

Virally mediated optogenetic excitation and inhibition of pain in freely moving nontransgenic mice

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Primary nociceptors are the first neurons involved in the complex processing system that regulates normal and pathological pain¹. Because of constraints on pharmacological and electrical stimulation, noninvasive excitation and inhibition of these neurons in freely moving nontransgenic animals has not been possible. Here we use an optogenetic² strategy to bidirectionally control nociceptors of nontransgenic mice. Intrasciatic nerve injection of adeno-associated viruses encoding an excitatory opsin enabled light-inducible stimulation of acute pain, place aversion and optogenetically mediated reductions in withdrawal thresholds to mechanical and thermal stimuli. In contrast, viral delivery of an inhibitory opsin enabled light-inducible inhibition of acute pain perception, and reversed mechanical allodynia and thermal hyperalgesia in a model of neuropathic pain. Light was delivered transdermally, allowing these behaviors to be induced in freely moving animals. This approach may have utility in basic and translational pain research, and enable rapid drug screening and testing of newly engineered opsins.

There has been much recent interest and progress in applying optogenetics, a technique that enables light-mediated stimulation and inhibition of neuronal function, to control the activity of neurons outside the brain^{3–11}. Optogenetic control of such neurons has largely been achieved through the use of transgenesis in mice^{3,4,6,11} or rats⁵, or through the use of nongenetic light-sensitive chemicals in optically transparent organs such as the cornea⁷. The study of acute and chronic pain represents a particularly fruitful area for optogenetic control, as in addition to its potential translational utility, optogenetic control over primary afferent nociceptors may enable greater understanding of the contribution of activity in these neurons to the development and maintenance of acute and chronic pain states.

There have been two previous efforts to optogenetically control nociceptors using genetically encoded light-sensitive opsins. Wang *et al.* developed a transgenic mouse line that expressed a stimulatory opsin in a defined nociceptor subtype expressing Mas-related G-protein-coupled receptor member D, and they used it to examine functional connectivity in the substantia gelatinosa layer of the spinal cord⁶. This system was an early demonstration of the power of

optogenetics in causal dissection of pain circuitry; however, it was restricted to *in vitro* preparations and was not applied to freely moving animals. More recently, Daou *et al.* developed a transgenic mouse line expressing a stimulatory opsin in Nav1.8⁺-expressing neurons¹¹, and characterized its utility in transdermal optogenetic activation and sensitization of pain. Both of these systems have the great benefit of genetic specificity and achieve optogenetic activation restricted to a defined class of neurons. However, both methods require transgenesis and may therefore be less amenable to use across different species or to rapid extension to new opsin variants. Finally, the capability to optogenetically inhibit pain sensation has remained elusive.

Here we have designed a method to optogenetically stimulate and inhibit acute pain in both normal and pathological states in freely moving nontransgenic mice. We sought a flexible and adaptable method so that we could rapidly exploit the variety of newly developed opsins with different activation spectra, kinetics and downstream effects¹². In addition, to ensure that our approach could lay the foundation for future translational application of optogenetics in the peripheral nervous system^{13,14}, we chose a strategy that was clinically relevant. We used adeno-associated virus serotype-6 (AAV6), which has been used for gene delivery through retrograde transport in nonhuman primates, both in the periphery¹⁵ and in the brain¹⁶, and is a leading candidate for use in human clinical trials¹⁷ to express opsins in nociceptors. AAV6 has previously been reported to specifically transduce nociceptors when delivered through an intrasciatic injection¹⁸.

We engineered AAV6 to express the blue light-sensitive cation channel channelrhodopsin-2 (ChR2) fused to enhanced yellow fluorescent protein (eYFP), under the control of the pan-neuronal human synapsin-1 promoter (*Syn1*, or *hSyn*). We then injected AAV6-hSyn-ChR2-eYFP into the sciatic nerve of mice. We chose this route of delivery as it involves a simple surgery and poses no risk for damage to the spinal cord, unlike injections into the dorsal root ganglia (DRG) or spinal cord. Two to four weeks after injection, electrophysiological recordings from ChR2-expressing neurons in the DRG revealed that ChR2 was functional, as ChR2⁺ cells could fire action potentials when stimulated at 5–10 Hz with 1 mW/mm² 475-nm light (**Fig. 1a** and **Supplementary Fig. 1**). 16.6 ± 2.9% of all DRG neurons expressed

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Received 13 October 2013; accepted 17 January 2014; published online 16 February 2014; doi:10.1038/nbt.2834

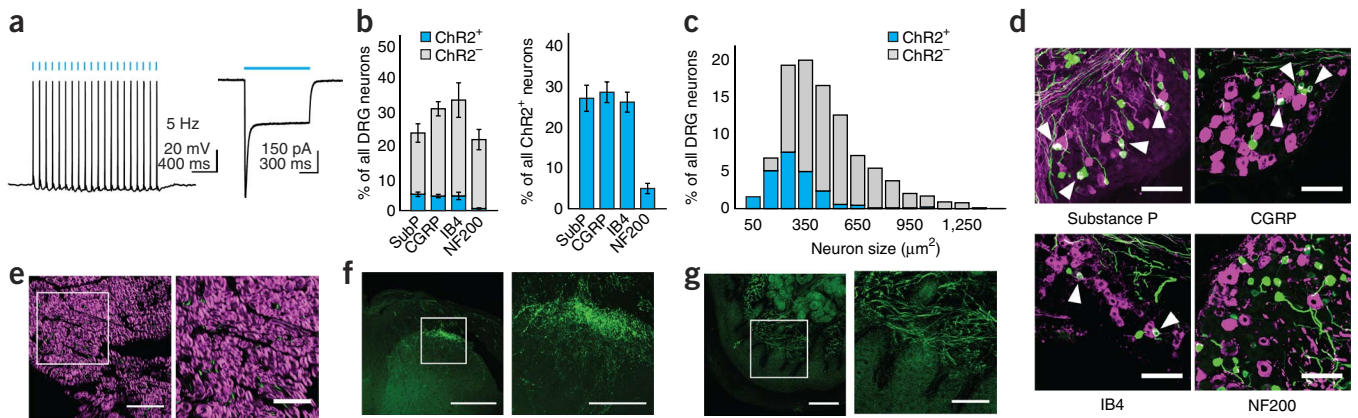


Figure 1 Intrasciatic injection of AAV6-hSyn-ChR2-eYFP results in transduction of unmyelinated nociceptors projecting to spinal cord lamina I/IIo. (a) Electrophysiology of dissociated ChR2⁺ DRG neurons ($n = 7$ neurons). Left, representative whole-cell current-clamp recording showing action potentials induced by 475 nm light (5 Hz, 1 mW/mm²). Right, representative whole-cell voltage-clamp recording showing response to a light pulse (1 s, 475 nm, 1 mW/mm²). Cell is held at -50 mV. Blue bars denote exposure to light. (b) Quantification of histological data (representative images shown in d) plotted as a fraction of all DRG neurons (left) or all ChR2⁺ neurons (right) ($n = 5$ mice). (c) Diameter of transduced DRG neurons, calculated from histological images using Fiji ($n = 205$ ChR2⁺ neurons), 4 weeks after AAV6-hSyn-ChR2-eYFP was injected intrasciatically. (d) AAV6-hSyn-ChR2-eYFP was injected into the sciatic nerve. 2–4 weeks later, lumbar DRG sections were stained with antibodies specific for nociceptive markers (Substance P, CGRP and IB4) or NF200 (all shown in magenta). ChR2, green; overlay, white. Arrowheads denote colocalization of ChR2-eYFP with nociceptive markers. Scale bars, 100 μ m. (e) AAV6-hSyn-ChR2-eYFP was injected into the sciatic nerve. 2–4 weeks later, sciatic nerve sections were stained with FluoroMyelin, a dye specific for myelin (staining shown in magenta). ChR2, green. Right panel shows inset drawn in left panel. Scale bars: left, 50 μ m; right, 25 μ m. (f,g) AAV6-hSyn-ChR2-eYFP was injected into the sciatic nerve. 2–4 weeks later, qualitative observation of YFP fluorescence in histological sections suggested ChR2-eYFP expression in the lumbar spinal cord (f; scale bars: left, 250 μ m; right, 100 μ m) and dermis of the paw (g; scale bars: left, 100 μ m; right, 50 μ m). Right panels show inset drawn in left panel. All grouped data are shown as mean \pm s.e.m. Representative images in d–g are based on at least five mice.

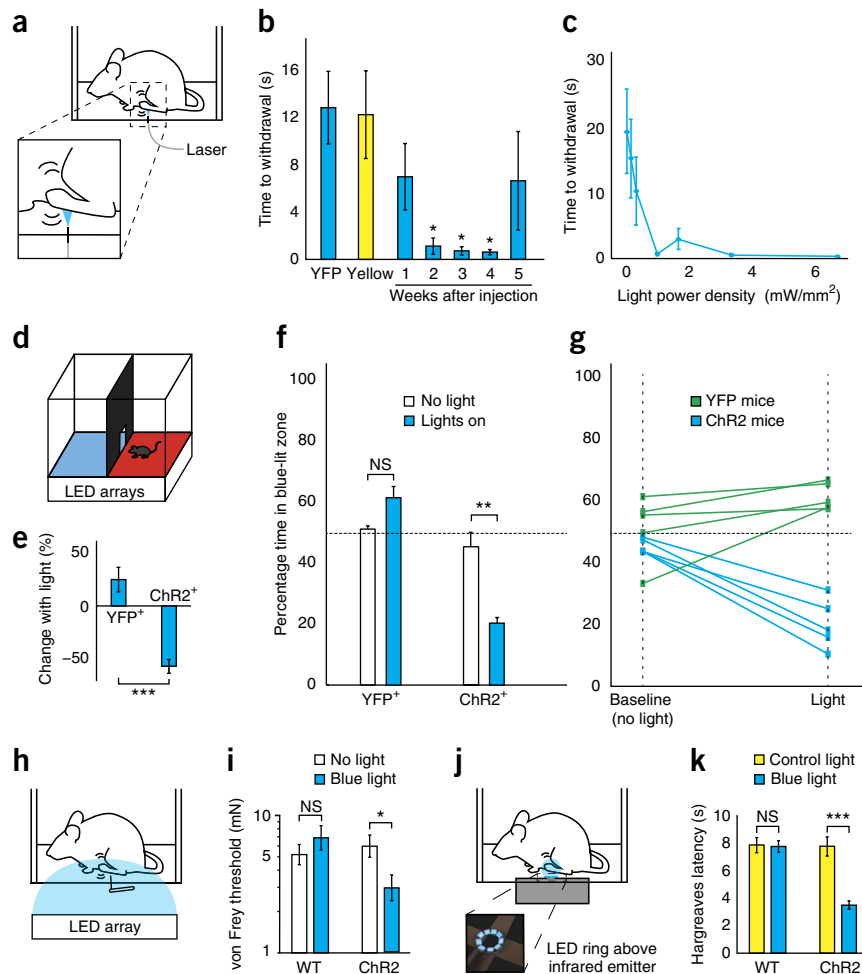
ChR2 (Fig. 1b). ChR2 was preferentially expressed in small-diameter neurons (80% of neurons $<200 \mu\text{m}^2$ were ChR2⁺; Fig. 1c). Immunohistochemistry showed considerable overlap between ChR2 and various nociceptive markers, including Substance P, calcitonin gene-related peptide (CGRP) and isolectin-B4 (IB4) (Fig. 1b,d), but minimal overlap with NF200, a marker of large-diameter myelinated neurons that mediate touch and proprioception. Consistent with this, 96.8 \pm 1% of ChR2⁺ axons in the sciatic nerve were unmyelinated (Fig. 1e). ChR2 trafficked throughout the neuron, terminating in central projections to superficial laminae in the dorsal horn of the spinal cord, with little expression seen in deeper laminae or in ascending dorsal columns; these observations suggest that the transduced neurons were nociceptors projecting to Rexed's lamina I/IIo (Fig. 1f and Supplementary Fig. 2). Notably, we observed strong ChR2 expression in dermal free nerve endings in regions of the paw innervated by the sciatic nerve, suggesting that transdermal illumination of the paw could potentially be used to optogenetically activate these nociceptors (Fig. 1g).

We next examined the behavioral effect of transdermal optogenetic activation of these nociceptors. We allowed mice to freely explore a chamber with a transparent floor. After habituation, we shone blue light (1 mW/mm²) on the plantar hindpaws of AAV6-hSyn-ChR2-eYFP-injected mice (Fig. 2a). In response to blue light, mice flinched, vocalized or engaged in prolonged paw-licking; these are operant behaviors associated with pain¹⁹ (Supplementary Video 1). To quantify this effect, we measured the time between light onset and any paw withdrawal, regardless of whether such withdrawal was due to pain or normal exploratory behavior. AAV6-hSyn-ChR2-eYFP-injected mice were light sensitive, withdrawing with latencies as low as a few hundred milliseconds in response to low intensities of blue light (1 mW/mm²; Fig. 2b). Reduced latency (compared with responses to yellow light) was first observed 2 weeks after virus injection ($P = 0.034$, effect size = 2.10), and latency remained low for 3 weeks thereafter (week 3: $P = 0.027$, effect size = 2.17; week 4: $P = 0.026$,

effect size = 2.19). These same latencies were also significantly lower than those of mice injected with a control AAV6-hSyn-eYFP virus (Fig. 2b). At 2–4 weeks after injection, illumination levels below 1 mW/mm² had less of a nociceptive effect, and an increase in illumination intensity past 1 mW/mm² did not result in any additional decrease in latency (Fig. 2c). Although latencies to 1-mW/mm² blue light in AAV6-hSyn-ChR2-eYFP-injected mice began to increase 5 weeks after injection, most mice remained sensitive to higher intensities of light (>3.3 mW/mm²; four of five mice) when examined at 12 weeks after injection (Supplementary Fig. 3 and 4). Expression of astrocytic markers was slightly higher in injected compared with uninjected mice at 12 weeks after injection (Supplementary Fig. 3a). We observed microglial activation 12 weeks after injection, and the extent of activation was qualitatively greater in the mouse that was no longer light sensitive (Supplementary Fig. 3b). This mouse also showed weaker YFP fluorescence, but did not have fewer YFP-expressing axons counted in sciatic nerve cross-sections (Supplementary Fig. 4). Although these observations are based on analysis of only one light-insensitive mouse, they may indicate that the change in light sensitivity is due to partial shutdown of transgene expression, as has been previously reported with AAV6 in mice²⁰.

To test whether optogenetic induction of pain was tunable, we investigated whether lower intensities of illumination (0.25 mW/mm²) that were not immediately aversive would cause more subtle effects. We constructed a place-aversion apparatus in which the floor of each chamber was illuminated with an LED array that emitted either off-spectrum (red, 625 nm) or on-spectrum (blue, 475 nm) light (Fig. 2d). AAV6-hSyn-ChR2-eYFP-injected mice, when exploring the blue-lit chamber, did not show any outward signs of pain and did not engage in paw-licking or flinch from the light. However, they showed an 80–20% preference for the red chamber over the blue chamber ($P = 0.0013$, effect size = 3.11; Fig. 2e–g). Such aversion may be caused by low levels of pain that are not great enough to induce reflexive withdrawal, but still cause changes in operant behavior.

Figure 2 Transdermal illumination of AAV6-hSyn-ChR2-eYFP-injected mice results in tunable pain-like behavior and sensitizes mice to mechanical and thermal stimuli. **(a)** Experimental schematic. **(b)** AAV6-hSyn-ChR2-eYFP or AAV6-hSyn-eYFP (YFP) was injected into the sciatic nerve unilaterally. Latency in withdrawal response to blue light (at indicated times after injection) or yellow light (2–4 weeks after injection) (1 mW/mm², *n* = 4 mice) was measured. One-way ANOVA: $F(6,21) = 3.98$, $P = 0.0082$; Dunnett's test: P (week 2) = 0.034, P (week 3) = 0.027, P (week 4) = 0.026; effect size (week 2) = 2.10, effect size (week 3) = 2.17, effect size (week 4) = 2.19. **(c)** Mice were injected as in **b**, except bilaterally, and exposed to blue light of varying intensity. 2–4 weeks after injection, latency in withdrawal response was measured (*n* = 4 hind paws from 2 mice). **(d)** Place aversion schematic. **(e)** Change in preference for blue-lit areas in AAV6-hSyn-ChR2-eYFP-injected mice (ChR2; 55.6% decrease in time spent in blue-lit areas, effect size = 3.11, $P = 0.0013$, *n* = 5) or AAV6-hSyn-eYFP-injected mice (YFP; 19.9% increase in time spent in blue-lit areas, $P = 0.06$, *n* = 5) was measured 2–4 weeks after injection during exposure to light. These two percentage changes were statistically different from each other ($P = 0.00061$). **(f)** Place aversion changes compared to unlit baseline (*n* = 5). **(g)** Traces of individual mice for place aversion data. **(h)** Schematic of experiment assessing ChR2-mediated sensitization (0.25 mW/mm² blue light intensity) to von Frey filaments. **(i)** von Frey thresholds in AAV6-hSyn-ChR2-eYFP-injected mice (effect size = 0.904, $P = 0.027$, *n* = 10 paws) and wild-type uninjected mice ($P = 0.50$, *n* = 10 paws) in the presence and absence of blue light. WT, wild type. **(j)** Schematic of experiment assessing optogenetic modulation of thermal thresholds. Blue light power density was 0.15 mW/mm². **(k)** Withdrawal (Hargreaves) latency to infrared stimulus in AAV6-hSyn-ChR2-eYFP-injected mice (effect size = 2.77, $P = 0.00038$, *n* = 7 paws) and wild-type uninjected mice ($P = 0.91$, *n* = 9 paws, controls for ChR2 injected mice) in the presence of blue light or off-spectrum control illumination. All grouped data are shown as mean \pm s.e.m. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$; NS, not significant.



AAV6-hSyn-eYFP-injected mice showed a slight, nonsignificant preference for the blue chamber ($P = 0.06$; **Fig. 2e–g**).

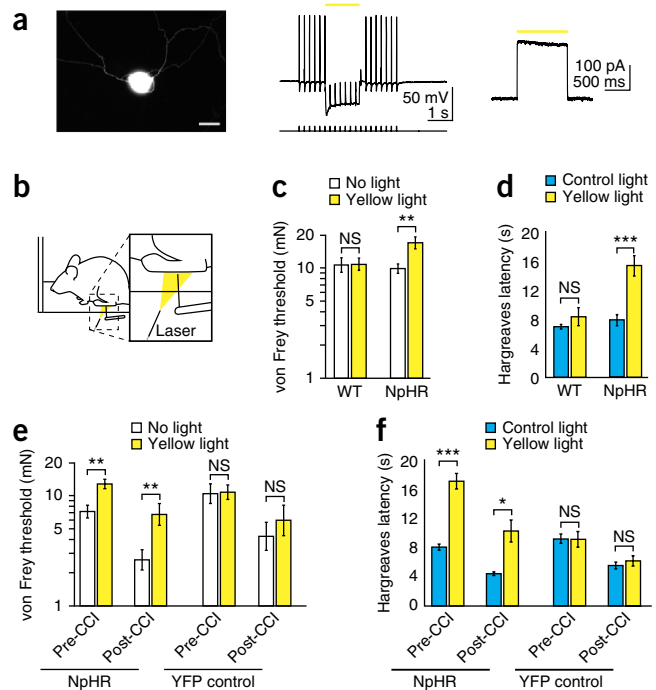
We reasoned that low levels of optogenetic stimulation may also act to sensitize AAV6-hSyn-ChR2-eYFP-injected mice to otherwise inoffensive stimuli. To examine this, we conducted von Frey testing of the mechanical withdrawal threshold and Hargreaves testing of the thermal withdrawal latency, and did so with concurrent illumination of the relevant paw with low intensities of blue light (0.15 mW/mm²; **Fig. 2h–k**). While such illumination was insufficient to induce immediate aversion (**Fig. 2c**), it did significantly lower von Frey thresholds by 50% ($P = 0.027$, effect size = 0.904; **Fig. 2i**) and Hargreaves latency by 55% ($P = 0.00038$, effect size = 2.77; **Fig. 2k**). Such sensitization may occur through subthreshold depolarization induced in nociceptor free nerve endings, which may render them more sensitive to otherwise innocuous stimuli. Wild-type uninjected mice showed no significant difference in von Frey thresholds or Hargreaves latency in the presence or absence of blue light.

To complement our ability to optogenetically induce pain, we sought to develop methods to optogenetically inhibit action potential generation in nociceptors. Such inhibition could have therapeutic value, and could provide a type of spatially and temporally restricted

control over action potential generation not possible with pharmacology or electrical stimulation. To this end, we engineered AAV6 to express the yellow light-sensitive third-generation chloride pump halorhodopsin (eNpHR3.0) fused to eYFP, again under the control of the hSyn promoter.

We injected AAV6-hSyn-eNpHR3.0-eYFP into the sciatic nerve of mice, again achieving transduction primarily of lamina I/IIo-projecting nociceptors (**Supplementary Figs. 5 and 6**). Similar to ChR2 expression patterns, we transduced $16.5 \pm 3.1\%$ of all DRG neurons, including 75% of neurons less than 200 μm^2 in diameter (**Supplementary Fig. 7**). We observed some opsin-dependent variation: 83.8% of NpHR⁺ axons were unmyelinated, as compared with 96.8% of ChR2⁺ axons. In some spinal cord sections, we also observed NpHR transduction of ventral horn motor neurons projecting to muscles enclosing the sciatic nerve cavity, potentially as a result of spillover from the intrasciatic injection into this cavity¹⁰. As these neurons would not project to the dermis, we do not expect transdermally applied illumination to affect these neurons. Examination of the paw of NpHR-injected mice showed a similar pattern of expression to that observed in ChR2-injected mice (**Fig. 1g** and **Supplementary Fig. 5e**). Electrophysiological recordings of isolated cultured NpHR⁺

Figure 3 Transdermal illumination of AAV6-hSyn-NpHR-eYFP-injected mice desensitizes mice to mechanical and thermal stimuli and reverses mechanical allodynia and thermal hyperalgesia caused by a chronic constriction injury (CCI). **(a)** Electrophysiological recording from dissociated NpHR⁺ DRG neurons ($n = 10$ neurons). Left, image of NpHR⁺ DRG neuron. Scale bar, 25 μm . Middle, representative whole-cell current-clamp recordings showing yellow light (586 nm)-mediated inhibition of electrically evoked spikes (400 pA current injection, 5 ms pulse width) in an NpHR-expressing DRG neuron. Yellow bar denotes exposure to constant light. Right, representative whole-cell voltage-clamp recording showing outward photocurrent in an NpHR-expressing DRG neuron exposed to a 1-s, 586-nm light pulse (indicated by yellow bar). **(b)** Experimental schematic of assessment of NpHR-mediated inhibition of mechanical stimuli (1.1–1.7 mW/mm^2 light intensity). **(c)** von Frey thresholds of AAV6-hSyn-NpHR-eYFP-injected mice (effect size = 0.802, $P = 0.0043$, $n = 24$ paws) and wild-type (WT) uninjected mice ($P = 0.71$, $n = 20$ paws) in the presence or absence of yellow light. **(d)** Withdrawal (Hargreaves) latency to infrared stimulus in AAV6-hSyn-NpHR-eYFP-injected mice (effect size = 2.05, $P = 0.00019$, $n = 10$ paws) and wild-type uninjected mice ($P = 0.26$, $n = 10$ paws) exposed to yellow light (0.15 mW/mm^2) or control off-spectrum illumination. **(e)** von Frey thresholds of AAV6-hSyn-NpHR-eYFP-injected mice (NpHR; 78% increase, effect size = 1.43, $P = 0.0020$, $n = 10$ paws) or AAV6-hSyn-eYFP-injected mice (YFP; $P = 0.41$, $n = 12$ paws) in the presence of yellow light were measured before CCI. The same measurements were performed 2–3 d after CCI in the absence of yellow light (NpHR-injected mice, 64% reduction, effect size = 1.61, $P = 0.0020$, $n = 10$ paws; YFP mice, 59% reduction, effect size = 1.03, $P = 0.00049$, $n = 12$ paws) and in the presence of yellow light (258% increase in NpHR mice, effect size = 0.92, $P = 0.0020$, $n = 10$ paws; YFP mice, $P = 0.57$, $n = 12$ paws). **(f)** Mice were subjected to CCI as in **e**, and we measured withdrawal (Hargreaves) latency to infrared stimulus, before or after CCI, in the presence of yellow light or off-spectrum control illumination. Effect of yellow light before CCI: NpHR mice, 112% increase, effect size = 3.95, $P = 0.00025$, $n = 7$ paws; YFP mice, $P = 0.97$, $n = 9$ paws. Effect of CCI, measured during off-spectrum control illumination: NpHR mice, 45% reduction, effect size = 3.75, $P = 0.00077$, $n = 7$ paws; YFP mice, 40% reduction, effect size = 2.06, $P = 0.0038$, $n = 9$ paws. Effect of yellow light after CCI: NpHR mice, 132% increase, effect size = 1.91, $P = 0.012$, $n = 7$ paws; YFP mice, $P = 0.53$, $n = 9$ paws. All grouped data are shown as mean \pm s.e.m. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$; NS, not significant.



DRG neurons revealed strong hyperpolarization in response to constant yellow-light illumination, and this was sufficient to block action potential initiation (**Fig. 3a** and **Supplementary Fig. 8**).

We tested NpHR-injected mice using similarly modified von Frey and Hargreaves apparatuses that emitted yellow (593 nm) light (**Fig. 3b**). We noted significant behavioral responses to optogenetic inhibition of nociceptors. The NpHR-injected mice, when illuminated with 1.1- to 1.7- mW/mm^2 light, had a 69% increase ($P = 0.0043$, effect size = 0.802) in their von Frey withdrawal thresholds (**Fig. 3c**). In addition, low intensities of yellow light (0.15 mW/mm^2) were sufficient to increase Hargreaves withdrawal latency by 97% ($P = 0.00019$, effect size = 2.05, **Fig. 3d**). Wild-type mice showed no significant change in behavior upon illumination with yellow light.

Finally, we tested whether our ability to optogenetically inhibit nociception could inhibit neuropathic pain. We performed baseline von Frey and Hargreaves testing on NpHR-injected mice, replicating our initial findings that yellow light desensitized mice to mechanical and thermal stimuli (**Fig. 3e,f**). We then performed a chronic constriction injury²¹ to induce symptoms of neuropathic pain in these mice. As expected, mice showed thermal and mechanical allodynia following the injury. We then illuminated mice with yellow light while performing von Frey and Hargreaves testing. We observed that optogenetic inhibition could reverse mechanical allodynia, increasing von Frey thresholds from 36% to 94% of preinjury, nonilluminated levels ($P = 0.0020$, effect size = 0.920; **Fig. 3e**). Optogenetic inhibition also reversed thermal hyperalgesia in NpHR-injected mice, increasing Hargreaves withdrawal latency from 55% to 128% of normal, nonilluminated levels ($P = 0.012$, effect size = 1.91; **Fig. 3f**). In both von Frey and Hargreaves tests, YFP-injected controls showed no significant

changes with illumination, either before or after chronic constriction injury ($P > 0.4$).

In aggregate, these findings show that opsins can be expressed with high specificity in nociceptors through a relatively simple injection procedure that does not require transgenesis. The resulting opsin transduction efficiency is sufficient to enable noninvasive transdermal light-mediated control of nociceptor activity. This contrasts with previous efforts in our laboratory to control peripheral neurons in unanesthetized animals, which have required the use of implantable light-delivery cuffs¹⁰.

The system described here may be used in several research applications. For example, it might facilitate rapid *in vivo* testing of the behavioral effects of novel opsin variants. As the optogenetic toolbox expands to include more opsins that are red-shifted²², and may therefore be activated by red light, which has greater penetration depth than blue light²³, noninvasive approaches such as the one described here are also likely to be adopted more widely for study of the peripheral nervous system. In addition, this system may be used to screen for compounds that inhibit or exacerbate pain by providing a noninvasive method to specifically stimulate nociceptors and to measure the effect of pharmacological intervention on the resulting pain response. Lastly, it might be used by scientists who seek new methods to perturb nociceptor activity. Here, we restricted our optogenetic intervention to short-duration stimuli, thereby controlling only acute pain perception. In the future, an optogenetic approach could be used to selectively increase or decrease nociceptor activity in a more chronic manner, using opsins that have been engineered to act on longer timescales²⁴. Alternatively, recently developed systems to optogenetically control gene expression may be used in concert

with AAV6 to control endogenous transcription in nociceptors²⁵. Combining Cre-inducible AAVs carrying doublefloxed inverted opsin (DIO)²⁶ genes with transgenic nociceptor subtype-specific Cre-expressing mouse lines would allow researchers to rapidly use novel opsins while also providing intersectional specificity greater than the broad nociceptor-wide control reported here.

Although this system may also be adapted for future therapeutic use, a number of challenges currently block clinical translation of optogenetic techniques¹³. In the context of optogenetic control of pain, two major challenges are light delivery and persistent opsin expression. Transdermal optogenetic control of nociceptors may be affected by variation in skin pigmentation or by the effect of greater skin thickness in larger organisms. Estimates of nociceptor depth in nonhuman primates vary, with one study finding a mean depth of 201 μm (ref. 27). Such depths may lie within the range of effective light penetration through human skin (blue light has been estimated to attenuate by 63% at a depth of 500 μm (ref. 23)). Another important challenge is achieving more persistent opsin expression; this will likely involve testing whether other AAV variants have similar expression profiles but can achieve more persistent expression. AAV1, which has high sequence similarity to AAV6 (ref. 28), but which has been reported to exhibit less shutdown²⁰, may be a plausible candidate for such work. Regardless, these findings represent an initial proof of concept for future efforts to use noninvasive transdermal light as a treatment for chronic pain.

METHODS

Methods and any associated references are available in the [online version of the paper](#).

Note: Any Supplementary Information and Source Data files are available in the [online version of the paper](#).

ACKNOWLEDGMENTS

We thank H. Liske, X. Qian, S. Mackey, A. Weitz, D.J. Clark, D.C. Yeomans, P. Sabhaie, C. Gorini, S. Young and H. Scutt for useful discussions and assistance with experiments. This study was supported by the US National Institutes of Health (National Institute of Neurological Disorders and Stroke grant R01-NS080954), the Stanford Bio-X Neuro Ventures program and the Stanford Bio-X Interdisciplinary Initiatives program. S.M.I. was supported by an Office of Technology Licensing Stanford Graduate Fellowship and by a Howard Hughes Medical Institute International Student Research Fellowship. K.L.M. was supported by a Bio-X Bioengineering Graduate Fellowship and by a Stanford Interdisciplinary Graduate Fellowship. C.T. was supported by a Swiss National Science Foundation Fellowship.

AUTHOR CONTRIBUTIONS

S.M.I., K.L.M., C.T. and S.L.D. designed the experiments. S.M.I. and K.L.M. performed the experiments. C.R. performed cell culture and created vectors. S.Y.L. performed electrophysiology. K.D. contributed reagents and tools. S.M.I., K.L.M. and S.L.D. wrote and edited the paper, with comments from all other authors.

COMPETING FINANCIAL INTERESTS

The authors declare competing financial interests: details are available in the [online version of the paper](#).

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ONLINE METHODS

Animal test subjects and experiments. All surgical and behavioral procedures were approved by the Stanford University Administrative Panel on Lab Animal Care. Female C57BL/6 mice (1–4 months old) were housed in groups of 5 under a 12:12 light:dark cycle. Food and water were available *ad libitum*.

Within a cage, mice were not randomly assigned to experimental groups; however, cages themselves were assigned to experimental groups before any observation of the mice to remove the potential for randomization error. In all cases, controls and experimental groups were age-matched.

General statistical methods. In cases where data were known to be drawn from a non-normal distribution (von Frey measures of mechanical withdrawal), nonparametric tests were used. Paired tests were used for all data analysis except for comparing group percentage changes in the place aversion test. In that case, a homoscedastic test was used, as the two populations had similar variance (measured using Levene's test). All behavioral data presented except for the chronic constriction injury experiments were replications of small-sample pilot experiments. Due to humane concerns, chronic constriction injury experiments were performed only once, but with sufficient sample size to allow for adequate statistical power. These results were consistent across two groups of two cages of YFP⁺ and NpHR⁺ mice, which were tested separately on different days. Sample sizes were estimated using $\alpha = 0.05$ and power $(1 - \beta) = 0.8$. Based on pilot experiments, an effect size of 0.5 was assumed for all behavioral experiments resulting in a sample size of approximately 10 mice per group, with the exception of initial NpHR-mediated increase in mechanical withdrawal thresholds, where a lower effect size of 0.4 was used, resulting in a sample size of approximately 20 mice per group.

Inclusion/exclusion criteria. Mice were only excluded during tests of the chronic constriction injury condition. The efficacy of the chronic constriction injury procedure was assessed using the von Frey test for measurement of the mechanical withdrawal threshold. Mice that did not show a reduction in nonilluminated von Frey threshold following CCI were excluded from later analysis.

Intrasciatic injection of rAAV2/6-hSyn-ChR2(H134R)-eYFP and rAAV2/6-hSyn-eNpHR3.0-eYFP. *Virus preparation.* AAV6 was chosen as a viral vector due to its previously described specificity for nociceptive neurons following intrasciatic injection¹⁸. To create the vector, the CaMKIIa promoter from the construct pAAV-CaMKIIa-hChR2(H134R)-EYFP used in Lee *et al.*²⁹ was replaced by the 450 bp human synapsin promoter at the MluI/BamHI sites to generate pAAV-hSyn-hChR2(H134R)-EYFP using standard molecular biology techniques. The ChR2(H134R) mutant was used as it has higher photocurrents than wild-type ChR2 (ref. 12). Human synapsin was chosen as a promoter as it has previously been shown to result in neuron-specific transduction³⁰. The plasmid map and DNA is available at http://www.stanford.edu/group/dlab/optogenetics/sequence_info.html. This plasmid was packaged as an AAV6 virus by the UNC Vector Core; the virus can be ordered at <http://genetherapy.unc.edu/services.htm>. The titer was determined by a dot-blot technique to measure viral capsids.

Surgical procedures. Mice were anesthetized with 2–2.5% isoflurane and given 0.1 mg carprofen via subcutaneous injection, placed on a heating pad maintained at 37 °C and allowed to reach a stable plane of anesthesia, which was periodically checked through examination of breathing rate and a toe-pinch test. The incision site (described below) was cleared of fur and sterilized with alternating applications of ethanol and Betadine solution. After the mouse legs were taped to the surgical table, sterilized forceps and spring scissors were then used to make a 2-cm incision at the level of the sciatic nerve. The sciatic nerve cavity was exposed by cutting the connective tissue between the gluteus superficialis and biceps femoris muscles and kept open with retractors. The nerve was carefully freed from the underlying fascia using blunted micromanipulators and spring scissors. 100 μ l of 0.25% Bupivacaine was injected into the incision site to simultaneously prevent the nerve from drying and induce local anesthesia. A 35G beveled needle (Nanofil no. NF35BV-2, World Precision Instruments) was inserted under the epineurium of the nerve, and 2.5–4 μ l of virus solution (see below for quantities of virus injected) was injected at

1 μ l/min, using a 25 μ l syringe (Hamilton Company) connected to a Harvard PHD Syringe pump (Harvard Apparatus). Care was taken to minimize damage to the nerve as much as possible. Two separate injections were made into the common peroneal and tibial branches of the sciatic nerve, to ensure that the nerve was filled uniformly. rAAV6-hSyn-ChR2-eYFP-injected mice received 3×10^{10} vector genomes (vg; from UNC Vector Core), and rAAV6-hSyn-eNpHR3.0-eYFP-injected mice received either 1×10^{11} vg or 3×10^{11} vg (from UNC Vector Core and Virovek, respectively). Depending on the mouse, this procedure was performed either unilaterally or bilaterally. The incision was then sutured closed using 5-0 suture.

Isolation, culture and electrophysiology of opsin-expressing DRG neurons.

Isolation of DRG. DRG excision, culture and electrophysiology procedures were largely based on previously reported protocols³¹. Three to four weeks after intrasciatic injection, mice were deeply anesthetized with isoflurane 5% and fur was shaved from the back. Mice were then perfused with 4 °C sterile phosphate-buffered saline. The following isolation steps were rapidly performed, and completed within 5 min after perfusion. After removing the skin from the back, using sterile procedure, the muscles along the vertebral column were cut and bone rongeurs used to peel away any muscle or tendon superficial to the vertebrae. The rongeurs were used to break away the vertebral bone directly dorsal to the spinal cord, starting at the base of the spine, and moving rostrally. Muscle lateral to the spinal cord was peeled away until the sciatic nerve branches could be visualized, and bones were broken lateral to the spinal cord to free the path of the nerve. Each nerve branch was cut using small spring scissors, pulled proximally with forceps until the dorsal root ganglion could be visualized and cut proximal to the DRG. The DRG was then placed in 4 °C, sterile MEM-complete solution (minimal essential media, MEM vitamins, antibiotics and 10% FBS). Three DRGs were excised from each opsin-expressing side of the mouse.

DRG culture. Excised DRGs were desheathed and transferred to MEM-Collagenase solution (minimal essential media, 100 \times MEM vitamins, antibiotics, no FBS, 0.125% collagenase). The tissue was incubated at 37 °C for 45 min in a water bath and then mechanically disrupted (trituated) in 2.5 ml TrypLE Express (Invitrogen). The trypsin was quenched with 2.5 ml MEM-complete with 80 μ g/ml DNase I, 100 μ g/ml trypsin inhibitor from chicken egg white and 2.5 mg/ml MgSO₄. Cells were centrifuged and resuspended in MEM-complete at a cell density of 500,000 cells/ml. 100 μ l of the cell suspension was carefully placed as a droplet on matrigel-coated coverslips, and then incubated at 37 °C, 3% CO₂, 90% humidity. Two hours after initial incubation, the cultured neurons were flooded with 1 ml of MEM-complete. Cells were maintained 2–7 d in culture with fresh media changes as needed until electrophysiology was performed.

Electrophysiology. A Spectra X Light engine (Lumencor) or DG4 xenon lamp (Sutter Instruments) was used to identify fluorescent protein expression and to deliver light pulses for opsin activation. A 475/28 filter was used to apply blue light to stimulate ChR2, and a 586/20 filter was used to apply yellow light to stimulate NpHR. Light power density through the microscope objective was measured with a power meter (ThorLabs). Whole-cell recordings were obtained with patch pipettes (4–6 M Ω) pulled from borosilicate glass capillaries (Sutter Instruments) with a horizontal puller (P-2000, Sutter Instruments). The external recording solution contained (in mM): 125 NaCl, 2 KCl, 2 CaCl₂, 2MgCl₂, 30 glucose, 25 HEPES and 1 μ M tetrodotoxin when necessary to eliminate escape spikes for peak photocurrent measurements. The internal recording solution contained (in mM): 130 K-gluconate, 10 mM KCl, 10 HEPES, 10 EGTA, 2 MgCl₂. Recordings were made using a MultiClamp700B amplifier (Molecular Devices), and pClamp10.3 software (Molecular Devices) was used to record and analyze data. Signals were filtered at 4 kHz using a Bessel filter and digitized at 10 kHz with a Digidata 1440A analog-digital interface (Molecular Devices). Peak and steady-state photocurrents were measured from a 1-s light pulse in voltage-clamp mode, where cells were held at –50 mV. Series resistances were carefully monitored and recordings were not used if the series resistance changed significantly (by >20%) or reached 20 M Ω .

Latency to light measurement. *Experimental protocol.* Approximately 1 to 5 weeks after intrasciatic injection, mice were placed in a plastic enclosure with a

thin, transparent, plastic floor and allowed to habituate to the test setup for 30 min before testing. A multimode optical fiber (ThorLabs, no. AFS105/125Y) attached to a laser (OEM Laser Systems, 473 nm, 1 mW/mm²) was directed at the footpad through the floor. To begin the trial, the animal was required to: (1) be awake, (2) have all four paws on the floor and (3) be at rest, not preparing to walk. Latency was calculated from when the footpad was illuminated to when the paw was withdrawn. To avoid experimenter bias, no subjective criteria were applied to the end-point for latency calculation, i.e., normal ambulation was also considered to end the trial. A maximum latency of 1 min was set to ensure practicality of data collection. Individual trials were at least 2 min apart, and each mouse had five trials, which were averaged together. All trials were video recorded at 30 frames per second and latencies calculated through video analysis post-collection.

Statistics. A one-way ANOVA was used to analyze changes in latency observed in rAAV6-hSyn-ChR2-eYFP-injected mice to blue light in weeks 1–5 compared with response to yellow light. Dunnett's post-hoc multiple-comparisons test was used to determine which latencies were significantly different from yellow-light controls. Effect sizes were calculated using *g*, an extension of Hedges' *g* for multiple groups³².

Place aversion. *Construction of two-chamber place aversion setup.* A two-chamber place setup was built with an entryway connecting the two 10 cm × 12 cm chambers. The floor of each chamber, one red, the other blue, was illuminated with a 10 × 12 array of light-emitting diodes (Blue LEDs, 475 nm; Red LEDs, 625 nm; Cree) and directed with mirrors such that the light power density was equivalent in each room (0.25 mW/mm²). This was done to ensure that our results would be unaffected by any preference the mice had for dark environments.

Experimental protocol. A single mouse was allowed to explore the two chambers for 10 min before testing with the LED array floors turned off. Then, the mouse's location was recorded using a video camera and analyzed using BIOBSERVE Viewer 2. The mouse position was recorded with the lights off for 10 min, and then the lights were switched on and the position recorded for a further 30 min.

Statistics. A two-sided paired Student's *t*-test was used to examine whether changes in mouse position preference between the 'lights-off' and 'lights-on' condition were statistically significant. The percentage change between the two conditions was then calculated for each rAAV6-hSyn-ChR2-eYFP-injected and rAAV6-hSyn-eYFP-injected mouse. Levene's test for heteroscedasticity was used to determine that homoscedastic tests could be used to compare these two changes (*P* = 0.38). These percentages were then compared using a two-sided, unpaired Student's *t*-test for homoscedastic populations. Effect sizes were calculated using Hedges' *g*.

Measurement of mechanical withdrawal thresholds. Mechanical allodynia was investigated through von Frey testing. Mice were allowed to habituate to the test setup for 1 h before testing. Hairs of various forces were applied to the bottom of the paw using the up-and-down method^{33,34} for approximately 2 s.

We considered the appearance of any of the following behaviors as a withdrawal response: (1) rapid flinch or withdrawal of the paw, (2) spreading of the toes or (3) immediate licking of the paw. If the animal moved the paw for some other reason before the end of the 2 s, the test was considered ambiguous and repeated. Depending on the opsin used, we then performed simultaneous illumination of the mouse's paw with blue light (473 nm, 0.15 mW/mm²) or yellow light (593 nm, 1.1–1.7 mW/mm²). The von Frey test was conducted by a single examiner for all data collected, who was always blinded to whether the mice being tested had opsin expression or not.

Statistics. Changes in von Frey threshold were tested for statistical significance using the nonparametric two-sided Wilcoxon signed-rank test. Effect sizes were calculated using Hedges' *g*.

Measurement of thermal withdrawal latency. We used a modified Hargreaves plantar test apparatus to measure changes in thermal sensitivity with different types of illumination. We slightly raised the standard Hargreaves test glass plate

to allow placement of an LED ring above the infrared emitter. The LED ring was calibrated to emit 0.15 mW/mm² of blue (475 nm, Cree) or yellow (590 nm, OSRAM Opto Semiconductors) light. To control for light-induced confounds, we compared withdrawal latency to infrared heat when mice received on-spectrum illumination (blue light for rAAV6-hSyn-ChR2-eYFP-injected mice, and yellow light for rAAV6-hSyn-NpHR-eYFP-injected mice) with off-spectrum illumination (vice versa). Withdrawal latency was measured between onset of infrared light and the first paw withdrawal. Infrared intensity was kept constant across all trials, and the tester was always blinded as to whether the mice being tested had opsin expression or not.

Statistics. Changes in thermal withdrawal latency were compared between off-spectrum and on-spectrum illumination conditions using a two-sided, paired Student's *t*-test. Effect sizes were calculated using Hedges' *g*.

Chronic constriction injury. The chronic constriction injury model used here was adapted from an existing protocol³⁵. Animals were anesthetized with isoflurane and the sciatic nerve was exposed unilaterally in a similar fashion to the sciatic nerve exposure used for the intrasciatic injections. One 7-0 prolene double-knot ligature was tied around the nerve such that the ligature was just able to slide along the nerve, and the free ends of the suture were cut short. Nonabsorbable 5-0 suture was used to close the wound. In order to promote development of neuropathic pain, no post-operative analgesics were administered.

Immunohistochemistry, imaging and quantification of transduction.

Immunohistochemistry. Mice were euthanized with 100 μl Beuthanasia-D, and transcardially perfused with 10 ml of 4 °C phosphate-buffered saline (1× PBS) and 10 ml of 4% paraformaldehyde (PFA). Bone rongeurs, spring scissors and forceps were used to carefully remove the sciatic nerve, associated dorsal root ganglia and the spinal cord together from the mouse. The paws were removed separately. All tissue was placed in 4% PFA overnight, stored at 4 °C. Following this, samples were transferred to 30% sucrose (in 1× PBS) and stored for varying lengths of time (at minimum 1 d). Samples were later dissected under microscopic guidance, and frozen separately in Tissue-Tek O.C.T. Samples were cut at 20-μm thickness using a cryostat (Leica CM3050S) and mounted on slides. All samples were thoroughly rinsed in PBS to remove any residual OCT. For all targets except myelin, samples were then blocked in 0.3% Triton X-100, 2% Normal Donkey Serum (NDS), dissolved in PBS for 1 h. Samples were then incubated overnight with primary antibody solutions with 0.3% Triton X-100, 5% NDS, dissolved in PBS. The next day, samples were rinsed in PBS and incubated for 1 h with secondary antibody solutions in PBS. Samples were then rinsed in PBS and coverslipped with PVA DABCO. Primary antibodies used were Rat anti-Substance P (1:500, #556312, BD Pharmingen), Rabbit anti-CGRP (1:5000, #C8198, Sigma-Aldrich), Biotin-IB4 (1:50, #B-1205, Vector Laboratories), Rabbit anti-NF200 (1:100, #N4142, Sigma-Aldrich), Rabbit anti-GFAP (1:1000, #7260, Abcam) and Rabbit anti-Iba1 (1:400, #019-19741, Wako). Secondary antibodies used were Cy5 Donkey anti-Rabbit (1:500, #711-175-152, Jackson Laboratories), Cy3 Donkey anti-Rabbit (1:500, #711-165-152, Jackson Laboratories), Cy3 Donkey anti-Rat (1:500, #711-165-152, Jackson Laboratories) and Streptavidin-Texas Red (3:100, #SA-5006, Vector Laboratories). For myelin staining, samples were incubated with FluoroMyelin Red (1:300, #F34652, Molecular Probes) for 20 min, rinsed in PBS and then coverslipped with PVA DABCO.

Confocal imaging. Samples were imaged using a Leica TCS SP5 confocal scanning laser microscope, using 20×, 40× and 63× oil immersion objectives, and analyzed using Leica LAS AF software. Images were later processed using Fiji, and image brightness and contrast were adjusted as required.

Quantification. We examined DRGs from 5 mice injected with rAAV6-hSyn-ChR2-eYFP and 5 mice injected with rAAV6-hSyn-NpHR-eYFP for coexpression of eYFP with Substance P, CGRP, IB4 and NF200. We examined nerve samples from three mice injected with rAAV6-hSyn-ChR2-eYFP and three mice injected with rAAV6-hSyn-NpHR-eYFP for coexpression of eYFP with myelin. For 12-week-post-injection mice, we examined sciatic nerve sections from all 5 mice injected with rAAV6-hSyn-ChR2-eYFP.

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