

1 VGF in cerebrospinal fluid, when combined with conventional biomarkers, enhances prediction
2 of conversion from mild cognitive impairment to Alzheimer's Disease.

3

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49

50 **Abstract**

51 Sensitive and accurate biomarkers for the prediction of conversion from mild cognitive
52 impairment (MCI) to Alzheimer's Disease (AD) are needed to both support clinical care and
53 enhance clinical trial design. Here, we examined the potential of cerebrospinal fluid (CSF) levels
54 of a peptide derived from a neural protein involved in synaptic transmission, VGF (a non-
55 initialism), to enhance accuracy of prediction of conversion from MCI to AD. The performance
56 of conventional biomarkers (CSF A β 1-42 and phosphorylated tau +/- hippocampal volume) was
57 compared to the same biomarkers with CSF VGF peptide levels. It was observed that VGF
58 peptides are lowered in patients with AD compared to controls and that combinations of CSF
59 A β 1-42 and phosphorylated tau, hippocampal volume and VGF peptide levels outperformed
60 conventional biomarkers alone (hazard ratio = 2.2 vs. 3.9). VGF peptide levels were correlated
61 most strongly with total tau levels, but not hippocampal volume, suggesting that they serve as a

62 marker for neuronal degradation, but not necessarily in the hippocampus. The latter point
63 suggests that VGF may serve as a more general marker of neurodegeneration. Future work will
64 be needed to determine the specificity of VGF for AD vs. other neurodegenerative diseases.

65 **Introduction**

66 Alzheimer Disease (AD) is characterized by a long prodromal course during which a number of
67 pathological changes occur prior to the onset of clinical symptoms. Classically, these changes
68 include the deposition of amyloid beta ($A\beta$) and phosphorylated tau (pTau) into the brain,
69 hippocampal atrophy and disruptions of metabolism, particularly in the temporal and parietal
70 cortices (for review of preclinical pathology and biomarkers, please see [1]). It is speculated that
71 these biomarkers are part of a cascade whereby $A\beta$ triggers a series of pathological events,
72 leading to neuronal dysfunction, hyperphosphorylation of tau and consequent synaptic loss,
73 leading to volume loss and metabolic disruption [2-4]. These changes have formed the basis for
74 the use of a series of fluid and imaging biomarkers to facilitate clinical and research practice.

75
76 AD biomarkers may be used to 1) achieve earlier diagnoses for patients, 2) predict which
77 individuals are most likely to clinically worsen over time, 3) help to identify and stratify subjects
78 enrolling in AD-related clinical trials and 4) serve as outcome measurements in AD-related
79 clinical trials [5-7]. For example, there is a 10-15% misdiagnosis rate when AD is diagnosed on
80 clinical grounds only. This high rate of misdiagnosis has substantial cost implications [8-11] and
81 if such misdiagnosed subjects are enrolled into clinical trials, they could obscure the impact of
82 disease-modifying therapy. In addition, prediction of clinical decline in subjects with early-stage
83 disease will permit the institution of aggressive interventions, such as physical exercise or
84 pharmacologic therapy, to stave off AD symptoms. Finally, novel biomarkers or combinations of
85 biomarkers could be used to enrich MCI clinical trials with subjects with high conversion rates to
86 shorten and diminish the cost of clinical trials [12, 13]. Therefore, a better understanding of how
87 biomarkers delineate disease classes and predict progression is needed.

88
89 Recently, our group and others have identified a group of novel plasma and cerebrospinal fluid
90 (CSF) biomarkers that fall outside the traditional $A\beta$ cascade. Many of these markers have been
91 shown to be useful in the prediction of MCI to AD conversion [14-20]. For example, we used a

92 hypothesis-free bioinformatics approach to identify a panel of 16 peptides in CSF initially
93 identified as showing high diagnostic accuracy for AD vs. control, that was highly predictive of
94 conversion from mild cognitive impairment (MCI) to AD in an independent group of subjects
95 and outperformed conventional CSF markers such as A β , tau derivatives and their ratios [20].
96 These studies highlight non-canonical pathological cascades that may both provide useful tools
97 for clinical practice and clinical trials purposes, and may also reveal new insights about disease
98 mechanisms underlying AD.

99

100 One of the peptides identified using this hypothesis-free approach to separate AD from normal
101 (NL) controls was VGF [20]. VGF (a non-initialism) has recently received significant attention
102 because of its role in learning and memory and potential role in the pathophysiology of AD [21,
103 22]. VGF is a neurotrophin-inducible 615-amino acid polypeptide secreted by neurons and is
104 cleaved into multiple smaller fragments ranging in length from 16-129 amino acids. VGF is
105 produced in a number of brain regions, including the cerebral cortex, amygdala, hippocampus
106 and hypothalamus, as well as in neuroendocrine tissues such as the adrenal medulla and
107 adenohypophysis, and is thought to be involved in synaptogenesis and energy homeostasis [23,
108 24]. We and others have observed altered levels of VGF in the CSF of AD patients compared to
109 controls, though not all studies had the same directionality (studies showing a decrease: [25-31],
110 study showing an increase: [32]). VGF overexpression also protects against memory impairment
111 in 5xFAD transgenic mice that model AD [21]. However, previous work has not yet examined
112 the potential for VGF in the CSF, when combined with established biomarkers, to predict MCI to
113 AD conversion. It should not be assumed that VGF independently contributes to the prediction
114 of MCI to AD conversion: it is possible that it is a redundant marker for a process already
115 encoded by changes in a more conventional biomarker.

116

117 Therefore, in the current study, we examined the potential for VGF in the CSF, when combined
118 with conventional biomarkers of CSF A β 1-42, total tau (tTau) and pTau-181 and hippocampal
119 volume, to enhance the diagnostic and prognostic accuracy of these markers. The focus of this
120 work is on the VGF peptide fragment with sequence NSEPQDEGELFQGVDP (‘‘VGF.NSEP’’)
121 since it previously emerged as a strong predictor in a panel of peptides that predict MCI to AD
122 conversion [20], though other VGF peptide fragments are also examined. Unlike our previous

123 studies involving hypothesis-free approaches to identify optimal peptides to include in biomarker
124 signatures [20, 33, 34], the current study was focused on the utility of VGF. Using data from two
125 independent groups in the ADNI cohort: one group of AD and control subjects and a separate
126 group of MCI subjects, it was found that VGF, when combined with conventional biomarkers,
127 enhanced both the diagnostic accuracy of these markers and the ability of these markers to
128 predict MCI to AD conversion.

129

130

Methods

131 Methods and data used for this research are similar to those used in Devanarayan et al. [33]. The
132 ADNI database (adni.loni.usc.edu) utilized in this research was launched in 2003 as a public-
133 private partnership, led by Principal Investigator Michael W. Weiner, MD. The primary goal of
134 ADNI has been to test whether serial MRI, PET, other biological markers, and clinical and
135 neuropsychological assessments can be combined to measure the progression of MCI and early
136 AD. For up-to-date information, see www.adni-info.org. This study was conducted across
137 multiple clinical sites and was approved by the Institutional Review Boards of all of the
138 participating institutions. Informed written consent was obtained from all participants at each
139 site. Data used for the analyses presented here were accessed on February 24, 2018. Although the
140 ADNI database continues to be updated on an ongoing basis, most newly added biomarker data
141 are from later time points (i.e., beyond 1 year), in contrast to the baseline data used in this study.

142

Subjects:

144 This research was focused on the relationship between VGF, conventional biomarkers (CSF
145 amyloid/tau and MRI hippocampal volume [HV]) and therefore, only those subjects whose
146 values for these markers were available at baseline were included in this study. Ultimately, this
147 dataset included 287 subjects across the three diagnostic categories (AD, MCI and NL). NL
148 subjects were defined as those without memory complaints and had a clinical dementia rating
149 (CDR) score of 0. MCI subjects had CDR scores of 0.5, had an abnormal score on Wechsler
150 Memory Scale Revised- Logical Memory II and did not have significant functional impairment.
151 AD subjects had functional decline and a CDR score of 0.5 or 1.0.

152

Hippocampal volume:

154 HV was chosen given its robust ability to predict MCI to AD conversion [35, 36] and its
155 incorporation into proposed schema to classify AD subjects [37]. HV was obtained from MRI
156 scans (mostly 1.5T; 25% in this dataset had 3.0T scans) and was computed using FreeSurfer
157 software. Please see “UCSF FreeSurfer Methods” PDF document under “MR Image Analysis” in
158 the ADNI section of <https://ida.loni.usc.edu/> for details as well as [38-40].

159

160 **CSF Samples:**

161 Innogenetics’ INNO-BIA AlzBio3 immunoassay on a Luminex xMAP platform (see [41] for
162 details) was used to measure levels of the conventional biomarkers A β 1-42, tTau, and pTau-181
163 in CSF. The Caprion Proteomics mass spectrometry platform was used to measure levels of
164 individual peptides. The VGF peptides (sequence NSEPQDEGELFQGVDPR, referred to here as
165 VGF.NSEP, sequence AYQGVAAPFPK, referred to here as VGF.AYQG and sequence
166 THLGEALEPLSK, referred to here as VGF.THLG) used in this study were among a total of 320
167 peptides generated from tryptic digests of 143 proteins. Details regarding the measurements of
168 these peptides can be found in the Use of Targeted Mass Spectrometry Proteomic Strategies to
169 Identify CSF-Based Biomarkers in Alzheimer’s Disease Data Primer (found under Biomarkers
170 Consortium CSF Proteomics MRM Data Primer at ida.loni.usc.edu) and in [19].

171

172 **Statistical Methods:**

173 As we have described previously [33], optimal combinatorial signatures including CSF A β 1-42,
174 tTau, pTau-181, their ratios, HV and VGF-derived peptides with simple decision thresholds for
175 each marker were first identified from the AD and NL subjects. These signatures were revealed
176 by an unbiased, data-driven manner via regression and tree-based computational algorithms
177 called Patient Rule Induction Method [42] and Sequential BATTing [43]. To measure the
178 performance of each signature for disease-state differentiation (i.e., NL vs. AD), five-fold cross-
179 validation was performed. To do this, the data were randomly divided into five subgroups,
180 referred to as folds, and a signature was derived from the remaining four folds. This signature
181 was then tested on the left-out fold. This process was repeated for 10 iterations and median
182 performance of each performance of positive predictive value (PPV), negative predictive value
183 (NPV) and accuracy was computed.

184

185 Once an optimal signature for differentiating NL from AD was derived, it was tested on a
186 different group of 135 MCI subjects from the ADNI dataset. Baseline values for A β 1-42, tTau,
187 pTau-181, HV and VGF peptides for each MCI subject at baseline were used to classify each
188 subject as being “signature positive” (i.e., similar to the profile found in AD) or “signature
189 negative” (i.e., similar to the profile found in NC). PPV, NPV and accuracy were then computed
190 by comparing the actual outcome (conversion or not to AD over 36 months) to the predicted
191 outcome (signature positive/negative which would predict conversion/nonconversion,
192 respectively). Exact McNemar’s test was used to compare PPV, NPV and accuracy.

193

194 In addition to measuring the performance of whether MCI subjects would convert over 36
195 months, time to conversion was also computed using available data up to 10 years after the initial
196 evaluation. Potential markers for this analysis were grouped into categories:

197

- 198 1. Demographic markers (presence of APO-E4 allele, age, gender, education)
- 199 2. Demographic markers + HV
- 200 3. Demographic markers + amyloid/tau CSF markers (heretofore called “AT”: A β 1-42,
201 tTau, pTau-181, ratios of tTau to A β 1-42 & pTau-181 to A β 1-42)
- 202 4. Demographic markers + HV + AT
- 203 5. Demographic markers + HV + AT + VGF

204

205 All analyses related to predictive modeling and signature derivation were carried out using R
206 (<http://www.R-project.org>), version 3.4.1, with the publicly available package, SubgrpID [43].
207 The time to progression analysis of the derived signatures and related assessments were carried
208 out using JMP®, version 13.2.

209

210 **Results:**

211 *Demographics:*

212 Similar to Devanarayan et al. (2019), 66 AD, 135 MCI and 86 NL subjects were included in the
213 analysis and their demographic information and rates of conversion from MCI to AD are shown
214 in Table 1. There were no statistically significant differences in terms of age or education (range
215 of means = 75.1 to 75.8 years, $p > 0.05$) and education (range of means = 15.1 to 16 years,

216 $p > 0.05$). There was a greater number of males than females (59.1 vs 40.9%), though their
217 likelihood of conversion from MCI to AD over 36 months was similar (43.5% vs. 53.9%,
218 $p = 0.285$, Chi-squared test). The likelihood that an APO-E4 allele was present was higher AD
219 than in other subjects (present in 71.2% AD, 50% MCI and 24.4% NL subjects, $p < 0.0001$, Chi-
220 squared test) and was a relatively weak risk factor for the conversion of MCI to AD (present in
221 40/62 converters and 31/70 non-converters $p = 0.03$, Chi-squared test), both of which have been
222 demonstrated previously [44-46].

223

224 *Disease state classification – univariate analysis:*

225 Figures 1A-D recapitulates previous analyses by us and others [33, 47-49] showing that A β 1-42,
226 tTau, pTau-181 and HV are all significantly different in NL and AD subjects and that these
227 values are intermediate for MCI subjects. For all four markers in Figures 1A-D, comparisons of
228 the means between NL and AD groups reveal highly significant differences ($p < 0.0001$ in all
229 cases). However, it should be noted that there is substantial overlap between the distributions in
230 each diagnostic category, rendering these biomarkers unsuitable for use in isolation for
231 diagnostic categorization. As shown in Figures 1E-F, CSF VGF.NSEP levels are depressed in
232 AD patients compared to NL subjects ($p = 0.0002$) and lower levels at baseline are found in future
233 MCI-AD converters than nonconverters ($p = 0.032$).

234

235 *Disease state classification – multivariate analysis:*

236 To determine if combinations of conventional biomarkers +/- the VGF.NSEP peptide are useful
237 in disease-state classification, data-driven algorithms were used to derive the optimal signature
238 that distinguished NL, MCI and AD. The performances of these signatures are summarized in
239 Table 2. The signatures are grouped into six different categories, as described in the Methods
240 section, and took relatively simple forms. The best performing signature for disease-state
241 classification was a combination of HV + APO-E4 status, with an accuracy of 79.6%. Adding
242 conventional CSF markers (A β 1-42, tTau and pTau-181 and their ratios) did not enhance this
243 value (accuracy = 76.3%), nor did the addition of VGF.NSEP peptide (accuracy = 75.7%).

244

245 *Prediction of the likelihood of MCI to AD progression:*

246 As described above, for disease state classification, no advantage was found when adding the
247 VGF.NSEP peptide to the conventional markers (overall accuracy of 76.3% vs. 75.7%, $p > 0.05$).
248 However, the combined biomarkers signature (HV+AT+VGF) significantly outperformed
249 conventional biomarkers (HV+AT) for the prediction of MCI to AD conversion over 36 months
250 ($p=0.00013$). Most of the impact of the addition of VGF was in increasing the NPV (from 70.2%
251 to 79.2%, $p<0.0001$) while the impact on PPV was more modest (60.2% to 62.1%, $p=0.008$).
252 The signature derived from the conventional and novel markers took a simple form based on
253 only a few markers, with a cut-point on each of them; HV $< 7.81 \text{ cm}^3$, pTau $< 16.18 \text{ pg/mL}$, ratio
254 of tTau to A β 1-42 > 0.29 and VGF.NSEP peptide < 20.39 intensity units. Thus, the addition of a
255 novel VGF peptide to the conventional AD markers provides a simple biomarker that improves
256 the prediction of 36-month disease progression in MCI subjects at baseline.

257

258 *Prediction of time to AD progression from MCI:*

259 Using available information containing 3-10 year follow-up clinical data, future time to
260 progression was computed using the optimal signatures defined above. Table 3 includes a
261 summary of the median times to progression of the signature negative and signature positive
262 subjects and the overall hazard ratios with 95% confidence intervals. All groups containing
263 conventional biomarkers (combinations of CSF amyloid/tau, HV and APO-E4 status) had similar
264 times to progression (range for 2nd quartile or median = 25.7-31.5 months for signature positive
265 subjects) and hazard ratios (range = 1.9-2.2). By comparison, the signature containing
266 VGF.NSEP + conventional markers performed considerably better with median time to
267 progression of 24.1 months and 96.2 months for the signature positive and signature negative
268 groups respectively, and hazard ratio of 3.9. This difference in hazard ratio is illustrated in
269 Figure 2A (without VGF) and Figure 2B (with VGF), where Kaplan-Meier curves demonstrate
270 time to progression profiles of the signature positive versus signature negative MCI subjects at
271 baseline. The increased separation of the time to progression curves in Figure 2B (with VGF)
272 demonstrates the faster progression experienced by the MCI subjects meeting this signature
273 criterion at baseline.

274

275 *Studies of VGF peptide:*

276 In further evaluation of the VGF.NSEP peptide, we find that its levels are significantly correlated
277 with pTau-181 and tTau in NL, MCI and AD subjects, and not significantly correlated with A β 1-
278 42 and brain HV in any of the three groups (see Figures 3A-D). To determine if the impact of
279 VGF was isolated to the particular peptide fragment (VGF.NSEP) that emerged from the
280 multivariate analysis in Llano et al (2017), the other two VGF peptides (AYQGVAAPFPK,
281 referred to as VGF.AYQG and THLGALAPLSK, referred to as VGF.THLG) in this 320-
282 peptide MRM panel were also assessed. The pairwise correlations are over 97% between the
283 three VGF peptides (Figure 4), and therefore as expected, the other two VGF peptides have very
284 similar effects across the disease states (NL vs. AD significant with $p < 0.05$) and significantly
285 different ($p < 0.05$) between the stable and progressive MCI groups (Figures 5 A-D). When
286 replacing the VGF.NSEP peptide by each of these other two peptides one at a time, the
287 performance of the combined signature for the HV+AT+VGF scenario was quite similar in terms
288 of the median time to progression of MCI subjects to AD (see Table 4 and Figure 6). However,
289 the differences were greater in the overall time course of progression that resulted in larger
290 hazard ratios (4.1 and 4.7). Thus, the considerable improvement we see in the prediction of MCI
291 to AD progression by including VGF with the conventional markers is consistently evident for
292 all three peptide fragments of VGF, and not isolated to a specific peptide fragment.

293

294

Discussion

295

Summary:

296 Here, we examined the ability of CSF VGF-derived peptides, in combination with conventional
297 AD biomarkers (A β 1-42, tTau, pTau-181, their ratios and HV) to serve as a disease-state marker
298 to distinguish between AD and NLn subjects, and to predict conversion from MCI to AD in a
299 separate group of subjects. We observed that CSF levels of a VGF peptide, on its own, are lower
300 in AD subjects than NLs and that lower levels predict MCI to AD conversion. When combined
301 with conventional biomarkers, the VGF peptide significantly increased the ability of a
302 combination of conventional biomarkers to predict MCI to AD conversion, with the hazard ratio
303 increasing from 2.2 to 3.9. These data suggest that VGF may play a previously under-recognized
304 role in the pathophysiology of AD and that CSF VGF may be useful to help predict MCI to AD
305 conversion.

306 *Total tau vs. phosphorylated tau in predicting MCI to AD conversion:*

307 It is notable that, when combined with HV, A β 1-42 and VGF.NSEP, CSF tTau was found to
308 more strongly predict MCI to AD conversion than pTau-181. tTau, but not pTau-181, elevations
309 in the CSF have been observed in many non-AD conditions involving neuronal injury, including
310 stroke, traumatic brain injury, Creutzfeldt-Jacob disease, multiple sclerosis as well as vascular
311 dementia [50-55], suggesting that tTau is a general marker of neuronal injury, while pTau-181
312 better reflects AD pathology. The finding in the current study that tTau is more strongly
313 predictive of MCI-AD conversion than pTau-181 is consistent with previous data showing that
314 total tau is more predictive than pTau-181 in predicting subsequent cognitive decline in MCI and
315 AD [56, 57]. These findings suggest that while pTau-181 may be more useful as a disease-state
316 marker, particularly when making a differential diagnosis, that tTau may be a better marker of
317 disease activity and thus the current rate of clinical decline. In addition, because the database we
318 used only captures the progression to AD of these MCI subjects, and not the other
319 neurodegenerative diseases, is likely that the use of pTau-181 instead of tTau in our signature
320 may have shown improved performance specificity if we had applied it to a broader group of
321 MCI subjects that also experienced progression to the other forms of dementia.

322 *VGF and AD:*

323 The current finding that all peptides associated with VGF are diminished in the CSF of AD
324 patients compared to controls is consistent with multiple previous studies comparing VGF
325 peptide or protein levels in CSF [26-30, 32] and brain tissue (parietal cortex [22]) from AD and
326 control subjects. The functional significance of this decrease is not yet clear but may relate to
327 VGF's potential role in synaptic plasticity and/or neuronal metabolism. VGF is found widely
328 throughout the brain, including areas highly affected in AD such as cerebral cortex,
329 hippocampus, entorhinal cortex, basal forebrain, amygdala, and brainstem [22, 58, 59]. Its
330 expression is upregulated by neuronal activity [60] and can be induced by neuronal growth
331 factors such as brain-derived neurotrophic factor (BDNF [58, 61]). In animal models, VGF has
332 been shown to be important for the mediation of synaptic plasticity and neurogenesis in the
333 hippocampus [58, 61-63], and knock out of this gene has been shown to cause significant
334 anorexia [64], while overexpression may protect the brain against AD-related pathology [21].

335 These functions align well with the loss of hippocampal function and significant anorexia seen in
336 AD [65, 66].

337 The mechanism behind the drop in VGF levels in AD CSF is not yet clear. Given the parallel
338 drop in the cerebral cortex [22], low levels in the CSF are likely not due to a shift of VGF from
339 CSF to parenchyma, as has been hypothesized for the low levels of A β in the CSF of AD patients
340 [67]. Low levels of VGF in CSF (and brain) may suggest that VGF is a general marker for
341 neuronal loss, consistent the drop in CSF VGF in frontotemporal dementia [68], as such,
342 potentially putting VGF into the “neurodegenerative/neuronal injury” class of biomarkers in the
343 AT(N) framework previously described [69]. This notion that low CSF VGF may be a reflection
344 of neuronal damage is consistent with the current data which demonstrate that VGF levels are
345 correlated with hippocampal volume as well as tTau and pTau-181 levels (Figure 3). Future
346 work examining VGF across other states of neuronal injury may help to add clarity to this issue.

347 One previous study observed borderline elevations of VGF in the CSF of MCI compared to
348 control and AD subjects, and that VGF elevations in MCI subjects predicted later conversion to
349 AD [32]. Such transient elevations are reminiscent of “pseudonormalization” of other biomarkers
350 whose values in MCI appear to change in the opposite direction of that seen in AD [20, 34, 70].
351 It is not clear from the Duits et al. report which specific peptides were elevated in MCI, though
352 the two peptides examined in their study (NSEPQDEGELFQGVDPR and AYQGVAAAPFPK)
353 matched two of the three peptides in the current study, all of which showed decreases in MCI
354 and AD (Figure 5). The source of the apparent discrepancy is not yet clear, though we note that
355 all analytes, not just VGF, in the Duits et al. study showed elevations in the MCI group. It is
356 notable that other analytes that are elevated in MCI subjects in the Duits et al. study such as
357 Chromogranin A have been found to be unchanged in other studies [71] or, in the case of VGF,
358 decreased in MCI patients that convert to AD [27], suggesting a more general difference in the
359 databases or the analytical methodologies used between the Duits et al. study and other studies.

360 *Implications of the prediction of MCI-AD conversion:*

361 CSF A β 1-42 and tau derivatives as biomarkers are well-established for the prediction of clinical
362 decline in MCI [72-76] (for meta-analyses see [77, 78]). In addition, predictive accuracy of these
363 markers increases when they are combined with volumetric imaging markers [79-83]. Both of

364 these findings were reproduced in the current study (Table 2). In addition, recently a number of
365 non-A β , non-tau CSF markers have been found, often using proteomic approaches, that separate
366 AD from NL subjects, and these markers have been implicated across a number of metabolic,
367 inflammatory and synaptic physiology pathways [25-29, 31, 84-90]. A small number have also
368 shown the ability to predict MCI to AD conversion. For example, heart fatty acid binding
369 protein, chemokine receptor 2, neurogranin, calbindin, IL-1, thymus-expressed chemokine have
370 all individually been shown to predict MCI to AD progression [14-20]. In addition, we and
371 others identified panels of peptides that predict MCI to AD progression [19, 20]. These data
372 point to a range of potential pathophysiological mechanisms implicated in AD outside of the
373 classical amyloid-driven cascade. It will be important to replicate the findings in this study as
374 well as others in independent cohorts. In addition, like most of the previous work, the current
375 study did not examine non-AD dementia or other neurologic disease. This absence is particularly
376 important in the current study which shows VGF levels that correlate with tTau levels (a marker
377 of neurodegeneration, as described above) but not hippocampal volume (Figures 3C and D).
378 These data suggest that VGF levels may correlate with a more general neurodegenerative
379 phenotype. Therefore, it will be important in future studies to include non-AD dementias as well
380 as other neurological illness such as stroke or encephalitis, to determine the specificity of VGF as
381 a biomarker for AD and predictor of MCI to AD progression.

382

383

Figure legends:

384

385 **Figure 1:** Distributions of biomarkers of in NL, MCI and AD subjects: A) HV, B) A β 1-42, C)
386 tTau, D) pTau-181, E) VGF.NSEP levels (shown in normalized and log2 transformed intensity
387 units) and F) baseline VGF.NSEP levels in MCI to AD converters and stable MCI subjects over
388 36 months. In A-E, for the MCI subjects, those that progressed to AD over 36 months are shown
389 in red. The bottom and top ends of the box represent the first and third quartiles respectively,
390 with the line inside the box representing the median. Lines extending out of the ends of the box
391 indicate the range of the data, minus the outliers. The points outside the lines are the low and
392 high outliers.

393

394 **Figure 2:** Time to progression profiles of the signature positive versus signature negative MCI
395 subjects with the shaded 95% confidence intervals are shown here via Kaplan-Meier analysis.
396 The effect of signature based on only the conventional markers (HV and AT) is illustrated in
397 Figure 2A and the signature with both the conventional markers and the novel VGF.NSEP
398 peptide from the MRM panel is shown in Figure 2B. Patients meeting the signature criterion that
399 includes the VGF.NSEP peptide experience 3.9-fold faster progression to AD (hazard ratio =
400 3.9), relative to the 2.2-fold faster progression without this peptide.

401
402 **Figure 3:** Correlation of the VGF.NSEP peptide levels (shown in normalized and log₂
403 transformed intensity units) versus conventional markers of AD, brain hippocampal volume HV
404 (A), Aβ₁₋₄₂ (B), pTau-181 (C), and tTau (D), with the least squares regression lines overlaid on
405 individual subject results from the three groups; Normal (in green), MCI (in blue) and AD (in
406 red). The rank correlation values for each of the groups are shown, with * representing
407 significant correlations ($p < 0.05$).

408
409 **Figure 4:** Scatterplot matrix with rank correlation values overlaid for the three VGF peptides
410 levels (shown in normalized and log₂ transformed intensity units) from the 320-peptide MRM
411 panel for all subjects.

412
413 **Figure 5:** **A)** Distribution of VGF.AYQG peptide (shown in normalized and log₂ transformed
414 intensity units) is shown across the NL, MCI and AD groups, and **B)** among the baseline MCI
415 subjects that either progressed to AD or remained stable over the next 36 months. **C)** Distribution
416 of VGF.THLG peptide (shown in normalized and log₂ transformed intensity units) is shown
417 across the NL, MCI and AD groups, and **D)** among the baseline MCI subjects that either
418 progressed to AD or remained stable over the next 36 months.

419
420 **Figure 6:** Time to progression profiles for the two additional VGF peptides + conventional
421 biomarkers: (A) AT+HV+VGF.AYQG and (B) AT+HV+VGF.THLG. In both cases, the
422 signature positive versus signature negative MCI subjects with the shaded 95% confidence
423 intervals are shown via Kaplan-Meier analysis.

424

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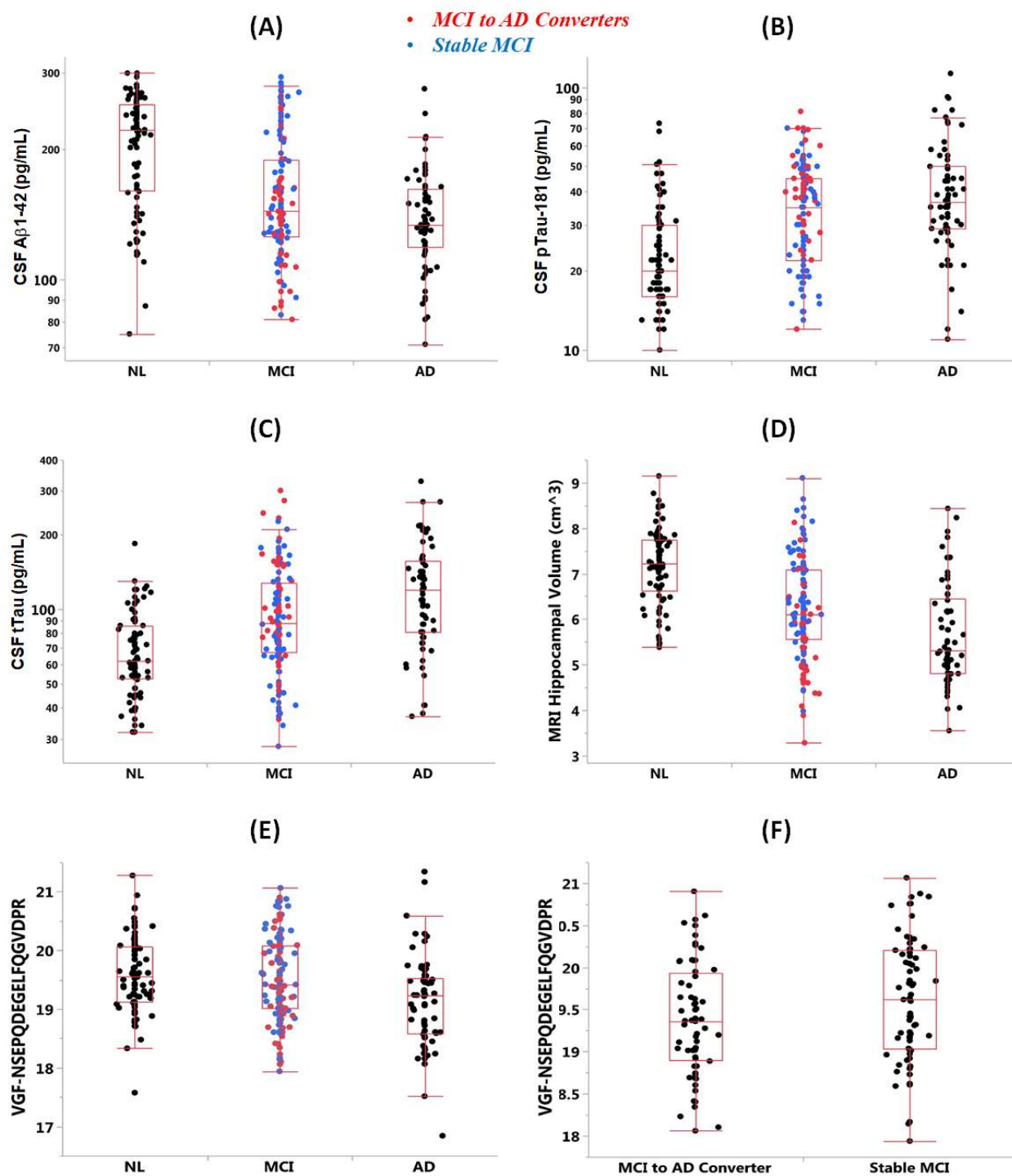
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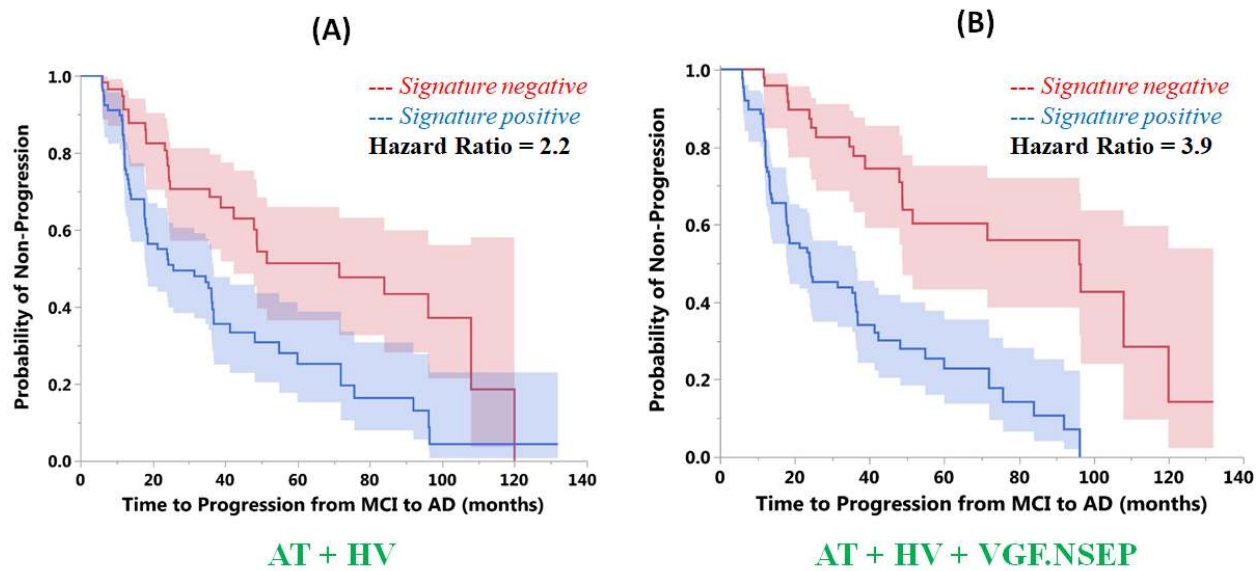
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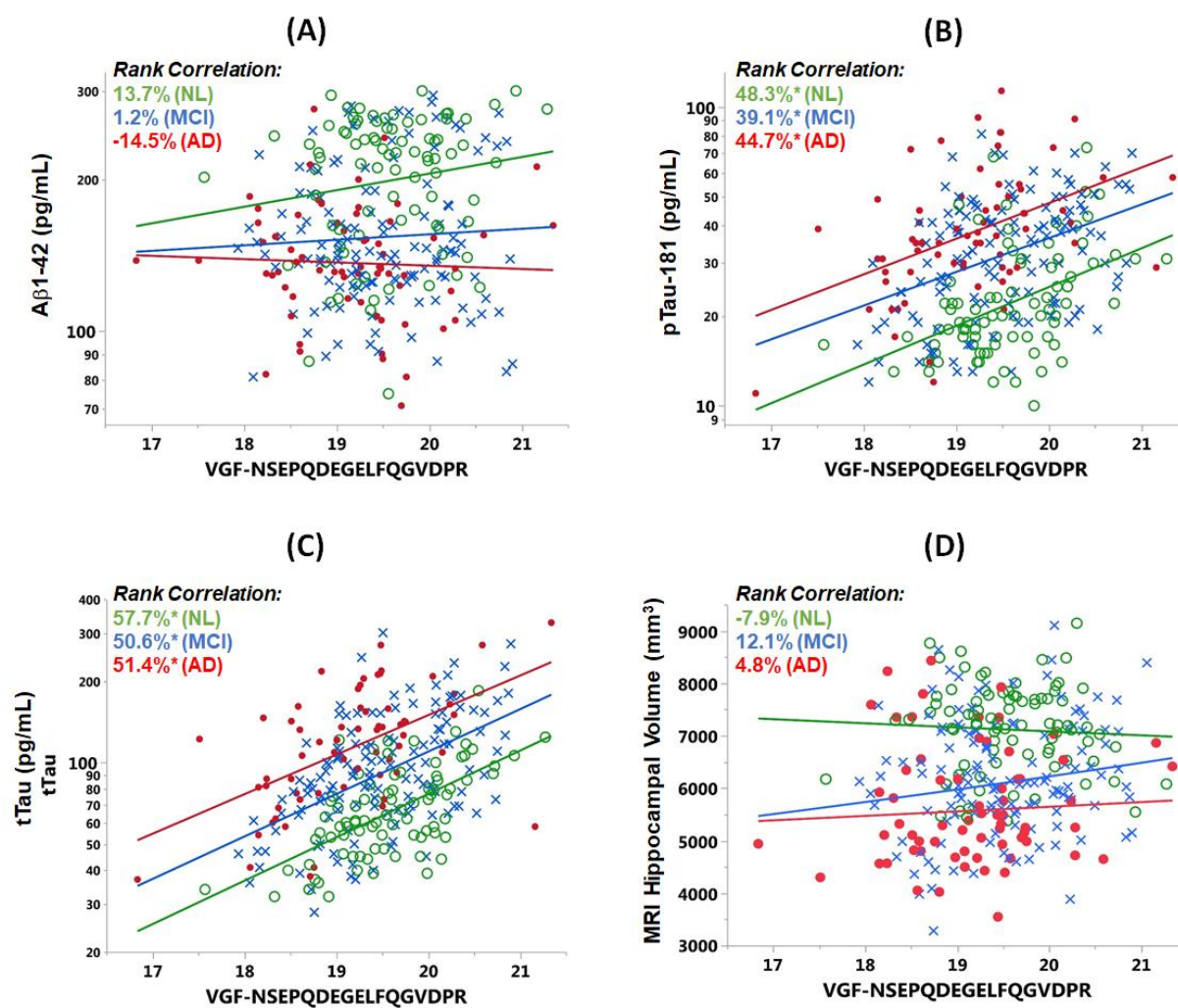
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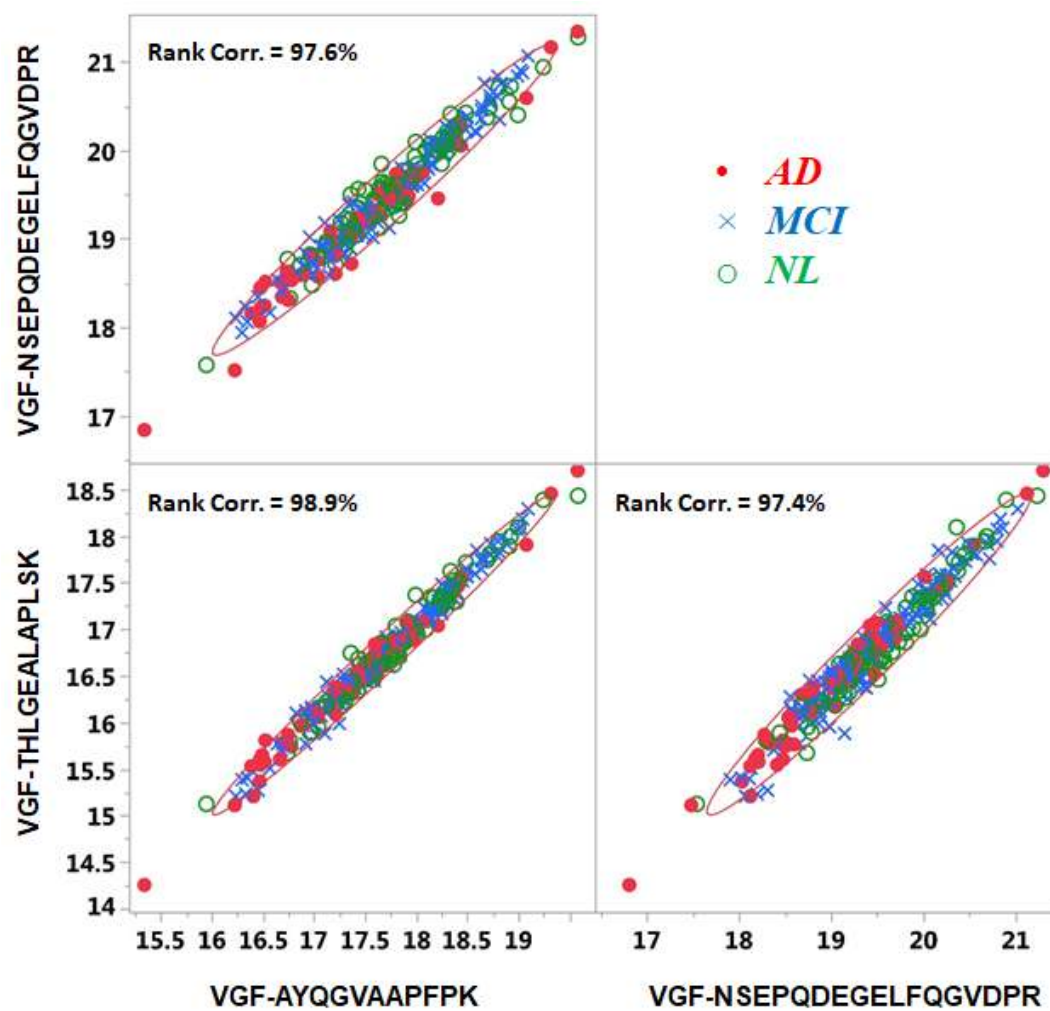
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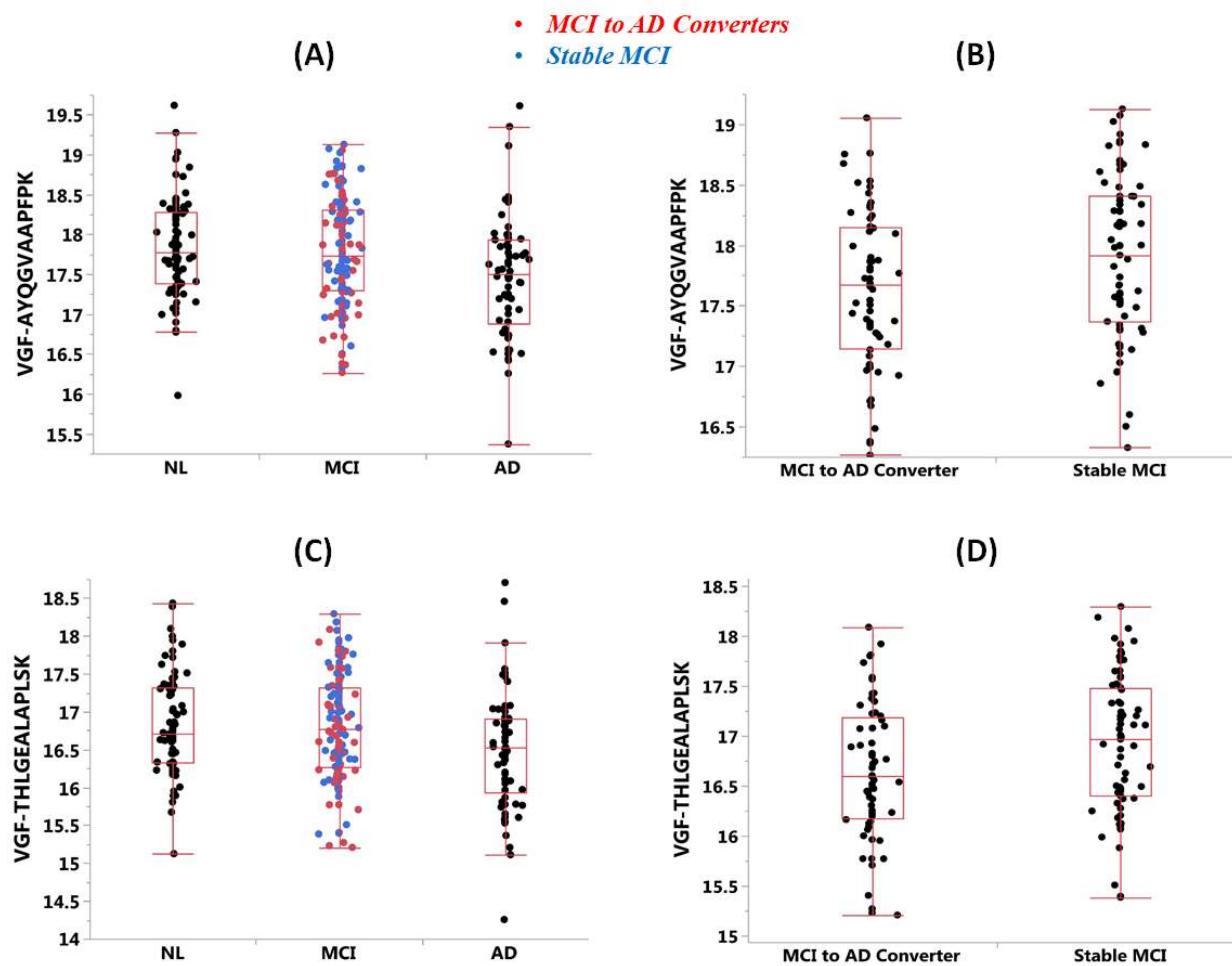
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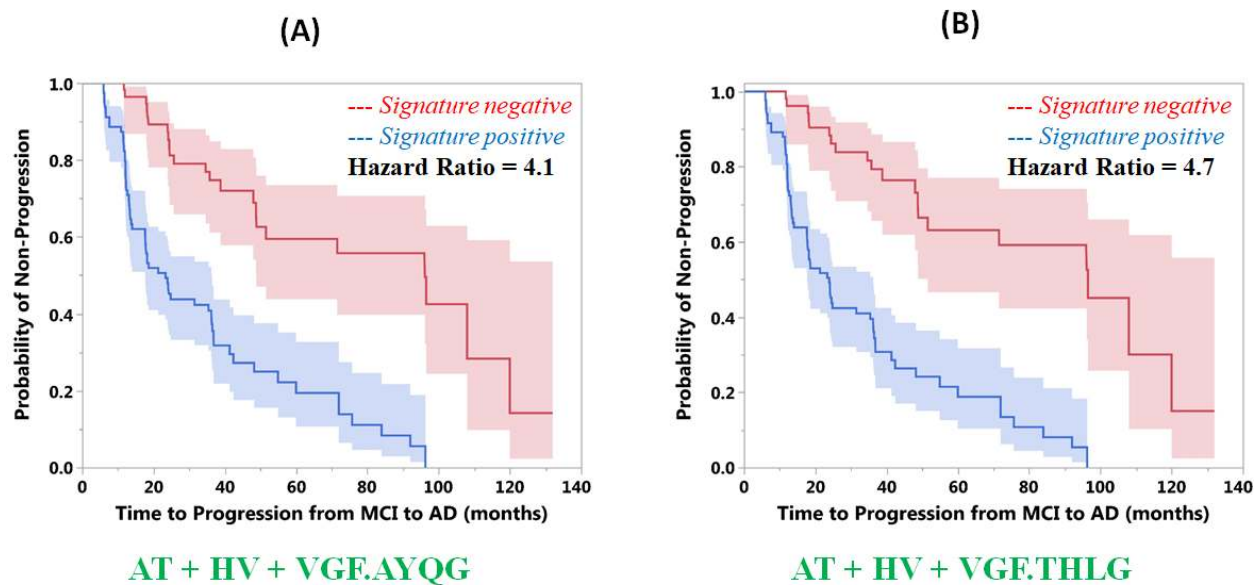
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678 Figure 6:



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681 Table 1: Disease-state demographics

		AD	MCI	NL
		(n=66)	(n=135)	(n=86)
Gender (n)	M	37	91	44
	F	29	44	42
Apo-E (n)	E4	47	71	21
	Non-E4	19	64	65
Age (years, Mean +/- SD)		75.1 +/- 7.5	74.8 +/- 7.4	75.8 +/- 5.6
Education (years, Mean +/- SD)		15.1 +/- 3	16 +/- 3	15.6 +/- 3
MMSE (Mean +/- SD)		23.5 +/- 1.9	26.9 +/- 1.7	29.1 +/- 1

		MCI to AD converters	Stable MCI
		(n=64)	(n=71)
Gender (n)	M	40	51
	F	24	20
Apo-E (n)	E4	40	31
	Non-E4	24	40
Age (years, Mean +/- SD)		74.9 +/- 7.6	74.7 +/- 7.2
Education (years, Mean +/- SD)		15.6 +/- 3.0	16.4 +/- 2.9
MMSE (Mean +/- SD)		26.4 +/- 1.7	27.4 +/- 1.6

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684 Table 2: Performance summary of optimal signatures

Data type	Diagnostic Criteria for Signature positive	AD versus Normal Diagnosis (internal cross-validation)			36m MCI Progression to AD (independent validation)		
		% PPV	% NPV	% Accuracy	% PPV (MCI to AD)	% NPV (Stable MCI)	% Accuracy
AT	tTau / Ab1-42 > 0.59	71.6	80.5	76.5	58.1	66.1	61.7
HV	HV < 6.41 and ApoE4 +	92.7	74.8	79.6	61.2	60.5	60.7
AT + HV	HV < 7.0, pTau > 18.1, and tTau / Ab1-42 > 0.36	73.4	78.4	76.3	60.2	70.2	64.4
VGF	VGF.NSEP < 19.71 and ApoE4 +	69.1	79.1	70.4	65.9	61.5	63.0
AT + VGF	pTau / Ab1-42 > 0.08, tTau / Ab1-42 > 0.31, and VGF.NSEP < 20.30	75.4	75.8	75.7	59.6	76.1	65.2
AT + HV + VGF	HV < 7.81, pTau > 16.18, tTau / Ab1-42 > 0.29, and VGF.NSEP < 20.39	72.3	78.2	75.7	62.1	79.2	68.1

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687 Table 3: Time to progression (T2P) of MCI subjects to AD using optimal signatures

Data type	Diagnostic Criteria for Signature positive	Signature Negative		Signature Positive		Hazard Ratio (95% C.I.)
		N	T2P (months) Q1, Q2, Q3	N	T2P (months) Q1, Q2, Q3	
AT	tTau / Ab1-42 > 0.59	59	23.4, 71.6, 108	76	13.6, 25.7, 72.0	1.9 (1.2, 3.1)
HV	HV < 6.41 and ApoE4 +	86	18.6, 48.2, 108	49	13.1, 31.5, 60.0	2.0 (1.3, 3.2)
AT + HV	HV < 7.0, pTau > 18.1, and tTau / Ab1-42 > 0.36	57	24.4, 71.6, 108	78	12.6, 25.7, 72.0	2.2 (1.4, 3.6)
VGF	VGF.NSEP < 19.71 and ApoE4 +	91	24.0, 48.0, 96.5	44	12.2, 18.1, 71.6	2.1 (1.3, 3.2)
AT + VGF	pTau / Ab1-42 > 0.08, tTau / Ab1-42 > 0.31, and VGF.NSEP < 20.30	46	38.8, 96.5, 108	89	12.6, 24.1, 54.9	3.4 (2.1, 5.9)
AT + HV + VGF	HV < 7.81, pTau > 16.18, tTau / Ab1-42 > 0.29, and VGF.NSEP < 20.39	48	38.8, 96.2, 120	87	12.4, 24.1, 60	3.9 (2.3, 7.0)

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690 Table 4: Time to progression (T2P) of MCI subjects to AD using optimal and other candidate
 691 signatures for the AT+HV+MRM scenario

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Data type	Diagnostic Criteria for Signature positive	Signature Negative		Signature Positive		Hazard Ratio (95% C.I.)
		N	T2P (months) Q1, Q2, Q3	N	T2P (months) Q1, Q2, Q3	
AT + HV + VGF	HV < 7.81, pTau > 16.18, tTau / Ab1-42 > 0.29, and VGF.NSEP < 20.39	48	38.8, 96.2, 120	87	12.4, 24.1, 60.0	3.9 (2.3, 7.0)
	HV < 7.81, pTau > 16.18, tTau / Ab1-42 > 0.29, and VGF.AYQG < 18.47	56	35.8, 96.2, 120	79	12.3, 23.4, 48.3	4.1 (2.4, 6.8)
	HV < 7.81, pTau > 16.18, tTau / Ab1-42 > 0.29, and VGF.THLG < 17.62	52	48.0, 96.5, 120	83	12.3, 23.9, 48.3	4.7 (2.7, 8.2)

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