

# Warmer spring temperatures in temperate deciduous forests advance the timing of tree growth but have little effect on annual woody productivity

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As the climate changes, warmer spring temperatures are causing earlier leaf-out1-6 and commencement of net carbon dioxide (CO2) sequestration<sup>2,4</sup> in temperate deciduous forests, resulting in a tendency towards increased growing season length<sup>1,4,5,7–9</sup> and annual CO<sub>2</sub> uptake<sup>2,4,10-14</sup>. However, less is known about how spring temperatures affect tree stem growth, which sequesters carbon (C) in wood that has a long residence time in the ecosystem<sup>15,16</sup>. Using dendrometer band measurements from 463 trees across two forests, we show that warmer spring temperatures shifted the woody growth of deciduous trees earlier but had no consistent effect on peak growing season length, maximum daily growth rates, or annual growth. The latter finding was confirmed on the centennial scale by 207 tree-ring chronologies from 108 forests across eastern North America, where annual growth was far more sensitive to temperatures during the peak growing season than in the spring. These findings imply that extra CO<sub>2</sub> uptake in years with warmer springs<sup>10-12</sup> is not allocated to long-lived woody biomass, where it could have a substantial and lasting impact on the forest C balance. Rather, contradicting current projections from global C cycle models<sup>2,3,17,18</sup>, our empirical results imply that warming spring temperatures are unlikely to increase the woody productivity or strengthen the CO<sub>2</sub> sink of temperate deciduous forests. In recent decades, Earth's forests have sequestered ~20% of anthropogenic CO<sub>2</sub> emissions, thereby slowing the pace of atmospheric CO<sub>2</sub> accumulation and climate change 19,20. A large portion of this CO<sub>2</sub> sink occurs in temperate deciduous forests, which sequester >300 Tg C yr<sup>-1</sup> (>30% of the total forest C sink)<sup>21</sup>. The future behavior of this CO<sub>2</sub> sink will play an important yet uncertain role in influencing atmospheric CO<sub>2</sub> and climate change<sup>20,22</sup>.

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In temperate deciduous forests, spring warming generally lengthens the period over which trees have photosynthetically active leaves<sup>1,7-9</sup> and that over which the ecosystem is a net CO<sub>2</sub> sink<sup>2</sup>. Current models assume that longer growing seasons lead to increasing annual net CO<sub>2</sub> uptake (i.e., net ecosystem exchange, NEE)<sup>2,3,17</sup>. However, recent experimental and observational findings show that annual productivity can be limited by sink factors<sup>17,23,24</sup>, and that positive effects of warm springs are compensated by negative effects of accumulation of seasonal water deficits<sup>3</sup>. These studies suggest that warmer spring temperatures may not have the expected positive effect on forest CO<sub>2</sub> sequestration. While responses of leaf phenology and seasonal NEE to warming spring temperatures have been documented<sup>1-4,7-9</sup>, little is known about how the longest-lived component of fixed C in trees, the woody growth, is responding to warming spring temperatures. In fact, we are aware of only one study that has documented stem-growth phenology of temperate deciduous forests over multiple years<sup>25</sup>. The climate sensitivity of woody growth phenology in temperate deciduous trees and its link to annual growth has never been studied in-situ (but see Ref.24 for a controlled sapling experiment). Tree-ring records, which can be used to examine relationships of annual growth to temperature but not to understand growth phenology, reveal that growth of temperate deciduous trees tends to be most sensitive to temperature or potential evapotranspiration between late spring and early summer<sup>26,27</sup>, with some hints that warmer springs may have a modest positive effect on growth<sup>27</sup>. Thus, tree-ring evidence does not necessarily align with the finding that warming spring temperatures increase annual forest CO<sub>2</sub> uptake<sup>2</sup>. Characterizing phenological responses

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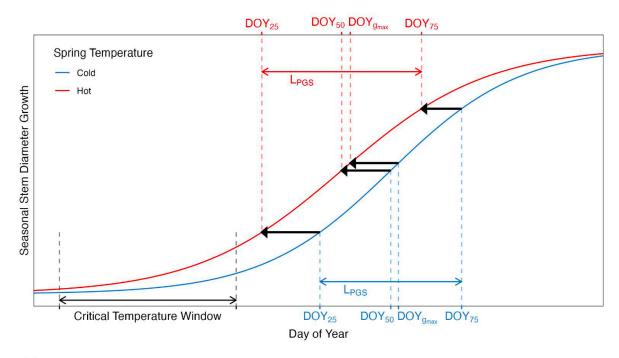
of stem growth to warming spring temperatures is critical to bridging this conceptual
disconnect and understanding how forest biomass growth is likely to change as the climate
warms.

Here, we evaluate how early spring temperatures affect stem growth phenology, growth rates, and annual growth of temperate deciduous trees across eastern North America. To test whether warmer springs extend the period of stem growth, we used dendrometer band measurements on 463 trees across two mid-latitude forests. To test whether spring temperatures consistently increased annual growth, we analyzed 207 tree-ring chronologies from 108 forests.

# Dendrometer band analysis

Using dendrometer band measurements taken throughout multiple growing seasons at the Smithsonian Conservation Biology Institute (SCBI; Virginia, USA; n = 123 trees from 2011-2020) and Harvard Forest (Massachusetts, USA; n = 340 trees from 1998-2003), we fit a logistic growth model<sup>28</sup> to determine the days of year (DOY) when 25, 50, and 75% annual growth were achieved ( $DOY_{25}$ ,  $DOY_{50}$ ,  $DOY_{75}$ ), peak growing season length ( $L_{pgs} = DOY_{75}$ - $DOY_{25}$ ), the maximum daily growth rates ( $g_{max}$ ) and the DOY on which it occurred ( $DOY_{g_{max}}$ ), and total annual increment in diameter at breast height ( $\Delta DBH$ ; Fig. 1). This analysis was performed separately for ring- and diffuse-porous species, which differ in growth phenology<sup>25</sup>. These stemgrowth milestones were compared to canopy foliage phenology (measured at ecosystem level via remote sensing).



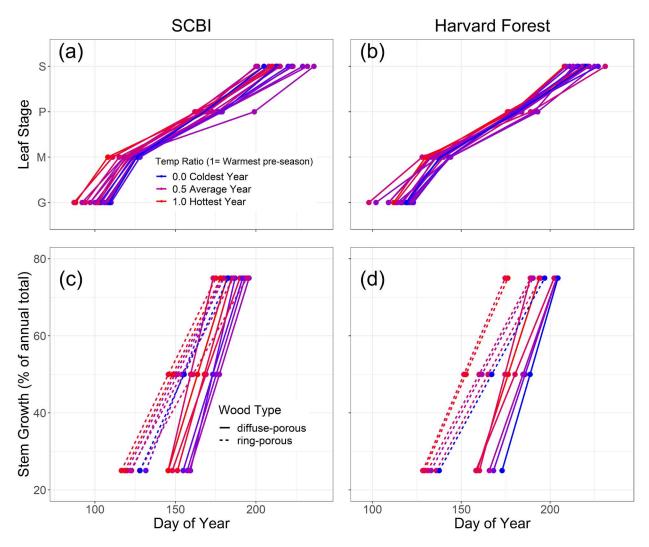


(b)

		Response to warmer spring T			
		SC	CBI	Harvard Forest	
Variable	Definition	RP	DP	RP	DP
Timing of g	growth				
$DOY_{25}$	day of year at which 25% of growth is achieved	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$DOY_{50}$	day of year at which 50% of growth is achieved	ļ	ļ	<u>,</u>	ļ
$DOY_{75}$	day of year at which 75% of growth is achieved	$\downarrow$	$\downarrow$	<b>↓</b>	$\downarrow$
$DOY_{g_{max}}$	day of year of max growth rate	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$L_{PGS}$	peak growing season length $(DOY_{75} - DOY_{25})$	$\uparrow$	_	$\downarrow$	$\uparrow$
Daily growth rate					
$g_{max}$	maximum daily growth rate	i-	-	$\uparrow$	$\downarrow$
Annual gro	wth				
$\Delta DBH$	annual growth		-	-	-
RWI	ring width index from tree-ring chronologies	mixed	mixed	-	mixed

Figure 1 | Summary of temperate deciduous tree growth responses to warmer spring temperatures. (a) Schematic illustrating parameters of interest and summarizing how each responds to warmer maximum temperatures during a 'critical temperature window', defined as the period with the strongest control over DOY<sub>25</sub>; (b) Variable definitions and summary of responses to warmer spring temperatures at two temperate forests – Smithsonian Conservation Biology Institute (SCBI) and Harvard Forest – and for two groups of broadleaf deciduous species (RP=ring porous; DP=diffuse porous), where up and down arrows indicate significant increases and decreases, respectively, '-' indicates no significant correlation, and 'mixed' indicates a mix of significant and non-significant correlations, often in different directions.

Growth milestones for both canopy foliage phenology and stem growth occurred 6-10 days earlier, on average, at SCBI than at Harvard Forest (Fig. 2, Extended Data Table 2). Consistent with the results of Ref<sup>25</sup>, ring-porous species began growing earlier, reaching the  $DOY_{25}$  benchmark earlier (by 31 days at SCBI and 32 at Harvard Forest), and their growth was spread over a longer growing season (average  $L_{PGS}$  21 and 19 days longer at SCBI and Harvard Forest, respectively; Fig. 2, Extended Data Figure 2, Extended Data Table 2). Peak growing season length was similar across sites, with  $L_{PGS}$  being, on average, only two days longer at SCBI for ring-porous species and less than one day longer for diffuse-porous species (Extended Data Table 2).



**Figure 2** | **Foliage (a,b) and stem growth (c,d) phenology at the Smithsonian Conservation Biology Institute (a,c) and Harvard Forest (b,d).** Panels (a-b) show ecosystem-level canopy foliage phenology from 2001-2018, obtained from the MODIS Global Vegetation Phenology product (MCD12Q2.006), where G = Greenup, M=Mid-greenup, P=peak, and S=Senescence (i.e., beginning of green-down). Panels (c-d) show the dates at which stem growth milestones were achieved, on average, for sampled populations of ring-porous and diffuse-porous trees at SCBI (2011-2020) and Harvard Forest (1998-2003). Mean temperature was calculated for each wood-type/site combination over the respective critical T<sub>max</sub> window, then turned into a ratio and assigned a color on a gradient where the coldest year in the sample is blue and the warmest is red.

Both MODIS-derived canopy foliage phenology and dendrometer band measurements of stem growth phenology generally shifted backwards as spring temperatures increased (Fig. 2, Extended Data Figures 4-5). We found a consistent effect of temperature ( $T_{max}$  or  $T_{min}$ )

throughout the spring, but the strongest effects on stem-growth phenology were found using  $T_{max}$  during a critical temperatrue window (CTW). CTW was identified by measuring the correlation between all combinations of weekly  $T_{max}$  and  $DOY_{25}$  from January 1 to mean  $DOY_{25}$  for each xylem porosity-site combination (Extended Data Figure 3). The CTW was defined as the week(s) which had the strongest correlation with  $DOY_{25}$ .

For ring- and diffuse- porous species at both sites, warmer  $T_{max}$  in the CTW resulted in earlier

achievement of phenological milestones. Consistent with findings from previous studies<sup>30</sup>, leaf phenological milestones advanced at both sites (Fig. 2a-b, Extended Data Table 2), with greenup (DOY when EVI2 first crossed 15% of the segment EVI2 amplitude) advancing 4.5 days/°C at SCBI (p=0.001) and 2.4 days/°C at Harvard Forest (p=0.1). Similarly, at both sites, the stem growth milestones  $DOY_{25}$ ,  $DOY_{50}$ ,  $DOY_{75}$ , and  $DOY_{g_{max}}$  all decreased with mean  $T_{max}$  during the critical temperature window (Figs. 1, 2c-d; Extended Data Figures 4-5). Specifically,  $DOY_{25}$ ,  $DOY_{50}$ , and  $DOY_{75}$  advanced 1.1-1.9 days/°C for ring-porous species and 3.5-3.6 days/°C for diffuse-porous species at SCBI, and 2.8-7.2 days/°C for ring-porous species and 6.6-7.9 days/°C for diffuse-porous species at Harvard Forest (Extended Data Table 2).

Whereas the length of time between canopy greenup and senescence (*i.e.*, the day when greenness dropped below 90% of its peak) increased in years with warmer temperatures during the critical temperature window compared to those with cooler temperatures (Fig. 2a-b), there was no consistent lengthening of  $L_{PGS}$  (Fig. 1, Extended Data Figures 4-5).

In contrast to the pronounced effects of  $T_{max}$  on the timing of growth, its effects on  $g_{max}$  and  $\Delta DBH$  were weak and inconsistent (Figs. 1, Extended Data Figures 4-5). Specifically,  $g_{max}$ ,

which occurred very close to  $DOY_{50}$  (on  $DOY_{g.max}$ ; Extended Data Table 2), displayed either no relationship to mean  $T_{max}$  during the critical temperature window (SCBI), or extremely small changes in opposite directions for ring- and diffuse- porous species (Harvard Forest).  $\Delta DBH$  displayed no relationship with mean  $T_{max}$  during the critical temperature window (Extended Data Figure 4).

# Tree-ring analysis

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To understand how annual growth increments have responded to spring temperatures at the centennial scale, we analyzed tree-ring chronologies of 12 species at SCBI<sup>27</sup> and 4 species at Harvard Forest (Extended Data Table 1), along with an additional 191 chronologies from 106 sites (Fig. 3; Extended Data Figure 1; Extended Data Table 3)26. In total, our analysis included 207 chronologies representing 24 broadleaf species at 108 sites distributed from Alabama (Lat = 34.35) to Michigan (Lat = 45.56) and spanning a 15 °C range in April  $T_{max}$ . Across all chronologies, the standardized ring-width index (RWI) was significantly (at p  $\leq$  0.05) positively correlated with April  $T_{max}$  for only 2% of chronologies: 1 of 142 ring-porous and 4 of 66 diffuseporous species-site combinations (Extended Data Table 3). In contrast, RWI was frequently negatively correlated with  $T_{max}$  during peak growing season months (May-August), with significant correlations for 52% (May: 45/141, Jun: 107/141, Jul: 91/141, Aug: 53/141) and 46% (May: 10/66, Jun: 52/66, Jul: 36/66, Aug: 23/66) of species-site-month combinations for ringporous and diffuse-porous species, respectively.  $T_{min}$  generally exibited weaker relationships to annual growth than  $T_{max}$ , with few significant correlations between spring  $T_{min}$  and RWI (Extended Data Figure 6).

To test whether the negative effect of summer temperatures might offset an enhancement of growth by warmer spring temperatures, we tested for the joint effects of April and June-July  $T_{max}$  on RWI. Results were qualitatively similar to the univariate correlations (Fig. 3), with significant (at p = 0.05) positive correlations to April  $T_{max}$  for only 4% of chronologies and significant negative correlations with June-July  $T_{max}$  for 77% of chronologies, supporting that summer temperatures were the more important driver of annual stem growth (Extended Data Table 3).

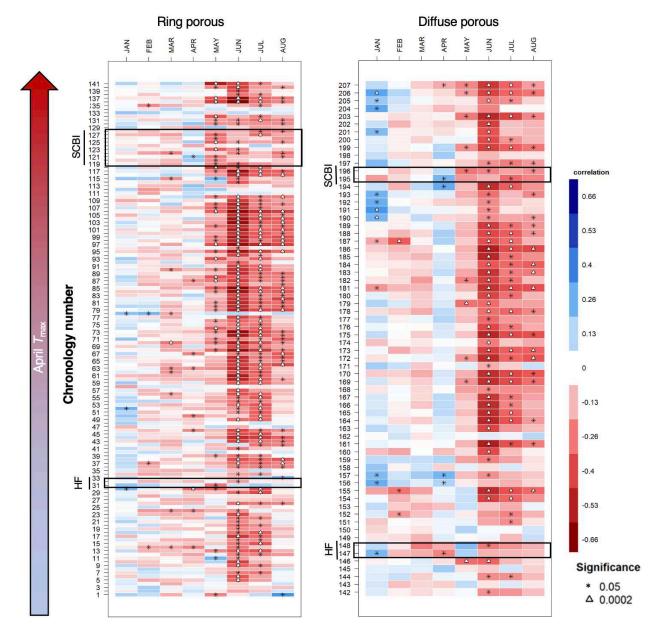


Figure 3 | Sensitivity of annual growth, as derived from tree-rings, to monthly mean maximum temperatures ( $T_{max}$ ), for 207 chronologies from 108 sites across eastern North America (Extended Data Figure 1). Colors indicate the correlation between monthly  $T_{max}$  and a dimensionless ring width index (RWI) derived from the multiple trees that form each chronology and emphasizing interannual variability associated with climate. Chronologies are grouped by xylem porosity and ordered by mean April  $T_{max}$ . Plots are annotated to highlight records from our two focal sites, the Smithsonian Conservation Biology Institute (SCBI) and Harvard Forest (HF) (Extended Data Table 1). Species analyzed and numbers of significant correlations to  $T_{max}$  are summarized in Extended Data Table 3, and chronology details are given in SI Table 1.

#### Discussion

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Together, our results demonstrate that warmer spring temperatures in the temperate deciduous forests of eastern North America advance the phenology of tree stem growth but have little effect on annual woody productivity (Figs. 1-3). The observed phenological advance in the start of stem growth under warmer springs parallels phenological advances observed for canopy foliage (Fig. 2a-b)<sup>2,4,5</sup> and NEE<sup>2,4</sup>. However, inconsistent with the concept that an earlier start to growth would increase annual woody productivity, we demonstrate that warmer springs hasten the cessation of stem expansion and thereby have negligible effect on total annual growth for most species and locations (Fig. 3). Our observations suggest that the cessation of rapid stem expansion, which occurs mid-summer near the time of peak canopy greenness (Extended Data Figure 2)4, is likely driven by cues other than photosynthate limitation, such as daylength or sink limitation, which also play an important role in autumn leaf senescence<sup>17,23,31</sup>. Our tree-ring analysis (Fig. 3) demonstrates that the primary effect of warming temperatures on annual tree growth is not an augmentation through an earlier start to growth, but rather a reduction associated to drought stress during the peak growing season<sup>26</sup>. Warm springs may also amplify summer drought stress in some times and places, effectively canceling out any positive effects of an extended growing period<sup>3,32</sup>; however, spring temperatures and summer Standardized Precipitation Evapotranspiration Index<sup>33</sup> were uncorrelated within our dendrometer band analysis, implying that the effects of warm spring temperatures on growth phenology elucidated here (Fig. 1) were not attributable to summer drought.

Our finding that interannual variation in woody growth is more strongly linked to conditions during the peak growing season than to growing season length aligns with parallel findings for *NEE*<sup>13,14</sup>. However, there is also a disconnect with findings that *NEE* is at least modestly greater in years with warm springs<sup>2</sup> or long growing seasons<sup>4,13,14</sup>. Warming advances spring phenology and may advance or delay autumn senescence depending on timing of warming and water availability<sup>12,34,35</sup>, with delays more common across eastern North America,<sup>2-4</sup> implying that warming temperatures are lengthening the period from peak stem growth to the cessation of CO<sub>2</sub> uptake by the ecosystem. We show that the extra C fixation in years with warm springs does not substantially augment woody growth, but it remains unclear how it is allocated within the ecosystem. There are two main possibilities, which hold contrasting implications for the response of forest C balance to rising spring temperatures.

One possibility is that extra photosynthate in years with warm springs may be allocated to woody growth without affecting diameter growth in the current year. It is theoretically possible

woody growth without affecting diameter growth in the current year. It is theoretically possible that extra C is allocated to cell wall thickening, a process that lags behind stem expansion<sup>36</sup>, or to a thicker layer of higher-density latewood, resulting in formation of more C-dense wood in years with warm springs. However, existing evidence indicates that warm springs have a neutral or negative effect on latewood width<sup>37–39</sup>, which is more strongly controlled by summer drought stress<sup>37,38</sup>, suggesting that a positive effect of warm springs on the total C content of annual rings is unlikely. Extra C could also be saved within trees as non-structural carbohydrates and used towards growth the following year<sup>40,41</sup>, potentially including an earlier start to growth<sup>31</sup>. Extension of our tree-ring analysis revealed weak correlation between April  $T_{max}$  and growth the following year (sig. pos. correlations for 5/142 RP and 3/66 DP species-site

combinations, Fig. Extended Data Figure 7), although predominantly positive (non-significant) correlations in RP species suggests that this dynamic may weakly influence their annual growth. Thus, warm springs are unlikely to provide substantial, sustained C sinks under warming spring temperatures.

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A second possibility is that any additional C fixed during years with warm springs may be allocated to plant functions other than stem growth, including respiration, reproduction, and production of foliage, roots<sup>24</sup>, or root exudates. Much of this C would have a relatively short residence time within the ecosystem, and C loss through fall or winter respiration may offset gains from an earlier spring<sup>3,42</sup>. However, C allocated to nonstructural carbohydrates or relatively short-lived plant tissues would typically remain in the ecosystem beyond the end of the year<sup>40</sup>, such that the long-term effect of warm springs on the forest C balance would not be captured in analyses of interannual variation<sup>2,13,14</sup>. Studies within or including the temperate deciduous biome that examined long-term trends in growing season length and ecosystem C uptake<sup>2,4,10,11</sup> – as opposed to their interannual variation – showed increasing trends in both variables, suggesting that the C not allocated to woody productivity within the current year has a multi-year residence time within the ecosystem. However, given our finding that warm springs do not significantly enhance woody productivity, this C is likely to have a relatively short residence time within the ecosystem.

Thus, a distinction between interannual variation and directional change may be critical when considering how directional climate change is likely to affect tree growth and ecosystem C dynamics. As discussed above, temporal lags between C uptake and release imply that the full

effects of warm spring temperatures on forest woody productivity and C cycling are unlikely to be apparent in analyses of interannual variation (including this analysis)<sup>43</sup>. Moreover, acclimation of trees to warming temperatures<sup>44</sup> and, on longer time scales, species adaptations and shifts in community composition<sup>45</sup> are likely to alter the phenology of forest C cycling. If we look across spatial gradients where the latter have had time to play out, we see that warmer spring temperatures are associated with earlier leaf-out<sup>6</sup> and longer growing seasons, which in turn are are correlated with greater tree growth<sup>46</sup>, woody productivity<sup>47</sup>, and NEE<sup>48</sup>. Thus, warming spring temperatures are expected to increase the biophysical potential for annual tree growth, but that potential is not being realized on an interannual time frame. As climate change accelerates and spring temperatures become increasingly warmer, growing seasons will start earlier; however, barring rapid acclimation of forests to the warming conditions, an earlier onset of growth in the spring is unlikely to provide the sustained increase in CO<sub>2</sub> sequestration and ensuant negative climate change feedback that is anticipated in most climate forecasting models<sup>2,3,17,18</sup>. Rather, the dominant effect of rising temperatures on forest woody productivity will be a negative effect of high summer temperatures, which constitutes a

#### Methods

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### Dendrometer band analysis

positive feedback to climate change.

Dendrometer band measurements were collected at SCBI<sup>49</sup> and Harvard Forest<sup>4,25</sup>, both part of the Forest Global Earth Observatory (ForestGEO)<sup>50,51</sup>. SCBI (38.8935° N, 78.1454° W; elevation

273–338 m.a.s.l.) is located in the Blue Ridge Mountains at the northern end of Shenandoah National Park, 5 km south of Front Royal, Virginia. The forest is secondary and mixed age, having established in the mid-19th century after conversion from agricultural fields<sup>49</sup>. Dominant canopy species within the 25.6 ha ForestGEO plot include tulip poplar (Liriodendron tulipifera L.), oaks (Quercus spp.), and hickories (Carya spp.)<sup>27</sup>. The climate is humid temperate, with 1950-2019 mean annual precipitation of 1018 mm and temperatures averaging 1° C in January and 24° C in July<sup>46</sup>. Harvard Forest (42.5388° N, 72.1755° W, 340-368 m.a.s.l.) is located near the central Massachusetts town of Petersham. The forest is secondary and mixed age, having re-established around the beginning of the 20th century following agricultural use and significant hurricane damage in 1938. Dominant species within the 35 ha ForestGEO plot are hemlock (Tsuga canadensis (L.) Carrière), oak (Quercus spp.) and red maple (Acer rubrum L.). The climate is temperate continental, with 1950-2019 mean annual precipitation of 1104 mm and temperatures averaging -5° C in January and 22° C in July<sup>46</sup>. Metal dendrometer bands were installed on 941 trees within the SCBI and Harvard Forest ForestGEO plots. Bands were placed on dominant species, including two diffuse- and two ring-

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porous species at SCBI and eight diffuse- and three ring-porous species at Harvard Forest (Extended Data Table 1). Bands were measured with a digital caliper approximately every 1-2 weeks within the growing season from 2011-2020 at SCBI and 1998-2003 at Harvard Forest. The number of bands measured at each site fluctuated slightly as trees were added or dropped from the census (e.g., because of tree mortality). Across years, the number of bands sampled

averaged 129 (range: 91-138) at SCBI and 717 (range: 700-755) at Harvard Forest. In total, our analysis included 2459 tree-years (Extended Data Table 1).

Measurements were timed to begin before the beginning of spring growth and to continue through the cessation of growth in the fall. At SCBI, the median start date was April 14, which was adjusted forward when early leaf-out of understory vegetation was observed, with the earliest start date being March 30 (in 2020). Measurements were continued through to fall leaf senescence, with the median end date being October 17 and the latest end date November 26 (2012). Timing of measurements at Harvard Forest were similar, with the median start date of April 23 and median end date of October 30. 1998 was an anomalous year where initial measurements were taken on January 5, but not taken again until April 15. The latest end date was November 11, 2002.

The raw dendrometer band data were manually inspected before analysis. We screened the data for three classes of errors. First, when a measurement was drastically different from previous and following measurements, it was assumed to be a human error and the datapoint was removed. Second, when measurements remained essentially unchanged for several readings, followed by a sudden jump then return to a normal growth pattern, this was assumed to be a case where the band was stuck on the tree bark and then released. In these cases, the full annual record for the tree was removed. Third, data points that deviated substantially from normal growth patterns, but for unknown causes, were removed. If a majority of the data points fell into this class within a tree-year, the entire year was removed from the analysis.

We fit a five-parameter logistic growth model<sup>28</sup> to dendrometer band data from each tree-year to define phenological dates and growth rates (Fig. 1). In particular, we model the observed diameter at breast height (DBH) on a given day of the year (DOY; *i.e.*, julian days) as:

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$$DBH = L + \frac{K - L}{1 + 1/\theta \cdot exp[-r(DOY - DOY_{ip})/\theta)]^{\theta}}$$

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Here, *L* and *K* are lower and upper asymptotes of the model, corresponding to DBH at the beginning and end of the year, respectively.  $DOY_{ip}$  is the day of year where the inflection point in growth rate occurs, r shapes the slope of the curve at the inflection point, and  $\theta$  is a tuning parameter controlling the slope of the curve toward the upper asymptote. The DOY on which maximum growth occurs,  $DOY_{g_{max}}$  (Fig. 1), occurs on  $DOY_{ip}$  when  $\theta = 1$ . The model was fit in R v4.0 using the functions developed in the *Rdendrom* package<sup>28</sup>. These functions take the timeseries of manual dendrometer band measurements and return maximum-likelihood optimized values of the above five parameters that best predict DBH for each day of year. We then modeled DBH using these optimal parameter values in our logistic growth model and extracted the intra-annual growth variables of interest (Fig. 1). After fitting the growth model, we removed tree-years with poor fits. Models were judged to be poorly fit if certain modeled growth characteristics fell outside of the logical range. Modeled fits for tree-years were removed under five conditions: (1) single day growth rates were  $\geq 2$ standard deviations away from the mean for each wood-type (SCBI = 2, Harvard Forest = 34); (2)  $DOY_{ip}$  was  $\geq 2$  standard deviations away from the mean for its xylem architecture group, year, and site (SCBI = 53, Harvard Forest = 106); (3) tree-years with small or negligible total

growth ( $\Delta DBH \leq 0.02$  mm; SCBI = 0, Harvard Forest = 66); (4) model fit predicted total yearly growth to take longer than 365 days, indicating poor model fit (SCBI = 150, Harvard Forest = 199); (5) models with unexplained sharp spikes in growth rate (SCBI = 0, Harvard Forest = 3); and (6) poorly fit models that did not meet any of the above criteria (SCBI = 2, Harvard Forest = 0). At Harvard Forest the tag years removed through this method were proportional to the original sample size, indicating that no species or size class was disproportionately removed compared to others. At SCBI, a higher proportion of ring-porous trees were removed, the majority falling under condition 4. Canopy foliage phenology data for the years 2001-2018 were extracted for SCBI and Harvard Forest from the MCD12Q2 V6 Land Cover Dynamics product (a.k.a. MODIS Global Vegetation Phenology product)<sup>52</sup> via Google Earth Engine. Extracted pixels were those containing the NEON tower at each site. Using daily MODIS 2-band Enhanced Vegetation Index data (EVI2) at a spatial resolution of 500m, the product yields the timing of phenometrics (vegetation phenology) over each year, including timing of greenup, midgreenup, and senescence as used in this study. For the dendrometer band and leaf phenology analyses, climate data corresponding to the measurement periods were obtained from local weather stations at each focal site. For SCBI, weather data were obtained from a meteorological tower adjacent to the ForestGEO plot, via the ForestGEO Climate Data Portal v1.0 (https://forestgeo.github.io/Climate/)<sup>53</sup>. The R package climpact (see www.climpact-sci.org)<sup>54</sup> was used to plot temperatures for visual inspection and to identify readings that were >3 standard deviations away from yearly means, which were

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labeled as outliers and removed from the dataset. Gaps in the SCBI meteorological tower data were subsequently filled using temperature readings obtained from a National Center for Environmental Information (NCEI) weather station located in Front Royal, Virginia (https://www.ncdc.noaa.gov/cdo-web/datasets/GHCND/stations/GHCND:USC00443229/detail). Daily temperature records for Harvard Forest, which had already been gap-filled based on other local records, were obtained from the Harvard Forest weather station<sup>55,56</sup>. For each site, we used records of daily maximum ( $T_{max}$ ) and minimum temperatures ( $T_{min}$ ). The critical temperature window (CTW, Fig. 1), defined as the period over which  $T_{max}$  was most strongly correlated with DOY<sub>25</sub>, was determined using the R package climwin<sup>57</sup>. This package tests the correlation between one or more predictor climate variable and a biological outcome variable over all consecutive time windows within a specified time-frame. It does so by reporting the correlation and ΔAICc, the difference in Akaike Information Criterion corrected for small sample size relative to a null model for each window. Here, we tested for correlation between temperature predictor variables ( $T_{max}$ ,  $T_{min}$ ) and biological outcome variable  $DOY_{25}$ over the time-frame from January 1 to the mean  $DOY_{25}$  for the species group (by xylem porosity) and site (Extended Data Table 2). The time period yielding the lowest ΔAICc was selected as the CTW. Because  $T_{max}$  proved to have a generally stronger influence over  $DOY_{25}$  and other growth parameters, we focused on this variable in our ultimate model, as opposed to  $T_{min}$ . We defined CTW for DOY<sub>25</sub>, as opposed to other growth phenology parameters, because spring temperatures should have the most direct influence on this variable.

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To ensure that patterns were robust under an alternative definition of CTW, and to parallel the monthly time windows used in our tree-ring analysis (detailed below; Fig. 3, Extended Data Figure 6-7), we also ran analyses where we fixed the CTW to be the month of April. This was consistent with the periods identified by *climwin* for ring- and diffuse-porous species groups at both sites, all of which included all or part of April (Extended Data Table 2).

Correlation between the dendrometer band-derived growth parameters (DOY<sub>25</sub>, DOY<sub>50</sub>, DOY<sub>75</sub>,

 $DOY_{g_{max}}$ ,  $L_{PGS}$ ,  $g_{max}$ , and  $\Delta DBH$ }, Fig. 1) and spring temperatures were assessed using a linear mixed model in a hierarchical Bayesian framework. Analyses were run for both  $T_{max}$  and  $T_{min}$ , with qualitatively similar results, but we present only results for  $T_{max}$ , which had overall stronger correlation with growth parameters. Mixed effects models were used to test the response of growth phenology variables to fixed effects of xylem porosity and mean  $T_{max}$  (or  $T_{min}$ ) during the CTW, along with random effects of species and of individual tree. We ran separate models for each species group at each site, and for the response of all growth phenology variables to  $T_{max}$  (or  $T_{min}$ ). This mixed-effect model was run within a hierarchical Bayesian framework and fit using the rstanarm R interface to the Stan programming language  $^{58,59}$ . In all cases unless otherwise specified, all prior distributions are set to be the weakly informative defaults.

To rule out the possibility that observed patterns were strongly influenced by summer drought, we examined the relationship between spring tempreatures and summer Standardized Precipitation Evapotranspiration Index<sup>33</sup>. The latter was obtained from the ForestGEO Climate Data Portal v1.0 (https://forestgeo.github.io/Climate/)<sup>53,60,61</sup>. Linear models were run with 4-, 6-,

and 12-month SPEI values of June, July, and August vs April  $T_{max}$  to determine if warm spring temperatures lead to greater summer drought stress. No significant correlations were found (all p>0.05).

#### Tree-ring analysis

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We analyzed tree-ring records for 108 sites, including our focal sites. All cores had been previously collected, cross-dated, and measured using standard collection and processing methodologies<sup>62</sup>. Dominant tree species were cored at both SCBI<sup>27,49</sup> and Harvard Forest<sup>4,63,64</sup> following sampling designs that covered a broad range of DBH. We analyzed records for the ring- and diffuseporous species at each site (Extended Data Table 1), but excluded species with other xylem architectures (Juglans nigra L. at SCBI, Tsuga canadensis at Harvard Forest). We studied a total of 976 cores which included 12 species at SCBI and 4 species at Harvard Forest (Extended Data Table 1). The tree-ring records from our focal sites were complemented with a much larger collection spanning 106 deciduous and mixed forest sites in Eastern North America<sup>26,65</sup>. Again, records were limited to broadleaf deciduous species with clearly defined xylem porosity (i.e., excluding semi-ring porous). For each species-site combination, we converted tree-ring records into the dimensionless RWI to

emphasize interannual variability associated with climate. <sup>66</sup> A 2/3rds n spline was applied to

each core using ARSTAN to produce standardized ring-width series; *n* is the number of years in

each series<sup>66,67</sup>. An adaptive power transformation, a process that also stabilises the variance over time<sup>68</sup>, was used to minimize the influence of outliers in all series. Low series replication, often in the earliest portions of a chronology collection, can also inflate the variance of tree-ring records<sup>69</sup>. The 1/3rds spline method was chosen when replication in the inner portion of each chronology (ca. inner 30–50 yr of each record depending on full chronology length) was less than three trees. When replication was greater than n = 3 trees, we used the average correlation between raw ring-width series (rbar) method. The robust biweight mean chronology (RWI) for each species-site combination was calculated from the ring-width indices following variance stabilisation<sup>67</sup>. We defined chronology start year (Extended Data Table 1) as the year where subsample signal strength (SSS) passed a threshold of SSS = 0.8, or where  $\geq$  80% of the population signal was captured in the chronology.

and  $T_{min}$  data for 1901-2019 from CRU v.4.04.70 Correlations between monthly climate and RWI were assessed using 'dplR'<sup>71</sup> and 'bootRes'<sup>72</sup> in R v 4.0 (R Core Team, 2020), which correlated functions and bootstrapped confidence intervals for these relationships<sup>73</sup>. We analyzed these correlations for January through September of the current year (presented in Fig. 3, Extended Data Figure 6). To test for potential lag effects of spring temperatures on growth the following year, we also ran a version of the analysis extending back to include climate of every month of the previous year (Extended Data Figure 7). Correlations and significance levels for months April-August are given in SI Table 1.

We used a multivariate model to test for joint effects of April and summer  $T_{max}$  on RWI. We began by testing univariate correlations of  $T_{max}$  over three summer windows: June, June-July, and May-August. Having determined that, among these, June-July explained the most variation, we then analyzed the joint effects of April  $T_{max}$  and June-July  $T_{max}$  on RWI for each chronology independently using the base lm() function in R. Slopes and p-values for each chronology are given in SI Table 1.

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#### **Author Contributions**

Cameron Dow and Kristina J. Anderson-Teixeira conceived the ideas and designed the study;

Cameron Dow, Loïc D'Orangeville, Erika B. Gonzalez-Akre, Ryan Helcoski, Grant L. Harley,

- Justin T. Maxwell, Ian R. McGregor, William McShea, Neil Pederson, Alan J. Tepley, and
  Kristina J. Anderson-Teixeira collected or oversaw collection of data; Cameron Dow, Albert Y.
  Kim, Valentine Herrmann, Justin T. Maxwell, Ian R. McGregor, Sean McMahon analyzed the
  data or provided analytical tools; Cameron Dow and Kristina J. Anderson-Teixeira led the
  writing of the manuscript. All authors contributed critically to the drafts and gave final
  - Additional Information

approval for publication.

- Supplementary Information is available for this paper.
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- 463 **References**

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- DowSITable1.xlsx
- DowExtendedData.pdf