flow rates on PLO restrain dynamic microtubule entry thereby preventing 242 243 advance.

244

245 **Retrograde flow rates regulate the probability of microtubule entry into a protrusion**

MII driven retrograde actin flow has been shown to partially restrain the advance of dynamic

microtubules into the actin rich periphery (Schaefer et al., 2002; Schaefer et al., 2008).

Inactivating MII decreases the restraint allowing dynamic microtubules to more readily invade 248 249 actin-rich peripheral protrusions (Burnette et al., 2007; Turney et al., 2016). Thus, the reason 250 blebbistatin treatment did not prevent crossing events, and actually increased their rate, could be that blebbistatin treatment enabled dynamic microtubules to more readily invade 251 protrusions extending across gaps. Alternatively, blebbistatin may increase filopodial length 252 253 independent of microtubule invasion. If the latter is true, then blebbistatin treatment may also facilitate crossing when microtubule dynamics are dampened with low concentrations of 254 nocodazole. To test this possibility, we treated growth cones with both blebbistatin and 255 256 nocodazole, applying these agents only to the axon compartment. We found that crossing events were partially restored (Figures 7A, B; Table 1). This finding is consistent with the idea 257 that the longer filopodial lengths in response to blebbistatin treatment (Table 1) increases the 258 probability of filopodial contact across the gap and even though microtubule polymerization 259 rates are reduced the decreased restraint allows microtubules to invade (Turney and 260 Bridgman, 2005) (Turney et al., 2016; Yang et al., 2012) (Ketschek et al., 2007). 261

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To determine if retrograde flow rates differed in protrusions on LN versus PLO, we first 263 264 measured the rates of retrograde flow in growth cones growing solely on one or the other substrate. Retrograde flow was measured by kymograph analysis of time-lapse image 265 266 sequences from growth cones expressing GFP-LifeAct (or Ruby-LifeAct) (Fischer et al., 2006; 267 Riedl et al., 2008; Turney et al., 2016). As mentioned above, retrograde flow in growth cones was significantly faster on PLO than on LN (Table 2). We then compared retrograde flow in 268 269 growth cones stopped at LN-PLO borders. An abrupt transition in retrograde flow rates was 270 detected between the filopodia in contact with PLO and the proximal regions of the growth

cone on LN (Figure 8A). Normally retrograde flow in growth cones on LN or PLL slows 271 gradually only in the transition zone (Medeiros et al., 2006; Turney et al., 2016; Van Goor et 272 al., 2012; Yang et al., 2012). Thus, the retrograde flow rate can vary within individual growth 273 cones depending on the underlying substrate. Contact with LN is more likely to induce 274 adhesion complexes compared to contact with PLO (Nichol et al., 2016). When adhesion is 275 276 coupled to the actin cytoskeleton via the molecular clutch, as occurs on LN, the retrograde flow rate decreases (Turney et al., 2016). The higher rate of retrograde flow in protrusions on PLO 277 is likely to be more effective at restraining dynamic microtubules entry. Thus, axonal elongation 278 279 is greatly decreased on PLO and stops at borders between the two substrates.

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Blebbistatin treatment has been shown to slow retrograde flow in Aplysia growth cones on 281 poly-I-lysine (PLL) (Medeiros et al., 2006) and LN (Yang et al., 2012). To determine its effect in 282 mammalian growth cones on LN, we used rotary shadowing electron microscopy (EM) and 283 time-lapse imaging of GFP- or Ruby-LifeAct fluorescence to assess actin organization and 284 retrograde flow, respectively. Similar to the Medeiros et al. results for PLL and Yang et. al. 285 results for LN, blebbistatin treatment did not cause retrograde flow to stop presumably 286 287 because actin treadmilling continues to drive the flow; however, after 30 min, the lamellipodia and the central domain became thin and finger-like. The actin meshwork of the central domain 288 289 was largely eliminated (Figure 8B). Protrusive activity was no longer restricted to the leading 290 edge as is typically observed in untreated controls undergoing expansion (Figure 8C). The character of retrograde flow changed from being distinct primarily in the periphery to being 291 292 distinct in all portions of the growth cone and in the proximal neurite (Movies S3 and S4). The 293 rate was difficult to measure accurately using kymographs because the direction of flow

fluctuated wildly immediately after treatment and the growth cone morphology underwent rapid 294 ongoing changes thereafter. The character and rate of retrograde flow after blebbistatin 295 treatment appeared to be qualitatively the same on PLO as on LN. As has been previously 296 observed, growth cone polarity and consolidation of the neurite were abnormal (Loudon et al., 297 2006). Filopodia persisted and transient lamellipodia formed along neurite branches and 298 299 filopodia. Blebbistatin also alters adhesion complexes, actin organization and bundling on LN (Burnette et al., 2011; Goeckeler et al., 2008; Turney et al., 2016). The overall effect may be to 300 reduce differences in bundling and retrograde flow on the two substrates thereby roughly 301 302 equalizing the restraint of microtubule advance. As previously observed, axon elongation increased on PLO following blebbistatin treatment (Ketschek et al., 2007; Turney and 303 304 Bridgman, 2005). The faster advance was presumably due to decreased restraint. On narrow LN lanes that terminated at a border with PLO (sometimes with a non-adhesive gap at the 305 border), axon elongation stopped at the border and resumed on PLO only after blebbistatin 306 307 treatment (9/9). Growth cones continued their advance on PLO even if it required turning in response to a non-adhesive border (Figure 9). 308

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310 Decreased probability of microtubule entry into protrusions leads to aversive turning

and stopping of the growth cones

To assess whether the stopping of growth cone advance on LN at a border with PLO correlates with a low probability of microtubule entry into protrusions on PLO, we imaged dynamic microtubules using the (+) plus-end tracking protein GFP-EB3 (Figure 10; Movie S5) (Stepanova et al., 2003). GFP-EB3 was observed to penetrate occasionally into regions of broad lamellipodia on PLO but not in filopodia on PLO. The microtubule entry was not seen to

produce enlargement of either the lamellipodia or the filipodia consistent with growth cone 317 advance being fully stopped. The lifetime of the GFP-EB3 spots in lamellipodia was short as 318 measured from time lapse recordings of four growth cones (22±3 s) and approximately the 319 same as that observed in growth cones advancing slowly on LN and FN in low NGF (Turney et 320 al., 2016). From the above, we conclude that a growth cone advancing on a narrow LN lane 321 322 stops at a border with PLO in part because of the low probability of microtubule entry into protrusions on PLO. Other contributing factors may include decreased dynamic microtubule 323 lifetimes and the interplay between retrograde flow and actin polymerization. 324

325

Growth cone turning at LN-PLO borders may be a consequence of the probability of 326 327 microtubule entry into protrusions being lower on PLO than on LN. The difference in probability may be related to the difference in retrograde flow rates; however, it also possible that LN may 328 stimulate or stabilize protrusions thereby increasing the probability of microtubule entry. To test 329 330 this possibility, we compared the total numbers of filopodia forming on each substrate during turning at a border between LN and PLO. More filopodia formed on LN than PLO, but had 331 longer detectable lifetimes on PLO primarily because the lamellipodia advance that was 332 333 observed to engulf filopodia on LN was absent on PLO (Figure 11A and E). This resulted in persistent advance along borders between LN and PLO. In the same growth cone, we also 334 335 compared the total numbers of filopodia during turning at a border between LN and a non-336 adhesive region produced by LCSP laser irradiation. Filopodia contacts to non-adhesive regions were greatly reduced compared to LN (Figure 11B and D). Growth cones on LN that 337 338 turned at borders with non-adhesion regions advanced and grew away from the border shortly 339 after turning. Inactivation of MII by blebbistatin treatment did not eliminate turning at nonadhesive regions (as it does for PLO), but after turning advance continued along the borders
for long periods of time (Figure 11C). Therefore, turning is not likely to result just from
enhanced filopodial formation induced by LN or from longer filopodial lifetimes. Instead, the
increased probability of microtubule entry into protrusions, and stability that leads to further
protrusion on LN is likely to be due to differences in the degree of restraint.

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To determine if dynamic microtubule entry into protrusions extending across non-adhesive 346 gaps is necessary for crossing, we also monitored GFP-EB3 dynamics in growth cones that 347 348 were stopped at the gaps. GFP-EB3 was observed to penetrate into protrusions that had reached across gaps to contact LN (Figure 12; Movie S6). Although the number of 349 observations is small (due to the low probability of "catching" the rare crossing attempts in 350 growth cones with bright fluorescence), notably there was a correlation between the depth of 351 penetration and the chance of successful crossing event. If GFP-EB3 penetrated sufficiently 352 353 far that it likely interacted with the putative adhesion site that had formed on the post-gap LN, then crossing ensued (four examples). However, if GFP-EB3 penetrated only part way into a 354 protrusion (i.e., only into the portion overlying the gap) then crossing failed and the protrusion 355 356 was retracted (three examples). This is consistent with our much larger number of time-lapse observations on crossing failures (Table 1). This result suggests that penetration of dynamic 357 358 microtubule ends well into a protrusion is needed for stabilization on LN and this is likely to be 359 necessary for advance of the growth cone through continued protrusion.

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363 **DISCUSSION**

The results of the current study are consistent with growth cone advance occurring in a 364 stepwise manner. We found that growth cones advancing on narrow LN paths always stopped 365 at a border with PLO, which is adhesive but does not support cytoskeletal coupling. To test 366 whether the stopping is due to a balance of traction force pulling that is greater on LN than on 367 368 PLO (or FN), we created non-adhesive gaps over which a growth cone could extend a filopodial protrusion. In the absence of MII activity (that is, with blebbistatin treatment), 369 crossing occurred onto all three substrates tested (LN, FN, PLO). Crossing occurred only after 370 371 a protrusion made substrate contact across the gap. In controls (not treated with blebbistatin), crossing occurred readily for contacts on LN and after a delay for contacts on FN, but was not 372 observed for contacts on PLO. Thus, f-actin and dynamic MTs are necessary for axon 373 elongation (Chia et al., 2016), but MII inactivation is required for advance from LN or FN onto 374 PLO. Growth cone crossing of a non-adhesive gap revealed the initial steps of directed growth 375 cone advance: 1. Protrusive activity at the leading edge (driven by actin polymerization), 2. 376 adhesive contact with a substrate that supports adhesion-cytoskeletal coupling (i.e., weakening 377 of actomyosin restraint of microtubule advance into a protrusion), 3. microtubule penetration of 378 379 a protrusion, 4. stabilization of the protrusion as a consequence of microtubule interaction with a putative adhesion complex, and, then 5. further protrusive activity distal to the adhesion 380 381 complex. Importantly, one of the initial steps is not traction force pulling as demonstrated by 382 the ability of growth cones to cross and advance on all substrates in the absence of MII activity. 383

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Based upon these results and our previous findings (Turney et al., 2016), we propose that the probability of microtubule entry varies with the degree of restraint associated with each adhering protrusion. In an advancing growth cone, which continually produces new protrusions, the selection of a protrusion for stabilization provides a highly sensitive steering mechanism because protrusions compete for microtubule penetration to determine the direction of advance. In the absence of MII activity, steering is lost because restraint is eliminated and the probability of microtubule entry is unregulated.

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393 The stabilization of a protrusion by invading microtubules is the most likely trigger for directed growth cone advance. The mechanism of stabilization and how stabilization is linked to further 394 actin-dependent protrusive activity distally remain unclear (Chia et al., 2016; Zhou and Cohan, 395 2004). One possibility is that microtubules deliver components necessary for stabilization of 396 adhesion complexes (Kaverina et al., 2002). Another possibility is that microtubule entry 397 398 promotes advance of smooth endoplasmic reticulum (SER) and that interaction with the adhesion complex stabilizes both the dynamic microtubules and the SER (Dailey and 399 Bridgman, 1989; Pavez et al., 2019; Zhang and Forscher, 2009). These possibilities are not 400 401 mutually exclusive. Further work will be required to determine the mechanism of stabilization.

402

It has recently been shown that local coupling of retrograde flow to growth cone point contact
adhesions in Xenopus spinal neurons correlates with the rate of advance (Nichol et al., 2016).
This is consistent with our previous finding that vinculin dependent adhesion-cytoskeletal
coupling affects retrograde flow rates and is necessary for stimulation of DRG neuron
outgrowth by NGF (Turney et al., 2016). Furthermore, it has recently been shown that Rho A

regulates axon extension mainly through its effects on MII activity (Dupraz et al., 2019).
Together these finding support a critical role for MII- and retrograde flow-dependent restraint of
microtubule advance.

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An important but perhaps subtle conclusion of our findings is that an actin-rich protrusion does 412 413 not initiate its own stabilization. Instead we think that microtubule entry selects a protrusion and that the probability of selection is inversely related to the restraint of microtubule invasion 414 (see also (Turney et al., 2016). The significance of this seemingly small difference (i.e., 415 whether or not the protrusion initiates stabilization) is that if microtubules initiate stabilization, it 416 allows us to better explain signal integration. It differs from previous interpretations of the role 417 of microtubules in growth cone turning in that we propose that microtubule dependent 418 stabilization of protrusion is an early step in the steering mechanism that will define the new 419 direction rather than a later event that is only important for consolidation. According to our 420 model (Figure 13), protrusions are extended more or less randomly each with its own level of 421 actin-based restraint (determined by interactions with the local environment). One of these 422 protrusions becomes stabilized by invading microtubules with the probability of selection 423 424 depending on the level of restraint. Growth cone advance then guickly follows in the direction of the selected protrusion. For this model to be correct, axon elongation would consist of a 425 426 sequence of steps. Each step would be triggered by selection of a protrusion.

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Axon guidance can be understood as a process in which growth cones: 1) integrate signals
from many different factors, 2) transduces the signals into a behavior to stay put or advance in
a certain direction. A question is whether this process updates continuously or in discrete

steps. The latter would suggest that axon locomotive behavior is intrinsically stepwise in 431 432 nature. This possibility is supported by studies showing that elongation can be modeled as a biased random walk (Katz et al., 1984). In time-lapse recordings axons are seen to elongate in 433 straight runs interrupted by occasional pauses and turns. Furthermore, upon closer 434 examination growth cones zigzag slightly during a straight run. The possibility of stepwise 435 436 locomotion is also consistent with current modeling of the mechanism of growth cone sensing and steering. The most widely accepted model is that an actin-rich protrusion is extended in 437 the direction of future growth and then invading microtubules reinforce growth in the new 438 439 direction (Lee and Suter, 2008; Lowery and Van Vactor, 2009). Nevertheless, the case for growth cone movement being stepwise is far from conclusive as can be seen from the fact that 440 theoretical modeling of pathfinding behavior is presented without reference to a motility 441 mechanism (Goodhill and Urbach, 1999; Kobayashi et al., 2010). One reason is that growth 442 cone advance in fast growing axons appears to be continuous not saltatory. Another reason is 443 that the current modeling of growth cone sensing and steering does not reinforce the idea of 444 stepwise advance partly because, according to the proposed mechanism, selection is initiated 445 by the formation of a protrusion. A growth cone often extends many short-lived protrusions 446 447 before it is seen to turn and/or advance. Thus, it has been unclear how protrusions sense the environment and compete with each other such that only one becomes stabilized. For example 448 449 slowly advancing or paused growth cones at decision points often have large spread areas 450 and appear to be integrating signals and undergoing cytoskeletal reorganization that gradually lead to advance in a new direction (or a continuation in the same direction) (Mason and 451 452 Erskine, 2000; Mason and Wang, 1997). Indeed, the well-known turning assay developed by 453 Poo and colleagues analyzes turning as variable tilt of the distal axon/growth cone slightly

toward an attractant or away from a repellent (Hopker et al., 1999; Lohof et al., 1992; Zheng et
al., 1996).

456

Our proposed model described above, offers a coherent framework for understanding a 457 number of well-known guidance phenomena. For example, attractant and repellent cues may 458 459 act by decreasing and increasing restraint, respectively. In other words, attractant cues increase the probability of microtubule invasion of a protrusion, whereas repellent cues 460 decrease the probability. Similarly, neurotrophins may induce faster elongation by causing 461 decreased restraint and consequently a higher rate of protrusion selection. Thus, growth cone 462 turning and advance could be characterized as two aspects of the same growth cone behavior. 463 Finally signal integration can be understood in terms of how different factors exert their effects 464 on restraint. Some factors may influence restraint within a single protrusion (locally) and others 465 on the whole growth cone (globally). The selection process may also be biased by factors that 466 regulate actin or microtubule polymerization (Buck and Zheng, 2002); however, we think that 467 growth cone navigation is dominated by the restraint mechanisms because in the absence of 468 MII activity, elongation continues, but is slower and undirected (Turney and Bridgman, 2005). 469

470

The significance of the restraint-based mechanism is perhaps easier to understand if the model is expressed using concepts from evolutionary dynamics (Lewontin, 1974; Taylor et al., 2004). In this model protrusive activity is largely random. Protrusions are born (extend) and die (retract) at rates that result in a relatively small population size. Initially protrusions are identical (equally fit). As they encounter different factors in the local environment, each may "mutate" to have a different fitness largely due to changes in restraint. Fitness varies inversely with the

level of restraint. The probability of microtubules invading and stabilizing a protrusion 477 (selection) increases with fitness. Thus, the protrusions with highest fitness are the ones most 478 likely to survive. Selection of a protrusion leads to growth cone advance and further protrusive 479 activity (i.e., reproduction). If every protrusion has the same fitness (e.g., a growth cone 480 advancing on a uniform substrate), then growth cone advance will proceed as a constrained 481 482 random walk along the axis defined by the orientation of bundled microtubules in the axon because protrusions at the leading edge are aligned with the bundled microtubules and 483 therefore more likely to be invaded and stabilized. In other words, a protrusion is selected with 484 a probability proportional to its position on the growth cone multiplied by its fitness. If 485 protrusions on one side of a growth cone have higher fitness than those in front or on the other 486 side (for instance in response to a change in substrate or a guidance cue gradient), then they 487 may be selected more frequently causing a change in the direction of growth. This is a testable 488 model. 489

490

In summary, we show that stopping and stepping behaviors are characteristic of elongating 491 492 axons. We found that the growth substrate (LN) influences these all-or-none behaviors through 493 its effects on MII-dependent restraint of microtubule entry into actin-rich growth cone protrusions. We observed that stepwise growth cone advance occurs only when microtubules 494 stably invade an actin-rich protrusion. MII-dependent retrograde flow is linked to the probability 495 496 of microtubule entry. Aversive turning or stopping occurs when the probability of microtubule entry is comparatively low for protrusions extended in the direction of axon growth. From these 497 results we present a model that provides a framework for understanding how mammalian 498 axons navigate through complex environments in vivo. This modeling has immediate 499

implications for discovering conditions under which axon growth will be facilitated or blocked
such as during axon regeneration. Furthermore, we think the stochastic mechanism helps
explain the robustness of axon pathfinding and suggests that maps are not necessarily
constructed from highly specific sets of cues that are in rigidly defined patterns. Rather the
maps are likely to be flexible such that perturbation in cues does not render them useless.

506 Materials and Methods

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508 Cell culture and microfluidics device

DRG or SCG neurons from E13.5-14.5 mouse embryos were cultured in microfluidic 509 Campenot chambers using methods described previously (Turney et al., 2016) (Bridgman et 510 al., 2001). The microfluidic chambers were made of polydimethylsiloxane (PDMS) bonded to 511 glass coverslips. Cells were plated on the coated coverslips (0.1 mg/ml PLO+ 20 µg/ml LN or 512 50 µg/ml FN) following dissociation in the open central wells (2 mm diameter) of microfluidic 513 Campenot chambers. Each microfluidics device contained four Campenot chambers and up to 514 two devices could be bonded to a single coverslip (35 cm in diameter). Each well connected to 515 516 an open axon chamber by 50, 10 µm wide channels (~1.0 mm in length). Axon chamber 517 borders between coatings were created using PDMS masks as previously described (Turney 518 and Bridgman, 2005). Unless indicated, drugs were added only to the axon chamber 519 (exchanged as necessary at 24 h intervals). Typically to avoid slow growth through channels, drugs were added only after growth cones entered the axon chamber, but prior to reaching a 520 521 lane gap. Campenot chambers rely upon fluid pressure to prevent solutes in the axon 522 compartment from reaching the cell body compartment. In an open well system, this is

achieved by keeping the fluid level higher in the cell body compartment than in the axon 523 compartment. The small fluid volumes associated with the Campenot chambers necessitated 524 designing a chamber to allow unrestricted high-resolution views of the cells for long times (up 525 to 38 h) on the microscope while preventing evaporation. Our new chamber design is 526 compatible with a stage mounted environmental chamber with heating and CO₂. The design is 527 528 covered by a patent (US Patent 9,939,424) and will be described in detail elsewhere. Each experimental condition was run in duplicate along with two matching controls. Experiments 529 530 were repeated three times.

531

532 Substrate patterning

The glass surface in the axon compartments of the Campenot chamber systems with the 533 different coatings (LN, FN, PLO) were patterned by region of interest (ROI) scanning using a 534 mode-locked multiphoton laser (800 nm light) on an inverted multiphoton microscope (Zeiss 535 LSM 510 NLO). The lanes created by patterning were aligned with the channels of the 536 microfluidic Campenot chambers and were 9-12 µm in width. Lane patterns were usually 537 created prior to plating cells, but their length could be extended as needed as axons grew in 538 539 length. Gaps within the lanes were 8-24 µm in width. Gaps were usually created after axons entered lanes since their position was determined by the entry of axons into a lane and how far 540 541 they extended along the lane. This allowed capture of interactions with gaps within a 542 reasonable time window. The patterning method (referred to as Live Cell Substrate Patterning or LCSP; US patent 8,921,283) will be described in detail elsewhere. 543

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546 Transfection

- 547 Dissociated cells were transfected using electroporation (Amaxa Nucleofector) prior to plating 548 in the cell compartment of the Campenot chamber. GFP-EB3 was a gift of Niels Galiart; GFP-
- 549 LifeAct and Ruby-LifeAct were gifts of Dorothy Schafer.
- 550

551 Antibody/Fluorescence Labeling

- 552 Dynamic microtubules in fixed preparations were detected using a Mab to tyrosinated tubulin 553 (Rochlin et al., 1996). Actin in fixed preparations was detected by staining with Rhodamine 554 phalloidin (Sigma).
- 555

556 **Imaging**

Growing axons were imaged on a Zeiss LSM 510 NLO using our custom culture chamber in a 557 stage-mounted environmental chamber (PCO) or on an inverted Olympus IX70 equipped with 558 a sensitive CCD camera (Sensicam), LED illumination (Prizmatix) and custom environmental 559 chamber. Extended time lapse imaging on the Zeiss LSM 510 was performed using the 560 Multitime Series macro. To capture gap crossing events growth cones were imaged over hours 561 562 at regular intervals using combined DIC and reflected light optics (at either 800 or 633 nm). When crossing appeared imminent in growth cones expressing fluorescent proteins, we 563 switched to combined DIC, reflected light and fluorescence (at the appropriate fluorescence 564 565 wavelength) during the cross or attempted cross. Time-lapse intervals were varied between 5 sec and 5 min. Ruby-LifeAct was used to monitor actin dynamics following blebbistatin 566 567 treatment. Reflected light and DIC imaging of blebbistatin treated cultures was always done at 568 800 nm to avoid phototoxicity (Kolega, 2004). Fixed cultures were imaged either on the

569	Olympus IX70 or the Zeiss LSM 510. Rotary shadowing EM was performed as previously
570	described (Bridgman, 2002). Imaging was done using either a JOEL 1200EX or a JEM-1400.
571	

572 Statistical Analysis

- 573 Descriptive statistical analysis was carried out using Excel (Microsoft). The ANOVA and
- unpaired Student's *t* test was used to determine the significance between two groups.
- 575

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762 FIGURES



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Figure 1. Axons elongating along LN lanes stop at non-adhesive gaps, but growth cone dynamics and 764 mass addition continue. (A) Three axons growing on narrow LN lanes (dark grey stripes labeled 1-3) 765 766 created by LCSP at the beginning of intermittent monitoring by DIC and reflected light imaging for 22 h. 767 (B) All three axons have stopped elongating at the non-adhesive gaps (lighter areas within lanes indicated by double white arrow and bars) and remain stopped at 22 h. One axon (lane 3) crossed a 768 short gap prior to stopping at the wider gap. Additional axons have grown along some of the lanes 769 770 during monitoring (lane 1) but also stop at the gap. The axon calibers increase after 22 h (lanes 2, 3). 771 Bar=18 µm (C) Growth cone protrusive activity continues when axons are stopped at gaps. Colored 772 arrows show the filopodial extension positions from a time-lapse recording taken at 2 min intervals (see Movie S1). Bars=12 µm. 773



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775 Figure 2. Combining patterning and microfluidics allows control of the local environment of elongating 776 axons. (A) A low magnification view showing DRG axons growing out of the 10 µm-wide channels of a 777 microfluidic Campenot chamber (top of field) into the axon compartment (aligned with lanes 1-7). The 778 axon compartment substrate was patterned by LCSP to create LN lanes approximately 10 µm wide 779 (dark grey stripes) separated by 40 µm-wide non-adhesive regions (lighter areas) as observed by 780 merged reflected-light and DIC images. LCSP was used to create non-adhesive gaps on lanes 1-5 and 781 7 (double-ended arrows indicate the gaps on lanes 1 and 3). Axon elongation stopped at the 12 µm-782 long gaps (large arrowheads) but continued uninterrupted on lane 6 (small arrowheads). Bar=24 µm. 783 (B) A sequence showing that growth cone advance on LN (lighter grey at top) toward PLO (darker grey

784 at bottom) stops at a gap between the two apposed substrates. At 0 min, growth cone advance had 785 been stopped for more than 1 h. The growth cone had extended a process and contacted PLO across 786 the gap but did not advance. Bar=10 µm. (C) A sequence showing that growth cone advance on LN 787 pauses at the interface (no gap) between LN and fibronectin (FN). At 0 min, advance had been paused 788 for at least 2 h. The growth cone on the left remained stopped at the interface (dashed line), while the growth cone on the right slowly advanced. Bar=10 µm. (D) The same axons as in C following fixation 789 790 and staining for actin with rhodamine phalloidin (red) and for microtubules with a Mab to tyrosinated 791 tubulin (green) at the time indicated. Bar=10 µm.



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Figure 3. Control and blebbistatin-treated growth cones advance over non-adhesive gaps. (A) A 794 795 sequence showing an untreated growth cone crossing a non-adhesive gap (double arrows). The growth 796 cone extended filopodia to contact LN on the other side of a gap (arrowhead at 0 time). After contact a 797 filopodium lengthened and branched (arrowhead at 5 min). At 15 min the filopodium making contact across the gap has partially retracted and no longer appeared to contact the post-gap LN (large 798 799 arrowhead). Another filopodium has lengthened (small arrowhead). At 20 min contact with LN on the 800 other side of the gap was re-established (large arrowhead). At 35 min the growth cone has crossed the gap (arrowhead). Bar=10 µm. (B) With blebbistatin treatment (50 µM in axon compartment only) growth 801 802 cone behavior is similar to that of the control. A filopodium made initial contact with LN across the gap 803 (arrowhead at 0 time). At 12 min the filopodium extended further (arrowhead at 12 min). At 20 min the expansion continued. By 36 min the growth cone has crossed the gap (see Movie S2). Imaging was by 804 combined reflected-light and DIC at 800 nm. Bar=10 µm. 805

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808 Figure 4. Filopodial characteristics of growth cones stopped at non-adhesive gaps. (A) Blebbistatin treatment (50 µM) induced the formation of longer filopodia. Top graph; the distribution of filopodia 809 lengths measured from a 2 h recording (2 min intervals) of an untreated growth cone stopped at a non-810 811 adhesive gap. Bottom graph; the distribution of filopodia lengths recorded from a growth cone treated 812 with blebbistatin. The distribution is skewed to the right indicating that more, longer-length, filopodia 813 formed increasing the average length (Table 1). (B) An untreated growth cone stopped at a gap (white lines indicate the LN lane). Actin and dynamic microtubules were stained using rhodamine phalloidin 814 815 (red) and a Mab to tyrosinated tubulin (green), respectively. Microtubules entered part way into the 816 filopodium extending across the gap (arrowheads) Bar=12 µm.



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Figure 6. Washout of nocodazole leads to increased frequency of growth cone crossing of nonadhesive gaps. (A) Growth cones stopped at gaps after 20 h of nocodazole treatment. One crossing
(arrow) has occurred. (B) Same growth cones 90 min after washout. One additional growth cone
recently crossed a gap (left arrow). (C) Same area after 16 h after washout. A total of five growth cones
have now crossed the gaps (arrows). (Note that stitching of images creates apparent vertical lines with
varying positions in the background). Lanes are ~ 10 µm in width.



Figure 7. Treatment with a combination of nocodazole and blebbistatin partially restored growth cone crossing of non-adhesive gaps. (A) Treatment increased both the average filopodial length (Table 1) and the number of filopodial contacts across the gap (arrowheads). Bar=10 μ m. (B) The frequency of crossing was lower than untreated growth cones, but was higher than for nocodazole treatment alone (Table 1). Images are combined reflected light and DIC at 800 nm. Time in minutes. Bar=10 μ m.



845 Figure 8. Changes to retrograde flow in individual protrusions adhering to LN and to PLO, and changes 846 to actin organization and actin dynamics in growth cones after blebbistatin treatment. (A) A sequence showing retrograde flow of actin (GFP-LifeAct) at a LN-PLO border (time in seconds). Filopodia from a 847 848 growth cone (below, out of the field) extend across the border. Bright actin particles (arrowheads at 40, 849 50 and 60 sec) move rearward with retrograde flow. Some buckling of a filopodium was seen to occur 850 near the LN-PLO border at each time point (see arrowhead at 10 sec). Bar=2 µm. Rightmost panel: 851 Kymograph generated from 40 successive frames of about the same region (shifted vertically). The 852 different slopes (red lines) indicate faster and slower retrograde flow on PLO and LN. respectively. (B) Inhibition of MII activity with blebbistatin (Bleb) alters actin organization. In an untreated growth cone on 853 854 LN (left panel), actin filaments are organized as bundles in protrusions at the leading edge (filopodia and lamellipodia) and in the proximal neurite. The large central domain has a dense meshwork of actin 855 856 filaments. Following treatment with blebbistatin (> 1 h) the meshwork is lost and the central domain is 857 greatly reduced in size (right panel). Actin filaments are arranged roughly in parallel all throughout the 858 growth cone and neurite. (C) Actin dynamics in untreated (left panel) and blebbistatin-treated (right 859 panel) growth cones on LN. Two successive frames (75 sec apart) were selected from the time lapse 860 image sequence of each growth cone (see Movies S3 and S4) and displayed in red and green, 861 respectively. In the untreated growth cone, actin-driven protrusion and retrograde flow were seen primarily at the leading edge of the branch on the left and aligned with the direction of advance. 862 863 Following blebbistatin treatment (>45 min), growth cones were smaller in area and lacked a distinct central domain. Branching was frequent, and protrusion was no longer restricted to the leading edge of 864 865 a single branch. Short-lived lamellipodia were extended from the periphery of both branches. Filopodia persisted and were also extended from the trailing neurite. (Note the shift in position of the branch point 866 indicates forward advance of the neurite shaft.) Retrograde flow appeared to be more global and was 867 868 associated with both branches and the neurite. Bars=6 µm.



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Figure 9. Growth cone advance on a LN lane bounded by PLO and a non-adhesive region was 870 stopped by a non-adhesive gap, but turned and resumed advance on PLO after inactivation of MII. At 0 871 h, a mouse SCG neurite extended at 20 µm/h on a 7.5 µm-wide path of LN bounded by PLO (dashed 872 line) and by a non-adhesive region created using LCSP (solid black line). At 2.4 h, the LN substrate 873 874 was irradiated immediately in front of the growth cone to create barriers to advance (black boxes). The irradiation also ablated a second neurite growing in the opposite direction. By 4.4 h, the growth cone 875 876 crossed the first (4 μ m) gap and reached the second (8 μ m) gap where its advance was blocked. The growth cone remained on the 7 µm-long region of LN between the two gaps rather than turning and 877 advancing on the growth permissive PLO substrate. At 7.6 h, the MII specific inhibitor, blebbistatin, is 878 879 added to the growth medium. By 10 h, the growth cone has turned and has advanced onto PLO. At 12 h, neurite outgrowth continued at approximately 12 µm/h on PLO. Imaging was by 800nm reflected light 880 only. Bar=20 µm. 881



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Figure 10. GFP-EB3 dynamics at a border between LN and PLO. A series of images (at 5 s intervals)
from a time lapse recording (DIC and fluorescence; see Movie S5) showing the dynamics of EB3
relative to the lane boundaries (dashed vertical lines) and the border with PLO (dashed horizontal line).
EB3 did not enter processes that crossed the border to contact PLO and rarely extended into portions
contacting the non-adhesive lane boundaries. Bar=4 µm.



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Figure 11. Filopodial dynamics during growth cone turning and advance on LN at borders with PLO 890 891 and non-adhesive areas created by LCSP. (A) A growth cone advancing on LN approached a border with PLO. The growth cone turned to remain on LN even though it extended filopodia that made 892 893 adhesive contact with PLO (dark filopodia indicated by arrowheads in the reflected-light images). As the 894 growth cone continued along the LN-PLO border, it made frequent filopodial contact with PLO but did not cross onto PLO. (B) The growth cone reached a border with a LCSP area and turned to remain on 895 LN. The filopodia extended over the LCSP area were transient and non-adhering (light filopodia 896 indicated by arrowheads). The growth cone continued on LN but turned away from the LCSP border 897 898 starting at 40 min (arrow at 142 min). (C) After blebbistatin treatment to inactivate MII, a growth cone on 899 LN turned and advanced along a border with a LCSP area. (D) Number of filopodia extended during growth along a LN-LCSP border (border at top, prior to sequence shown in A). Fewer filopodia contacts 900 were seen on the LCSP area than on LN as determined by linear regression analysis (slope=0.25). (E) 901 902 When the same growth cone reached a border with PLO (sequence shown in A), it turned and grew 903 along the border, consistently making more contacts on LN than on PLO (slope=0.6). Contacts to PLO 904 were detectable for longer times. The linear relationships in D and E are representative of four 905 additional recordings (not shown). Imaging was by 800 nm reflected-light optics only. Bar=18 µm.



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Figure 12. Growth cone advance requires microtubule invasion of an adherent filopodial protrusion. (A)
A growth cone stopped at a non-adhesive gap (black double-ended arrow) on a narrow LN path was
imaged using time lapse microscopy (simultaneous reflected-light and DIC optics, 2 min interval) until

filopodial contact (arrowhead) was made with LN across the gap (148 min). Bar=12 µm. (B) The field 910 was shifted and the scan zoom was increased by 10%. The growth cone was then imaged every 5 s 911 using simultaneous DIC (left panels) and epifluorescence optics (middle panels: Ruby-LA, right panels: 912 913 GFP-EB3). A dynamic microtubule invaded deeply into the adherent protrusion (white arrowheads; see 914 Movie S6). Panels on far right show the EB3 spots in reversed grayscale (black arrowheads) after using 915 a filter (SpotTracker plugin, ImageJ) and thresholding to enhance the punctate signal. Arrowhead 916 indicates the same EB3 spot as in the panels immediately adjacent. The growth cone proceeded to advance over the gap. In other recordings, dynamic microtubule (+) ends that terminated advance 917 918 before reaching the site of contact with LN (invaded only part way) failed to trigger crossing. 919



Figure 13. A model of the restraint mechanism of axonal growth cone turning and advance. (A) A 922 923 growth cone advancing on LN reaches a border with PLO where it extends filopodial protrusions that 924 contact the two different substrates. A transverse arc of actin filaments (cross-linked by MII; arcs are 925 not obvious in rapidly advancing growth cones on LN, but are observed during stopping or pausing) restrains entry of dynamic microtubules (green filaments/vellow end binding proteins) into radial 926 927 protrusions. Adhesion complexes (green spots) form on LN but not on PLO. As a result, MII-driven 928 retrograde actin flow (black arrows) slows on LN because of adhesion-cytoskeletal coupling on LN but 929 remains fast on PLO. (B) The growth cone continues to extend and retract protrusions more or less 930 randomly while it is stopped at the border. Dynamic microtubules are splayed within the growth cone. 931 The transverse actin bundles and retrograde actin flow actively block microtubule advance into the 932 periphery. One microtubule succeeds (probabilistically) in invading a protrusion on LN due to the slower 933 retrograde actin flow on LN. The actin bundle within the protrusion guides its advance. C) Interaction 934 with the adhesion complex stabilizes the microtubule (represented by the change in end-binding proteins) and the protrusion. Additional microtubules follow. Actin polymerization-driven protrusion is 935 stimulated beyond the adhesion complex. (D) Microtubules progressively assemble into polarized array 936 937 that becomes bundled in the direction of the stabilized protrusion. Actin protrusion increases beyond

the adhesion site. (E) Side view of a filopodium on LN in low NGF: weak adhesion-cytoskeletal coupling
results in fast retrograde flow (large black arrow) that sweeps back dynamic microtubules. Side view of
a filopodium on LN in high NGF: strong adhesion-cytoskeletal coupling leads to slowing of retrograde
flow (small black arrow). Dynamic microtubules more readily penetrate up to the adhesion site. Actin
polymerization driven protrusion proceeds beyond adhesion site. Side view of a filopodium on PLO in
high NGF: lack of adhesion-cytoskeletal coupling results in a high rate of retrograde flow (large black
arrow). Microtubule advance is impeded.

TABLES 946

Table 1 947

Parameter 948

Rate Ν Crossing frequency (% of 81% 26 total) (9-14 µm gap) (16 h)* Crossing frequency (+Bleb) 87% 23 (16 h) Rate of filopodial contact 0.9±0.3 10** across gap (per h) 6** Rate of filopodia contact 2.1±0.6 across gap (+Bleb) (per h) 31% 29 Crossing failures (Ct) Crossing failures (+Bleb) 58% 26 9.5% 21 Crossing frequency (+Nocodazole) (16 h) Crossing frequency (+Bleb 32% 19 & Noc) (16 h) 47% 15 Crossing failures (+Bleb & Noc) Filopodia lengths Ct 5.8±0.3# 58 (uniform substrate; no gap) Ct (at gap) 7.5±0.4## 89

+Bleb (at gap)	10.7±0.7###	101
+Noc (at gap)	6.2±0.4####	94
+Noc & Bleb (at gap)	7.8±0.6#####	142

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- 950 Rates: Mean ± SEM.
- *Number of growth cones followed over time; first image taken at or approaching a gap,
- second image (of same growth cone) taken after approximately 16 h.
- ⁹⁵³ **Number of growth cones analyzed, 3-4 h recordings each
- 954 # Lengths were significantly different from growth cones at gaps +/-treatments: ANOVA (p=
- 955 0.0001)
- 956 ## Significantly different than Ct (no gap) (post-hoc t-test; p=0.001)
- 957 *###* Significantly different than Ct at gap (post-hoc t-test; p=0.0006)
- 958 *####* Significantly different than Ct at gap (post-hoc t-test; p=0.0001)
- 959 ##### Significantly different than noc treated at gap (post-hoc t-test; p=0.03)

960

- 961 **Table 2**
- 962 Parameter

Rate (µm/min)

Ν

Retrograde flow rate on	3.1±0.3	64*
9		
LN ^{&}		
Retrograde flow rate on	5.7±0.2 [#]	50**
9		
PLO		
PLO		

- 963
- ⁸ Retrograde flow rates were analyzed as previously described (Turney et al., 2016)

- 965 [#]Rate on PLO vs LN; t-test; p≤0.01
- ⁹⁶⁶ *Total number of kymographs from 10 cells
- ⁹⁶⁷ **Total number of kymographs from 10 cells
- 968
- 969

970 SUPPLEMENTAL MOVIES

971

Movie S1. Filopodia dynamics in a growth cone stopped at a non-adhesive block. Images are
at 2 min intervals (60 frames) (corresponds to Figure 1C).

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Movie S2. Growth cone on a LN path crossing a non-adhesive gap during treatment with
blebbistatin (corresponds to Figure 2B). Images are at 4 min intervals.

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978 Movie S3. Actin dynamics revealed by GFP-LA in an untreated control growth cone on LN

979 (corresponds to Figure 8C, left panel). Peripheral actin-rich protrusion formation with

associated retrograde flow occurs mainly on the branch to the left. Images are at 5 sec

981 intervals.

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Movie S4. Actin dynamics revealed by Ruby-LA in a bleb treated growth cone on LN
(corresponds to Figure 8C, right panel). Protrusions are transient. Retrograde flow appears in
the peripheral processes, filopodia and proximal neurite. Images are at 5 sec intervals.

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Movie S5. GFP-EB3 dynamics in a growth cone stopped at a LN-PLO border (corresponds to
Figure 10). EB3 comets do not enter into filopodia extending onto PLO, but do enter into
protrusions extending onto the non-adhesive border on the right that creates the lane. Images
are at 5 sec intervals.

- 992 **Movie S6**. GFP-EB3 dynamics in a growth cone on a LN path during crossing of a non-
- adhesive gap (corresponds to Figure 12). Images are at 5 sec intervals.