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Highlights

What we talk about when we talk about seasonality – A transdisciplinary review

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Ola Kwiecien, Tobias Braun, Camilla Francesca Brunello, Patrick Faulkner, Niklas Hausmann, Gerd Helle, Julie A. Hoggarth, Monica Ionita, Chris Jazwa, Saige Kelmelis, Norbert Marwan, Cinthya Nava-Fernandez, Carole Nehme, Thomas Opel, Jessica L. Oster, Aurel Perşoiu, Cameron Petrie, Keith Prufer, Saija M. Saarni, Annabel Wolf, Sebastian F.M. Breitenbach

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- Seasonality can be extracted from a large number of archives
- We present an multi-disciplinary overview on how seasonality is recorded in, and extracted from, different palaeoenvironmental archives

6

8

What we talk about when we talk about seasonality – A transdisciplinary review

Ola Kwiecien^{a,*}, Tobias Braun^b, Camilla Francesca Brunello^{c,d}, Patrick Faulkner^e, Niklas Hausmann^f, Gerd Helle^c, Julie A. Hoggarth^g, Monica Ionita^{d,h}, Chris Jazwaⁱ, Saige Kelmelis^j, Norbert Marwan^b, Cinthya Nava-Fernandez^k, Carole Nehme^l, Thomas Opel^m, Jessica L. Osterⁿ, Aurel Perşoiu^{h,o}, Cameron Petrie^p, Keith Prufer^q, Saija M. Saarni^{r,s}, Annabel Wolf^a and Sebastian F.M. Breitenbach^a

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ABSTRACT

The role of seasonality is indisputable in climate and ecosystem dynamics. Seasonal temperature and precipitation variability are of vital importance for the availability of food, water, shelter, migration routes, and raw materials. Thus, understanding past climatic and environmental changes at seasonal scale is equally important for unearthing the history and for predicting the future of human societies under global warming scenarios. Alas, in palaeoenvironmental research, the term ‘seasonality change’ is often used liberally without scrutiny or explanation as to which seasonal parameter has changed and how.

Here we provide fundamentals of climate seasonality and break it down into external (insolation changes) and internal (atmospheric CO₂ concentration) forcing, and regional and local and modulating factors (continentality, altitude, large-scale atmospheric circulation patterns). Further, we present a brief overview of the archives with potentially annual/seasonal resolution (historical and instrumental records, marine invertebrate growth increments, stalagmites, tree rings, lake sediments, permafrost, cave ice, and ice cores) and discuss archive-specific challenges and opportunities, and how these limit or foster the use of specific archives in archaeological research.

Next, we address the need for adequate data-quality checks, involving both archive-specific nature (e.g., limited sampling resolution or seasonal sampling bias) and analytical uncertainties. To this end, we present a broad spectrum of carefully selected statistical methods which can be applied to analyze annually- and seasonally-resolved time series. We close the manuscript by proposing a framework for transparent communication of seasonality-related research across different communities.

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1. Introduction

1.1. What we talk about when we talk about seasonality?

Seasonality is a common denominator for several academic disciplines and its accurate reconstruction is highly relevant across both the natural and human sciences. At a basic level, climate seasonality is expressed intuitively as the cyclical changes in temperature and/or rainfall over the course of the year, which in turn determines both the composition and the dynamics of ecosystems. Overall, climate seasonality plays a critical role in influencing the persistence of all living organisms. For example, the seasonal changes in precipitation and temperature affect different components of the climate system (e.g., soil moisture, snow cover, evaporation rates, river flows and lake levels). The changes in these variables lead further to changes in vegetation and ecologic requirements of plants and animals, which in turn influence the type and amount of food available for humans and other organisms. For the majority of multicellular organisms, the diurnal and seasonal cycles are the most important pacemakers of biological functions. For humans, the influence of seasonality affects the biological world they interact with and extends across the cultural domain, including construction of niches, subsistence, religious, and economic activities. Studying past changes in seasonality is of great interest and importance for palaeoclimatology, palaeoecology, anthropology and archaeology, and, last but not least, modern climate science, conservation and phenology, all of which face the uncertain future of global warming [493]. Palaeoclimatology aims at documenting how seasonal changes affect the climate system through time [126, 149], and *the amplitude of seasonal changes* [338, 177, 180, 590, 76]. Palaeoecology deals with *the effect of seasonality changes on the ecosystem* [470, 346], while anthropology and archaeology document *the effect of seasonality and changes in seasonality on human evolution, residency, subsistence strategies, and the adaptation of those strategies* (the latter two involving human-ecosystem interaction; [452], and references therein). Recent work by Degroot et al. [142] emphasized pitfalls of integrating data and knowledge between academic disciplines with different practices and standards of evidence. Increasing scientific interest in what the authors termed 'history of climate and society' warrants proposing frameworks which facilitate interdisciplinary research. Thus, this review proposes a framework for addressing past climate seasonality changes.

An opinion piece by Carré and Cheddadi [99] echoes the seminal work by Rutherford et al. [484] and outlines the most important, but often overlooked, aspects of seasonality in palaeoenvironmental studies. Firstly, climate is defined not by annual means of temperature or precipitation, but by the annual cycles of these climate variables (see box 1 Supplemental Material S1). Annual mean values, so often extracted from proxy records, while important, do not fully capture past climate variability. Secondly, relatively small changes in natural processes acting on a seasonal timescale

are the drivers that foster large climate shifts. Not detailed by Carré and Cheddadi [99] are the often simplified or overlooked aspects of spatial heterogeneity of environments and human actions, including the seasonality and timing of subsistence activities, which further influence the rhythms of other cultural behavior(s).

Box 1 – Definitions

Box 1 text can be found in supplemental material S1.

The two aspects of seasonality reiterated by Carré and Cheddadi [99], namely: 1) the fact that it defines climate and 2) that the small changes accumulate in large-scale oscillations (e.g., glacial/interglacial cycles), constituting a challenge for scientists working with archives that often lack seasonal resolution and/or are biased towards one season only. Alternatively, archives record seasonal changes but are discrete in nature and represent only snapshots of time rather than a continuous interval. Consequently, regional palaeoclimate syntheses frequently suggest different responses to seasonality changes to account for discrepancies between different archives and proxies covering the same time span, or between data-based reconstructions and climate model output. The classical example comes from the Mediterranean region where Prentice et al. [454] reconciled glacial lake levels, where high levels suggested increased humidity, with contemporary pollen records that indicate drier conditions, by proposing an increased seasonality in precipitation with wetter winters and drier summers. Yet, the term '*seasonality*', while so often used by the palaeo-community, lacks formal definition, and the phrase '*seasonality change*' is often used to refer to a bundle of processes encompassing changes in both the external forcing and internal conditions modulating the local response. The external forcing is prescribed by the orbital parameters (see box 2, Supplemental Material S2).

Box 2 – Orbital influences on annual and diurnal cycles

Box 2 text can be found in supplemental material S2.

The amount of insolation received at any point on the planet is a function of season and latitude. It can be theoretically calculated for the past and the future and broadly translated into relative temperature changes, with flat seasonal gradients in the tropics and steep gradients at the poles (see box 3, Supplemental Material S3).

Box 3 – External and internal forcing, and internal feedbacks

Box 3 text can be found
in supplemental material
S3.

work for discussing scientific observations in order to avoid
confusion and promote transparency in multi- and transdis-

Box 5 – Relevance of seasonal bias recognition and adapting sampling strategy

Box 5 text can be found
in supplemental material
S5.

The internal forcing – atmospheric CO₂ concentration – is a global feature, and its changes are relatively well documented for the course of the Pleistocene and Holocene [632, 13]. The local conditions, however, are inherently heterogenic, and factors like continentality (landmass and ocean distribution), altitude, land cover, and atmospheric circulation patterns and volcanic activity play an important role in modulating insolation- and CO₂-prescribed local temperature (see box 3, Supplemental Material S3). Thus, the resulting local expression of climate seasonality varies between sites along the same or similar latitude (see box 4, Supplemental Material S4). Further, the natural archives exposed to seasonal changes might display a bias or an offset in recording the local signal (see box 4). Last but not least, if the natural archives might be influenced by, or are the direct outcomes of human activity, considering anthropogenic aspects is essential. Depending on the nature of their adaptations, resilience and sustainability, humans developed different strategies to cope with and/or take advantage of seasonal changes (e.g., choosing migratory or stationary lifestyles, hunting and foraging or farming). Importantly, the degrees to which particular strategies are successful are likely to change through time, based on environmental circumstances, population size, and technology (see box 6, Supplemental Material S6). Consequently, the archaeological archives related to human occupation sites constitute a special case, i.e., a confluence of natural changes and developing human adaptations (e.g., [438]).

Box 4 – Combined influence of latitude, continentality, and altitude

Box 4 text can be found
in supplemental material
S4.

This review answers the call by Carré and Cheddadi [99] for a reevaluation of the scientific focus and methodological habits of the scientific community. It is time to scrutinize ‘seasonality changes’ and address individually different components which together produce the climate- and human history records we work with. Considering the breadth of the audience, first we take a step back and take up the issue of climate seasonality (see box 1) at a fundamental level of external forcing (see box 2) internal, regional and local changes (see boxes 3 and 4). Further, we summarize how seasonality is reflected in different archives and explore advantages and potential limitations of each archive. The next chapter demonstrates statistical methods useful in extracting and analyzing seasonal information from high-resolution but often irregularly sampled archives. Finally, we suggest a frame-

1.2. Brief justification of selected archives

The beauty of seasonally-resolved archives, whether continuous or discrete, lays in their capacity of recording the baseline of seasonal variation. Deviation from this baseline can inform on frequency and magnitude of events (e.g., floods or droughts), while stepwise change suggests the reorganization of the large-scale atmospheric and/or oceanic circulation (e.g., glacial termination). Anchoring seasonal changes in a wider palaeoenvironmental narrative allows for insight into the complex dynamics of Earth’s system’s and human response to external climate forcing.

Not all palaeoenvironmental archives have the potential of recording seasonal variability. Of those which can, not all can be dated with annual resolution. Here, we first focus on instrumental and historical data as these have natural and direct connection to the Present. Next, we move to archaeological records as an overarching subject discussing relevance of seasonal changes for humanity’s past, beyond instrumental and historical reach. This chapter alludes to natural archives which are often found either directly at sites of human occupation or in close vicinity and have the potential to record seasonal changes. The different archives (i.e., marine biogenic carbonates, stalagmites, tree rings, laminated lake sediments, glacier ice, cave ice, and permafrost ground ice) are highlighted in the following chapters.

The element conspicuous by its absence is pollen. When calibrated, pollen records indisputably provide information on temperature and precipitation ranges in physical units (°C and ml) and as such can be related to specific seasons [107]. Applying transfer functions to pollen assemblages is a powerful tool for quantifying past environmental change. Alas, the temporal resolution of this proxy is inherently coupled with the sedimentation rate of the media it resides in and this review addresses seasonally resolved archives rather than proxies.

2. Seasonality in historical climatology

Historical climatology aims to extend temperature and precipitation data back to pre-industrial periods, bringing together direct and indirect sources on weather and climate (Fig. 1). Various types of records serve as unique functions in historical climate reconstruction by i) providing precise climate and weather information, from annual to daily resolution, at defined locations for all seasons and ii) defining their societal impacts, perceptions and reactions. Information derived from historical records can inform on past seasonality if the collected data are of sufficient resolution and cover time period of at least a few years.

Direct observations include quantitative measurements of temperature, rainfall, and other climatic information from various land stations and ships around the world (Fig. H1). Whereas instrumental records provide consistent measurements, indirect sources of information or references considered herein as proxies (e.g., personal documents, narrative and episcopal sources, archival and pictorial materials, flood markers) provide descriptions of the historical context of natural background climate variability (Fig. 1). Additionally, synthesized historical climate data (indirect references) derived from a combination of instrumental, written and pictorial documents improve our understanding of the climatic impact on social behavior and the organization of social groups in the past, even on short time scales (daily or seasonally) [69, 110, 300, 338]. These types of studies have been pivotal for exploring seasonal changes, with documentary data being translated into numerical indices, e.g., to study seasonal rainfall variability in Iberia [472] or seasonal temperature in the Mediterranean [64].

2.1. State of the art

Interest in documenting historical climate and weather patterns started in Europe since at least the 14th c. with systematic weather observations in England [381], Germany [512] and the Netherlands [81]. One of the main motivations behind recording meteorological phenomena is their impact on agriculture and society. Understanding the mechanisms behind all aspects of daily weather (e.g., wind, temperature, rainfall) was imperative to develop mitigation strategies. With the development of new instruments (standardized rain gauge, thermoscope, thermometer, etc.) in the 17th c., recording of weather conditions evolved from a mainly descriptive method into accurate and regular measurements of atmospheric and hydrological conditions [67]. In the 19th c., climate and weather became increasingly important for the military and were more institutionalized. In the 20th c., large compilations of instrumental data on centuries of past winters in England were collated [75] and compared with temperature. Other indirect compilations on weather include information on harvest timings, flood events and glacier retreats in Switzerland [441], Germany [215], Italy [95], Spain [578] and France [304]. Europe, having long written traditions has also the largest account of historical climate data [269].

Instrumental series from individual stations or regions,

some of them reaching back as far as the 17th c., allow for direct investigation of past temperature and/or rainfall. Prior to the establishment of national meteorological networks, information on past climates can be drawn from non-instrumental sources. A historical source on climate can be a printed document (e.g., manuscript, book, newspaper, parish or administrative registers, diaries), a picture or an artefact (e.g., flood mark, inscriptions, amulets) referring to weather patterns or impacts of climate on social behavior or agriculture (e.g., religious rituals or harvest density and timing, respectively). The term documentary evidence includes all kinds of anthropogenic historical sources, with several types of data (Fig. 1). Chronicles often combine descriptive data (e.g., descriptions of weather events) with documentary proxy data or observed features in the cryosphere (e.g., snowfall, snow cover), hydrosphere (e.g., floods, low water tables), biosphere (e.g., stages of vegetation cover), and atmosphere (e.g., features linked to volcanic eruptions). A well-documented example of this type are the Medieval Irish chronicles [337], which reveal a statistically significant link between cold events in Ireland and explosive volcanism in the northern hemisphere between 431 CE and 1649 CE. Brázdil [67] used a similar approach and compared personal daily diaries regarding meteorological events with independent meteorological observations from weather stations in the Czech Republic and adjacent European countries for the 1780–1789 CE period. Both, the documentary evidence and instrumental data reveal extreme seasons at the end of the 18th c., like the hot summer of 1783, and the hard winters of 1783/84 and 1788/89, the latter being the coldest December ever recorded in Europe.

2.2. Reconstructing seasonality signals from documentary sources

Reconstructing temperature or rainfall changes from indirect documentary evidence requires looking at all kinds of, mainly economic, activities characteristic for a specific season. Importantly, the type of documented activity or events (e.g., harvest, sowing, etc.) influences the number, length, and pattern of the identified seasons and the data should be treated with care. Temperature records can be constructed from two major types of sources: i) accounts on transportation and shipping and ii) phenological data. Rainfall records can be constructed from i) financial/economic, official administrative documents and ii) religious chronicles and ceremonies.

2.2.1. Reconstructed temperature data from documentary sources

Accounts on transportation and shipping. During the pre-industrial period canals and rivers formed major transportation routes and any kind of interruption was deemed harmful to the economy. Thus, all profits and losses were administered very accurately, often on a daily basis through archives such as tolls, leasing out of barges, passing and repairs of locks/bridges. The most important cause for the in-

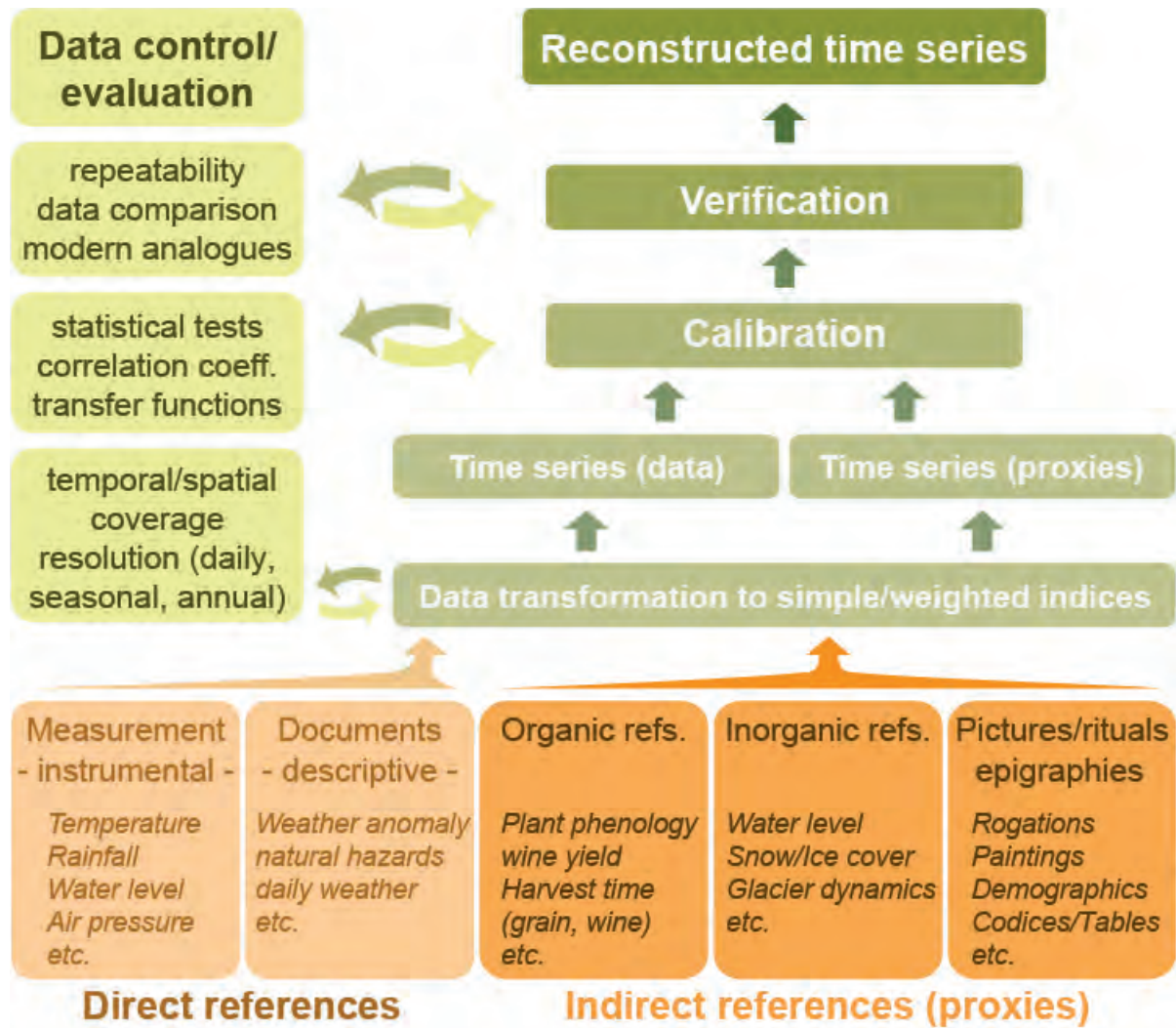


Figure 1: Processing direct and indirect data from documentary references into quantitative (reconstructed) climate time series (after [67, 69, 300]).

304 interruption of shipping or water mills was ice coverage of wa-
 305 terways. Most accounts record the beginning and ending of
 306 these frost periods and provide additional data on the sever-
 307 ity of the winter cold, and thus seasonal information of win-
 308 ter temperatures. De Vries [138] reconstructed winter sea
 309 ice expansion and long-term variability of winter severity in
 310 the Northern Sea from the 17th to the 19th c. by analyzing
 311 the number of days shipping was interrupted on the Haarlem
 312 canals. He then demonstrated complex dynamics of winter
 313 temperatures during the Little Ice Age (LIA). The methodol-
 314 ogy of translating the length of the frost period into temper-
 315 atures was later improved [580] to derive a quantitative frost
 316 index. The latter was more robustly correlated to tempera-
 317 ture via a classification for frost-temperature data in recent
 318 periods. Based on the reconstruction of the LIA winter ice
 319 index similar studies were conducted in the Baltic Sea [298]
 320 and northern Europe [553].

Documentary sources related to agricultural activities
 321 *considered “phenological data”* are represented by
 322 various printed sources, including official registers, diaries,
 323 manuscripts and accounts, detail crop production quantities
 324 and time of harvest. The tithes’ taxation that was collected at
 325 a fixed date prior to harvesting in medieval Christian Europe
 326 comprises another indirect climate proxy. These data are
 327 often related to the winter, spring, or summer temperatures
 328 and can thus give insights into past seasonal variability.
 329 Cold/dry and warm/wet weather conditions considerably
 330 impact crop production and harvest date. For example, cold
 331 winters can affect the production of certain crops such as
 332 *Brassica oleracea* (e.g., cabbage, [216]). Reconstructing
 333 the production of such cultivars from documentary sources
 334 can inform on the variability of winter severity on longer
 335 timescales. Other crops are documented in detail because
 336 of the high economic value (e.g., wine production). Grapes
 337 serve as excellent summer/autumn climate proxies because
 338 they are highly sensitive to the insolation length and



Figure 2: Historical archives and its relation to seasonality. **A:** drought marker ('Hungerstein') at Elbe river, Dresden, South-East Germany; **B:** flood marker at the Oder river, Frankfurt, East Germany; **C:** Illustration of monks harvesting wine in Bourgogne, France at the end of 19th c. **D:** September calendar showing Grape harvest and wine pressing. Photos A and B mark historical floods or droughts along rivers. The study of compiled historical markers as indirect proxies for seasonality give insights in changes in season's dynamics and trends. More frequent drought events during certain periods might reflect hot/longer summer seasons, whereas recurrent flood events can reflect severe/longer winter or spring season with higher amount of water/snow melt. Figure C is an extract B.11.4 (f. v recto.) of 'Psalterium', a manuscript from the 13th c., in which M.R. James describes the scene thus: "Cuts grapes from vine with sickle and holds the hand of a nude man in a vat." From the James Catalogue of Western Manuscripts, digital library of the Trinity College, Cambridge. All photos are under CC licence.

summer temperature. A long hot summer sets a harvest date for grapes in mid-September, whereas a colder summer generally delays the harvest into October. De Vries [138] and Ladurie and Baulant [304] were among the first to reconstruct temperature from harvest timing for winter and summer crops. Ladurie and Baulant [304] compiled harvest dates in France of several grapes in a long time series which starts in 1484 and reflects changes in early summer mean temperature during the LIA [86, 313]. Other phenological evidence comes from grain harvest dates (e.g., rye, wheat, oats) [215] or the first date of cherry tree blossoming [443]. Recent studies on grape and grain harvesting in SE France [131, 110], Hungary [288], Lithuania [553], and SE Asia [595, 200, 228] provide more robust methodological and statistical strategies to disentangle the Medieval climate optimum (MCO) and LIA climatic dynamics by combining documentary evidence with independent proxy data.

Overall, time series of grape harvesting (Fig. 2) may provide a combined spring and summer temperature signal, while the index-based time series of the number of frost days may provide a winter temperature signal. As with other archives (e.g., tree rings), influence of precipitation or a signal noise cannot strictly be excluded. The expected temperature signals are renounced from datasets by smoothing or filtering. High correlation coefficients between document-based reconstructed index values and recent instrumental data increase confidence in the index as temperature indicator. Reconstructed temperatures might then represent average winter, spring, or summer

temperatures.

Most written sources on water availability (rainfall, drought, floods) record extreme weather events because they are strongly perceived as being the cause of disasters, potentially even leading to the collapse of societies [233, 602]. Extreme events, such as severe storm surges causing large-scale flooding (Fig. 2B), or severe droughts or extended frost periods that led to food shortage and famine, and consequently higher mortality rates, were frequently noticed by chroniclers (Fig. 2). Such information is also available not only from Europe but also the Americas. Nineteenth century explorer logs from the Canadian Arctic have helped dispel the myth that navigation through the Northwest Passage was impeded during periods of intense cold, such as the LIA [420]. Similarly, 19th c. meteorological diaries kept by the family of James Madison contain climatic data that allowed the reconstruction of precipitation in the northeastern United States [160]. Even earlier correspondence between local officials and governing bodies, and other sources in the Yucatan peninsula, Mexico, detail periods of drought, and instances of food shortfalls resulting from a series of droughts during the Colonial period [3, 243]. Historical documents from Ecuador, Peru, Bolivia, Chile, and Argentina offer direct information on climatic variability since the European conquest. A large corpus of historical data from South America from 1550 to the mid-20th c., compiled by del Rosario Prieto and Herrera [479], allows the identification of long-term regional climatic trends.

Earlier historical evidence comes from Pre-Columbian societies with written languages. Although surviving historical texts from the Pre-Columbian Americas focus particularly on religious or ceremonial knowledge and political histories, some give details about climatic events. For example, a hieroglyphic text from Comalcalco describes drought and famine in 783 CE ([629]:257, 543). Both droughts and excessive rainfall are discussed in Maya codices, tables and almanacs, for example a severe drought in 818 CE that affected the crop yield [74]. Another example comes from the Aztec Empire, who recorded a series of drought events, such as during the Famine of One Rabbit in 1454 CE [563]. Despite the wealth of information in these documentary sources, reconstructing a seasonal signal of water availability requires more systematic chronicles with daily to monthly resolved data on events directly linked to winter, spring or summer seasons, or social/religious ceremonies that reflect social behavior driven by seasonal events.

2.2.2. Rainfall records from major categories of documentary sources

Financial/economic records, books of city, and official administrative documents. Administrative sources consist of official documents which contain exact, and often numerical, information on socio-economic impacts, such as the low quantity or quality of harvests, level of food shortage, loss of domestic animals, or taxation due to harvest loss. The different economic aspects of drought may be listed more systematically compared to other types of sources, giving an opportunity to obtain additional information on droughts on annual basis, and to evaluate the intensity of spring or summer droughts. Camenisch and Salvisberg [93] reconstructed drought events for the Swiss city of Bern between the 14th and 18th c. when the Republic of Bern was able to expand its political and territorial power and to establish the largest city state in the Northern Alps. Narrative sources and the proceedings of the city council – the “Ratsmanuale” – as well as other sources of the cities’ administration from the nearby areas mainly document summer drought events allowing the reconstruction of summer drought variability in Switzerland during the LIA. Examples of seasonal floods were documented in the upper Rhine Basin in Southern Germany and Switzerland [606]. In the city of Basel, pre-instrumental data such as flood marks, and documentary sources describing flood events from the 13th c. were calibrated using comparisons with daily hydrological measurements for the overlapping period of the 19th c. Wetter et al. [606] show that summer (JJA) floods were particularly frequent between the 17th and 18th c., when total precipitation was also above normal. However, despite a significant increase in winter precipitation, severe winter (DJF) floods have not occurred since the late 19th c., mainly due to the installed river regulation systems.

Religious chronicles and ceremonies. Climatic information derived from private letter correspondence of the Jesuit order in Castille (Spain) during the mid-17th c. reveal a

prevalence of intense rainfall and cold waves in the recorded period [473]. Retsö [467] used similar documentary sources to characterize winter weather patterns from the early 16th c. in Sweden. Recently, new data sources from religious rituals (songs, prayers, etc.) showed climatological potential to derive water availability data (e.g., droughts, floods). For instance, the Catholic Church in Spain organized rogation services (rogativas) directed to end climatic stress situations connected with long dry or wet spells which jeopardized the harvests. Ecclesiastical authorities developed a system of activities in which five levels of rogation can be distinguished regarding the severity of dryness. Vallve and Martin-Vide [578] used these rogation data to analyze spring/summer droughts in the Iberian Peninsula at the end of the 17th c. Rodrigo et al. [474] used, among other sources, religious chronicles and books of city and church archives for the reconstruction of seasonal precipitation changes in Andalusia and its links to the winter North Atlantic Oscillation back to AD 1500. In the Americas, earlier documentary sources from religious leaders record climatic changes [137], e.g., in the Book of Chilam Balam of Chumayel [482], which describes a pilgrimage to the sacred cenote at Chichén Itzá to conduct a ritual to appeal for rain in 1535 CE.

2.3. Methodological advances

Direct observations from historical narratives on climate anomalies and weather patterns, as reported in documentary sources come with a variety of drawbacks. First, such accounts are often sporadic or event specific, and possibly contain gaps when considering long time periods [442]. Nash and Adamson [392] note that the discontinuous nature of many records can cause major issues, but those are balanced by the excellent dating control and high temporal resolution that is available from these accounts. Since the 1990s, the methodologies were improved in order to evaluate archived documentary data in such a way to exclude anomalies (filtering), smooth the qualitative data with data assessment using fixed average times, evaluating the consistency and reproducibility of chronicles with sufficient overlapping time windows, or correlation with recent observations, and finally creating quantitative indices using a categorization process of the targeted proxy (temperature, rainfall, wind) and transfer functions [69, 68, 300, 595, 214, 152, 403, 400]. Additional work has focused on developing techniques for assessing the quality of documentary sources. To test authenticity and/or consistency, Pfister [442] suggest that documentary sources should be critically evaluated in relation to broader historical contexts. Similarly, Jones et al. [270] suggests rigorous inter-comparison of sources to construct basic reliability measures for each type of evidence. Despite such issues that may plague documentary sources, these are among the most relevant types of data for understanding climatic conditions, particularly for the pre-instrumental time intervals.

Overall, the field of historical climatology has contributed greatly to understanding the climate variability of the recent millennium and to extract the seasonal signals from documentary sources. First, this field unlocked a

wide variety of documentary sources dating back to the
510 pre-instrumental period and provided indirect references
(e.g., proxy data) on climate conditions. Other direct refer-
512 ences are dated back to the instrumental period. Both direct
and indirect data provide a seasonal signal (temperature,
514 precipitation, pressure) which has been used to compile
long time series of climate related signals. Secondly, special
516 methods were developed in order to transfer the indirect
reference signal into data which would be directly compa-
518 rable to the data provided by instrumental measurements.
These methods contributed to extending the time series of
520 instrumentally measured temperature back into the 16th
century in different parts of the world, including Europe,
522 Central America, and South-East Asia.

3. Seasonality in the human past: relevance, major research questions and methods

Human behaviour has been shaped deeply by seasonality, but understanding these effects requires transdisciplinary approaches drawing from different components of the earth system (e.g., atmosphere, biology) as well as social sciences. This is especially the case when considering past populations. All life histories involve responses to seasonality, including adaptations to cyclical changes in temperature, humidity, rainfall, ocean currents, cloud cover, and wind [60]. In general, seasonal variations in temperature and moisture influence biological diversity and form the templates for a wide range of life history patterns and evolutionary processes [584], affecting wide ranges of plants and animals [120, 567, 615], including humans and our primate ancestors [498]. In this chapter, we outline several major research questions representing important current directions in archaeology, history, and the human past broadly. We also summarize methods commonly adopted by archaeologists to reconstruct patterns of seasonality, both directly and through collaborations with Earth system scientists and others. The success of the approaches discussed here for resolving seasonality in the present and the past is closely related to the temporal resolution and the sensitivity of the proxies to environmental change as well as analytical capabilities (e.g., [518, 119, 604]).

For the definition of specialised terms refer to provided glossary (see box 6).

Box 6 – Glossary for archaeological terms

Box 6 text can be found in supplemental material S6.

3.1. Relevance of seasonal changes and Traditional Ecological Knowledge

Generally, humans are well attuned to their environment and seasonality plays an important role in decision making [534]. Human responses to seasonality are a key aspect of Traditional Ecological Knowledge (TEK), which represent knowledge production systems frequently overlooked by scientists and policy makers [607]. Adapting to seasonally available resources is one of the earliest forms of hominid TEK, predating the emergence of *Homo sapiens* [535]. Among our earliest ancestors, the evolution of increased human sensorimotor intelligence is linked to the ability to identify and exploit seasonally available fruiting plants [370].

Knowledge of seasonal patterns has always been a component of foraging, pastoral and agricultural strategies. Residential mobility among foragers relies on TEK to determine when particular plants are fruiting, track the seasonal reproductive cycles of marine resources, or predict the locations of animals based on seasonal migratory patterns. Among

some pastoralist communities, human birth intervals are tied to seasonality, with conception occurring when both rainfall and food supplies are at their highest levels and when women are attaining their peak nutritional status [325]. Traditional swidden farmers engage in rainfall dependent agriculture primarily in tropical environmental zones and rely on TEK for cues as to when to clear, burn, and plant crops based on knowledge of seasonal cycles, some very specific and based on TEK knowledge of when monsoon rains begin [173].

As human populations grew following the advent of surplus agriculture, humans began to rely on engineering to modify their environments to intensify food production beyond the limits of more passive adaptations to seasonal cycles which often involved mobility. The most obvious of these are investments in water management systems to extend growing seasons beyond the range of seasonal rainfall distributions which can vary from the use of terracing to conserve moisture, to draining wetlands to create fertile growing beds [600], to the massive investments in moving water over longer distances. This includes the Qanat, which originally spread from Persia to Iberia with the Romans, and the Moroccan Kheffara [40]. Humans developed water management systems for seasonal and perennial arid lands that inevitably impacted the biodiversity and seasonal distributions of plant and animal communities [38, 556]. We know that some of these technologies developed concomitant with increasing human populations like the massive Amazonian floodplain fisheries based on an extensive network of earthen fish weirs and overbank ponds in the Llanos de Moxos in Bolivia [50]. These functioned to capture seasonally abundant floodwaters and fish and create a food supply during the dry season. Perhaps the most elaborate example of technological innovation is the Balinese system of water temples and wetland rice irrigation systems which made large scale production possible where it otherwise was seasonally limited. The 1,000-year-old Subak system permeates all levels of human economic, religious, and political organization on the island [309]. While most of these systems also emerge from TEK, they also tend to spread by demic diffusion or cultural transmission and to be modified along the way for different environmental conditions, population sizes, or changes in climate and seasonality.

3.2. Research questions in archaeology

Seasonally occurring patterns of environmental change have influences on human behaviour and are thus important to consider in the interpretation of archaeological sites and historical records (e.g., [379]). For this reason, many of the central research avenues within modern archaeology can benefit from the applications of the methods discussed in this review. While most research questions involving the seasonality of past human subsistence and settlement patterns usually fall under the purview of archaeologists, transdisciplinary studies have been at the forefront of understanding the role of seasonality in human-environmental relationships. Current applications are broad, ranging from research

on hunter-gatherer populations to complex agricultural societies (e.g., [279, 281, 329, 379]).

Archaeologists are not only interested in what resources were available to past populations at different times, but also the decisions that people made in the context of seasonal resource access. Thus, seasonality not only describes environmental conditions, but also the activities that occurred during specific seasons, including how this is reflected in the archaeological record. Traditional chronometric methods including radiocarbon dating and seriation lack the resolution to associate cultural materials with individual seasons, so seasonality-based approaches are used to provide a better understanding of short-term activities that were either conducted every year during specific seasons or during a short period of time during a specific year. After Milner [377], some of the major applications of seasonality in archaeology are to: 1) gain an understanding of the seasons of a particular activity (e.g., harvests, fishing); 2) identify the season of site occupation (e.g., winter shelter); 3) aid in an interpretation of the function of a special purpose site (e.g., hunting camp); 4) model mobility across a settlement system; and 5) recognise sedentism and perennial occupation sites. These methodological applications of seasonality studies can be applied to address some of the research questions of interest to large swaths of the archaeological community. Furthermore, reconstructing seasonal fluctuations and interannual and longer-term patterns of environmental change can help us to understand the timing and processes behind some of the major changes in human history.

In a general sense, adaptive strategies from throughout the evolutionary history of modern humans and earlier hominin species have been linked to seasonality [318, 448]. Hominin evolution largely occurred during the variable climates of the Pliocene and Pleistocene [146]. In this perspective, seasonal fluctuations in temperature and rainfall, and especially interannual variability in these changes, may be one of the factors influencing evolution at different times [448]. For example, in coastal environments in South Africa, shellfish availability varies throughout the tidal cycle, but return rates are also influenced by the season, with the most adverse weather which limits intertidal access occurring during the winter from June to September [139]. Although the hypothesis has been challenged [292], Marean [349] has argued that mastery of tidal cycles was one of the important factors influencing human evolution.

Environmental change has been associated with shifts in the patterns of seasonal movement across the landscape among a variety of human populations. This can be more broadly aligned with cultural change. In an example from the northern Channel Islands near the coast of Santa Barbara, California, relatively drier conditions during the middle Holocene (7550–3600 cal BP) appear to be associated with increased sedentism and predictable seasonal mobility [279]. During this time, short term low-density occupation sites appear to have been supplanted by a greater focus on the windward northwest coast of Santa Rosa Island. This manifested as high-density coastal sites at the mouths of the

largest and wettest drainages on the island, with evidence from the $\delta^{18}\text{O}$ record of California mussel shells indicating summer movement to large interior residence bases, where people would have more reliable access to fog water and possibly plant resources [262]. More predictable patterns of annual mobility and higher density within settlement sites allowed for increases in social complexity, which began locally around that time [340, 279].

Changes in subsistence and settlement mobility associated with increased social complexity can be representative of broader patterns of culture change related to resource scheduling in response to seasonal variability. In a prominent example, one of the major transitions that occurred in human history was the shift from hunting and gathering to food production, which was an important factor often associated with the development of social complexity [591]. Most of the changes associated with food production and increased sedentism occurred during the Holocene, despite the fact that modern humans have existed for much longer (e.g., [281]). At the least, the climate of the Holocene has been conducive to the appearance of these patterns. Seasonal variability in food resources can be mitigated through storage of grains and other domesticated foods (e.g., [533, 558, 610]) or even wild foods like salmon in the Pacific Northwest (e.g., [96]). Storage among both complex hunter-gatherers and agriculturalists allowed for seasonally available resources to be available during lean seasons and to account for less predictable interannual variability (e.g., [96, 533]).

Another broad area of research is the role of seasonal environmental fluctuation in the ability of people to colonize new areas. For example, despite earlier arguments for initial migration into the Americas through an ice-free corridor between the Cordilleran and Laurentide Ice sheets between 13,000 and 14,000 years ago [236, 351, 181], currently the most prevalent theory is that the Americas were colonized earlier and the route was more likely along the Pacific coast (e.g., [166, 62]). An important question related to this initial migration is the nature of how these migrants crossed the Cascade and Sierra Nevada mountain ranges into interior zones, including the Great Basin. These high elevation zones would have been snow-covered during much of the year. In the Cascades, sources of high-quality obsidian that were used by Paleoindian populations are above the snowline and would have only been accessible seasonally (e.g., [463]). For these reasons, reconstructing seasonality in the Great Basin and adjacent mountain ranges is necessary for understanding the initial peopling of the continental interior.

During the late Holocene, there was an expansion in how these high elevation zones were used. In addition to accessing lithic raw materials, people also incorporated high-elevation zones of the Sierra Nevada into their patterns of seasonal mobility in part to hunt [380]. This includes the use of permanent food processing features like bedrock mortars above the snowline. These late Holocene patterns of settlement and mobility were obviously very different from those of the initial settlers of the region, but it is clear that high el-

evation zones could provide subsistence resources and at the least had to be traversed during entry into the continental interior. Therefore, it is necessary to understand seasonal variations in resource availability and access to migration routes at high elevations to reconstruct the timing and process of population expansion. Other similar migrations to new locations around the world, including out of Africa, into Australia, and to remote Polynesia could also benefit from an understanding of seasonal variability in weather, which could affect food availability, severe weather events, and ocean currents.

In the New World, the transition to agriculture occurred first in the Neotropics and then later in temperate North America. The earliest evidence of this transition related to seasonality comes from the late Pleistocene in the Amazon where humans engaged in seed dispersal of large fruiting trees and palms, a role previously dominated by megafauna [156, 157]. Across the Neotropics, the early Holocene heralded warmer temperatures, distinctive seasonal contrasts, and changes in forest and savannah composition as humans emerged as ecological dominants [158]. Domesticates first appear in South America between 10,000–8,000 BP [254] and neotropical Mexico by 8,000 BP, with early cultivation of *Manihot* sp., *Cucurbita* sp., *Dioscorea* sp., *Capsicum* sp., and *Zea mays*, an annual grass and perhaps the most impactful seasonal crop developed in the New World, was first domesticated in Mexico by 8,900 BP [445] but underwent secondary improvements of key traits in South America [289, 290] before being adopted as a staple there by 5,000 BP [573] and in Central America by 4,000 BP [283]. Following the adoption of maize, most neotropical agriculture followed TEK related to the seasonal life-cycle of that crucial plant. Domesticated maize moved to North America after 4,000 BP [548], where is joined a host of other domesticates [444].

3.3. Methodological approaches for applying seasonality in studies of human past

Seasonal changes in precipitation and temperature can dramatically influence the availability of subsistence resources. This includes variability in plant growth and animal migration patterns. For example, ecologists and animal biologists trace the seasonal movement and breeding schedules of migratory animal species (e.g., [421]). Zooarchaeological studies have focused on identifying the presence/absence of migratory species to estimate season of site occupation [379]:180. For example, Monks [378]:298 identified the presence of “birds, sea mammals, and fishes known to prey on herring... to indicate a late winter and early spring occupation of the last two prehistoric components at Deep Bay”. Other methods to identify seasonality in the zooarchaeological record include physiological events, including bone fusion, tooth eruption and wear, antler growth, incremental structures (see chapter 4.2), and population structure to name a few [379]:185–222. Studies of past populations frequently use botanical or faunal remains to explore how humans adapted

their scheduling (patterns of population size, distribution, and mobility) to accommodate the seasonal availability of desirable resources, primarily water, plants, and animals within local or regional ecosystems [284, 125, 336]. These can be compared with analogous studies conducted among modern hunter-gatherer populations (e.g., [266, 51]) to understand seasonal foraging strategies. This can include dendrochronology, which can be applied to wood from archaeological sites or logs from trees that grew contemporaneously to human occupation of the region. Tree rings can be used to infer year to year variability in rainfall patterns in environments with predictable seasonal variation (see chapter 6.5).

Bony fish have been studied within archaeological and modern palaeoenvironmental studies to understand seasonality. Methods to identify seasonal variability include presence and absence of seasonally sensitive taxa [465], growth increments and isotopic measurement of fish otoliths [581, 284], and body-size frequency distributions [492]:5–6. Stable isotopic measurements, most frequently $\delta^{18}\text{O}$, and elemental ratios, including Sr/Ca and Ba/Ca, in otoliths, the calcium carbonate inner-ear structures of bony fish, offer information on seasonality by indicating changes in water temperature, salinity, and element availability throughout the year [94]. Fish otoliths often offer important information on seasonality since the formation of those structures exhibit daily and annual growth increments and therefore allow for the identification of the season of death for the fish, and hence the human fishing activity [425]. In one study in southern Norway, $\delta^{18}\text{O}$ from two Stone Age sites showed seasonal patterns for the fish during the formation of the otolith 1 to 2 years before its death. Water temperature estimates from the most recent growth bands correspond to the late winter or early spring [250]. In another example, red cod (*Pseudophycis bachus*) otoliths from the Shag River in New Zealand allow for the identification of winter and fall seasons of prehistoric occupation of cultural layers at human settlements [242].

Similarly, $\delta^{18}\text{O}$ values for mollusk shell carbonate have frequently been used to estimate seasonal patterns of archaeological site occupation in coastal environments (e.g., [518, 284, 336, 63]). These measurements can be used to infer season of death of individual mollusks, which in aggregate provide a way to determine during which seasons individuals targeted those species (see chapter 4.2). Based on the context of the site and the rest of the artifact assemblage, we can estimate whether this is reflective of seasonal variations in which food resources are targeted or seasonal mobility between sites. Conversely, consumption of that species during all seasons indicates year-round occupation of the site and consistency in diet.

Water availability can also be an important factor influencing seasonal human behaviour, particularly in arid or semi-arid locations. In Mediterranean environmental zones, for example, freshwater undergoes predictable seasonal fluctuations, with winters typically cool and wet and summers usually warm and dry [331, 198]. While

longer-term droughts are more readily visible in palaeobotanical and isotopic data from lake sediments (see chapter 7), seasonal variability in freshwater can have tremendous effects on settlement patterns, including site distributions and mobility patterns. Fast growing tropical speleothem archives have the potential to produce intra-annual signals to assess seasonal variability through time (see chapter 5).

Archaeological studies have used direct measures of seasonality through isotopic measurements of other biological proxies, including human hair from mummified remains [293] or incremental sampling of tooth enamel from domesticated animals [29] and humans [89] to look at seasonal mobility and investments in management of freshwater resources. Furthermore, bioarchaeological applications of tooth cementum annulations (TCA) or cementochronology have the capacity to inform on seasonality as well as other life history events in human and nonhuman species [391]. Cementum, a growing dental tissue that is regularly deposited and does not remodel throughout the life course, has repeatedly been applied to zooarchaeology, palaeopathology, and bioarchaeology to reconstruct age-at-death and season of death because of its high accuracy [85, 151, 391, 593, 614]. Cementochronology had its earliest applications in zooarchaeology and has been a successful tool for estimating season of death and age-at-death in over 72 species of mammals across 21 families and 9 orders (see Naji et al. [391] for a review of this literature). From archaeologically recovered teeth, it is possible to estimate the age and season of death from seasonal bands in dental cementum that result from variations in microstructure, which reflect changes in the rate of tissue growth attributable to seasonality [98, 329, 367, 593]. Zooarchaeological analysis of cementum in the context of human settlements have routinely proved useful for reconstructing past patterns of seasonal mobility and subsistence [466]. This method also has utility in historical archaeology as a way of distinguishing seasonality in historic faunal assemblages that include evidence for butchering [306].

In summary, our ability to reconstruct past human behaviours, understand how and why humans developed cultural niches, and untangle how we evolved and diverged from our evolutionary ancestors is contingent on contextualizing adaptations within a seasonality framework. This can be achieved in collaboration with palaeoclimatologists whose complementary expertise on responses of the archives of interest to external forcing and internal feedbacks foster insightful interpretations. New advances in molecular methods and a focus on transdisciplinary collaborations are expanding our ability to ask and address more complex questions, aided by technological advances in chronology building and linking cultural data to climate.

4. Seasonality in marine invertebrates

As is the case with other proxies, one of the major concerns influencing the ability to assess seasonal patterns of change in marine records, and in turn make inferences about human subsistence and mobility, is the resolution of proxy time series. This includes both sampling resolution (i.e., the amount of time integrated in a single sample and spacing between them) and temporal resolution of the tested profile (often the archaeological record). West et al. [604] comprehensively summarize the current state of sclerochronology, with emphasis on archaeological applications, [608] focuses on optimising sampling strategies. Here, we take a focused approach to the role of marine records in reconstructing past climatological patterns and seasonality.

This review focuses in part on the effects of seasonal environmental changes on humans, with implications for present-day climate change and necessary human responses. Archaeologists interact with these patterns in two important ways: (1) applying palaeoclimate datasets to targeted periods of interest [271, 280, 264]; and (2) using environmental proxies archived in materials recovered from archaeological sites [518, 541, 224, 452, 564, 321, 118]. In (2), archaeologists may either do the palaeoenvironmental work themselves or collaborate with climate scientists (e.g., [491, 382]). Both approaches focus on one central goal, namely, to understand the environmental context for diachronic trends in human behaviour. This often includes patterns of settlement, mobility, and subsistence. In (1), datasets are often more chronologically detailed, but can be difficult to reconcile with the archaeological record because of differences in scale, resolution, and spatial distance to archaeological sites. In (2), there is a direct chronological (and often stratigraphic) relationship between human activity and the environment because the proxies are human-derived materials, but this leaves us at the whim of the archaeological record, which often includes hiatuses and can be subject to a range of post-depositional alterations (Fig. 3). Here, we focus on both approaches, but acknowledge that each has important limitations.

4.1. Marine proxies for seasonality

Marine carbonates are especially useful for reconstructing past environmental conditions because the ratio of the oxygen 16 and 18 isotopes ($\delta^{18}\text{O}$) varies with the isotopic composition of seawater (including salinity) and water temperature [575, 601]. Both variables can fluctuate seasonally in predictable ways, with the geographic context influencing their relative importance. For example, in estuaries like San Francisco Bay, the seasonal variability in salinity results from seasonal snow melt and governs changes in $\delta^{18}\text{O}$ in mollusc shells [513]. In open-ocean contexts, further from sources of freshwater, fluctuations in salinity are much smaller than seasonal sea surface temperature (SST) change, making $\delta^{18}\text{O}$ an effective paleothermometer [518, 284, 260, 516, 87, 234, 453, 426]. In either case, seasonal changes can be tracked. Stable carbon isotope ratios ($\delta^{13}\text{C}$) can also provide information about environmental shifts, in-

cluding differential patterns in upwelling [488], but it is less frequently used as a seasonal marker and rarely applied without $\delta^{18}\text{O}$.

While geological archives allow high-resolution paleoenvironmental reconstructions, it is often difficult to obtain seasonal resolution. One of the highest resolved marine records of environmental change is the ODP 893A core that was taken off the coast of Santa Barbara, CA [280]. Its top 17 meters (of a total of 200 m) represent the Holocene. The $\delta^{18}\text{O}$ signal of planktonic foraminifera reflects SST variability. For the most recent 3000-year period (the first 5 meters of the core), chronological resolution is 25 years, while for the rest of the Holocene, it is 50 years. The unusual high resolution stems from a confluence of environmental and depositional factors [280]. Although it is useful for many archaeological purposes, this record does not allow for the extraction of seasonal patterns.

On the other hand, corals often provide a seasonally resolved archive of marine environmental conditions. As colonies, these small invertebrates integrate oxygen and trace elements into their aragonitic skeletal structure and grow large structures with often annual bands of carbonate that can lay the foundation for long-term chronologies of climate change. Coral-based research is often limited to tropical regions because of their narrow temperature tolerance. Long composite records with improved reliability and representation can be constructed using overlapping coral chronologies (e.g., [241, 119]). High-resolution sampling of corals has been used to determine long-term sub-annual SST variations that are clearly visible in modern samples [314, 495]. Since $\delta^{18}\text{O}$ of ambient seawater varies with both temperature and salinity, other SST-proxies like Sr/Ca and P/Ca ratios [314, 104] are used together to allow for more reliable reconstructions of seasonal SST changes.

The possibility of obtaining high resolution data make coral records useful for tracing ENSO patterns [119]. For example, the unusually strong 1997-1998 El Niño appeared clearly in multiple coral records [314]. Extending these records into the past, however, adds uncertainty to seasonal records, and thus, corals primarily remain useful for tracing only broad-scale climate changes [104]. In addition, while some types of proxies rely on a single record from each context, corals can show considerable variability within the record of individual colonies from the same context. Therefore, it is necessary to include multiple corals from a site and develop age models to assess uncertainties [119] to confidently attribute patterns to seasonal SST variation [495].

Another frequently used marine proxy for paleoenvironmental reconstruction are mollusks, particularly those derived from the archaeological record (Fig. 4; e.g., [604, 8, 564, 518]). This is a part of the rapidly growing field of sclerochronology, which incorporates growth and age studies of mollusk shells in combination with $\delta^{18}\text{O}$ records [604, 564, 87, 452]. Mollusk shells used in sclerochronology are frequently derived from shell midden sites, which often provide stratified records that can bolster chronological resolution

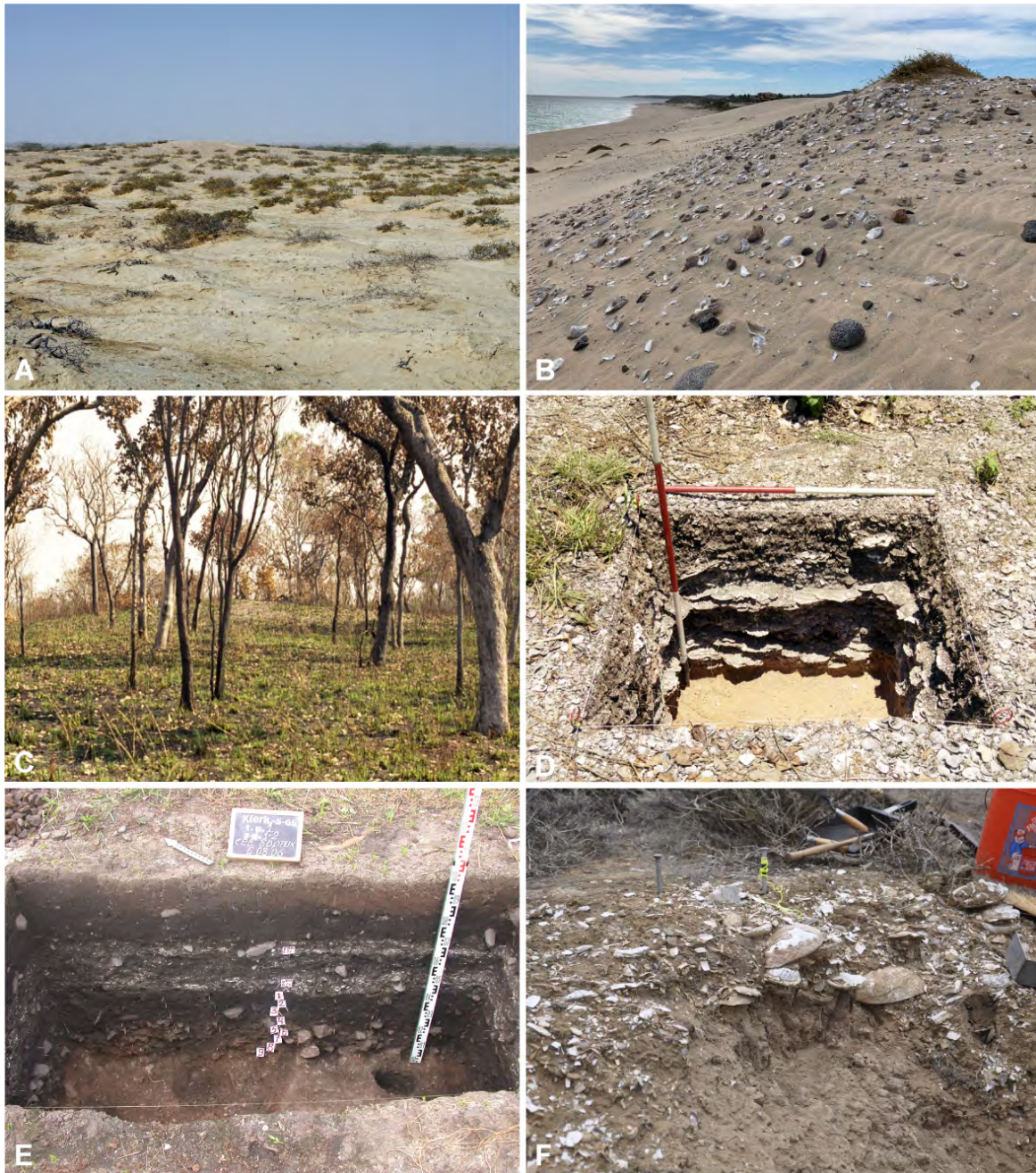


Figure 3: Examples of shell midden sites. **A:** Shell mound at Lake Siranda, Pakistan, occupied between 6,900 and 6,700 years ago. Photo taken January 2014 by P. Biagi; **B:** Dense oyster and mussel shell deposits at the dune top site D37, Baja California Sur, Mexico. Photo by C. Jazwa; **C:** Large mounded shell deposit (c. 3 m high) overlooking modern freshwater wetlands that formed following infilling of an embayment via progradation, Blue Mud Bay, Northeast Arnhem Land, Australia. Photo by P. Faulkner; **D:** *Tegillarca granosa* dominated mound BMB/029, Blue Mud Bay, formed between 2287 and 1985 cal BP, showing the dense shell deposit characteristic of these north Australian sites. Photo by P. Faulkner; **E:** Klerk-5, one of hundreds of shell middens along the coast of Peter the Great Bay, Russia, formed between 4,800 and 2,200 years ago. Photo by Y. Vostrezof; **F:** CA-SRI-338, a characteristic red abalone site on western Santa Rosa Island, California, occupied between 5,700 and 5,900 years ago during fall, winter, and spring. Photo by C. Jazwa.

(see [297] for a more detailed analysis of shell stratigraphy and limitations). The rapid growth rate of certain mollusk species allows SST estimates at seasonal resolution and determination of the season that they were harvested. Shackleton [518] recognized this characteristic feature and estab-

lished a set of requirements that mollusk shells and their environments should fulfil to maximise their fidelity as seasonal markers.

Often, the most common components of an archaeological site are not necessarily the best mollusk species for

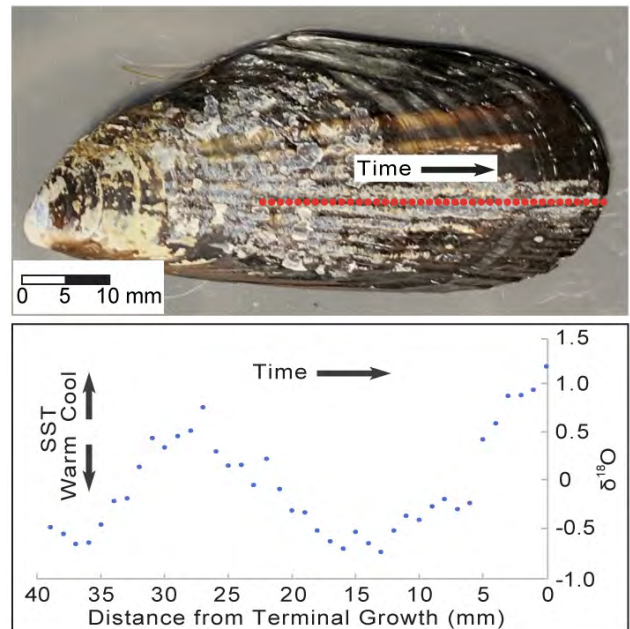
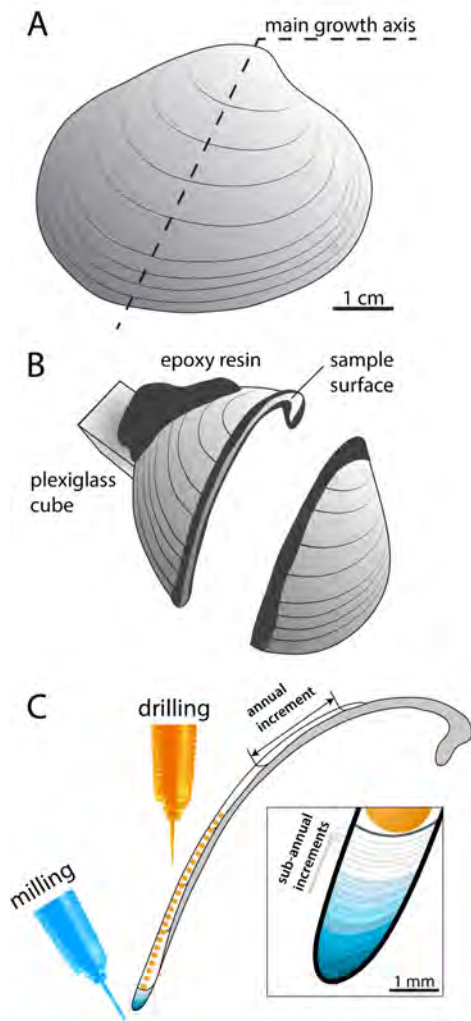


Figure 5: A seasonal isotopic signature obtained from a *Mytilus californianus* $\delta^{18}\text{O}$ shell profile. This shell was collected from Santa Rosa Island, California in May 2018 and sampled at 1 mm increments (red dots) using a 0.5 mm drill bit on a Dremel tool at low speed. $\delta^{18}\text{O}$ has a negative relationship with ambient temperature, indicating decreasing temperature prior to harvest.

the considerable variability between individuals must be corrected for by increasing the number of samples collected per shell. Further, shell geometry can make controlled sampling more challenging than the shells from other mollusk taxa. In this regard limpets have proven very useful as they grow relatively evenly compared to other gastropods. In his seminal study on using shell isotopes for seasonal analysis, Shackleton [518] focused primarily on *Scutellastra tabularis* (syn. *Patella tabularis*), which he cross-sectioned in the centre before drilling, a method that is not easily applied to conical or helical shells. The curvature of these shells prevents them from easy sectioning in one straight line covering the entire record, requiring sampling along the outside or only in specific areas. In turn, species with curved shells often, but not always, produce shorter geochemical records of the latest growth period [63, 201, 234, 451]. With the proper sampling strategy, archaeological material can offer climatic data over long periods and of high quality [227, 84, 224, 604], although many mollusk species (e.g., *Arctica islandica*) that would produce the best climatic datasets may not be abundant in archaeological sites [87, 477, 539].

As with corals, recent mollusk studies have also moved beyond isotope proxies to include minor or trace element data. Elemental ratios (e.g., Mg/Ca, Sr/Ca, Ba/Ca, and Li/Sr) in carbonate shells can reflect water temperature [291, 551, 196, 180, 57], but are also influenced by internal processes (e.g. growth rates, [162, 234]) or concentration of

Figure 4: Shell preparation and sample process of geochemical analyses, after ([227], Fig. 2). **A:** Shells are cut along the growth axis and ideally in the longest possible transect to provide a good sampling resolution. **B:** The use of epoxy resin along the cutting section helps to prevent broken and jagged sections. Cutting is often done using a diamond-blade saw. Rectangular objects glued onto the shell provide for a more practical fastening of the sample and a more controlled sectioning process. **C:** Sampling can take place using milling or drilling of the shell layers. Milling is usually done on a smaller scale (micrometers rather than millimeters) to access slow growing portions of the record. In comparison, drilling is more efficient when records need to be sampled extensively and on a millimeter scale. Note that sampling of shellfish records is usually carried out within one layer of the shell (exemplified by white and grey areas of the shell section).

sclerochronological and isotopic analysis. For example, California mussel (*Mytilus californianus*), a relatively fast-growing species that makes up the bulk of many middens along the west coast of North America, has been the target of numerous studies (Fig. 5; [116, 260, 265, 560]. While these analyses have been useful to gain insights into past SST variability and inferences about seasonality,

organics [517]. While element mapping has improved our understanding of elemental compositions [526, 449] and in some species produced feasible solutions to disentangle different influences [234], many issues remain unsolved. This is often a product of species and individual specimen variability of elemental proxies within mollusk shells. Advances in rapid elemental analysis through Laser Induced Breakdown Spectroscopy (LIBS, [129, 115, 234] point towards elemental data becoming more accessible in the future, improving the datasets necessary to further untangle elemental patterns within marine carbonates.

4.2. Applications of marine shells in archaeological seasonality studies

Archaeological sites are often among the best sources for abundant mollusk shells from well-stratified and dated contexts. Because these shells can be directly associated with human actions, it is relatively straightforward to derive implications for past human activities. While archaeology uses a similar range of environmental archives as the geosciences, their geographic and chronological contexts must be rectified if they are not directly from archaeological sites to determine the environmental conditions encountered by humans. The archaeological relevance of an environmental archive is thus inversely related to its distance to human habitation. The two most frequent applications are 1) inferring seasonal SST fluctuations to evaluate long-term variability in seasonal climate patterns [260, 547, 596] and 2) estimating harvest season from individual shells to interpret whether sites were occupied year-round or during specific seasons [518, 284, 225, 336, 63]. Seasonal patterns of environmental change can influence human behaviour and are thus vital for the interpretation of archaeological sites [379].

Since at least the 1970s (Shackleton 1973), mollusk shells have been intensively studied to determine their ‘season-of-death’, the point in time when the mollusk was collected and consumed by humans. Environmental conditions at the time of death are determined from the last growth increment. Interpretations about the season during which this occurred typically require several measurements along the growth band [265, 560], conducted using either microscopic [227, 376], and/or geochemical [344, 321, 604] methods. Exactly how many isotopic samples are required per shell is still a matter of debate, but it is clear that single measurements from each shell are inadequate. A single sample provides information about water temperature at the time of harvest [284, 182], but alone has limited utility for determining harvest season because of 1) similar water temperatures recurring multiple times per year (e.g., spring and fall); 2) short-term SST fluctuations associated with weather; and 3) noise associated with imprecise sampling and incomplete mixing of drilled carbonate powder. Several studies resolve this issue (1) by using a sequence of samples which produce SST estimates prior to the terminal SST value and indicate the direction of change over the last month(s) of the individual’s life [344, 265, 560]. While this approach circumnavigates issue (1), it is still susceptible to

issues (2) and (3). Further experiments have indicated that more samples will help to reduce errors associated with (2) and (3) [84, 265, 560]. Increasing sample numbers per shell seems like a solution to this problem, but it remains to be seen at what point adding more samples does not appreciably increase predictive power. Testing more shells per context may prove more useful for estimating season of occupation of archaeological sites, particularly when considering limited time and funding for geochemical analysis. The ultimate goal of these tests is to maximize the confidence of archaeological interpretations regarding the representativity of the data across a site or time period [564].

4.3. Reference studies and practical limitations

Modern reference studies are vital tools for building the foundation for any interpretation of archaeological mollusk remains. Ideally, the species found in archaeological contexts are still available today and can be sampled in a similar environment. Oysters and mussels are often still common and can have a long history of exploitation [263, 258]. Conversely, the limpet *Patella ferruginea*, commonly found in prehistoric sites of the western Mediterranean [117], is now a protected species [557] and thus not readily available for reference studies. In other places, species availability has changed dramatically because shorelines have moved, changing the coastal environment [18, 47, 58] and complicating the testing of parallels between modern and fossil molluscan records. For example, coastal erosion and/or accretionary processes of progradation and sedimentary infilling may alter the structure of the coastline, modify shorelines and the associated habitats conducive to the growth of some species [175, 259]. This disconnect of past archives and modern parallels feeds into the main challenges of projecting modern seasonal activities or the seasonal availability of plants or animals into the past.

Furthermore, these tests are often conducted on a single or only a few species for practical or cost-based reasons, limiting our understanding of interspecies variation [379]. Understanding the biology and ecology of the tested species is important because they can influence our ability to interpret isotopic or elemental proxy data. Species undergo variations in growth rate through their lives, with rapid early growth and slowing with age, often associated with thinning of layers [116, 8, 516]. Growth pauses (hiatuses) might also occur. Proxy variation may result from seasonal variability associated with SST changes [116, 608] or because of other, less predictable stresses [8, 339]. An example of these complexities relates to isotopic analyses of the infaunal mangrove bivalve *Geloina expansa*, a common component of archaeological assemblages across the Indo-West Pacific. Following preliminary analyses of two modern specimens and a small sample of this taxon (three specimens) from archaeological deposits at Niah Cave (Borneo) [541, 574] carefully analysed *G. expansa*, considering their physiology and ecology. Their results highlight numerous intrinsic (aerial respiration, shifts between deposit and filter feeding, cessation in shell

growth with conditions moving outside of this taxon's tolerated environmental ranges) and extrinsic (salinity, temperature, aerial exposure and mixed meteoric, estuarine and marine influence on highly variable landward mangrove habitats) factors creating ambiguities in geochemical data at local scales.

Modern experiments can provide important data for the interpretation of isotopic data. For example, subjecting shells to high temperatures can influence $\delta^{18}\text{O}$ values [375, 258]. This tends to be more pronounced in aragonitic carbonate than calcite, but in both cases, substantial changes can occur, which in turn would lead to erroneous estimates of SST and season of harvest. While these changes are limited to high temperatures (in contrast to boiling/steaming), it is possible that they may be encountered when people cooked their meals [6]. Thus, these studies suggested that burned shells should be avoided. Furthermore, recent studies suggest that $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ values can be influenced by the depth in the water column where an intertidal mollusk lived, spending relatively more or less time submerged throughout a tidal cycle. While small in some species (*Mytilus californianus*), these differences can be statistically significant [261]. Lastly, shell-based seasonal indicators can only give positive determinations in which season people occupied a site but cannot inform whether occupation continued during other seasons. Using multiple proxies often provides a more comprehensive overview, but the stratigraphic context and the palimpsest nature of many archaeological deposits must be considered [17].

5. Seasonality and seasonal bias in speleothems

The manifestation of seasonality in speleothem records critically depends on processes in the atmosphere, the soil and cave environment and during speleothem formation. The seasonal cycle in rainfall distribution and temperature controls the timing and vigor of respiration within the plant community above a cave. This in turn determines the supply of CO₂ to the soil water, controlling the dissolution rate of limestone and precipitation of carbonate in the cave. [170]. Similarly, seasonal changes in effective rainfall lead to variations in infiltration, directing the flow rate of cave waters through the epikarst. Seasonal variations in temperature and surface winds drive seasonal ventilation within caves, regulating speleothem growth rates [36, 401, 70]. This seasonal signal is inherently recorded in speleothems from caves located in seasonal climates or regions with a seasonal ventilation regime. Our current challenge is to determine how seasonality manifests in speleothem records, despite being a cave-specific signal, and how this climatic information can be retrieved from speleothems, even though they grow at different rates. Further issues are the identification of speleothem proxies which are most sensitive to seasonality, such as lamina thickness, hiatuses, and chemical composition. A further challenge is to understand the mechanisms driving seasonal changes in speleothems proxies, e.g., ventilation, rainfall, vegetation and temperature. Additionally, we need to investigate the degree of bias in these mechanisms towards a particular season to provide a framework for interpreting seasonal signals embedded in speleothems.

5.1. How is seasonality expressed in speleothems?

Seasonality is generally expressed in speleothems via physical and chemical laminations, e.g. annual banding, similar to tree-rings or varves in lacustrine sediments [583]. Due to their stratigraphic growth and layering, stalagmites are preferred as palaeoclimate archives over other forms of speleothems. Lamination in stalagmites is normally linked to seasonal changes of one or more environmental parameters that affect carbonate precipitation and composition. These parameters are manifold and include water supply, carbonate saturation state, dripwater composition, cave ventilation and resulting changes in temperature, humidity, or CO₂ degassing dynamics, which control stalagmite growth rates [78, 52, 32, 401]. Thus, stalagmite laminae can be related to i) petrographic/crystallographic changes (such as matrix fabric, crystal density, density of inclusions, crystallization pattern [193, 359, 374]), ii) variations of element content [56, 35, 359, 583], iii) changes in luminescence and fluorescence, due to presence or absence of organic acids [27, 25, 527, 579], iv) alteration of the number, distribution density, or size of fluid inclusions [499], or v) changes in the isotopic composition of the carbonate [359, 388, 468], to name just a few.

Occasionally, stalagmites show more than one, or less than one growth layer per year [520], e.g. when a bimodal

distribution of local effective rainfall and changing dripwater chemistry results in two separate growth periods per year. Alternatively, a growth layer can be obliterated if the dripwater is undersaturated with respect to carbonate during a certain season. This can lead to the dissolution of freshly deposited carbonate, forming a subannual micro-hiatus [32]. Finally, multi-annual, rather than seasonal banding, might be recorded if variation in local infiltration is driven by inter-annual cycles in meteoric precipitation [78, 208]. Detailed monitoring is required to identify the relative importance of the highly variable and cave-specific underlying processes guiding carbonate deposition at each study site.

5.1.1. Petrographic and mineralogical changes

One of the most prominent types of layering in stalagmites results from changes in the crystallographic or mineralogical build-up (i.e., crystal fabric, aragonite vs. calcite), which is easily recognizable. Often, growth layers vary seasonally between white, porous, columnar, and translucent, dense, compact laminae, with the latter being thinner compared to the former [208, 52, 359, 5, 583]. Occasionally, growth layers vary seasonally between fibrous aragonite and columnar calcite [460]. The observed sub-annual changes in growth dynamics and mineral composition are related to changes in dripwater chemistry and cave ventilation, both of which react to surface environmental conditions that include rainfall or temperature changes, effective infiltration, residence time in the the epikarst, temperature gradients between surface and cave air, or wind speed and direction, among others.

While petrographic changes can be revealed relatively easily using microscopy or scanning techniques (Fig. 6), it can be difficult to assign a certain season to a given fabric. The most promising way to solve this issue includes i) detailed monitoring of the cave environment and hydrochemistry, and ii) high resolution, georeferenced analysis of the lamination pattern in question. The former will reveal modern links between surface, cave, and stalagmite and requires at least one, ideally more than 5 years, depending on the study site. The latter becomes more frequently used with improving instrumentation. Subsampling must be carefully planned to avoid sample cross-contamination, signal smoothing, or aliasing effects [172].

Regardless of the successful assignment of a proxy to a certain fabric, and fabric itself to a season, one important issue is that we can only work with the premise that modern conditions remained (relatively) similar in the past (modern analogue scenario). While we accept changes in relative strength of seasonal weather conditions, we normally do not expect a complete rearrangement, overturning the relationship between a given season and its assigned fabric. For example, while modern day monitoring might indicate that dark layers are related to wet *summer* season and bright layers to dry *winter* season this relationship might have reversed in the past if winters became wet and summers dry. While such drastic change can often be eliminated based on independent information, it can be of great importance in regions

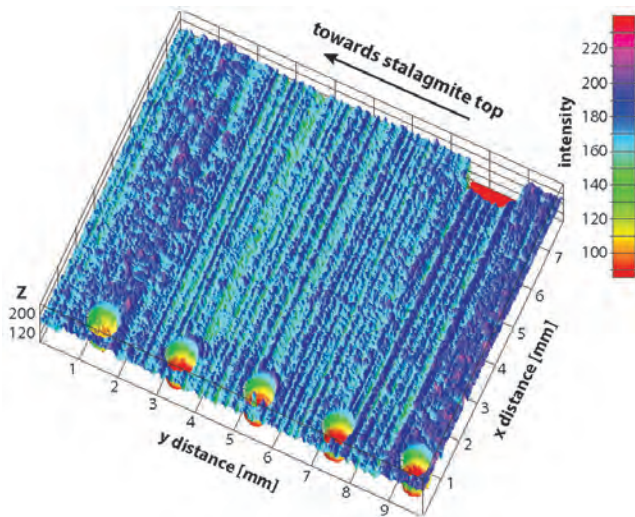


Figure 6: Example of a false-color surface map of grey values from a stalagmite highlighting regular annual lamination in a stalagmite. Greyscale data were extracted from a high-resolution scan using ImageJ [462]. Annual layers are between 130 and 450 μm thick. The holes represent low resolution drill holes for stable isotope analyses which each integrate 4–7 annual laminae.

like southern Central Asia, where a Westerlies-derived winter moisture regime could potentially be replaced by a monsoonal regime characterized by summer rainfall [90, 174]. In these settings, a multi-proxy approach with proxies for different aspects of seasonal conditions might be the only suitable strategy. The petrographic characterization of samples is of great importance for the correct interpretation of other proxies, including stable isotopes and trace elements, because of the ‘crystallization filter’ effect [172], e.g., crystal size, density or arrangement, can strongly affect the incorporation dynamics of elements, as well as stable isotopes [197].

5.1.2. Element variability in stalagmites

Speleothem element to calcium ratios (X/Ca), like Mg/Ca, Sr/Ca, or U/Ca are typical proxies for the amount of prior carbonate precipitation (PCP), which varies with water availability [172]. Thus, the elemental composition of stalagmites can be a sensitive recorder for local environmental conditions in the cave system. PCP occurs as prior calcite precipitation (PCcP) or prior aragonite precipitation (PCaP), depending on the host rock composition (PCaP is more likely to occur in dolostone or dolomite) and aqueous solution chemistry (e.g., Mg availability) [171, 598, 597, 256, 599]. Seasonal precipitation patterns or ventilation regimes can drive seasonal variability in dripwater trace element ratios. Thus, trace elements can represent seasonal effective infiltration and/or ventilation-driven PCP.

Element-based PCP proxies are based on simple element-specific distribution coefficients (D_X), which describe the relation between the element/calcium ratio of

the carbonate and the element/calcium ratio in the solution [599]. Crystallographic differences of the various carbonate mineral phases lead to mineral-specific D_X values, and therefore D_X values can be >1 or <1 . While D_X values for a number of elements are available for calcite, much less thought has been given to D_X in aragonite [171, 597], and references therein). Elements with $D_X < 1$ will show higher dripwater X/Ca ratios in response to a lack of effective precipitation, loss of CO_2 from solution in the epikarst and intensified PCP (e.g., Mg/Ca, [268, 599]). Elements with $D_X > 1$, however, will show lower dripwater X/Ca during enhanced PCP. For example, the D_X value for Mg (D_{Mg}) is <1 for both calcite and aragonite, so that dripwater Mg/Ca values will increase due to enhanced PCcP and PCaP in response to drier conditions, but D_U is <1 for calcite and >1 for aragonite, so that dripwater U/Ca ratios will increase in calcite in response to PCcP, but decrease thanks to PCaP in aragonite stalagmites [256]. Since D_X for different elements depends also on other factors, like temperature, carbonate growth rate or initial solution chemistry [134, 256, 597] multiple elements should be tested in tandem for robust environmental interpretations. In addition to hydrological changes, cave ventilation may also control PCP [522, 617]. Cave ventilation can be driven via temperature contrasts between surface and cave air and resulting barometric gradients [617]. Alternatively, seasonal changes in wind directions and intensity can also alter the exchange of cave air with the atmosphere [401]. Unfortunately, the different active processes that can influence X/Ca, e.g. residence time vs ventilation, are difficult to delineate without independent proxy data. Neither could these proxies inform on seasonal precipitation and ventilation changes in regions where ventilation is suppressed during the wet season [617]. Recently, [478] found that the amplitude of seasonal variations in Mg/Ca in a modern speleothem from Mawmluh Cave near Cherrapunji, India, are more sensitive to dry season infiltration than summer monsoon rainfall. This observation can be explained by the influence of dry season ventilation, which enhances carbonate precipitation within the epikarst and the cave. These findings highlight the importance of dry season rainfall for the interpretation of palaeoclimate records. Lastly, seasonal changes in trace elements may be driven by water balance through non-PCP processes. Elements like Zn, Pb, Y, P and others are found in soil colloids, which can be washed into the cave in times of high infiltration [56, 418]. Or they are incorporated into the carbonate depending on the dissociation rate of labile metal-organic complexes, and hence drip rate and water residence time on the stalagmite [231]. It should be noted that elements usually driven by PCcP and PCaP might be influenced by other local factors; e.g. Mg/Ca ratios can be affected by weathering of dolomite phases in soil and host rock [571, 485, 41, 418]. Thus, element dynamics must be evaluated carefully at each site to ensure correct palaeo-environmental inferences.

5.1.3. Oxygen isotope ratios in stalagmites

The isotopic composition of a stalagmite is the result of processes influencing i) the isotopic composition of meteoric precipitation, ii) the isotopic composition of dripwater and iii) the isotopic fractions between dripwater and speleothem carbonate.

Seasonality in tropical and subtropical $\delta^{18}\text{O}$ in precipitation ($\delta^{18}\text{O}_{\text{precip}}$) is often expressed as lower $\delta^{18}\text{O}$ values in the rainy season versus higher $\delta^{18}\text{O}$ values during the dry season [302, 282, 429], although exceptions have been reported [428]. This empirical observation has been described as amount effect [130, 429] and is most pronounced in regions with significant seasonal aridity. However, this 'amount' effect can be the manifestation of various atmospheric processes [199, 59] that influence seasonal shifts in $\delta^{18}\text{O}_{\text{precip}}$, including moisture source changes from a continental to an oceanic source [9], or from one oceanic source to the other [616]. Seasonality in the stable water isotopes in precipitation is further influenced by climatic conditions at the primary moisture source, including relative humidity [113], surface air pressure [494], convection strength and cloud top height [91], microphysics during condensation [296] and convective versus stratiform rainfall [4, 320]. The degree of rainout along the transport path of moisture, and thus the relative distance between moisture source and precipitation location, also plays a key role in controlling the seasonal cycle in low latitude $\delta^{18}\text{O}_{\text{precip}}$, especially in monsoonal settings [623]. These processes are linked to large-scale shifts in the regional circulation pattern, mainly the seasonal migration of the ITCZ and SST anomalies in the oceans [502]. Thus, $\delta^{18}\text{O}$ in precipitation from (sub-)tropical regions is temporally and spatially highly dynamic and often not a simple function of rainfall amount.

In the mid-latitudes (ca. 23–66°N), outside the reach of the monsoon, seasonal variability in the speleothem $\delta^{18}\text{O}$ signal can be retained if $\delta^{18}\text{O}_{\text{precip}}$ either depends on air temperature or temporal rainfall distribution (as in the Iberian Peninsula [148, 33] or moisture source changes (e.g., in parts of North America, [229, 418]), or a mixture of these processes [12, 315]. The $\delta^{18}\text{O}_{\text{precip}}$ at the west coast of North America for example is influenced by temperature changes, a variable Pacific moisture source, and rainout dynamics [419]. A comprehensive global study revealed that dripwater $\delta^{18}\text{O}$ mostly reflects amount-weighted $\delta^{18}\text{O}_{\text{precip}}$ in regions where the mean annual air temperature (MAAT) is <10°C [23]. The same study showed that in somewhat warmer climates (with MAAT between 10°C and 16°C) dripwater reflects a recharge-weighted $\delta^{18}\text{O}$ signal. This is evident in the Mediterranean, where caves can show pronounced winter season bias in recharge [589, 395]. The composition of $\delta^{18}\text{O}_{\text{precip}}$ in alpine settings has been found to be particularly complex, showing a reversed $\delta^{18}\text{O}$ -T relationship due to significant contribution of snow melt to the recharge [315].

From a hydrological point of view, seasonal growth of speleothems is highly dependent on cyclical (normally

annual) water supply. The amount of dripwater and dissolved ions in the water feeding the speleothems is a major parameter that influences growth rate [170, 232]. However, in-cave water supply is not simply controlled by the total amount of precipitation, but also by processes in the soil and epikarst. The effective precipitation is also an important factor in adjusting the cave's response to climate variability. Other parameters, such as seasonal variability of cave ventilation (thus cave air $p\text{CO}_2$) [522, 401], cave air temperature [101] and calcium concentrations (PCP) in the drip water [22] add further complexity to the relation between speleothem growth and the isotope signals included. The processes that control effective infiltration in the sub-soil and epikarst are controlled mainly by the local geology. The infiltrating water passes the bedrock either as matrix flow, which describes intra-granular permeability, or as fracture flow, which is water movement along bedding plane partings and joints [31]. The relative importance of each flow type determines the residence time of dripwater in the bedrock, and hence its isotopic (and also its elemental) composition [170, 393]. The response time (lag) of a given drip to infiltration can vary from hours to years (Mawmluh Cave: <1 month [70], Golgotha Cave: ca. 6 months lag [341], Soreq Cave: 26–36 years [276]). The sensitivity of drips to rainfall (lag response) is vital for the achievable signal fidelity in the associated speleothem. A fast drip response makes the drip site more sensitive to individual rain events but increases the background noise in drip rate and $\delta^{18}\text{O}$ signal [341]. A lag of several months might allow for thorough mixing of the dripwater and loss of seasonal dynamics (e.g., in Bleßberg Cave [71]). For recording a seasonal signal, a drip response of a few weeks to one month is ideal to allow for seasonal variability to be recorded with limited background noise (e.g., Mawmluh Cave [70]). Ideally, the seasonal cycle in surface precipitation $\delta^{18}\text{O}$ is transmitted into the cave and establishes a similar signal in the isotopic composition of the dripwater (e.g., [12, 148]). The seasonal response strongly depends on the residence time of water in the epikarst and monitoring is vital to establish in-cave dynamics.

In many (sub-)tropical regions, caves are characterised by intra-annual changes in water supply, dominated by a pronounced rainy season, while air temperature is nearly constant [127, 70, 148]. In these regions, $\delta^{18}\text{O}$ can be biased towards the season of recharge, which is mostly during the wet season [23]. However, it is important to understand processes controlling $\delta^{18}\text{O}$ in precipitation first, before unravelling the processes at work inside the cave and during calcite deposition. Under favorable circumstances (seasonal climate, fast signal transfer from surface to cave, high speleothem deposition rates) ultra-high resolution sampling in the range of 10–50 μm can be used to extract seasonal stable isotope signals. Secondary ion mass spectrometry (SIMS) has successfully been used on stalagmites from Soreq Cave, Israel, to extract information on $\delta^{18}\text{O}$ changes at monthly resolution [413, 412, 414]. In another study, computer-aided micromilling was used

to build decades-long seasonally-resolved stable isotope time series of hydrological changes associated with ENSO events in Mesoamerica [191], or monsoonal India [388]. Such ultra-high resolved datasets are increasingly used to track seasonality not only in (sub-)modern times, but for many hundreds of years [468] or the last interglacial [415]. A detailed discussion of sampling techniques can be found in the review of Baldini et al. [30].

Once the driver of seasonal variability in stable isotope ratios of surface and dripwater is identified, it is important to keep in mind that isotope fractionation affects the final $\delta^{18}\text{O}$ signal during carbonate precipitation. Oxygen isotope fractionation is inversely related to temperature (ca. $-0.24\text{‰}/^\circ\text{C}$ at 20°C , $-0.22\text{‰}/^\circ\text{C}$ at 10°C [408]). A cave-specific water-calcite oxygen isotope fractionation across a range of temperatures and cave environments has been observed [572], with $\Delta\delta^{18}\text{O}/\Delta T = -0.177\text{‰}/^\circ\text{C}$. Thus, speleothem $\delta^{18}\text{O}$ reflects the effects of all three regimes: atmosphere, epikarst and carbonate deposition within the cave [364, 302]. This also means that speleothem $\delta^{18}\text{O}$ can either be negatively or positively related to temperature, or be independent if the two relationships (air temperature-rainfall and water-carbonate fractionation) cancel each other out. A study from Han-sur-Lesse Cave, Belgium, revealed how $\delta^{18}\text{O}$ loses sensitivity due to water mixing in the epikarst, whereas $\delta^{13}\text{C}$ showed a much stronger seasonal signal [583].

5.1.4. Parameters influencing stalagmite $\delta^{13}\text{C}$ and preservation of seasonality

The prospect of the oxygen palaeothermometer developed by [576, 165, 164] and many others resulted in a focus on the oxygen isotope ratio in speleothems [566, 204], while the enthusiasm to understand the carbon isotope ($\delta^{13}\text{C}$) signal remained limited [155, 246]. Interest in speleothem $\delta^{13}\text{C}$ gained ground with the realization that $\delta^{18}\text{O}$ is influenced by a range of hard-to-decipher processes and that the $\delta^{13}\text{C}$ signal contains equally significant environmental information [24, 37, 240] and can sometimes be more easily interpreted than $\delta^{18}\text{O}$ [186]. Carbon isotopes have frequently been used as a proxy for vegetation changes (proportion of C_3 vs. C_4 plants [155], or hydroclimate [207, 205], although it has often been noted that the $\delta^{13}\text{C}$ signal might be influenced by a number of interlinked processes [483, 186]. These processes include mixing of CO_2 from the atmosphere with soil-derived CO_2 , the latter being affected by root and microbial respiration [185], and degassing dynamics in the epikarst and cave. The CO_2 supply into the epikarst can be continuous (open system) or limited (closed system). The latter can occur under drier conditions with insufficient water supply [316]. Preferential loss of ^{12}C during CO_2 degassing in the epikarst or in-cave leads to PCP [171, 316, 418]) and higher speleothem $\delta^{13}\text{C}$ values. Thus, the speleothem $\delta^{13}\text{C}$ signal is largely the result of the CO_2 dynamics of the soil-epikarst-cave system and related to both local temperature and moisture variability [206, 483, 186]. Under favorable conditions, $\delta^{13}\text{C}$ can act as a very sensitive tracer of local hydrological

changes [468, 317, 10]. In regions with a pronounced seasonal climate (e.g., in monsoonal regions) and where signal transport from surface to cave is sufficiently fast, speleothem $\delta^{13}\text{C}$ can reflect seasonal hydrological and/or cave ventilation changes and the sensitivity of speleothem $\delta^{13}\text{C}$ at seasonal to multi-annual timescales has been shown in numerous studies [191, 268, 358, 468, 10, 583]. Environmental monitoring and comparison of multiple proxies helps to bolster the interpretation of the $\delta^{13}\text{C}$ signal [417, 468, 317].

Jamieson et al. [256] highlighted the advantage of using $\delta^{13}\text{C}$ together with trace element data to reveal seasonal hydro-climatic variability. A $\delta^{13}\text{C}$ record from Yok Balum Cave in southern Belize had previously been shown to inform on seasonal rainfall dynamics [468]. Adding U/Ca ratios [256] showed that both proxies reflect seasonal dynamics, with lower $\delta^{13}\text{C}$ and higher U/Ca values being linked to wetter conditions, while drier seasons result in higher $\delta^{13}\text{C}$ and lower U/Ca values. The joint use of $\delta^{13}\text{C}$ and PCP-sensitive U/Ca thus provides a powerful tool to reconstruct hydrological changes associated with seasonal shifts of moisture supply.

5.1.5. Organic matter in speleothems

When exposed to ultraviolet light, stalagmites often show distinct fluorescent lamination, which originates from organic matter in the overlying soil and epikarst, e.g., fulvic and humic acids, bacterial remains, or even pollen grains [27, 208, 579, 456, 412, 414, 459]. Organic fluorescent layers can be used for layer counting [527], and as independent proxies of past environmental conditions [26]. The formation of organic layers has been shown to reflect seasonal changes in vegetation or soil microbial activity, which in turn are linked to temperature or precipitation variations [27, 25]. Seasonal changes in soil moisture, and related export of organic matter from the soil, can result in annual banding in stalagmites, which has successfully been used to establish counting chronologies. Complications can arise if repeated flushing of organic matter from the soil leads to formation of multiple (intra-annual) bands [26, 352]. Understanding the mechanistic link between surface, epikarst and cave can sharpen fluorescence and luminescence as important tools for the reconstruction of various aspects of past seasonal variability.

5.2. Seasonal bias

An important first-order question refers to the potential seasonal bias of stalagmites and their proxies. Unlike a proxy that shows a simple seasonal amplitude change, a seasonally-biased proxy predominantly records a certain part of each year. Such seasonal bias can be introduced either i) because the stalagmite is isolated during a certain season by, e.g. a frozen water supply Fig. 7 or flooding, or ii) because the proxy is recorded only during certain times of the year (e.g. dust, infiltration, soil-derived elements). Both scenarios still contain a climatic signal, but their intensity (how cold?, length of freezing?, how strong is the soil flush?) is not recorded. Seasonal biases are therefore difficult to detect and even harder to be quantified for the

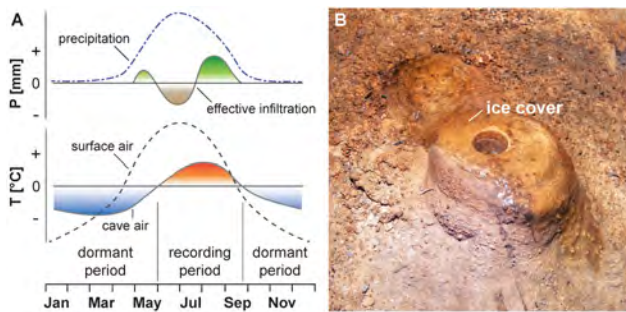


Figure 7: Seasonal bias in a stalagmite due to seasonal freezing of dripwater. **A:** Schematic seasonal dynamics of key parameters governing the growth under near-freezing conditions. Only a fraction of total precipitation can infiltrate, and freezing conditions in the cave limit stalagmite growth to summer. **B:** Stalagmite with a cap of frozen dripwater in Okhotnichya Cave, Siberia. The drilled hole has a diameter of ca. 30 mm. Photo: SFMB

past. Monitoring of cave hydrology and meteorology, together with assessment of geomorphological parameters helps identifying potential complications through seasonal biasing, and sampling can be adjusted depending on the research question, e.g. flood-prone samples can be avoided, or seasonal samples can be targeted on purpose [550].

To highlight the importance of environmental monitoring for identifying the seasonal bias, we discuss the unusual case of seasonal dripwater freezing, which is of particular importance for cave sites with near zero air temperatures (Fig. 7). In continental, high altitude or high latitude locations, cave ventilation can lead to the seasonal development of cave ice [72]. In southern Siberia, precipitation is highly seasonal, with a maximum during summer [299]. Due to high evapotranspiration in summer and strong frost in winter only a fraction of the total precipitation reaches the sub-soil level as effective infiltration, which feeds drips in caves. Further, strong continentality with mean annual and cave air temperatures near zero [631, 577] resulting in extended periods of ground freezing. Under these conditions, infiltration is only available during a short spring interval and autumn. Positive cave air temperatures are established with a cave-specific lag during summer and allow stalagmite growth, but in late autumn, cave ventilation lowers air temperatures below zero, which leads to freezing of infiltrating dripwater as ice stalagmites. Stalagmite growth is thus inhibited in winter (Fig. 7) and only resumes with warming of the cave during late spring and summer. Even small changes of mean annual air temperature can dramatically change the window of stalagmite growth, and thus the season recorded in the speleothem. Seasonal bias is of particular importance for slow-growing speleothems, because interpreting a record that is biased towards one season would lead to incorrect conclusions. The recoverable seasonality signal from slow growing stalagmites depends on the sampling resolution, growth bias, and required analyte volumes.

5.3. Modelling assists extraction of seasonal information

The development of forward models can assist in quantifying the sensitivity of proxies to the various climatic and karst processes that can act on a seasonal scale. Proxy system models (PSMs) simulate biological, physical, and geochemical impacts imparted on a climate signal by the cave environment [169, 14, 141, 618] and can translate the signal output from climate models (represented by time series of temperature or precipitation) to proxy space, generating forward-modeled “pseudo-proxy” time series of isotopic or geochemical variability in a stalagmite. PSMs can also facilitate the exploration of various climate scenarios, including seasonality dynamics, to be compared against speleothem proxy data [20]. Many forward models investigate the evolution of specific proxies in karst and cave systems. For example, iSTAL is a forward model operated in Excel, that can be used to explore the influences of water-rock interactions and PCP on dripwater X/Ca ratios [545]. This model accounts for seasonal changes of cave air $p\text{CO}_2$ and can be used to evaluate the effects of seasonal rainfall on trace element proxies (e.g. [478]). The PSM Karstolution [569] combines the karst process model KarstFor [61, 19, 21, 570] with the isotope-enabled fractionation model ISOLUTION [143]. Python-based Karstolution accepts climate model time series (temperature, evaporation, $\delta^{18}\text{O}_{\text{precip}}$, allowing us to evaluate the influence of various processes, including rainfall, temperature, and $p\text{CO}_2$ seasonality, on speleothem $\delta^{18}\text{O}$ [569]. The PHREEQC-based model CaveCalc [422] permits the investigation of processes influencing multiple proxies including $\delta^{18}\text{O}$, $\delta^{13}\text{C}$, and X/Ca. Among other things, CaveCalc can be used to evaluate the impact of seasonal changes in CO_2 gradients and fluid saturation on speleothem proxies. These are but a few examples of the forward models that inform us on how climate signals are modified in karst and cave systems. Such models offer an exciting path to exploring how seasonal bias and changes in seasonality may ultimately be recorded in speleothems.

5.4. Challenges & opportunities

Speleothems are extraordinary archives of past environmental conditions that offer, under favorable conditions, seasonal information. State-of-the-art analytical techniques allow extraction of this information at incredibly high resolution. Challenges remaining are i) characterising surface-to-cave signal transfer, ii) understanding and quantifying seasonal bias, and iii) validation of the assumption that seasonality patterns remain similar to today (modern-analogue methodology). Slow-growing stalagmites from caves characterized by a slow response to surface conditions (i.e., significantly lagged response to surface conditions) and located in regions without clear seasonal changes in hydrology or temperature, might either not contain a seasonal signal or remain unsuitable with currently available instrumentation. Further, it remains challenging to interpret seasonal data from stalagmites in regions, that can be

1722 influenced by one of two strongly seasonal, and potentially
overlapping climates (e.g., Westerlies and Indian Summer
1724 Monsoon in parts of Central Asia). Under conditions
with such seasonal bias, only multi-archive/multi-proxy
1726 strategies might reveal past shifts of climatic regimes,
because even modern long-term monitoring might not
1728 be helpful in understanding wholesale switches between
different regimes. Seasonally growing stalagmites offer
1730 exciting opportunities to evaluate past seasonality, which
might inform, and ultimately help improve, complex climate
1732 models. Multi-proxy studies at highest resolution (includ-
ing SIMS, LA-ICPMS, synchrotron element mapping, or
1734 fluorescence microscopy) can be coupled with geochemical
modeling to trace not only seasonality, but also erratic
1736 events, like earthquakes or long-term changes in host rock
or soil composition.

6. Climate seasonality in dendrological records

6.1. Theoretical background

Tree stems accumulate wood (xylem) via a circumferential layer of meristematic cells underneath their bark named cambium (Fig. 8). Each year, trees act as living-sensors for a limited interval of time, during the vegetative season, when seasonal climatic conditions change and turn metabolic inactivity into a phase of plant growth, in general, and cambial cell division, in particular. Vegetative season ends when seasonal climatic conditions lower tree metabolic processes to minimal maintenance functions causing a season of cambial dormancy. Depending on the geographic location of a forest site, this dormant season can be initiated by cold periods (for example the winter season in mid to high latitude and mountainous regions), the dry season (in the subtropics and semi-arid regions), or through annually recurring flood events (e.g., in the Amazon river basin). The seasonal dynamics of cambial activity and dormancy are expressed in characteristic differences in the anatomy of wood cells allowing to identify different layers of wood produced each year, so called tree rings [514]. Hence, seasonality is the *conditio sine qua non* for the formation of tree rings and dendrochronological dating. During the vegetative season, tree-ring increment growth follows a sigmoid function starting slowly at the beginning of the growing season, followed by a phase of rapid and often almost linear accumulation, before it slows down again and levels off towards the end of the season. This is reflected in the anatomy of wood cells allowing to distinguish between earlywood and latewood (Fig. 8). In most species, earlywood is less dense than latewood. In conifers, this is because wood cells are larger, and their walls are thinner than those of latewood. Likewise, earlywood vessels of angiosperm trees are larger and usually more abundant in earlywood than in latewood. Tree rings can be identified because the dormant period evokes a sharp boundary between latewood of the previous and earlywood of the following tree ring. Within one growth season, however, the wood-anatomical transition from early- to latewood within a tree ring is rather gradual and a clear boundary is hard to define without quantitative measure of intra-ring wood structures [124]. Also, the timing of the transition from early- to latewood is more dependent on tree species than on environmental conditions, e.g. earlywood formation of oak (*Quercus* sp.) is usually accomplished in spring before the leaves are fully expanded, i.e. at the time of none or negative net photosynthesis, when tree growth largely depends on reserves [239]. In contrast, most conifers start latewood formation in summer, induced by the seasonally decreased moisture supply and increased air temperatures which demand a reduction of wood hydraulic conductivity by adjusting the lumens of the water-conducting xylem cells. Hence, the ability of a tree ring to record seasonal environmental information depends on the rate of wood cell formation, but also the longevity of the cells. Within the vegetation period these two features are inversely related; while early-

wood cells are formed at a high rate, they may only live for days up to a few weeks. Thick-walled latewood cells are built at very low rates, but may live up to several months and potentially integrate information on environmental or weather conditions over a much longer period of time [504]. As a result, any inter- or intra-annual tree-ring parameter contains proxy information that is weighted by the non-linear seasonal dynamics of wood formation [334].

Trees cannot be viewed as passive physical recorders of environmental conditions. Climatic variability is usually recorded in the tree rings through indirect physiological reactions, rather than direct physical incorporation of a climate signal (Fig. 9). Internal drivers, such as species-specific physiology can constrain climatic significance of tree-ring parameters leading to frequently unstable relationships over time. This may be due to a change in the relative importance of the predominant climatic factor due to drastic changes in environmental boundary conditions and/or because trees may alter their behavior to improve their chances of survival.

Intra-seasonal dynamics of tree-ring formation as well as species-specific tree physiology are well taken into account in modern dendroclimatology. Firstly, by careful selection of tree sites and species (e.g., [515]), secondly by modern calibration studies and monitoring of climate signal transfer from atmosphere into tree ring ([238]) and thirdly, by analyzing and combining a variety of inter- and intra-tree-ring parameters. This approach allows to produce high quality reconstructions of a wide range of different, seasonally changing meteorological variables with quantified precision ([360]. The suite of tree-ring parameters that can be measured to decipher year to year seasonal variability of climatic quantities begins with tree-ring width (TRW). It is easiest to measure and most frequently applied in reconstructions [521]. Other parameters, like maximum latewood density (MXD), quantitative wood-cell anatomy (QWA) and stable isotopes (TRSI) of carbon, oxygen and hydrogen ($\delta^{13}\text{C}$, $\delta^{18}\text{O}$, $\delta^2\text{H}$) are more difficult to determine. However, QWA and TRSI are well established since several years now and not only allow to obtain inter-annual data, but also provide highly resolved intra-ring data patterns for assessing specific features of seasonality, such as length of a season or amplitude of seasonal fluctuations in temperature or precipitation. TRW and MXD variations have been used most successfully for climate reconstructions at sites where tree growth was limited by one principal climatic factor [194]. TRW and, even more so MXD, correlates best with summer season temperature for cold and moist high-latitude or high-elevation sites, where an increase in temperature in summer extends the growing season and allows for wider tree rings and more dense latewood. Under such site conditions QWA variables (cell wall thickness, cell lumen diameter, and -area) have proven to provide additional information about seasonal precipitation which cannot be found in TRW and MXD [455]. A disadvantage of the use of MXD is its restriction to certain coniferous tree species [49]. In this respect QWA analysis is of increasing importance as it can like-

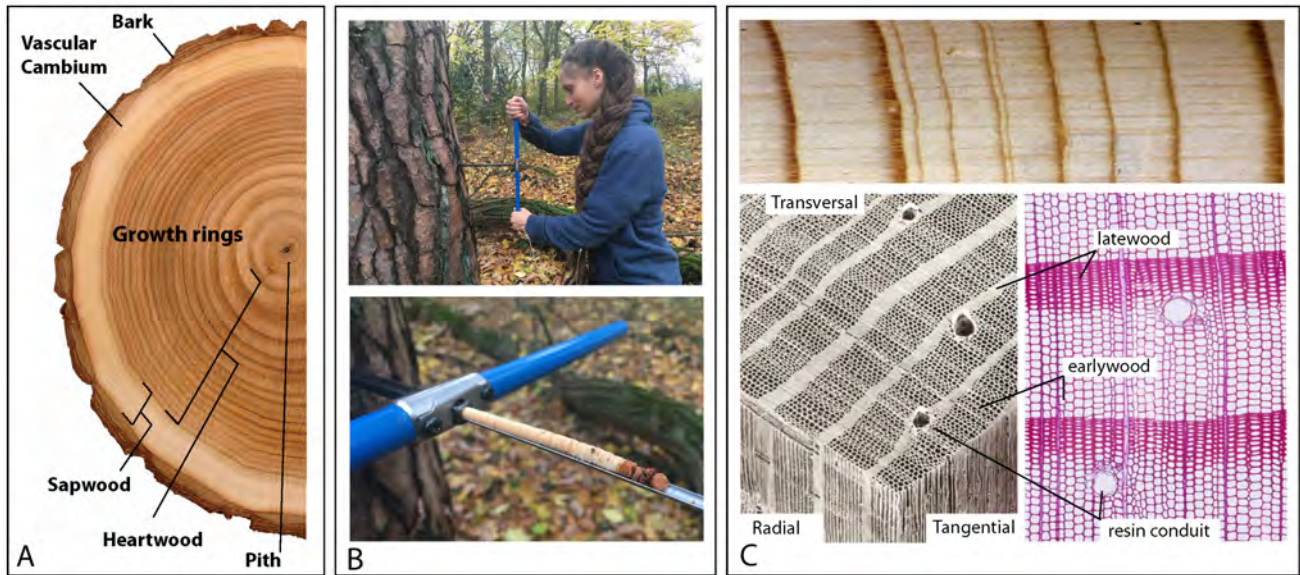


Figure 8: Tree-ring sampling and preparation. **A:** Anatomical macrostructure of a tree trunk radial section. **B:** Increment borers are used to extract tree cores from living trees. **C:** Typical cores have a diameter of 0.4 cm, their surface is polished and cut with a microtome to expose the transversals section of wooden cells. Trees rings can be accurately counted, dated, measured and separated to chemically extract cellulose and obtain annually resolved time series of C, H, O stable isotope ratios. Preparation of transversal wood cross sections or thin sections enable the quantification of intra-annual cell anatomical parameters through microscopic scanning and image analysis.

wise be conducted on angiosperm wood [187]. Furthermore, QWA parameters revealed significant correlations with high confidence in relation to instrumental climate data at temperate sites, where climate-growth relationships are often diffuse and challenging to recover if proper site selection is not possible and a lack of knowledge of tree species and study region prevails [159].

6.2. What seasonal information can be recorded in trees?

Trees and woody shrubs are spread globally between ca. 70°N and 55°S. They occupy several ecological niches and their tree rings record a wide range of seasonal meteorological variables with temperature, precipitation amount and moisture (usually drought) being most frequently reconstructed from tree-ring chronologies.

Tree-ring samples from forest sites where temperature predominantly limits growth have been used extensively to reconstruct past temperature at various locations and regions around the world. Usually summer temperatures were reconstructed (in East Asia, [332]; South America, [310]; and the Mediterranean [168]. Recently, several papers added to a growing body of cold season temperature reconstructions from China [524] and Poland [28].

Precipitation rates is also one of the most common features reconstructed from tree-ring archives as it is reflected both in fluctuations of tree ring width and/or cell lumen area, and in the isotopic signature of stem-cellulose. Because of this strong sensitivity, a large number of reconstructions is available from monsoon-dominated regions (China, [237]; India, [528]; and Thailand, [458]), where the south Asia

monsoon provides the first order contribution to the annual water budget. Similarly, winter precipitation amount and variability are recorded by cellulose $\delta^{18}\text{O}$ in Central Asia [188], where the westerly disturbances deliver the bulk of annual precipitation. Tree ring growth and latewood also, have been used for reconstructing winter (monsoon) precipitation (e.g., North America, [221] or Fennoscandia, [330]). Generally, tree-ring width and wood-cell anatomy are underperforming in the tropics due to the lack of environmental limiting factors and the poorly understood climate-growth relationships, however tree-ring $\delta^{18}\text{O}$ shows negative relationship with precipitation volumes [22] and water supply in urban areas [335]. Here, the historical perspective provided by the tree-ring archives can assist public policies related to management of water resources and climate change.

Besides precipitation rates, TRSI are also affected by moisture sources and/or different moisture pathways [45]; recent studies combine ratios of stable oxygen isotopes in tree rings with Lagrangian moisture source diagnostic to reconstruct changes in the atmospheric circulation and moisture advection [307]. Yet, antecedent precipitation is not always the dominant source of water supply reflected in tree-rings. Depending on their eco-hydrological setting, tree-ring records have been used to reconstruct time series of seasonal streamflow (e.g. [103]), and fluctuations of the water table [633].

In conclusion, tree growth can proceed only as long and as fast as allowed by the primary environmental and physiological mechanism that restrict growth. The only geographical limitation to dendro-climatological investi-

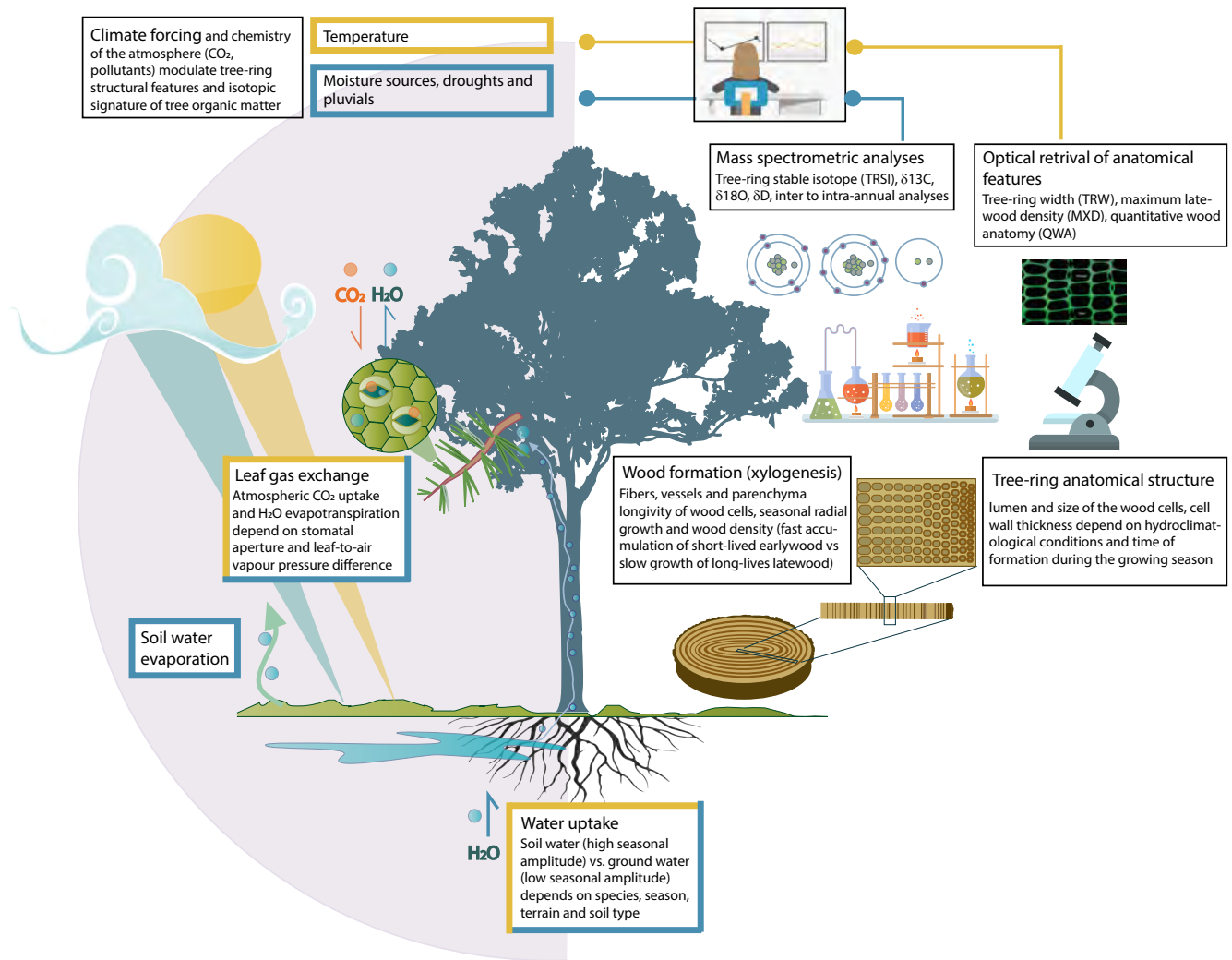


Figure 9: Schematic illustrating the basic environmental factors and physiological processes affecting the chemical and physical properties of tree rings. These tree ring properties can be quantified by optical and mass spectrometric analyses for reconstructions of past climate variability at sub-annual to annual resolution and centennial to millennial time scales.

gations are treeless areas (oceans, deserts) and the lack of seasonal limiting factors (e.g., tropics). Ultimately, site-selection determines the strength and the nature of the archived climatic signal. In this sense, the wide spatial distribution of woody plants on the planet unlock powerful features to constrain climate seasonality. Large networks of trees with similar climate sensitivity allows to map how the seasonality of a given environmental forcing varies at the regional, up to continental scale [536, 34]. In contrast, the sharp changes of sensitivity of trees straddling steep climatic gradients (e.g., elevational transects) can be used to reconstruct different environmental variables and/or the same environmental variable at different times of the year, at the same location [79, 549].

6.3. Are tree rings unambiguously recording one climatic season?

The seasonal fluctuation of environmental parameters determines, at each forest site, the time and speed of tree

growth. Calibration by empirical correlation and regression analysis with daily or monthly resolved climate records is traditionally used to indirectly infer the vegetative season of trees and thus the seasonal interval of the recorded climate signal. However, recent studies highlighted the complexity of the intra-seasonal signal preserved in individual tree-rings, which in some cases does not refer unambiguously to a single calendric season.

At the beginning of the vegetative season (spring) most deciduous trees (mostly broad-leaf trees) depend on sugar reserves stored as starch in the late summer of the previous year. This adaptive strategy, aiming at sustaining fast growth before the trees are capable of positive net photosynthesis, results in seasonally recurrent pattern of carbon isotope variations in cellulose of tree rings. Earlywood, built of stored carbon, is consequently relatively enriched in cellulose $\delta^{13}\text{C}$ compared to the depleted latewood which is built from currently produced carbohydrates [239]. Thus, some tree-ring parameters, like $\delta^{13}\text{C}$, or TRW do usually show some sig-

nificant auto-correlation between consecutive years and may dampen signals of seasonal changes and extremes [622].

Oxygen stable isotopes of tree rings are more promptly recorders seasonal climatic change [390]. New insights into oxygen isotopes in Himalayan tree rings, come from a recent study by Brunello et al. [79], which presents evidence that distinct seasonal water sources are recorded within the same tree ring. The gradual evolution of ambient conditions enables the trees to sustain a linear growth from March to October although the hydrological precipitation regime is characterized by the abrupt transition in mid-June from the pre-monsoon season, with locally recycled moisture and high $\delta^{18}\text{O}$ values, to the monsoon season, with an oceanic moisture and low $\delta^{18}\text{O}$ values. Thus, the interval of proxy sensitivity overlaps the relative contribution of two distinct hydro-meteorological seasons that cannot be individually deconvolved without validation with independent proxy records or highly resolved intra-annual TRSI studies [505].

Thus, most trees are characterized by a periodic radial growth rate. Whether this periodicity matches a scientifically sound definition of season remains to be assessed prior to interpretation. High-resolution intra-annual investigations on tree rings using the microtome or more sophisticated laser ablation techniques is progressively unlocking a retrospective view on plant physiological processes underlying woody plant response to intra-annual environmental changes [42].

6.4. Seasonality of discrete events

Tree rings are not only useful to investigate annually or seasonally resolved climate variability, but also abrupt events like fires, earthquakes and volcanic eruptions. The greatest advantage in using tree rings lies in the tight age control, which can resolve the frequency of events occurring in the past and precisely date the time of the year (or season) in which these events occurred.

Provided the onset and timing of the local growing season of trees is known, perturbations can generate growth anomalies within a tree ring that can be used to refine the dating of the event. As injuries, bordering callus tissue and tangential rows of resin ducts (Fig. 8) are being produced by the tree almost immediately after an event, which allows for more accurate dating, down to monthly resolution [543]. Intra-seasonal dating has been used to infer the periods of increased rockfall activity [503] or the reconstruction of past debris-flow events [542]. Fire scar positions in tree rings agree well with independent records of lightning and ignitions [2] and provide useful information on the variability of fire seasonality [481]. This has allowed centennial-long reconstructions of fire season and its length, and fire recurrence dynamics [537]. High groundwater levels and river overflow events have been documented by identifying multi-year intervals of strongly reduced annual growth [257]; the spatial distribution of trees allows to track the synchronicity of these events in adjacent regions to assess the extent of the floods and thus the scale of the natural forcing responsible for them.

The timing of abrupt changes in local hydroclimate, such as changes in the seasonal moisture sources or the inflow of extreme highly convective cyclonic events can be obtained from high-resolution $\delta^{18}\text{O}$ analyses. Li et al. [328] unraveled the onset of tropical cyclone activities that are characterized by abrupt decline in $\delta^{18}\text{O}$ values, with a “V-shape” pattern in tree-ring latewood. Similarly, the intra-seasonal variation in $\delta^{18}\text{O}$ of cellulose in tree rings from the Quinghai-Tibetan Plateau reflects variation in the $\delta^{18}\text{O}$ of precipitation associated with incursion of the Indian summer monsoon [630], the authors suggest that the minimum intra-seasonal tree ring $\delta^{18}\text{O}$ value might be used to infer and reconstruct the timing of the local monsoon onset. Distinct subseasonal isotope patterns seem indicative for years with heavy rainfall events from pre-monsoonal cyclones in Oman [531], so that cyclonic activity can be potentially tracked back for hundreds of years [45].

In summary, in temperate regions with distinct seasons, modern tree ring analysis techniques allow to identify the frequency and the intensity of seasonally recurrent natural processes. These analyses deepen our understanding of the nature, magnitude and frequency of small-scale natural hazards with unmatched chronological control.

6.5. Concluding remarks

Trees constitute a remarkable archive with a wealth of ecological and environmental information and that allows insight into past changes at multi-annual to sub-seasonal level. Tree ring chronologies capture only a fraction of the total climate variability and their response is often limited to specific seasonal “windows”. Additionally, within this active window, tree-ring proxies may not respond to a single environmental variable, or may be disproportionately dominated by a subset of the entire growing season. In this sense, tree rings may not record, at each location, the variables and seasons of greatest climatological interest. However, tree rings are high-fidelity multi-proxy archives, where structural and chemical proxies enable palaeo-environmental reconstructions in a wide range of locations around the world. Tree ring width, earlywood/latewood ratios, wood density, cell-wood anatomy, and stable isotope ratios ($\delta^{13}\text{C}$, $\delta^{18}\text{O}$, $\delta^2\text{H}$) exhibit distinct sensitivity to environmental variables and can be used to obtain distinct climatic information. Ultimately, the most promising and powerful approach would be to combine the information from all of these proxies within each ring to jointly constrain environmental conditions throughout the record. Such multi-proxy approach could be best interpreted in the frame of a unified bio-physical model, which could be obtained by developing and conditionally combining the mechanistic transfer-functions referring to each proxy ([79] and references therein).

The recent advances in analytical techniques enable us to analyze progressively smaller samples, (quantitative wood-cell anatomical features as well as intra-seasonal isotopic measurements) resulting in substantial gain in seasonal specificity and detection of discrete, abrupt events.

2060 The combination of highly robust chronologies, spatial
2062 coverage and signal replication, as well as robust physical
2064 understanding of wood formation and isotope fractionation
2066 in plants, makes tree rings a unique and powerful tool
to investigate climate seasonality, providing long-term
information on climate, ecology and archaeology as far
back as thousands of years.

7. Varves – seasonally resolved sediment archives

Lakes, estuaries and oceans have the potential to record seasonal climate variability expressed as changes in the productivity, mineral precipitation or supply of material when deposition site is sheltered from currents, wave activity, turbidity flows, or bioturbation (anoxic or suboxic conditions).⁸⁴ Annually laminated sediments – varves, are one of the most pronounced manifestations of seasonal changes within sedimentary archives. Per definition a varve is a sequence of layers, deposited in an aquatic environment within a single year.⁸⁶ A varve comprises at least two distinguishable laminae, a couplet, each formed in response to seasonal fluctuation of physical, biological or chemical processes ultimately related to temperature or precipitation change. Varved sediments are found in a wide range of environments from marine to lacustrine and at all latitudes (see reviews by [500] and [635] on marine and lacustrine varves, respectively). Continuous varve records can reach back thousands of years and cover abrupt climate changes of the last glacial and deglaciation [77, 634, 251], transition to Younger Dryas and the entire Holocene [66]. The seasonal resolution coupled with multitude of proxies, from lamina thickness and composition to geochemical signatures, make varve records one of the most detailed continental archives of past climatic and environmental change [65, 635].

7.1. How varves record seasonal signals

The seasonal variability in temperature and precipitation causes change in physical, biological and chemical processes in the catchment (availability and supply of detrital material and nutrients) and in the water body (presence of aquatic components, e.g., algae, diatoms, minerals precipitating in surface water). Consequently, varve types fall in one of the three classes; i) clastic, ii) biogenic and iii) chemical (endogenic varves *sensu* [635]) or their combination (Fig. 10). Clastic varves consist of sand to coarse silt lamina deposited in response to vigorous inflow, and fine silt to clay sized particles deposited from suspension during limited inflow. Such varve type is common in Arctic and Alpine glacially fed lake systems [230, 213, 7]. Biogenic varves form due to seasonal changes in biogenic production in the water column and/or on land. Such varves are found in lacustrine and marine environments in temperate, subtropical and tropical climates and only rarely in boreal [487, 546] or arctic lakes [111]. Typically, biogenic varve comprises a lamina consisting of diatom frustules or algae related to spring and summer blooms and a fall/winter lamina consisting of degraded plant detritus, amorphous organic matter and often metal sulfide or siderite precipitating at the lake bottom in anoxic conditions [500, 635, 66, 322]. Seasonal changes are mediated into chemical varves when the solubility of dissolved ions, such as calcium, magnesium or sulphate, in surface water is exceeded. This happens due to evaporative concentration (physical trigger) or following rapid changes in CO₂ and pH related to phytoplankton (biological trigger). Gypsum and halites are typical components of chemical varves in arid

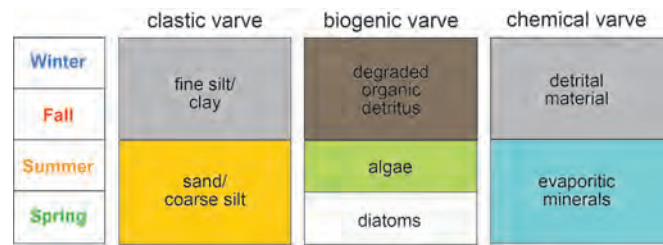


Figure 10: Simplified models of deposition of clastic, biogenic and chemical varves. For comparison check [475, 635] who provide complex and detailed models.

or semiarid climates [43], while carbonates (calcite and/or aragonite) prevail in temperate climates [140, 343]. In case of chemical varves two laminae might differ in crystal size, or a laminae composed of minerals precipitated in surface water follows a laminae of detrital, allochthonous material. In modern lakes and in fossil record, combinations of two or even all of the three types are common. For example, clastic-biogenic varves are typical from boreal to arctic environments while chemical varves often contain biogenic or organic laminae (biochemical varves, [626]). Last but not least, the prevalent type of varves can change through time [43].

7.2. How varves are used to reconstruct seasonal signals

The key to ensure the fidelity of the varve record and its seasonal signal is the thorough understanding of the depositional environment. In particular, similar laminae can be formed by several, very different processes. In Arctic regions the clastic laminae result from temperature increase and ice melting in summer, while in boreal zone with snow-rich winters a clastic laminae results from catchment erosion following the melting of snow in spring [404, 486]. In lower latitudes chemical laminae often form as a consequence of rainy [251, 476] or windy [109] season, when waters with contrasting properties are mixed, but spring algae blooms or summer evaporation might also trigger mineral precipitation [362]. Further, it is possible that a similar kind of lamina is formed several times within the same year. This can occur due to several pulses of glacier melting at arctic/alpine environments, multiple floods in spring and fall at mid latitudes, or multiple algal blooms in a single growing season (e.g., spring and autumn). While several models of varve formation exist, understanding local in-catchment processes and response to climatic forcing is essential for accurate interpretations. Taking into account these unknowns and uncertainties, interpretation of varve records requires calibration – a detailed identification of laminae-forming processes, optimally through combination of monitoring meteorological parameters, chemical profiling of lake water column, and sediment trap- and surface sediment sampling (e.g., [140, 475], Fig. 11). Moreover, in case of sedimentary records independent dating method (e.g., tephrochronology, radiometric, or palaeomagnetic methods) is essential in confirming the annual nature of the laminae and ensuring a true ‘varve’

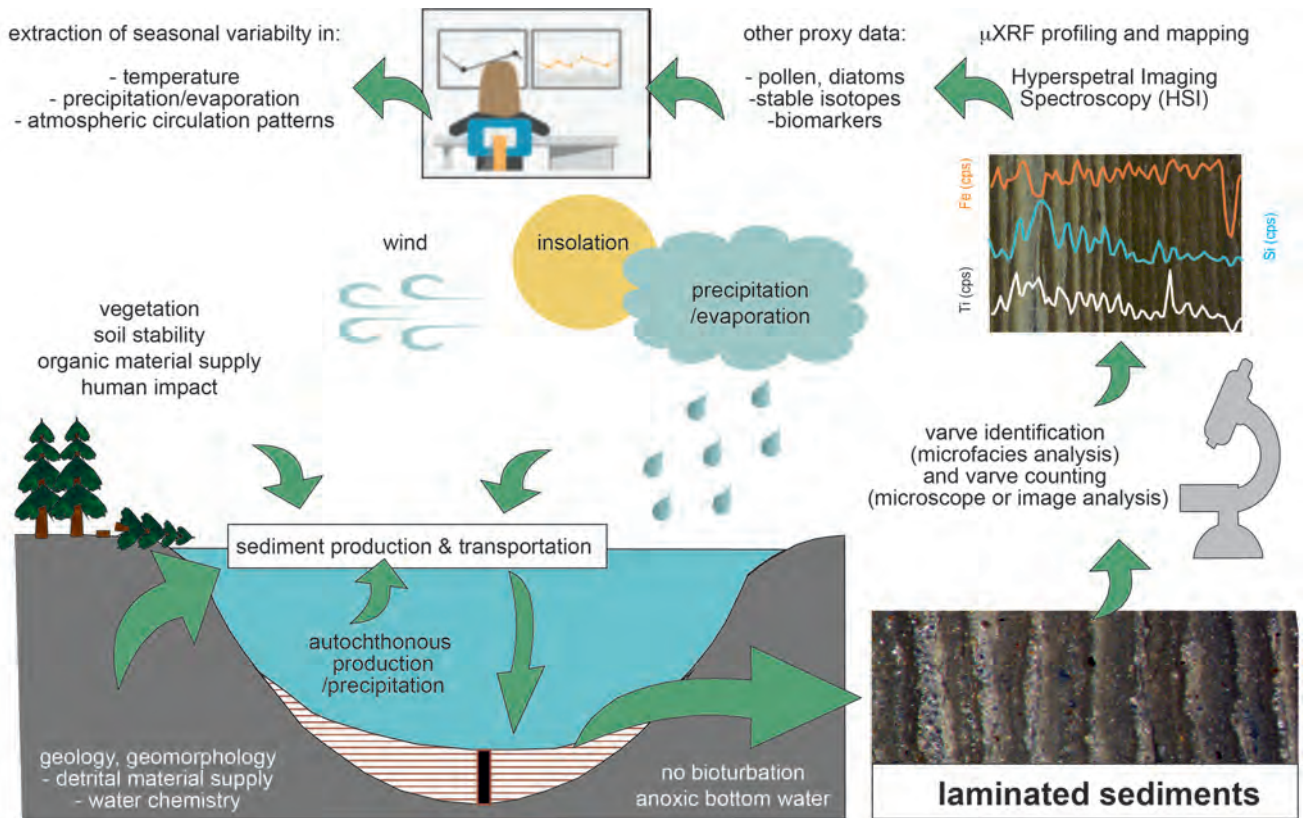


Figure 11: Schematic illustration of how environmental conditions are reflected in the composition of laminated sediments, and how these features can be quantified to reconstruct past climate variability at inter-annual to millennial time scales.

status (Fig. 11).

The modern methods applied to varve records include investigation of physical, (including optical), and chemical properties. Physical investigations are mostly non-destructive and involve qualitative analyses like microfacies analyses which is a description of components and structures, but also semi-quantitative analyses like micro X-Ray Fluorescence (μ XRF) elemental profiling and mapping [133] and Hyperspectral Imaging Spectroscopy (HIS) [88]. μ XRF provides estimation of major element concentration in the sediment (Al, Si, S, K, Ca, Ti, Mn and Fe) which might be related to allochthonous input, biological activity or mineral precipitation, while HIS is an indicator of pigments which might be traced to specific biomarkers. Further, application of color software to digital images of sediment surface or to X-radiographs produces gray values which in turn can help to define seasonal laminae semi-automatically [439] and aid in varve counting, and in measuring varve thickness and thickness of individual laminae (Fig. 11). Variations in varve thickness might be translated to changes in a seasonal process leading to respective laminae formation. For example: clastic laminae thickness might inform on runoff/ erosion intensity and serve as a proxy of the length/ amplitude of rainy or flood season (including floods due to snow or glacier melting) [476]. Biological laminae thickness might inform on oxic/anoxic conditions at the lake bottom and serve as a

proxy for windiness or productivity [66].

Traditional microfacies analyses performed on thin sections can be implemented by Scanning Electron Microscope (SEM) imaging providing excellent documentation of sedimentary micro-structures and components [65, 635]. Recent instrumental advances, the increased precision and resolution with decreasing amount of required material further facilitate multi-proxy approach for seasonally laminated material and allow destructive but quantitative geochemical analyses of individual varves [343, 626]. Geochemical analyses such as stable carbon and oxygen isotope composition, total carbon, total organic and inorganic carbon, total sulphur and total nitrogen are applied to explore annual-to-seasonal signals of productivity, precipitation/evaporation, temperature and water-column mixing [343, 626].

7.3. Challenges: incorrect assumptions, seasonal bias and changes in proxy sensitivity

The methods listed above are powerful tools to extract signal from both varved, and non-laminated sediments. The obvious advantage of varved material is its resolution, but the interpretation should be still cautious. Recent calibration studies from Polish lakes challenge traditional mechanisms of biochemical, in particular calcareous, varve formation and suggest that both, timing and the trigger of precipitation might be at odds with generally accepted assumptions. Roeser et al. [475] documented presence of triplets rather

than couplets, with additional varve sub-layer consisting of re-suspended carbonate material. Zander et al. [626] found a relation between a dominant varve type and meteorological conditions, in particular either wind strength or temperature prompting precipitation of calcite.

Beyond monitoring, careful examination sedimentary material is also important. Depending on the sedimentation rate physical sampling of individual year or season is not always feasible. Integrating several years in one sample might mix material from seasons or depths different than assumed. Such mixing might considerably influence geochemical signature of analyzed material [343, 361].

When juxtaposing varve structures to meteorological time series it must be taken into account that varve year is not exactly comparable to calendar years. The beginning of the varve year is related to the change of season while calendar year changes in the mid-winter (summer) on the northern (southern) hemisphere. Hence, the accumulation of the seasonal laminae does not necessarily reflect the conditions of the on-going season, but like for example in case of boreal varves, the clastic lamina is deposited rapidly during spring, although the intensity of catchment erosion is related to the snow accumulation during the previous winter [404]. The amount of snow controls the amount of water released during melting period and consequently controls the intensity of spring flood and the volume of catchment erosion.

Environment-proxy relationship established by calibration between proxy data and instrumental and observational time-series might have changed over time. In case of multi-millennial varve records, the possible change of proxy sensitivity is a relevant question [66, 353]. External and internal forcing changes, internal feedbacks and anthropogenic activities in the catchment can modify the way how the lake reacts to seasonal changes and how these are translated into sedimentary record.

8. Seasonality in continental ice bodies

Continental ice is a powerful climate archive that directly preserves numerous proxies that inform on seasonal changes in atmospheric conditions. Major efforts have been undertaken to utilize continental ice to reconstruct past climate on various spatial and temporal scales. Of particular importance are the ice sheets of Greenland and Antarctica, but also polar and mountain glaciers, and numerous ice cores have been drilled since the middle of the 20th century. More recently, continental ice preserved in caves and permafrost has been recognized as a valuable archive of past climate and environment.

Here, we briefly review the potential of glacier ice, cave ice, and permafrost ground ice to reveal seasonal information. We strongly focus on stable isotopes of water as proxies for temperature and moisture sources.

8.1. Polar and mountain glaciers

Ice cores are arguably the single most important archives in the efforts to decipher late-Quaternary climate variability, due their outstanding time resolution and preservation of various proxies of past environmental conditions, including atmospheric gas composition. Ice cores have been drilled in high altitude and latitude ice caps and glaciers [273], in ground ice [446], and cave ice deposits [437], yielding information on past temperature, precipitation, and atmospheric chemistry, to name just a few.

By far the most successful are the polar and glacier ice cores, in which a variety of physical and chemical parameters inform on past environmental variability: layer thickness and presence of melt layers; water $\delta^{18}\text{O}$, $\delta^2\text{H}$, and deuterium excess ($d = \delta^2\text{H} - 8 \cdot \delta^{18}\text{O}$) [130], major ion concentrations, gases (including CO_2 and NH_4) from fossil air, or cosmogenic isotopes. Several of these parameters vary cyclically throughout a year, but only a handful capture climatic information. We have grouped these into 1) proxies capturing seasonal dynamics of selected climatic parameters (water $\delta^{18}\text{O}$ and $\delta^2\text{H}$) and 2) proxies reflecting season-specific climatic elements (water $\delta^{18}\text{O}$ and $\delta^2\text{H}$, Na^+ , K^+ , melt layers), and below we discuss these in more detail.

The ice-core basics are well known and will only be marginally touched upon here. Ice formed through the diagenesis of continuously accumulating snow preserves the regional climatic history at time scales reaching several hundred thousand years [13]. While mid-to-low latitude glaciers preserve a predominantly *cold season* climatic signal, polar ice caps and ice sheets accumulate snowfall year-round, thus preserving *annual and potentially seasonal* information.

The seasonal dynamics of climatic parameters are preserved by water $\delta^{18}\text{O}$ and $\delta^2\text{H}$ from high accumulation sites in Greenland [592, 530] and the Tibetan Plateau [565], but are generally missing in glaciers from winter-only accumulation sites at lower latitudes and the low accumulation sites in Antarctica. In precipitation, $\delta^{18}\text{O}$ and $\delta^2\text{H}$ have clear annual cycles, with a summer maximum and a winter minimum. Ideally these cycles are preserved and offer a clear

picture of summer and winter air temperature changes on annual scales [592]. However, several problems plague the simple interpretation of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ as proxies of past air temperature [274]. These arise from changes at/of the moisture sources and tracks, changes in the seasonality of precipitation, changes in the strength of the inversion layer and effects of post-depositional processes.

Before the $\delta^{18}\text{O}$ of precipitation is locked in ice, it already carries with it a full history of weather and climate variability, including moisture source, rainout and precipitation dynamics. Initially, $\delta^{18}\text{O}$ of polar ice was used as proxy for mean annual air temperature (MAAT), but later work suggested that MAAT variability is better captured by winter $\delta^{18}\text{O}$ only [592]. In Greenland, winter precipitation $\delta^{18}\text{O}$ is strongly linked to W Greenland temperatures, while summer precipitation $\delta^{18}\text{O}$ carries a temperature signal of W Iceland [592]. Thus, while the seasonality of $\delta^{18}\text{O}$ in precipitation can be reconstructed from ice cores, this will not necessarily represent the annual cycle of air temperature at the site (or any other). Complex interactions [245] between seasonally distinct large-scale atmospheric patterns (e.g., winter-dominating North Atlantic Oscillation vs. summer-dominating Atlantic Multidecadal Oscillation) and oceanic processes (sea ice export, position of sea ice edge) modulate $\delta^{18}\text{O}$ in precipitation over polar ice sheets [145, 538]. Moisture source changes also influence the stable isotope composition of oxygen and hydrogen in precipitation feeding mountain glaciers [183, 437], resulting in changes similar to those induced by local temperature changes and/or changes in the season of precipitation.

Apart from these processes induced by seasonally varying influences, *changes in the seasonality of precipitation amount* (i.e., variations in warm vs. cold season precipitation amount) modulate precipitation $\delta^{18}\text{O}$ and $\delta^2\text{H}$ and ultimately, ice [274]. As the $\delta^{18}\text{O}$ in precipitation is strongly influenced by seasonally distinct precipitation amount-weighted temperature [123], changes in seasonal amount of precipitation modify the $\delta^{18}\text{O}$ signal in addition to changes in air temperatures.

Changes in the strength of the inversion layer leave a distinct seasonal imprint on $\delta^{18}\text{O}$ and $\delta^2\text{H}$ of precipitation [184]. Inversion layers above (mainly) ice caps and ice sheets results in higher temperatures at which precipitation forms compared to those near the surface. Such inversions are more frequent in winter and influenced by temperature changes, becoming more frequent in recent decades [519]. Strong inversion situations could have played an important role during cold periods [128], potentially affecting the comparability of seasonality of cold and warm periods.

Both, structure and stable isotope geochemistry of the snow pack are altered by *post-depositional processes* [100]. Wind scouring removes part (or entirety) of the annual accumulation, leaving annual layers biased towards one (summer) season, or missing altogether. Diffusion in the firn can smooth out the annual cycle [267], while condensation and sublimation can add or remove ice crystals from the snow pack, further modifying the original $\delta^{18}\text{O}$ and $\delta^2\text{H}$ signals

[540].

In summary, ice cores drilled in areas with high accumulation rates preserve seasonally-distinct precipitation $\delta^{18}\text{O}$ and $\delta^2\text{H}$, but these are not easily translated into local seasonal variability. Large-scale circulation patterns, changes of moisture sources and paths to the site, and factors active during and after precipitation play important roles in forming seasonally-distinct imprints of the final $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in ice.

A final complication that strongly affects ice core $\delta^{18}\text{O}$ and $\delta^2\text{H}$ surface melting, percolation of water through the snow mass, and subsequent freezing. These processes are accompanied by isotopic exchange and kinetic fractionation that alters the original $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values [294]. However, the melt layers formed by these processes in the ice could be used as indicators of the frequency of summer melt events [223].

Several other chemical and physical parameters reveal seasonal climate variability. Sea-sourced sodium (ssNa^+) and continental-sourced potassium (nssK^+) are transported onto polar ice sheets in a seasonally variable manner, with ssNa^+ peaking in winter and nssK^+ in spring [319]. Thus, these chemical species can indicate seasonal atmospheric circulation patterns, and inform, for example, on the strength of the Siberian high-pressure system [369].

8.2. Cave ice

Perennial ice deposits in caves occur in regions where the combination of cave morphology and favorable climatic conditions result in the accumulation and preservation of snow and ice. With very few exceptions, these conditions are met in low-to-high altitude mountains in the temperate zone, and as such, ice caves are ideally located to preserve seasonally-specific (i.e., winter) information on past environmental changes, frozen in ice and time. The various climatic and environmental proxies in cave ice include the isotopologues of water [437] and cryogenic cave carbonate (CCC, [636]), several chemical species [286], pollen and macrofossils [326], and microorganisms [432]. Of these, the stable isotopes of hydrogen and oxygen offer the best prospect to reconstruct past climate – and potentially seasonal – information. However, contrary to the processes responsible for the formation of polar ice sheets and glaciers (see above), the specific processes of cave ice formation require careful examination. Perennial cave ice deposits form when snow and frozen water accumulate annually in single-entrance, descending caves, to grow into large (up to 150000 m^3) underground glaciers that can host millennial-scale records of past climate variability [437, 490, 80] (Fig. 12A).

There are two main processes by which snow and ice accumulate in caves: i) snow trapping and ii) infiltration water freezing. *Snow trapping* at the bottom of (sub)vertical shafts in mid-to-high altitude mountains forms deposits up to 80 m thick [435]. This is not enough to compress the snow beyond the firn-ice transition (0.83 g/cm^3 , [308]). Partial melting of the upper layers in the warm season results in meltwater per-

colation and subsequent re-freezing inside the snow deposit. The thermal inversion of these snow traps limits the extent of melting and the small volumes of liquid water quickly re-freeze near the top of the snow deposit, thus limiting further melting. Consequently, these deposits form layered bodies of snow, firn and ice, with densities in the range of $0.5\text{--}0.9\text{ g/cm}^3$, incorporating both allochthonous (atmospheric dust, organic debris, pollen, soil) and autochthonous (CCC, rock breakdown) sediments. While usually the annual mass balance at the top of these deposit is positive, extreme summer precipitation events or prolonged heatwaves could lead to rapid ablation and obliteration of the annual layering.

The second main mechanism of cave ice formation is the *freezing of liquid water* in caves. Cold air avalanches, triggered by the higher density of external cold air compared to cave air, can lead to undercooling of the cave environment and subsequent freezing of water. Given suitable cave geometry, cold air lakes form that can exist in dynamic equilibrium with snow ice for millennia. Freezing in such cold traps might occur in two distinct stages, one in late autumn and early winter, and the second throughout the cold season, depending on the timing of cooling and water availability [436]. In the first stage, liquid water derived from dripwater accumulates in shallow pools atop of an existing ice body and freezes from top to bottom to form a layer of so-called “lake ice”, up to 20–25 cm thick. During freezing, CCC is precipitated from the carbonate-rich solution to form a layer of CCC at the bottom of the pool, and thereby incorporated into the ice as the entire water column freezes. Sporadic inflow of snowmelt during the winter months will freeze on top of this ice layer as thin sheets of ice – termed “floor ice” – resembling aufeis (naled) formed in high-latitude regions [312]. With the onset of melting in summer, these layers of floor ice usually melt. Consequently, cave ice deposits formed through the freezing of water are build-up by layers of dense (approaching 0.917 g/cm^3) and usually lake ice, alternating with CCC-rich ones (sometimes mixed with allochthonous sediments).

The season-specific genetic processes described above lead to the formation of cave glaciers, hosting a record of the stable isotope composition of parent water. As these glaciers form in winter only, the stable isotope composition of cave ice will reflect that of winter precipitation (depending on altitude and latitude, between September and March) and thus constitutes a season-specific proxy of past climate conditions. However, the complex mechanisms involved in ice formation translate in similarly complex fractionation processes affecting oxygen and hydrogen isotopes. The latter require a meticulous sampling strategy in order to extract the targeted climatic information. This approach first requires disentangling the two different types of ice that build up the studied glaciers – snow/firn and ice.

Snow/firn ice will retain the initial stable isotope composition of parent snow, even if percolating water will re-freeze inside the snow deposit. Melting of the snow at the surface, percolation through the remaining snow deposit and subsequent freezing are accompanied by both kinetic and

equilibrium fractionation [15, 275, 294, 436, 457] that affect the original $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values. While melting usually proceeds without fractionation, isotopic exchange between snow and ice and freezing are accompanied by strong equilibrium and kinetic fractionation, respectively. However, due to the geometry and morphology of the snow deposits [285, 80] the meltwater will percolate and freeze in its entirety inside the snow deposit so that the final $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values in snow and ice (after melting and refreezing) are similar to the initial ones. Consequently, the stable isotope composition of cave ice formed through (partial) diagenesis of snow will retain the climatic signal carried by the isotopic composition of precipitation water, not unlike ice cores recovered from glaciers affected by summer melt [294, 255].

Opposing this, cave ice deposits built up by the freezing of water require a different approach. Freezing of water to form both floor and lake ice (see above) is accompanied by strong kinetic fractionation [436], albeit with different consequences on the $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values. Floor ice forms as very thin (sub-millimeter) layers of water flow on top of the existing cave glaciers, and a distinctive $\delta^{18}\text{O}$ and $\delta^2\text{H}$ gradient develops along the flow path (Fig. 12B). However, owing to the very small amounts of water involved (freezing conditions outside would prevent melting) and the very short flow paths, an isotopically homogeneous layer of ice can be assumed. Further, melting in the warm season could possibly remove this layer of floor ice (with site-specific variations induced by cave and ice morphology) and consequently, any ice body formed through freezing of water will be composed of lake ice only. The kinetic fractionation processes [275] active as lake ice forms through top-down freezing of shallow water pools will result in a clear gradient of $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values, with the upper (first to form) layers being enriched in ^{18}O and ^2H (compared to the parent water). Consequently, consecutive layers of ice would show a succession of high and low $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values, not reflecting the original stable isotope composition of water [436]. To circumnavigate this problem, entire layers (regardless of their thickness) must be integrated and analyzed. A different approach is based on the observation that samples from a layer of frozen water align along a straight line in a $\delta^{18}\text{O}/\delta^2\text{H}$ diagram [275]. The intersection of this line and the Local Meteoric Water Line (LMWL) will give the $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values of water before freezing (assuming that the original water is derived from local precipitation). This approach has been shown to be effective [436] although in practice it demands that each ice layer be thick enough to allow for at least three aliquots to be extracted, and the LMWL must be known (and assumed to have been stable through the entire timespan of ice accumulation). Recent studies of cave ice deposits formed through freezing of water revealed that, despite potential issues related to kinetic fractionation and melting of ice, the water isotopes retain useful information of past winter climate conditions [437, 490]. Partial melting of the annual layers and/or complete melting of several years' equivalent of ice accumulation dampens the annual signal. Still, decadal to millennial scale changes can be reconstructed.

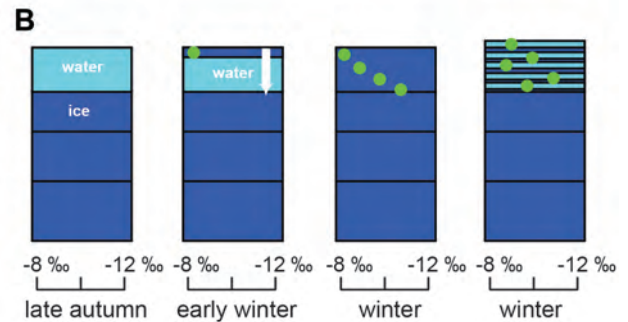


Figure 12: **A:** The vertical profile of the ice block in Scărișoara Ice Cave. Annual layers are visible, rich in either surface-derived organic matter (black) or cryogenic cave carbonate (white). **B:** Conceptual model of development of $\delta^{18}\text{O}$ values in annual layers in cave ice. In autumn, a layer of ice forms on top of the existing ice (1st column). In early winter (2nd column) a layer of ice (enriched in ^{18}O) forms atop the water column. Arrow indicates freezing direction. Subsequent freezing of the water layer (3rd column) will result in successive layers with decreasing $\delta^{18}\text{O}$ values. Freezing of dripwater will result in thin layers of ice (4th column), each preserving the $\delta^{18}\text{O}$ of parent water.

While seasonality (i.e., a seasonal cycle) is not registered by $\delta^{18}\text{O}$ and $\delta^2\text{H}$ in cave ice *per se*, a seasonally distinct climatic signal is. The $\delta^{18}\text{O}$ and $\delta^2\text{H}$ values register cold season (winter) air temperature changes and the derived deuterium excess records changes in relative humidity at the moisture source. Recently, deuterium excess (d-excess) in cave ice has been interpreted as indicating changes in the source regions delivering moisture to the cave sites [437], allowing for the reconstruction of large-scale atmospheric circulation patterns. Consequently, cave ice $\delta^{18}\text{O}$ and $\delta^2\text{H}$ could be used in conjunction with warm season (summer) sensitive proxies of past climate variability to reconstruct past changes of regional annual air temperature amplitudes. Promising proxies for the summer season are $\delta^{18}\text{O}$ and $\delta^{13}\text{C}$ in tree rings, which have been shown to record changes in summer air temperature and/or summer droughts [389].

8.3. Permafrost ground ice (ice wedges and pore ice)

Ground ice from the non-glaciated high latitude permafrost regions holds important information on seasonal-scale palaeoclimate. A detailed assessment of

seasonal temperature and moisture dynamics in the high latitudes is particularly important for holistic palaeoclimate reconstructions due to the highly seasonal climate forcing leading to long winters, short summers and short shoulder seasons. Ground ice comprises all forms of ice in permafrost – ground that remains frozen for at least two consecutive years – irrespective of the form or origin of the ice.

Ground ice can be formed in numerous ways and, thus, can be found in many variations such as buried glacier ice, intrusive ice, pool ice, dilution crack ice, ice wedges, and intrasedimental (i.e. pore and segregated) ice [386]. Given the wide distribution of permafrost which underlies about 24 percent of the northern hemisphere landmass [399], ground ice is a characteristic of arctic and sub-arctic regions across different climate and vegetation zones.

Here, we focus on the two types of ground ice with the highest palaeoclimatic potential, and in particular with regard to season-specific information due to their specific formation conditions, i.e. (1) ice wedges, and (2) pore ice (Figure 13). Similar to glacier and cave ice (see above), ground ice based palaeoclimate studies use mainly stable isotopes of water, i.e. $\delta^{18}\text{O}$, $\delta^2\text{H}$ and deuterium excess of precipitation (e.g., [372, 410, 446]. While $\delta^{18}\text{O}$ and $\delta^2\text{H}$ in ice are long regarded as sensitive proxies for local temperatures [130], deuterium excess provides information on moisture source conditions [371]. As a peculiarity of ground ice, δ values, deuterium excess, as well as slope and intercept of the $\delta^{18}\text{O}$ - $\delta^2\text{H}$ relationship have to be carefully examined in tandem to assess the formation conditions of ground ice and post-depositional processes related to melt and refreezing in the snowpack and in the seasonally thawing uppermost soil layer (active layer) before fixation in the permafrost [446].

8.3.1. Ice wedges

Ice wedges are the most studied type of ground ice with regard to palaeoclimatology [446] and are mostly sampled at nearly vertical coastal or riverine outcrops providing natural access to the full width of ice wedges (Figure 13). Ice wedges record a distinct cold season seasonality, i.e. they record explicitly climate information for the period characterized by snow cover [410].

This winter signal originates from the ice wedge formation processes: Ice wedges form when winter cold deeply penetrates the ground, causing thermal contraction cracks that are then filled predominantly by snowmelt in spring, even though minor contributions of snow and hoar frost may occur. Melt water refreezes immediately due to sub-zero temperatures of the permafrost and forms a vertical ice vein, which preserves the integrated stable isotope composition of the winter snow pack (Figure 14). The repetition of frost crack infill over several tens to thousands of years results in the formation of massive wedge-shaped ice bodies consisting of many individual ice veins with the oldest at the margins and the youngest in the center of an ice wedge, each representing the precipitation of a particular cold season. Hence, ice wedges represent the extended winter season in the high latitudes, i.e. the meteorological winter and spring

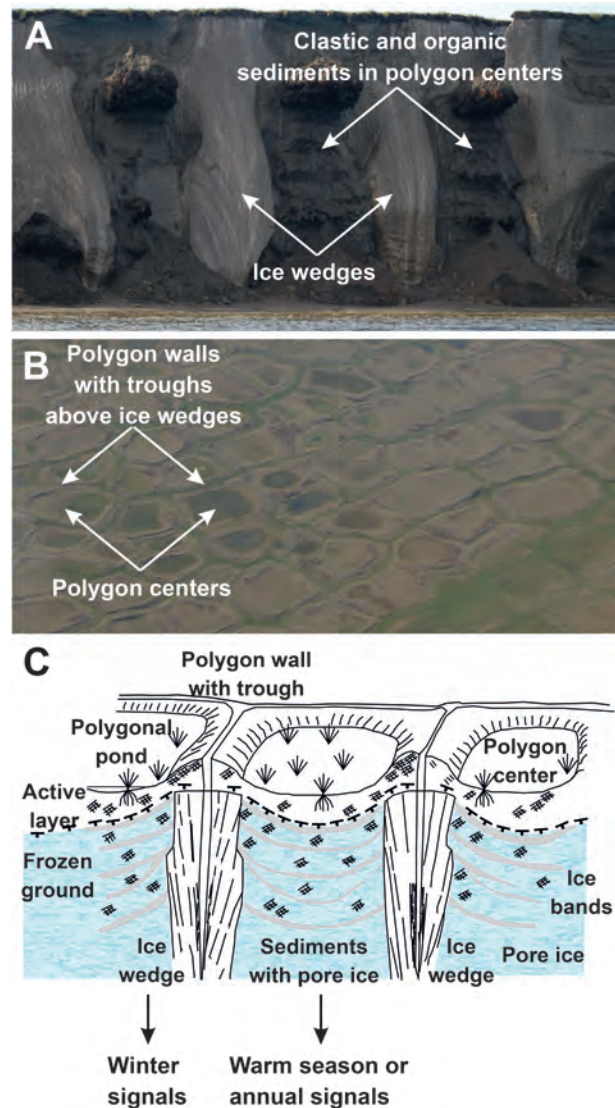


Figure 13: Ground ice in permafrost landscapes. **A:** Permafrost cliff at Sobo-Sise Island in the Lena river delta (Siberia) showing about 20 m high ice wedges and in between polygon centers with clastic and organic sediments. **B:** Polygonal permafrost landscape shaped by ice-wedge growth. **C:** Schematic overview of ice-wedge polygons including ice wedges and pore ice, which can be used as seasonal climate archives. Photos by TO.

seasons (ca. December to May) [372]. It should be noted that the cold season snowpack is subject to multiple processes that may alter its internal stratigraphy and isotopic composition, including depth hoar formation, repeated melting and refreezing, snow drift erosion and accumulation, and sublimation [410].

However, numerous recent studies [244, 372, 409, 411, 585] show that ice wedge stable isotope compositions are often close to the Global and/or Local Meteoric Water Line. This indicates that the ice wedge isotope compositions have not substantially altered during ice wedge formation, and still preserves the climate information of cold season precipitation. Thus, the wedge ice isotopes are suitable for

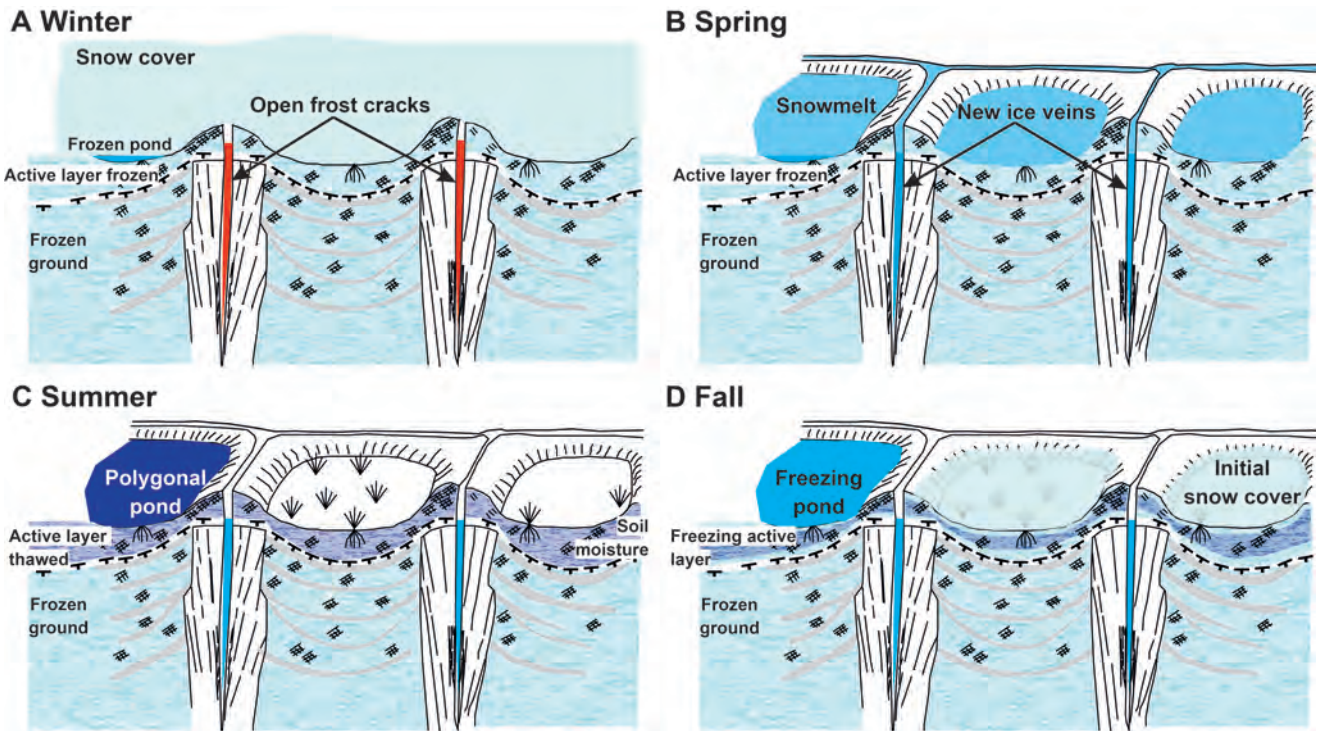


Figure 14: Schematic overview of relevant seasonal processes leading to the formation of ice wedges and pore ice as climate archives. **A:** Winter. Accumulation of snow cover subject to sublimation and wind drift, frost cracks open. **B:** Spring. Snowmelt refreezes in frost cracks and forms new ice veins. **C:** Summer. Soil moisture in the thawed active layer integrates melt water and precipitation subject to evaporation. **D:** Fall. Active layer freezes and soil moisture turns into pore ice, initial snow cover.

the reconstruction of past winter temperatures (derived from $\delta^{18}\text{O}$, $\delta^2\text{H}$) and moisture source and transport properties (using deuterium excess). More recently, also marine aerosols trapped in ice wedges have been studied and interpreted in terms of past sea ice cover dynamics even though their seasonal attribution is not fully constrained yet [253].

Knowledge gaps and challenges regarding ice wedge-based palaeoclimate and seasonality reconstructions concern ice wedge formation processes (i.e. frost cracking and infilling dynamics), and the origin and preservation of the stable isotope signal, both requiring detailed monitoring studies. Other issues to address relate to ice wedge dating and time series development [410]. Ice wedge chronologies are mainly constrained by radiocarbon dating of particulate organic matter such as plant macro remains, animal coprolites or dissolved organic carbon incorporated in the ice. Ice wedge time series are then generated by age-distance modelling between individual ages or by “stacking” paired age and proxy information [372].

Unfortunately, the fact that ice-rich permafrost is sensitive to thaw means that we often deal with incomplete stratigraphies and complex chronologies. Hence, ice wedges predating the last interglacial are rare and most ice wedge-based winter palaeoclimate records date from the last glacial (i.e., MIS 3 and MIS 2) and the Holocene [446]. However, the oldest known ice wedges are more than 700 ka old [195], indicating that ice wedges (and more generally permafrost)

can survive several interglacials.

The palaeoclimate potential of ice wedges is determined by their ability to provide millennial to centennial-scale time series of information on atmospheric conditions during winter, a season not or only inadequately covered by most other high-latitude climate archives. For instance, ice wedge stable isotopes revealed a pronounced winter cooling during the Younger Dryas cold event in Northern Alaska, formerly believed to be only limited, or completely absent in this region [373]. However, ice wedge palaeoclimate records gained more attention when they helped to close the gap between Holocene palaeoclimate and model data, frequently referred to as Holocene temperature conundrum [333]. Meyer et al. [372] for the first time reconstructed an Arctic Holocene winter warming trend for the Lena river delta, driven mainly by increasing winter (November to April) insolation. This long-term trend is in stark contrast to most other high-latitude records, which show cooling related to the decreasing summer insolation [277, 348]. The evidence of long-term winter warming is in line with annual climate model simulations that show only minor changes or even a warming over the Holocene [333]. Subsequent ice wedge studies from Siberia [409] and Canada [244] confirmed this Holocene Arctic winter warming, and highlighted the strongly seasonal climate forcing in the high latitudes.

Ice wedge stable isotope records with their strict winter signal have the potential to close existing seasonal and

spatial gaps of Arctic palaeoclimate reconstructions. Their seasonal specifics help reconstructing the differential impact of highly seasonal climate forcing in the high latitudes.

8.3.2. *Pore ice*

Pore ice fills the pores of frozen soils and sediments and holds the grains together (Figure 13). Pore ice can best be sampled by drilling vertical boreholes into sediment-rich permafrost. The sediment may also contain organic material for radiocarbon dating as well as palaeo-ecological proxies including pollen and plant macro remains for independent seasonal palaeoclimate studies.

Compared to ice wedges, pore ice has a less well expressed seasonal signal that heavily depends on local site characteristics [446]. Pore ice in syngenetic permafrost originates from water in the seasonally thawed active layer (Figure 14). Syngenetic permafrost is aggrading with the rising permafrost table (the permafrost surface at the base of the active layer) due to the accumulation of surface sediment. The pore water freezes in pores at the base of the active layer, thereby becoming part of the permafrost. It may comprise a mix of meltwater from fresh snow and active layer pore ice of previous years as well as warm-season precipitation [446]. Depending on local climate, relief, and soil properties the active layer water represents a blend of warm-season [447] or rather annual [511] precipitation. The isotopic composition of water in the active layer can be altered by a number processes, including evaporation and freeze-thaw cycles (Figure 14). The constant enrichment of pore ice in heavy isotopes during final freezing and incorporation into permafrost must be taken into account for interpretations [447].

The pore ice-based isotope records of Porter et al. [447] from a peatland in Yukon, Canada, represent the first full-Holocene summer temperature reconstruction from pore ice. These reconstructions reveal deglacial warming, an Early Holocene Maximum, long-term cooling in response to decreasing summer insolation, as well as abrupt modern warming. The results are generally consistent with other regional multi-proxy compilations [278] and shed new light on yet contrasting Early Holocene temperature reconstructions. While pollen-based reconstructions indicate a cold Early Holocene, midge- and pore ice-based reconstructions reveal a warm Early Holocene.

However, as there exist only a few pore ice reconstructions at all, more studies are required to further constrain pore ice isotope formation conditions and the respective seasonal signals.

In summary, ground ice, including both wedge ice and syngenetic pore ice, records season-specific climate information that can substantially contribute to holistic palaeoclimate reconstructions in the high latitudes. Taking advantage of the individual seasonal signals of both archives, the combination of ice wedge and pore ice data, and where possible classic palaeo-ecological proxies (such as pollen) from host sediments can enable a full characterization of past seasonal dynamics.

9. Numerical tools for extracting seasonality changes from palaeoenvironmental time series

As the previous chapters show, seasonality expresses itself in different fashions in each environmental archive, and is accompanied with individual challenges and limitations. Seasonality reconstruction is concerned with the extraction of prominent features which closely link to the respective notion of seasonality, such as periodicity, seasonal amplitude, timing/duration or complexity (see box 7)) of seasonal patterns (Fig. 15). In order to characterize seasonal variability, quantitative time series analysis methods allow extraction of various features related to seasonality and to gain confidence in their statistical significance. Here we give an overview of how systematic application of numerical tools can help to extract and substantiate the reconstruction of seasonality in presence of data-related obstacles.

While well-dated proxy records allow for direct assessment of subannual or seasonal variations [468, 176], lower resolved time series may contain valuable information on variability that is linked to seasonal variability. Some records reflect information related to different seasons, others only yield a specific response to a single season ([372], see chapters 5.4 and 8.3.2) (see box 7). In some records, the most prominent and valuable information on seasonality might be found in extreme excursions from the baseline [108, 624]. In all these cases, nonstationarity (see box 5) in the underlying geophysical and geochemical processes can render the recorded seasonal features highly variable over time. Numerically extractable information goes beyond detecting changes in an annual cycle; even in the presence of a stable annual cycle throughout a time series. Not only can the ‘seasonal amplitude’ vary significantly, but also timing and duration of seasons are distinct features that become detectable (see box 1), e.g., as phase shifts (Fig. 15). Where the underlying forcing mechanisms are well understood, proxy data can be linked to a specific season even in lower resolved time series [372].

Obstacles for retrieving seasonality include (among others) limited temporal resolution and lacking reproducibility [608]. Frequently, standard methods (e.g., periodograms) must be adapted before they can be used to extract seasonality from irregularly sampled time series [387]. Further, the sampling integration (i.e., the time integrated in each discrete sample) is vitally important when assessing the suitability of a time series for seasonality analysis. Unambiguous differentiation between an actual seasonal cycle and noise can be challenging even in well-dated records, with remaining age uncertainty obscuring the significance of a present seasonal fingerprint. Archives with lower-than-annual resolution can only yield seasonality-related information when a clear mechanism links proxies to environmental parameters, and/or to a specific season (see chapter 8.3.2) (see box 5). Therefore, the mechanism that embeds seasonal signals in an archive decides upon which method is ultimately best suited to extract them.

This chapter is intended as overview of statistical methods to extract information on seasonality from palaeoenvironmental archives. We first summarize methods frequently used in palaeoclimatology, then we briefly discuss recently developed tools that help scrutinizing diverse seasonality archives across disciplines.

Box 7 – Suggested glossary

Box 7 text can be found in supplemental material S7.

9.1. Statistical tools

The broad spectrum of methods that can be subsumed under the term *statistical tools* ranges from simple seasonal averaging to extreme value analysis and linear correlations. A suitable combination of these tools can help to tackle some of the challenges related to seasonality detection and move towards quantitative analysis.

Descriptive statistics. A first step towards quantifying seasonality is related to estimating statistical properties of a record’s frequency distribution. The frequencies can be found by binning histograms or by estimating kernel density representations. For sufficiently long records, histograms for distinct episodes or (non)overlapping time windows can give a statistical estimate of temporal seasonality evolution [163]. Empirical distributions may then be compared, e.g., between different study sites or time periods by means of suitable similarity measure, such as Kolmogorov-Smirnov distance [350].

The estimation of statistical properties, like sample average, variance or quantiles, reveal tendencies (trends) if computed for time periods that characterize distinct seasons. For instance, analyzing the $\delta^{18}\text{O}$ signal of limpet shells, Wang et al. [596] detected a cooling trend in the seasonal temperature for the Late Holocene from 3300–2500 BP to the Roman Warm Period (2500–1600 BP) by means of varying seasonal averages. Computing statistical properties on sliding windows can help tracking seasonality changes [611], and the use of basic statistics can substantiate the interpretation of seasonality dynamics beyond qualitative analysis [179]. Testing seasonal amplitude or seasonal vs. non-seasonal patterns can also be carried out with more sophisticated measures like conditional entropy. Entropy generally quantifies the ‘informativeness’ of a distribution (e.g., a subannual rainfall distribution), resulting in higher values in case of more complex and more contrasted distributions [178]. Although specifically designed statistical tests can characterize a record’s seasonality [136, 402, 192, 132], they remain rarely applied because they often do not account for the full complexity in proxy time series. Restrictions like independence or normality often pose significant limitations to the scope of basic hypothesis tests. Yet, meaningful seasonality-related prop-

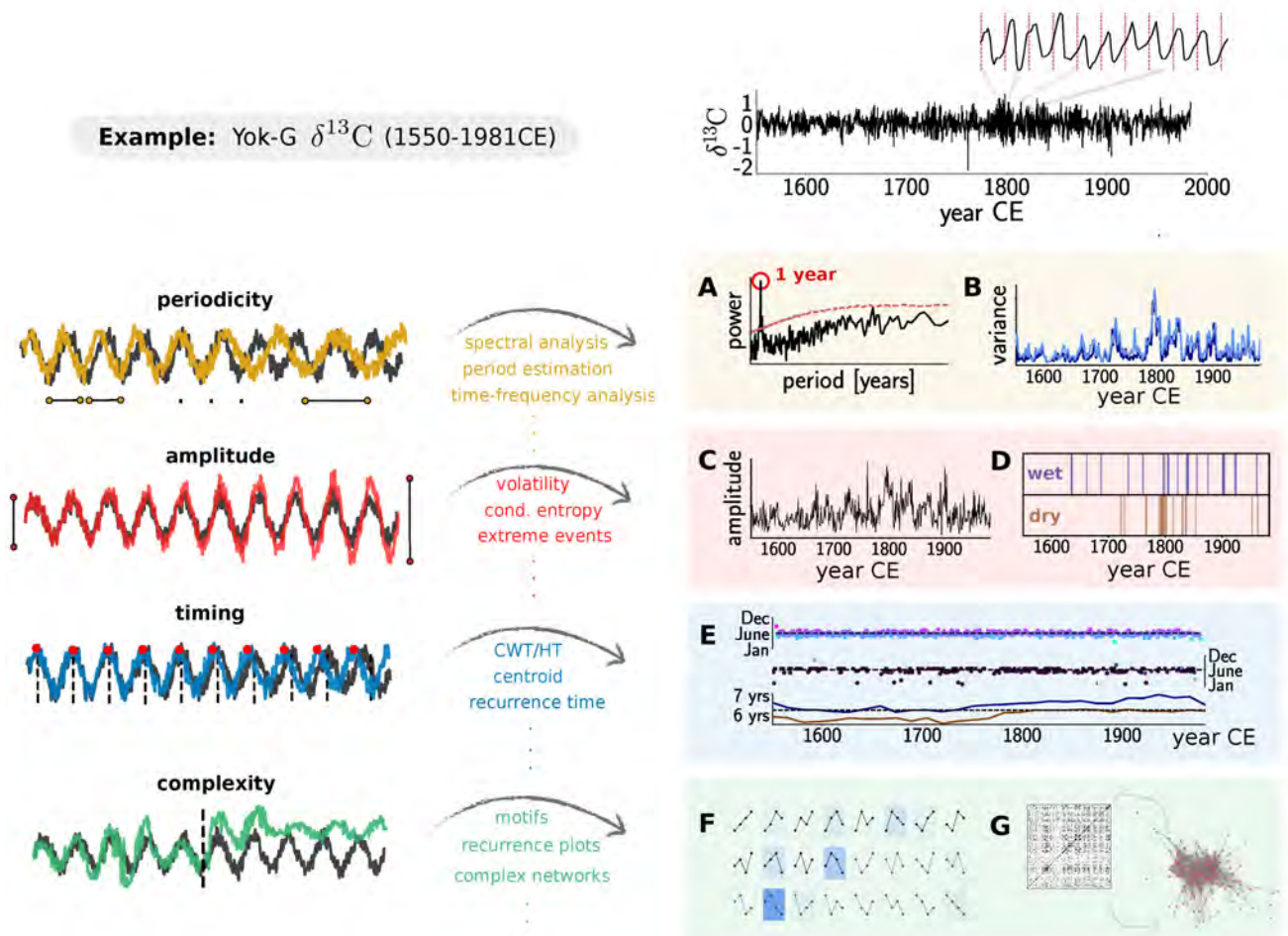


Figure 15: Four features of seasonal variations with schematic illustrations on the left and examples for their analysis on the right, i.e. periodicity, amplitude, timing and complexity. The $\delta^{13}\text{C}$ variability measured on stalagmite Yok-G from Yok Balum cave, Belize, offers very high resolution and a layer-counted chronology [468]. The detrended record (using a Gaussian kernel filter) confines the analysis to seasonal-scale changes (upper right panel). Annual periodicity is extracted via a Lomb-Scargle periodogram (A) and studied over time by means of a continuous wavelet scale average around the annual period (dark blue) and sliding Gaussian kernel window variance analysis (bright blue) (B). Seasonal amplitude is computed as difference between annual maxima and minima (C) and an event series of extreme dry/wet seasons is obtained by a peaks-over-threshold approach with a 99%-quantile threshold (D). Timing of the wet season is extracted as the centroid of subannual patterns (upper panel) and exceedance times of the average wet season $\delta^{13}\text{C}$ value for each individual year (center panel). Average recurrence times between $\delta^{13}\text{C}$ values of distinct wet (dry) seasons are shown in the bottom panel in blue (brown) (E). Seasonal patterns are encoded as ordinal patterns ($l = 4$). The blue shading indicates their frequency in the (linear interpolated) record (F). Complexity of irregularly sampled seasonal patterns are characterized by a recurrence plot/recurrence network, based on a computation of the edit distance measure.

erties can be extracted by combining multiple suitable tests and careful, case-specific definition of the null-hypothesis. Bootstrapping techniques [48, 383] offer a parameter-free approach to compute confidence limits by resampling a time series, without the need to make assumptions with respect to the data.

Seasonality indicators are often based on basic statistical measures to make specific statements about seasonality, e.g., to compare seasonality at different geographical locations [121] or between modeled and empirical proxy data [501]. A popular definition is established by the contrast of temperature or rainfall between seasons, expressed by differences or

ratios [163, 176] ('seasonal amplitude', Fig. 15C). For example, rainfall seasonality has been characterised by dividing values for strong by such for low rainfall season [594], whereas — for instance — the difference between maximum and minimum monthly coral Sr/Ca and $\delta^{18}\text{O}$ values has been used to quantify seasonality in temperature the Caribbean at the end of the last interglacial [176]. Figure 15C shows an example of variations in the seasonal amplitude derived from $\delta^{13}\text{C}$ variations measured at very high resolution on annually laminated stalagmite Yok-G over the last 400 years. In this case, it is defined as the difference between maximum and minimum proxy values for a given year and reflects sea-

sonality of local rainfall. Such a characterization is yet not able to define the timing of seasons, e.g., how the subannual rainfall distribution changes from year to year (see Fig. 15E). If subannual resolution can be assessed, seasonality indicators should always account for the different manifestations of seasonality in the data and not be limited to a single seasonal property. For example, variable approaches have been considered to quantify variability of seasonal timing [430]. Annually laminated archives are particularly useful to extract information on seasonal timing, e.g., as shown in Fig. 15E: here, timing of the wet season was extracted by deriving centroids ('center of mass') from the subannual rainfall distributions and computing exceedance times of a pre-defined value for each year (upper and center panel). Recurrence times between dry/wet seasons of distinct years can also unveil intriguing information on the seasonal cycle (lower panel).

The definition of a seasonality indicator benefits from such diverse approaches. Thackeray and Fitchett [559] define a seasonality index using multiple regression on fossil records and distinguish summer and winter rainfall regimes in South Africa. Feng et al. [178] give a spatial characterization of distinct seasonal rainfall regimes across the tropics based on how complex subannual rainfall distributions are rendered in terms of their seasonal amplitude, timing and duration. Also indicators of extreme weather have been used to characterize seasonality dynamics [624, 398, 108] (see below). Consequent application of seasonality indicators across disciplines could improve inter-comparability of independent proxy archives. Combining multiple methods enhances the interpretational value of seasonality reconstructions.

Nonstationary extreme value analysis can characterize events found in palaeoclimate proxy records, like floods, droughts, extreme precipitation, which can be season-specific [135, 394]. Extreme events have significant repercussions for agricultural, social and ecological dynamics [345], making their analysis particularly worthwhile when studied along with historical proxy archives (see also chapter 2). As climate is inherently nonstationary, suitable methods and implementations are employed [106], whereby two basic approaches can be distinguished, i.e., the computation of block maxima/minima, and the so called peak-over-threshold approach [135].

The *block maxima/minima* approach splits time series into consecutive blocks and computes maxima and minima, e.g., seasonal maxima in proxy data. For instance, an extensive analysis of 26 bivalve shell surfaces from the North Atlantic revealed that seasonal climatic extremes had an impact on the evolution of Norse colonies during warm and cold periods [431]. Time series with lower than seasonal resolution may be split into larger blocks. Since droughts for example occur within a specific season, some trends regarding the intensity (i.e., number of extreme events) of that season can be estimated. Using estimates of extreme value distribution (or their parameters) in a multi-proxy framework can give insights into spatio-temporal recurrence of extreme climate

conditions [345].

The *peak-over-threshold* approach analyses the frequency of amplitudes above or below a threshold (often a quantile of the dataset). The frequency of threshold exceedances and return periods are useful to understand the temporal variability in the occurrence of season-related extreme conditions [620]. Fig. 15D shows series of season-specific extreme events extracted from $\delta^{13}\text{C}$ values based on the 99%-quantile of the full time series. The same approach also helped to identify phases of stationary and nonstationary hydroclimatic changes in the Western Mediterranean in a 2800 year long seasonally-resolved lake record [122]. Evaluating exceedance and recurrence probabilities of extreme precipitation events, this study found that the modern frequency of heavy rainfall events is normal in a historical perspective, but likely to increase under future warming conditions. If an event time series is suspected to contain periodicities, these can be identified, e.g., by computing the Rayleigh measure: for example, Peavoy and Franzke [433] test a time series characterized by Dansgaard–Oeschger cycles for periodicity in a Bayesian framework which also helps evaluation of seasonal-scale dynamics. Individual proxy time series can be embedded in larger proxy ensembles from different locations and the co-occurrence of extreme events can be studied using synchronization measures between events [342, 423] while accounting for proxy-specific uncertainties. Some proxy time series may entail immediate implications linked to seasonal extreme weather, like droughts in Spain since 1506 C.E. that are identified in accounts of religious ceremonies [153].

Detrending and frequency filtering is a standard preprocessing step when focusing on variability on single timescales in a proxy record [619]. After subtracting a trend from the original time series, the effectiveness of this decomposition should be evaluated, e.g., using spectral analysis and signal-to-noise ratio. As a basic approach, moving averages yield trends of intrinsic variability of time series. However, the degree of smoothing is only controlled by the applied window width. Importantly, moving averages can result in spurious trend characterizations and their frequency response makes them vulnerable to erroneous high-frequency variations [384]. Non-rectangular, smooth kernel functions are more appropriate for sliding window statistics and have been used in an uncertainty-aware regression approach to estimate trends in proxy time series. Local or global polynomial and spline regression can be employed to extract trends of varying complexity and can also be combined with kernel functions.

Another widely used technique is band-pass filtering, or applying a filter-bank to a time series. For example, Hardt et al. [229] low-pass filtered a stalagmite-based isotope record and extracted seasonal strength that they were able to link to multi-decadal summer NAO variability. These approaches should be used carefully, since band-pass filters are neither designed for irregularly spaced or chronologi-

cally ‘uncertain’ data, nor accounting for above-mentioned intricacies surrounding moving averages. Seasonal and Trend decomposition using LOESS (STL decomposition) extracts smooth components of a time series by using local regression [114] which has, for example, been applied to extract smooth long-term trends from palaeoclimate records [605].

Mode decomposition approaches such as Singular Spectrum Analysis (SSA) and Empirical Mode Decomposition (EMD) capture nonlinear oscillatory modes and trends [248, 586, 210]. Modifications for time series with missing data exist [295, 387] and some applications to palaeoclimate data have been carried out [405]. These approaches offer the advantage that they capture the intrinsic variability of the time series, can yield higher modes of variability, and account for nonlinear oscillations. In summary, every detrending approach involves the risk of eliminating variability so that the remainder is spuriously interpreted as a seasonal component despite of its actual insignificance or that seasonal variability is unintentionally eliminated.

Linear correlations are a popular tool in time series analysis to characterize relations between multiple time series or the serial dependence of a time series when the data is normally distributed. Being limited to detecting linear relations, linear correlations do not account for more complex or causal relationships often found in (palaeo)climate data. (Non-)linear correlations can greatly improve significance of statements compared to simple visual inspection (‘wiggles-matching’), which is still popular [105]. With regard to seasonality extraction, correlations are applied to confirm seasonal proxy interpretation [368, 303, 365], to test model validity between empirical and simulated seasonal signals [83], or studying lead-lag effects. Multiple approaches allow the computation of correlations for irregularly sampled proxy time series [464, 247]. Statistical testing for significant correlations can also be designed such that it includes the dating uncertainties of a record [226]. Each of these is preferable against aggregating or interpolating the time series on a regular time axis without accounting for uncertainty since this introduces statistical biases that can hardly be controlled [510]. A kernel-based approach [464] together with an estimate for confidence limits [471] can be considered a robust method to detect linear correlations in irregularly sampled records. Finally, causality (directionality) between irregularly sampled, age uncertain proxy records can also be tested based on measures that are conceptually inspired by Granger causality [220, 532]. These methods might help identify drivers of seasonally variable strength.

With multiple and spatially distributed proxies that are known to record the same climatological parameter, seasonality can generally be detected beyond a regional scale and be compared between single proxies [1]. In this context, spatio-temporal mode decomposition approaches allow for extraction of a limited number of dominant modes that encompass a certain part of the variability from such spatial data. The most popular approach in this range is the Empirical Orthog-

onal Functions technique which is also frequently employed in climate field reconstructions [469] and is effectively applied to instrumental climate data [82] and proxy data with uncertainties [144]. Some applications show that the climate field perspective unveiled by mode decomposition approaches allows for detection of season-specific reconstructions on (pan-)regional scales [396, 397, 552]. Shi et al. [523] reconstruct the May–September precipitation field of China for the past 500 years using a dataset comprising 479 proxy records and identify three dominant modes with different spatio-temporal dynamics by means of ensemble empirical mode decomposition.

Regression techniques help to determine how multiple proxies or spatially distinct archives depend on each other by regarding them as a set of a dependent and multiple independent variables. They can be flexibly adapted to many problems and can help to detect seasonality. If a suitable measure for seasonality can be established, a linear regression can be computed to quantify the dependence of seasonality on the variability at other timescales or links to other proxy records. For example, Emile-Geay et al. [163] employed a linear regression on a multi-proxy, multi-site coral and mollusc dataset while accounting for uncertainties. Contrasting standard assumptions presumed in PMIP3 models, they uncover a positive relation between ENSO variance and seasonality. Linear regression is also used to validate proxy interpretation. For example, Boldt et al. [55] use uncertainty-aware linear regression against instrumental data to support their interpretation of the chlorophyll content in a sediment core as proxy for regional summer temperature.

Uncertainty propagation in statistical analysis significantly enhances confidence in extracted (seasonal) characteristics. Accounting for dating uncertainties is particularly important when the significance of an annual period (see Sect. 9.2) or seasonal timing is evaluated. Many frameworks allow for such a characterization of age uncertainty that can be propagated through period estimation techniques for stalagmite proxy records, ranging from Bayesian approaches [190, 53, 427, 218] to Monte Carlo sampling-based techniques [506, 73, 161]. Whenever seasonality in high resolution records is to be aligned with records from other locations that are characterised by different climatic conditions, integrating multiple proxies with variable temporal resolution in presence of uncertainties arises as significant issue. Li et al. [327] combined multiple proxies to reconstruct temperature using a Bayesian hierarchical model, accounting for uncertainty and coherently integrating multiple proxies despite distinct temporal resolutions.

9.2. Spectral analysis

Spectral analysis is a powerful tool to find seasonal cycles in temporally sufficiently resolved proxy records, and to test their significance. Even if a record does not allow the detection of annual or subannual cyclicities due

to sparse temporal sampling, spectral analysis can provide valuable insights into the modulation of signals related to seasons or longer periods that can affect seasonal patterns, e.g., ENSO. Periodogram approaches are probably the most popular technique to study which periods are present [92, 97, 272, 249, 11, 529]. Based on the Fourier transform of the studied time series, regular periodogram-based methods are somewhat limited: straight-forward application is only viable for constantly sampled records without related uncertainty since irregular sampling intervals result in a loss of structure in Fourier peaks [582, 16]. Intricacies like high-frequency noise, dating uncertainties, limited record length, and measurement artefacts need to be considered when interpreting identified periods (see below). In the following, methods that are designed to estimate periods in such records are discussed. Subsequently, we give an overview of how this can be achieved in presence of nonstationarity.

Period estimation techniques are of increasing interest in seasonality studies. Unfortunately, no automated or optimal strategy for estimating periods in proxy records exists and each method requires a systematic evaluation of significance.

A prominent method to estimate periods in unevenly sampled records with dating uncertainties is the Lomb–Scargle (LS) periodogram [496, 497]. Similar to the classical periodogram, it can be understood as a least squares fit of sinusoids at each frequency which uses a χ^2 -expression to minimize the residuals. It can account for dating uncertainties by including Gaussian errors around each proxy value [497]. Often the LS periodogram is applied together with the estimation of AR(1)-spectra to assess significance (REDFIT, [509, 385]), although the robustness of this approach has recently been questioned [621]. Figure 15A shows a LS periodogram for the Yok-G $\delta^{13}\text{C}$ record using the REDFIT algorithm to evaluate the significance of the identified annual spectral peak. In order to integrate uncertainties, periodograms can be computed for different realizations of an age-modelled proxy whereas each realization is compatible with the dating uncertainties [44]. When tasked with detecting seasonal periods, potential aliasing must be considered: if the sampling frequency of an irregularly sampled record episodically falls below half the annual frequency, seasonality can no longer be reliably extracted (Nyquist theorem). Specifying a frequency grid to prevent aliasing may thus be impeded [383].

Although still relatively rare, spectral estimates are used in seasonality studies. For example, de Winter et al. [609] have reconstructed late Cretaceous annual cycles using high resolution isotope and trace element records from fossil shells. Others studies have used LS periodograms to relate wet/dry cycles in varved lake sediments to Indian summer monsoon changes even in the absence of sub-annual resolution of the proxy record [272]. LS periodograms have also helped to identify the influence of orbital forcing on seasonal strength [407]. To generalize classical LS periodograms and improve frequency detection or significance testing, spectral

density can be combined with other methods [628, 324]. For highly resolved records, Welch overlapping segment averaging [603] might be particularly useful, as smoother periodograms can be estimated. Another approach that is based on a windowed representation of a time series is the Multitaper method [434, 102]. Methods specifically designed to estimate periods in irregularly sampled records furthermore include Gaussian kernel-based spectra [464], phase-folding techniques and Bayesian approaches [525, 544, 219, 582]; depending on the specific application, these are sometimes superior to classic methods.

In multiple proxy records, cross-spectral analysis allows identification of shared spectral power within the same frequency band, similar to LS periodograms [406] or Gaussian kernel approaches [464]. Cross-power spectra have successfully been used to test the influence of solar forcing on droughts [625], or to study Holocene rainfall seasonality [147].

Time-frequency (TF) analysis extends classic period estimation techniques and evaluates the presence of periodicities through time [555]. As an arbitrarily accurate determination of both frequency and time is impossible, respective methods need to offer a compromise. Continuous Wavelet Transform (CWT) [568] does so while yielding a clear graphical output and standardized significance testing [347]. It is efficient in retrieving low and high frequencies as well as nonstationary features of a time series (such as frequency variations). Instantaneous phase estimates can be made by the Hilbert-transformation (HT) of a time series. By tracking the mutual spectral power between multiple time series through time via cross-wavelet or wavelet coherence analysis [222], significant periods of different intertwined processes can also be extracted (e.g., from instrumental records [210, 311, 480]). The increasingly frequent application of CWT on bivalves, speleothems, and tree rings highlights the popularity of this method for seasonality studies [507, 10, 301, 478]. For instance, the authors of [478] support their hypothesis that a strategically located speleothem reflects dry rather than monsoon season infiltration as an often overlooked interpretation by applying CWT to the proxy record. Still, irregular sampling remains rarely addressed. Often, spline or polynomial interpolation methods are used that are known to introduce artefacts, especially in the high-frequency bands. Figure 15B displays variance in the annual band of a CWT (Wavelet scale average) for the Yok-G stable isotope record (dark blue), whereby linear interpolation was used to regularize the time axis. In comparison, a Gaussian kernel variance estimate [464] with suitable bandwidth that naturally accounts for irregular sampling is shown (bright blue), showcasing how distinctiveness of the seasonal cycle can be tracked through time.

Several approaches have addressed a solution to account for irregular sampling in CWT: Foster's Morlet Weighted Wavelet Z-Transform method [189] has contributed to the definition of Wavelets for irregularly sampled astronomical

time series. It has also found some application in the palaeoclimate literature [39] whereas some studies take uncertainty into account as well [613]. Using this method, Prasad et al. [450] found evidence for seasonality changes in varved sediments from Lake Holzmaar, including winter cooling, summer rainfall intensity, and changes in season onsets/offsets during the 8.2 ka event. An extension to cross wavelet analysis is available and was, e.g., employed to capture how spatial coherence of periodic components in proxy time series is restructured throughout the Holocene by analysis of a global multiproxy data set [612]. Inspired by the LS periodogram, a least-squares based wavelet approach has also been put forward [209]. Another direction has been approached using projection methods where first applications remain to be carried out as of now [323]. Work on related TF-analysis techniques has also partially been directed towards treatment of irregular sampling [561]. Significance testing (see 9.3) can be applied by randomization of wavelet coefficients, retaining wavelet-related properties of the underlying time series [287]. Finally, alternative techniques like Hilbert-Huang and Singular Spectrum Analysis remain less frequently used in palaeoclimatological contexts, likely due to a more intricate mathematical background [248, 305, 210]. Effectiveness in application to both seasonal climate data [211, 212] and palaeoclimate records [554] has been demonstrated, yielding performance comparable to CWT analysis [554].

9.3. Nonlinear time series analysis

The fundamental processes that constitute seasonal proxy signals are often highly nonlinear, comprising nonlinear feedbacks, non-Gaussian distributions and nonlinear interrelations. For instance, abrupt transitions result from nonlinear threshold responses of interconnected climate subsystems [150]. Rather than representing simple sinusoidal signals, seasonal variability and cycles with higher periods in broadband records consist of nonlinear oscillations. A comprehensive description of such systems requires application of well-established nonlinear time series analysis methods. Several techniques allow to test time series for nonlinear features [562]. If the seasonal signal in a proxy record is modulated by a range of frequencies, we can test if nonlinear oscillations can adequately describe these dynamics [587]. Surrogate testing is a powerful, non-parametric approach where ‘surrogate’ realizations of a time series are generated to test for certain features, e.g., nonlinearity. An ensemble of random realizations mimics the scrutinized signal with respect to some of its specific features by preserving them in a constrained randomization procedure [508]. For instance, simple random shuffling can be performed with the goal of significance testing, either on the time series itself (with the null-hypothesis of absent serial dependence) or on estimated phases to preserve power spectral density (e.g. with the null-hypothesis of different TF characteristics) [588].

Nonlinear correlation measures are often better suited to study interdependencies between multiple

records, like mutual information or event synchronization [464, 424, 461, 54], rather than relying on linear correlations. Dynamic Time Warping (DTW) [46] helps in estimating similarities between records of different length. For example, Hausmann et al. [235] use DTW to demonstrate a significant correlation between the Mg/Ca record and water temperatures in molluscs and highlight their importance as archives of seasonality. Marwan et al. [357] employ a recurrence plot based technique with the similar goal of matching unaligned rock magnetic data of two different sediment cores.

Recurrence analysis is a very flexible technique and can be applied to irregularly sampled and age-uncertain records [423, 354, 217]. Where seasonal changes can be linked to abrupt transitions, their detection is often based on some measure of complexity or anomaly detection [203, 202]. Recurrence plots stand out as a simple-to-implement albeit powerful tool [356], as they cannot only detect rapid shifts, but can also estimate periods, provide information on the underlying dynamics, and identify nonlinear relationships in multivariate data sets [154, 355, 627, 461]. Figure 15G shows a recurrence plot based on the edit distance approach proposed in [423] and illustrates the possibility to transform it into a complex (recurrence) network.

Symbolic dynamics represents an additional means to detect abrupt shifts and characterize recurring patterns in nonlinear time series. By encoding a time series as a sequence of symbols (motifs or ‘words’), it is well applicable to data with relatively high levels of noise and can deal with irregular or low sampled nonlinear time series [489, 363]. A possible choice for such symbols are ordinal patterns as displayed in Fig. 15F: given a pattern length of 4, 24 distinct patterns can be distinguished and the computation of their frequency in the (linearly interpolated) Yok-G $\delta^{13}\text{C}$ record allows for statements on the seasonal-scale complexity.

Information theoretical methods exhibit yet another perspective on seasonality extraction, often facilitating estimation of periods in nonlinear time series that are noisy and irregularly sampled [112, 202]. While many applications to irregularly sampled astronomical records exist [252], palaeoclimate studies that often address similar objectives remain to be carried out with such methods.

Complex time series networks (Fig. 15G) and (palaeo-)climate spatial networks [416] can finally provide quantitative frameworks that improve confidence in the fidelity of proxy records as reflectors of regional seasonality and its teleconnectivity [366, 167].

9.4. Methodological challenges and strategies

Despite the availability of a range of tools, sole visual inspection of proxy records is still a common strategy. It appears that many studies focus on the challenging task of reconstructing climate variability from proxy evidence, thereby limiting their efforts of additional, more complex,

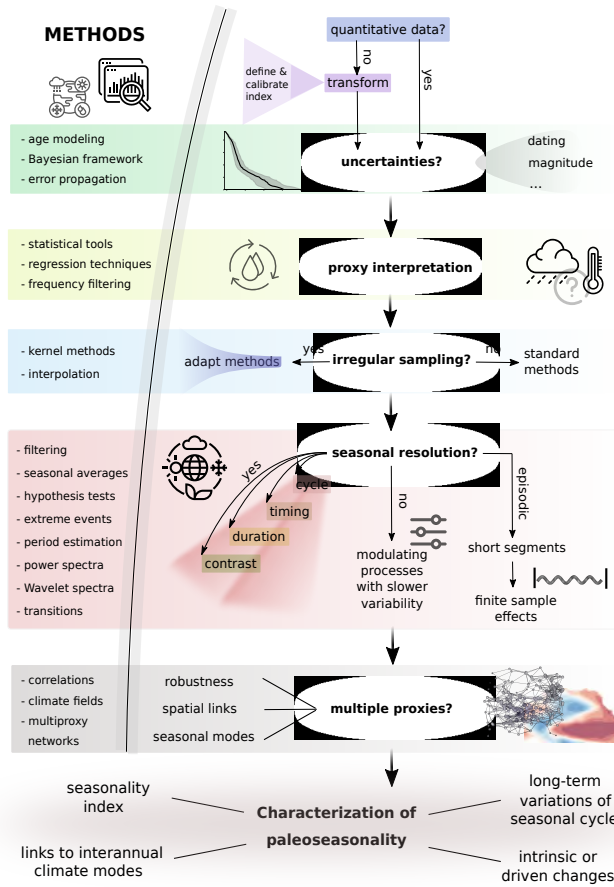


Figure 16: Schematic illustration of how seasonality can be extracted from a palaeoenvironmental archive. Each box represents a typical proxy-specific challenge that may need to be accounted for. Numerical methods that are well-suited to extract and quantify seasonality are displayed in the left part of the flow chart.

analyses. Many recent studies highlight the great potential of effective collaboration between researchers from both the proxy and methodological domains. Innovation on both fronts - proxy development and calibration, and statistical approaches to high-resolution irregularly sampled data - is essential for accurate reconstruction of seasonal dynamics.

We highlight several challenges in this endeavor: (a) **Shortness of records** limits the applicability of most standard time series analysis methods. (b) **Uncertainties** – both related to proxy values and dating – need to be propagated in thorough statistical analyses; accounting for uncertainties is vital for palaeoseasonality studies as they are often on the same order of magnitude as the proxy value or sampling frequency. (c) **Irregular sampling** poses a major challenge for extracting seasonal information. Only a few methods beyond spectral analysis account for non-uniform sampling intervals. Statistical biases may dominate records resolved at sub-annual timescale, especially when the number of samples per year undergoes significant variations. (d) **Signal-to-noise ratios** are critical for highly-resolved proxy data as very few archives record an unambiguous climate

signal. Figure 16 summarizes how these challenges can be approached when palaeoseasonality is targeted using numerical methods.

One suggestion which might facilitate methodological soundness of analyses and trans-disciplinary collaboration, is supplementing innovative methods with well-documented and easily accessible open-source software.

Including local seasonal records from different, and spatially dispersed, archives will improve the understanding of regional climate dynamics, but only under the premise that uncertainties at both, the data/results and the interpretational level are taken into consideration [440]. Such scrutiny is feasible only if adequate assumptions and statistical methods are in place. On the other hand, the application of transdisciplinary multi-archive and multi-proxy approaches harbors enormous potential to refine seasonality detection across archives and environments; related phenomena often encompass a broad range of semi-stochastic phenomena (see box 3) [1].

Consequently, combining different archives in palaeoseasonality studies will encourage more universal definitions of seasonality indicators that account for a broader set of ubiquitous seasonal features (see Fig. 15). Variations in palaeoseasonality are best represented by standardized indicators which consider more than one seasonal feature, are designed to compare seasonality across archives, and are based on a mathematically sound definition that effectively tackles above listed technical challenges.

10. Summary and outlook

10.1. Compositional make-up of climate seasonality

Seasonal changes in our environment are periodic and global, happening at the temporal scale of human behavior. This, and the fact that seasonal dynamics are perceptible over human live spans, makes the reconstruction of past changes in seasonality of paramount importance for the study of past human-environment interactions.

The concept of seasonality is rooted in the annual march of the Earth around the Sun, and the nonlinear response of the physical climate system (and human adaptation) to regular changes in solar forcing. The regional and local expression of seasonal changes is always a consequence of (semi-)stochastic internal feedbacks that are superimposed on predictable external solar forcing. Accordingly, the range of annual temperature and precipitation changes varies notably even along the same latitude. The most important factors modulating the seasonal signal are continentality and altitude, both (relatively) constant over longer time scales, and large-scale atmospheric circulation patterns that vary periodically but not regularly (semi-stochastically). Further, archive-specific sensitivity and sampling approaches have the potential to modulate proxy signals.

10.2. Relevance of trans- and multidisciplinary approaches

Recent years revealed the importance of close collaboration between disciplines, including (palaeo-)climatology, (palaeo-)ecology, history, physics, archaeology, and anthropology, when tackling pressing questions in Earth Sciences. Finding a common language in such trans-disciplinary studies is a vital, but often challenging, prerequisite for communicating results and ideas across scientific communities, and the public. Similarly, acknowledging archive- and proxy-specific limitations and analytical uncertainties allows for assessing data quality and provides robust input for statistical approaches, which often reveal and quantify hidden information better than the naked eye. Choosing adequate statistical method(s) is challenging, and providing scrupulous interpretations and relevant outcomes calls for informed, close collaboration between palaeoenvironmental scientists and statisticians.

Recurring, archive-independent challenges relate to a) precise high-resolution sampling of often fragile, not linearly-grown or -accumulated, and size-limited material to capture the full range of seasonal variability, b) establishing the environment-proxy relationship that is constant over time and related to only one variable (e.g., only temperature, or only precipitation), c) quantifying seasonal change in terms of physical units ($^{\circ}\text{C}$, mm, number of days, etc.).

Continuous instrumental developments, and detailed monitoring and calibration efforts allow for addressing these challenges at least at a local scale. At regional or global scales, the most inconvenient issue is a deficiency of long, radiometrically-dated and seasonally-resolved records whose spatial and temporal coverage permits broader-scale

inferences. The compilation and compare & contrast approach across different archives is essential in overcoming this inconvenience. At the same time a stringent quality assessment of each individual archive in its own merit and with its own limitations – age uncertainty, recorded season, qualitative or quantitative information and analytical error propagation – is critical. Simple, or more sophisticated statistical methods help in extracting the seasonal signal, assuring data quality, and juxtaposing seasonally resolved data in their own domain(s).

10.3. Proposed framework

Misconceptions and challenges in interpreting true or purported seasonal signals often originate from integrating data that were not/poorly quality-checked, or produced using different scientific practices and uncertainty propagation strategies. Below, we propose a framework that might be beneficial when targeting seasonal signals in palaeoenvironmental archives:

- examine the archive(s) critically, including sampling resolution, age control (both layer-counted and, if available, radiometric), proxy sensitivity or bias towards a certain season, and adapt the most adequate sampling strategy.
- try to identify climate variable (temperature, precipitation) that has changed, and the direction of this change (increase or decrease in annual amplitude) rather than refer to ‘change in seasonality’. Note that if the sampling is not continuous or sequential, but ‘bulk’, a change in baseline might appear as a ‘change in amplitude’.
- keep in mind that environmental archives only rarely can inform on the timing and duration of seasonal precipitation. Over longer timescales, the amount of precipitation might stay constant but its distribution may change.
- compare the direction of the documented change(s) with the one predicted by insolation forcing. Discrepancies in the expected direction of change might reveal more details and a deeper insight into environmental evolution and response to insolation forcing than a simple admission that such a change has happened.
- in some cases, particularly when talking about rainfall or the combination of temperature and rainfall changes, several scenarios might be possible. Clearly articulating and justifying these (often contradictory) scenarios provides an explicit step in disentangling external forcing factors and internal feedbacks, and help completing the mosaic of past environments and human societies.
- while comparing seasonal signals from different archives keep in mind individual archive-specific limitations.

3472 The transparency of communicating results gains in im-
3473 portance in trans-disciplinary projects. Different environ-
3474 ments, and human societies within them, might react dif-
3475 ferently to the same forcing, the former depending on their
3476 natural architecture, the latter on their societal structure, vul-
3477 nerability, conditioning, and resilience. Yet, admitting the
3478 heterogeneity of possible responses is as important as iden-
3479 tifying the factors dictating this heterogeneity. A careful ap-
3480 proach involving quality-controlled data and in-depth con-
3481 sideration of internal feedbacks operating in a given natu-
3482 ral or anthropogenic environment will provide profound in-
3483 sights into how regional and local conditions adjusted to ex-
3484 ternal forcing. Such insights are of critical importance as
3485 they inform the predictions of the effects of anthropogenic
3486 climate change on local to regional environments.

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