

Contents

1	Optical Filters in Wavelength-Division Multiplex Systems	7
1.1	Network Aspects	7
1.2	Mathematical Description	11
1.3	Physical Realization of Filters	14
	References.....	16
2	Phase Characteristics of Optical Filters	17
2.1	Introduction	17
2.2	Theoretical Considerations	19
2.2.1	Definitions.....	19
2.2.2	Minimum-phase Filters and Amplitude-phase Relations.....	20
2.3	Measurement of the Dispersion of WDM Components	25
2.3.1	Interferometric Techniques	25
2.3.2	RF Modulation Methods	31
2.4	Dispersion of WDM Filters and System Implications.....	39
2.4.1	Dispersive versus Linear-phase Filters	40
2.4.2	System Impact of WDM Filter Dispersion.....	44
2.4.3	Group Delay Ripples	51
2.4.4	Advanced Filter Design.....	55
2.5	Conclusion	59
	References.....	60
3	Diffraction Gratings WDM Components	71
3.1	Introduction	71
3.2	Grating Interference-diffraction Principles.....	72
3.2.1	Diffraction Orders	72
3.2.2	Dispersion.....	72
3.2.3	Resolution.....	73
3.2.4	Free Spectral Range	73
3.3	Grating Manufacturing	74
3.3.1	Ruled Surface-relief Gratings.....	74
3.3.2	Classical Holographic Gratings.....	75
3.3.3	Holographic Gratings on Silicon.....	76

3.3.4	Surface Relief on Multilayer Dielectric Gratings.....	77
3.3.5	Stratified Volume Diffractive Optical Elements	78
3.3.6	Volume-phase Holographic Gratings.....	80
3.3.7	Ruled and Holographic Concave Gratings	86
3.4	Efficiency and Polarisation-dependent Loss versus Wavelength.....	88
3.4.1	Plane Reflection Surface-relief Metallic Gratings	88
3.4.2	Transmission Surface-relief Gratings.....	95
3.4.3	Surface-relief Concave Metallic Gratings.....	96
3.4.4	Surface Relief on Multilayer Dielectric Gratings.....	97
3.4.5	Stratified Volume Diffractive Optical Elements	99
3.4.6	Volume-phase Holographic Gratings.....	99
3.5	Bandwidth of Diffraction Grating Devices.....	101
3.5.1	Coupling without Aberration.....	101
3.5.2	Coupling with Small Aberration	103
3.6	Grating Bulk Optic Devices	103
3.6.1	General Characteristics	103
3.6.2	Devices for Use in WDM Systems.....	104
3.7	Gratings on Planar Lightwave Circuits.....	107
3.8	Device Polarisation Sensitivity.....	108
3.9	Thermal Drift.....	109
3.10	Diffraction Grating Routers.....	109
3.10.1	Cyclic Free Space Diffraction Grating Router	109
3.10.2	From Non-cyclic to Cyclic FSDG Routers	111
3.10.3	An example: a 16×16 Cyclic Router Design for CWDM.....	113
3.10.4	Practical Results	114
3.11	Conclusion.....	116
	References.....	116
4	Arrayed Waveguide Gratings.....	125
4.1	Introduction	125
4.2	Operation Principle and Device Characteristics	126
4.2.1	Principle	126
4.2.2	Focusing, Spatial Dispersion, and Free Spectral Range.....	127
4.2.3	Insertion Loss and Non-uniformity	129
4.2.4	Bandwidth	131
4.2.5	Passband Shape	132
4.2.6	Crosstalk.....	133
4.2.7	Wavelength Routing Properties.....	134

4.2.8	Configuration-dependent Crosstalk in Add-drop Multiplexers	135
4.2.9	Polarisation Dependence	137
4.2.10	Temperature Dependence.....	138
4.3	Silica-based AWG Devices	139
4.3.1	Silica-on-Silicon PLC Fabrication Techniques	139
4.3.2	Birefringence.....	141
4.3.3	Silica Waveguide Features and their Influence on AWGs.....	142
4.3.4	AWG Development Trends.....	144
4.3.5	Interleave Filters.....	148
4.3.6	Commercially available AWGs.....	149
4.4	Applications.....	151
4.4.1	Add-drop Multiplexer	151
4.4.2	Equalizer.....	152
4.4.3	WDM-PON Overlay Device	153
4.5	InP-based Devices	158
4.5.1	Introduction	158
4.5.2	Fabrication.....	159
4.5.3	InP-based AWGs.....	160
4.5.4	AWG-based Circuits	163
4.6	Other Material Systems	172
4.7	Methods for AWG Characterisation.....	173
4.7.1	Insertion Loss	174
4.7.2	Wavelength-dependent Measurements.....	174
4.7.3	Polarisation-dependent Loss	175
	References.....	176
5	Fibre Bragg Gratings.....	189
5.1	Introduction	189
5.2	Fundamentals of Fibre Bragg Gratings.....	192
5.2.1	Simple Bragg Grating.....	192
5.2.2	Uniform Bragg Grating	194
5.2.3	Phase and Group Delay of Uniform Gratings	195
5.2.4	Strain and Temperature Sensitivity of Bragg Gratings	196
5.2.5	Other Properties of Fibre Gratings	198
5.2.6	Bragg Grating Types	200
5.3	Spectral Response from Bragg Gratings.....	203
5.3.1	Coupled-mode Theory and the T-Matrix Formalism.....	203
5.3.2	Chirped Bragg Gratings	208

5.3.3	Apodisation of Spectral Response of Bragg Gratings	210
5.3.4	Fibre Bragg Gratings with Other Types of Mode Coupling.....	211
5.4	Fabrication of Fibre Bragg Gratings.....	212
5.4.1	Internally Inscribed Bragg Gratings	212
5.4.2	Interferometric Inscription of Bragg Gratings.....	213
5.4.3	Phase-mask Technique	220
5.4.4	Point-by-point Fabrication of Bragg Gratings.....	222
5.4.5	Direct-writing Technique	224
5.4.6	Femtosecond Laser Inscription of Bragg Gratings...	224
5.5	Fibre Bragg Gratings in Optical Communication Systems .	226
5.5.1	Add-drop Multiplexers	228
5.5.2	FBG-based Chromatic Dispersion Compensators....	233
5.5.3	Tuneable Dispersion-compensating Module.....	238
5.5.4	Ripple Analysis in Dispersion-compensating Modules.....	241
5.5.5	Gain-flattening Filters	242
5.5.6	Wavelength Tuning and Chirping of Bragg Gratings Using Infrared Lasers	246
5.6	Other Applications of Fibre-based Bragg Gratings	247
5.6.1	Fibre Bragg Grating Diode Lasers	248
5.6.2	Fibre Bragg Grating Lasers	249
5.6.3	Fibre Bragg Grating Sensors	251
5.6.4	Aerospace Applications.....	260
5.6.5	Applications to Civil Engineering.....	260
	References.....	262
6	Fabry–Perot Interferometer Filters	271
6.1	Operating Principles	271
6.1.1	Multi-beam Interference Process.....	271
6.1.2	Frequency Characteristics (Bandwidth, finesse, contrast ratio).....	274
6.2	FP Design Aspects.....	276
6.2.1	Instrument Finesse.....	276
6.2.2	Tuning	276
6.3	Practical Implementations	277
6.3.1	Free-space Bulk Fabry–Perot Filter	277
6.3.2	Fibre Fabry–Perot Filter	280
6.3.3	Gires–Tournois Filter	281
6.3.4	Interference Fabry–Perot Filter	282

6.4	Applications.....	283
6.4.1	Narrowband Single-channel Filtering	283
6.4.2	Optical Wavelength Channel Dropping	284
6.4.3	Multi-passband Filter	285
6.4.4	Wavelength Locking	286
	References.....	287
7	Dielectric Multilayer Filters.....	289
7.1	Introduction	289
7.2	Theory of Interference Filters and Devices	290
7.2.1	Interference Filter Theory	290
7.2.2	Dispersion Effects	296
7.2.3	Angle-of-incidence Effects.....	298
7.2.4	Expanded Beam Optical Devices	299
7.2.5	Interaction of Beams with Filters – Beam Shift Effect.....	300
7.3	Filter Materials and Processes	302
7.3.1	Brief History of Thin-Film Technology	302
7.3.2	Substrates	303
7.3.3	Filter Materials	303
7.3.4	Filter Deposition Processes	304
7.3.5	Layer Thickness Control	307
7.3.6	Post-deposition Fabrication and Filter Annealing....	309
7.4	Properties of Filter Elements	310
7.4.1	Passive Temperature Stability of Filter Elements ...	310
7.4.2	Stress Effects	312
7.5	Device Packaging	315
7.5.1	Filters Deposited on Fibre Ends	315
7.5.2	Three-port Couplers and Related Lens-based Couplers	316
7.5.3	Zigzag Devices	318
7.5.4	Other Devices.....	319
7.5.5	Device Reliability.....	320
7.6	Applications.....	320
7.6.1	DWDM Single Channel Couplers.....	321
7.6.2	Band Splitting Couplers	322
7.6.3	DWDM Add/Drop Modules.....	325
7.6.4	CWDM and FTTX Modules	326
7.6.5	Gain-flattening Couplers	327
7.6.6	Tuneable Graded Filters	327
7.6.7	Filters for Wavelength-locking of Lasers.....	329

7.7	Recent Developments in Thin Films	331
7.8	Conclusions and Outlook.....	331
	Acknowledgements.....	332
	References.....	332
8	Ring-Resonator-Based Wavelength Filters	341
8.1	Introduction	341
8.2	Fundamentals of Microring Resonators.....	342
	8.2.1 General Considerations	342
	8.2.2 Bent Waveguides.....	344
	8.2.3 Polarisation Dependence	345
8.3	Design of Single Microrings.....	346
	8.3.1 Evaluation of Parameters	346
	8.3.2 Geometry of Single Microrings.....	350
	8.3.3 Group Delay and Dispersion	353
	8.3.4 Other Micro-resonator Geometries	355
8.4	Tuning and Modulation of Microrings	356
	8.4.1 Resonance Wavelength Tuning.....	356
	8.4.2 Resonance Wavelength Trimming	359
	8.4.3 Loss Parameter Tuning.....	360
	8.4.4 Coupling Constant Tuning	360
8.5	Characterisation Methods	360
8.6	Multiple Ring Resonator Devices.....	361
	8.6.1 Higher Order Filters	362
	8.6.2 Wavelength-selective MR Switch.....	363
	8.6.3 Reconfigurable Optical Add/drop Multiplexer	364
	8.6.4 Microring-based Filters with Extended FSR.....	366
	8.6.5 Microring-based Dispersion Compensators	367
8.7	Semiconductor-based Microrings.....	368
8.8	All-optical Switching.....	371
8.9	Future Trends.....	372
	Acknowledgement	374
	References.....	374
9	Interleavers.....	381
9.1	Introduction	381
9.2	Basic Mach–Zehnder Interferometer Interleavers	387
	9.2.1 The Transfer Function and the Z-Transform.....	388
	9.2.2 Differential Delay Section.....	391
	9.2.3 Directional Coupler.....	392
	9.2.4 Mach–Zehnder Interferometer Interleaver	394

9.3	Higher Order Interleavers	397
9.3.1	Finite Impulse Response Filters: The Resonant Coupler Approach	397
9.4	Group Delay and Dispersion	408
9.4.1	MZI Group Delay and Dispersion.....	409
9.4.2	Third- and Fifth-order Lattice Filter Group Delay and Dispersion	409
9.4.3	MZI + Ring Group Delay and Dispersion.....	410
9.5	Cascaded Interleavers	411
9.6	Realisations of Interleavers in various Fabrication Technologies	415
9.6.1	Bulk and Fibre Optics	415
9.6.2	Planar Optical Waveguide Interleavers	420
9.6.3	Some Commercially Available Interleavers.....	423
9.7	Outlook	426
	References.....	427
	Appendix.....	433
A.1	Wavelength Grids	433
A.1.1	DWDM.....	433
A.1.2	CWDM.....	434
A.2	Passive Optical Networks (PONs).....	434
A.3	Ethernet-related Standardization	436
	References.....	436
	Glossary	437
	Biographical Notes.....	445
	Index.....	451