

## ORIGINAL ARTICLE

# Whole-exome sequencing and neurite outgrowth analysis in autism spectrum disorder

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Autism spectrum disorder (ASD) is a complex group of clinically heterogeneous neurodevelopmental disorders with unclear etiology and pathogenesis. Genetic studies have identified numerous candidate genetic variants, including *de novo* mutated ASD-associated genes; however, the function of these *de novo* mutated genes remains unclear despite extensive bioinformatics resources. Accordingly, it is not easy to assign priorities to numerous candidate ASD-associated genes for further biological analysis. Here we developed a convenient system for identifying an experimental evidence-based annotation of candidate ASD-associated genes. We performed trio-based whole-exome sequencing in 30 sporadic cases of ASD and identified 37 genes with *de novo* single-nucleotide variations (SNVs). Among them, 5 of those 37 genes, *POGZ*, *PLEKHA4*, *PCNX*, *PRKD2* and *HERC1*, have been previously reported as genes with *de novo* SNVs in ASD; and consultation with *in silico* databases showed that only *HERC1* might be involved in neural function. To examine whether the identified gene products are involved in neural functions, we performed small hairpin RNA-based assays using neuroblastoma cell lines to assess neurite development. Knockdown of 8 out of the 14 examined genes significantly decreased neurite development ( $P < 0.05$ , one-way analysis of variance), which was significantly higher than the number expected from gene ontology databases ( $P = 0.010$ , Fisher's exact test). Our screening system may be valuable for identifying the neural functions of candidate ASD-associated genes for further analysis and a substantial portion of these genes with *de novo* SNVs might have roles in neuronal systems, although further detailed analysis might eliminate false positive genes from identified candidate ASD genes.

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## INTRODUCTION

Autism spectrum disorder (ASD) is a neurodevelopmental disorder characterized by impairments in social interactions, reduced verbal communication abilities, stereotyped repetitive behaviors and restricted interests. The complex etiology of ASD includes genetic and environmental factors; the high heritability of this disorder supports the presence of a significant genetic contribution. Recent studies have shown that *de novo* single-nucleotide variations (SNVs) greatly contribute to the risk of several brain disorders, including ASD, schizophrenia and intellectual disability.<sup>1–9</sup> In particular, numerous *de novo* SNVs were identified using whole-exome sequencing (WES) of individuals with sporadic ASD.<sup>1–3,6–9</sup> Among them, several disruptive mutations associated with sporadic ASD were recurrently found in

*CHD8*, *SCN2A*, *GRIN2B*, *DYRK1* and *SYNGAP1* (for review, see Krumm, N. *et al.*<sup>4</sup>, Ronemus, M. *et al.*<sup>10</sup>), which have led to a convergence on several functional pathways such as chromatin remodeling, the Wnt signaling pathway and synaptic function; however, the genetic mutations in these genes account for only a small proportion of all cases. To make matters worse, the functions of most of candidate ASD-associated genes, as well as the biological significance of the identified mutations in the central nervous system largely remain elusive despite extensive bioinformatics resources. Accordingly, although the precise functional analysis of each disease-associated gene and its mutation is important for understanding the etiology of ASDs, it is not easy to assign priorities to numerous candidate ASD-associated genes for further detailed biological analysis.

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To circumvent these problems, developing a rapid and convenient system for identifying an experimental evidence-based annotation of the numerous candidate ASD-associated genes is imperative.

In this study, we performed trio-based WES in ASD patients and identified 37 genes with *de novo* SNVs. Then, we developed a neuroblastoma cell-based rapid and convenient screening system for elucidating the functions of these gene products in the central nervous system. Of the 14 genes examined with this screening system, 8 were identified as regulators of neurite outgrowth, which is a significantly higher proportion than expected from simply using the gene ontology databases, although further detailed analysis might eliminate false positive genes from identified candidate ASD genes.

## MATERIALS AND METHODS

Following a description of the study, written informed consent was obtained from each subject. This study was carried out in accordance with the World Medical Association's Declaration of Helsinki and was approved by the Research Ethics Committee in Osaka University. All recombinant DNA experiments were reviewed and approved by the Gene Modification Experiments Safety Committee in Osaka University.

### Subjects

The cohort consisted of 30 patients with ASD (21 males and 9 females; mean age  $\pm$  s.d.,  $22.1 \pm 7.8$  years) and their parents (mean age  $\pm$  s.d. at sampling, father:  $54.5 \pm 6.9$  years, mother:  $52.3 \pm 7.7$  years; mean age  $\pm$  s.d. at conception of proband, father:  $31.9 \pm 3.9$  years, mother:  $28.9 \pm 3.5$  years; for details, see Supplementary Materials and Methods). All participants are Japanese and were recruited from either outpatient or inpatient services at the Osaka University Hospital. We obtained participant data from the research bio-resource of the Human Brain Phenotype Consortium in Japan (<http://www.sp-web.sakura.ne.jp/consortium.html>). Each ASD patient was diagnosed by at least two trained child psychiatrists according to the Diagnostic and Statistical Manual of Mental Disorders, 5<sup>th</sup> edition (DSM-5). The patients were assessed through unstructured or semi-structured behavioral observation and interviews with the patients and their parents or caregivers as previously described.<sup>11–13</sup> In addition, the Autism Diagnostic Interview-Revised,<sup>14</sup> the Pervasive Developmental Disorders Autism Society Japan Rating Scale<sup>15</sup> and the Japanese version of Asperger's Questionnaire<sup>16</sup> were used to assist in the evaluation of ASD-specific behaviors and symptoms. Intelligence quotient (IQ) data for the subjects were collected using the Japanese version of the full-scale Wechsler Adult Intelligence Scale-III ( $n=23$ ),<sup>17</sup> the full-scale Wechsler Intelligence Scale for Children-Third Edition ( $n=5$ ),<sup>18</sup> or the Japanese version of the NART 50, which can measure estimated IQ scores ( $n=2$ ).<sup>19</sup> Among the patients with ASD, 2 patients had low IQ scores ( $\leq 69$ ), 2 patients had borderline IQ scores (70–79), 22 patients had normal IQ scores (80–119) and 4 patients had superior IQ scores ( $\geq 120$ ) (for detail, Supplementary Materials and Methods). The mean full-scale IQ  $\pm$  s.d. of all patients with ASD was  $100.9 \pm 19.8$ .

### DNA preparation and WES

Peripheral blood samples were collected from the subjects. Genomic DNA was extracted from whole blood using a QIAamp DNA Blood Maxi Kit (Qiagen, Hilden, Germany). Parentage was confirmed using nine polymorphic microsatellite markers (*D1S450*, *D1S206*, *D5S630*, *D5S410*, *D9S285*, *D9S1776*, *D13S217*, *D14S276* and *D14S985*). The amplicons were separated on a Genetic Analyzer 3500 (Life Technologies Inc., Carlsbad, CA, USA), and analyzed using GeneMapper software version 4.1 (Life Technologies Inc.). Trio-based WES was performed in every family. Genomic DNA was captured with the SureSelect Human All Exon v.4 Kit (Agilent Technologies, Santa Clara, CA, USA) and sequenced with four samples per lane on an Illumina HiSeq 2000 (Illumina, San Diego, CA, USA) with 101-bp paired-end reads. Image analysis and base calling were performed by Sequencing Control Software with Real-Time Analysis and CASAVA software v.1.8 (Illumina). Exome data processing, variant calling and variant annotation were performed as previously described.<sup>20–23</sup> Mapping to human genome hg19 was performed using Novoalign (Novocraft Technologies, Selangor, Malaysia). Aligned reads were

processed by Picard to remove PCR duplicates. Variants were called using the Genome Analysis Toolkits v.1.6–5 (GATK).<sup>20</sup> Called SNVs, insertions and deletions (indels) were annotated using ANNOVAR.<sup>23</sup> From the total variants within exons and  $\pm 10$  bp of intronic regions from exon–intron boundaries, those registered in dbSNP135, ESP 5400 (<http://evs.gs.washington.edu/EVS/>), 1000 Genomes (<http://www.1000genomes.org/>), the Human Genetic Variation Database as a reference database of genetic variations in 1208 Japanese controls (<http://www.genome.med.kyoto-u.ac.jp/SnpDB>)<sup>24</sup> or our in-house (exome data from 408 individuals) databases at the Yokohama City University School of Medicine and those located with segmental duplications were removed. SNVs detected by WES were confirmed by Sanger sequencing. Primer sequences are available in Supplementary Table S1. The details of the PCR conditions are available on request. Our human genome researches and gene analysis researches were all performed under the Ethical Guidelines for Human Genome/Gene analysis Research, Ministries of Education, Culture, Sports, Science, and Technology, Health, Labor and Welfare, and Economy, Trade and Industry. According to the Guidelines, the research institution and its joint research institution approved by the ethics review committee but not third-party institutions can only deal with any potential personally identifiable information. In conformity to the Guidelines, we did not obtain the subjects' consent for the disclosure of any potential personally identifiable information. Accordingly, we could not disclose any potential personally identifiable information such as whole-exome sequence data of each subject to third-party institutions or public databases.

### Cells

Mouse neuroblastoma Neuro2a cells were cultured in Advanced Dulbecco's Modified Eagle Medium (DMEM)/F12 (Life Technologies) supplemented with 10% fetal bovine serum, GlutaMAX (Life Technologies), and non-essential amino acid solution (Life Technologies). Neuro2a cells were infected with recombinant lentivirus expressing small hairpin (shRNA) at a multiplicity of infection of  $\sim 40$ . Two days after infection, the cells were treated with  $30 \mu\text{M}$  retinoic acid in Advanced DMEM/F12 supplemented with 0.2% fetal bovine serum to induce neuronal differentiation associated with neurite outgrowth. After 24 h, cell morphology was observed (Supplementary Figure S1). Lenti-X 293T cells (Clontech, Mountain View, CA, USA) were maintained in DMEM containing 10% fetal bovine serum for lentivirus production.<sup>25,26</sup>

### Preparation of recombinant lentivirus

Recombinant lentivirus was prepared essentially as described previously<sup>27</sup> with some modifications. Briefly,  $5.2 \mu\text{g}$  of pNHP,  $2.1 \mu\text{g}$  of pHEF-VSVG,  $0.43 \mu\text{g}$  of pCEP4-tat and  $2.6 \mu\text{g}$  of MISSION shRNA construct (Supplementary Table S2, Sigma-Aldrich, St Louis, MO, USA) were transfected into Lenti-X 293T cells (Clontech) in one 10-cm dish using polyethylenimine (Polyethylenimine 'Max', Mw 40 000; Polysciences, Warrington, PA, USA). After 16–18 h of incubation, the culture supernatant was replaced with fresh media. After 24 h of incubation, the culture supernatant was collected, filtered through a syringe filter with a polyvinylidene fluoride membrane (0.45- $\mu\text{m}$  pore size, Millex-HV, Merck Millipore, Billerica, MA, USA) and stored in aliquots at  $-80^\circ\text{C}$ . Titration of recombinant lentiviruses was performed with Lenti-X qRT-PCR titration kit (Clontech). An shRNA sequence that does not target any mouse genes (SHC002, Sigma-Aldrich) was used as a control.

### Quantification of neurite length in Neuro2a cells

Before the experiment, we confirmed that almost all cells were positive for green fluorescent protein expression with lentivirus expressing green fluorescent protein at multiplicity of infection of  $\sim 40$  (data not shown). Thus, lentiviruses expressing shRNA against candidate ASD-associated genes were used at multiplicity of infection of  $\sim 40$ . Neuro2a cells were fixed with 4% paraformaldehyde for 10 min at room temperature, permeabilized with Triton X-100 and incubated with Hoechst 33258 dye (Calbiochem, San Diego, CA, USA) to identify nuclei and Alexa Fluor 546-phalloidin (Molecular Probes, Eugene, OR, USA) to identify cell bodies and neurites. For automated image analysis, images of Neuro2a cells were acquired using a ToxInsight automated microscope (Thermo Scientific, Waltham, MA, USA). Neurite number per cell, total neurite length per cell, neurite length per neurite and the longest neurite

**Table 1** Mutations with possible contributions to *de novo* ASD risk

Proband	Age	Sex	Gene	Mutation	Chr.	Location	VIQ	PIQ	FIQ
<i>Non-synonymous</i>									
P1119	44	M	<i>ABCA3</i>	E1269X	16	2334337	116	97	108
P1204	28	M	<i>ARHGAP19</i>	R421C	10	98994997	121	87	107
P0415	33	M	<i>CAMSAP3</i>	A892P	19	7677972	83	67	72
P1229	23	M	<i>DDX50</i>	P466L	10	70694112	113	105	110
P1364	15	F	<i>ECSIT</i>	E335D	19	11618318	83	106	93
P1339	18	M	<i>ENO3</i>	Q361X	17	4859881	N/A	N/A	122 <sup>a</sup>
P1325	24	M	<i>FAHD1</i>	S132T	16	1877625	130	131	133
P1325	24	M	<i>FAHD1</i>	K147Q	16	1877669	130	131	133
P0415	33	M	<i>HERC1</i>	K4154X	15	63927042	83	67	72
P1229	23	M	<i>ITGA10</i>	E737G	1	145536118	113	105	110
P1119	44	M	<i>LARP1</i>	M953R	5	154193454	116	97	108
P1193	35	M	<i>NUP205</i>	S1994T	7	135333246	140	116	132
P1190	15	M	<i>OBSCN</i>	V3445L	1	228476583	111	94	104
P1092	15	M	<i>OR6P1</i>	R80W	1	158533157	94	90	91
P1092	15	M	<i>OSMR</i>	F11V	5	38869177	94	90	91
P1264	26	M	<i>PCNX</i>	R1831C	14	71555961	117	98	110
P1244	25	M	<i>PEAK1</i>	P470L	15	77472860	90	84	86
P1100	19	M	<i>PLEKHA4</i>	T450M	19	49355561	95	87	91
P1381	16	F	<i>POGZ</i>	Q1042R	1	151378386	70	65	65
P1286	24	M	<i>POLR1E</i>	R294W	9	37498215	N/A	N/A	115 <sup>a</sup>
P1466	16	M	<i>PPCS</i>	T271N	1	42925473	132	134	136
P1401	32	M	<i>PRKD2</i>	S183N	19	47207870	99	83	91
P1124	14	F	<i>RPP40</i>	N258D	6	4996306	91	89	85
P1369	17	F	<i>SERPINB7</i>	I310T	18	61471655	103	98	101
P1364	15	F	<i>SLC41A2</i>	D568N	12	105198950	83	106	93
P1124	14	F	<i>WDR20</i>	V363I	14	102675594	91	89	85
P1369	17	F	<i>WNK2</i>	G2118A	9	96069086	103	98	101
P1411	24	M	<i>YAF2</i>	G56V	12	42629785	117	106	114
P1204	28	M	<i>ZFHX3</i>	H1270Y	16	72845532	121	87	107
P1153	19	M	<i>ZFPM2</i>	E419K	8	106813565	122	103	116
<i>Splice-site</i>									
P1335	16	F	<i>FRRS1</i>	NM_001013660.2: c.196+5C>T	1	100214124	115	91	105
P1153	19	M	<i>NECAP2</i>	NM_018090.4: c.490-3T>C	1	16778330	122	103	116
P1229	23	M	<i>SMO</i>	NM_005631.4: c.1937-7C>A	7	128851858	113	105	110
<i>Synonymous</i>									
P1225	23	M	<i>AMPH</i>	N557N	7	38431556	90	84	86
P1325	24	M	<i>DNAJC16</i>	F441F	1	15888805	130	131	133
P1194	16	F	<i>GCN1L1</i>	A1238A	12	120591701	116	97	108
P1225	23	M	<i>LRP1B</i>	G1538G	2	141609318	90	84	86
<i>Frameshift</i>									
P1194	16	F	<i>ERVMER34-1</i>	p.L247fs	4	53610949	116	97	108

Abbreviations: ASD, autism spectrum disorder; Chr., chromosome; FIQ, full scale IQ; N/A, not applicable; PIQ, performance IQ; VIQ, verbal IQ.  
<sup>a</sup>Japanese adult reading test.

length were automatically measured. Approximately 9000–15 000 cells were observed for each construct (see Figure legends). The data presented are from three independent experiments performed in triplicate.

### Rescue experiments for the neurite growth assay

Human *PRKD2* and *PPCS* complementary DNAs, purchased from Origene (Rockville, MD, USA), were amplified via PCR and subcloned into each MISSION shRNA construct. Recombinant lentiviruses expressing shRNA-resistant human *PRKD2* and shRNA against *PRKD2* or expressing shRNA-resistant human *PPCS* and shRNA against *PPCS* were prepared as described above. Quantification of neurite length in Neuro2a cells expressing these recombinant lentiviruses were performed as described above.

### Reverse transcription-PCR analysis

Total RNA was isolated from Neuro2a cells and reverse transcribed with Superscript III (Life Technologies).<sup>28</sup> Real-time PCR was performed with SYBR premix EX Taq (Takara Bio Inc., Shiga, Japan) on a CFX96 real-time PCR detection system (Bio-Rad Laboratories, Hercules, CA, USA) according to the supplier's protocol. Intron-spanning primer sets were used (primer sequences are available on request). The expression levels for each gene were normalized to that of *GAPDH* and were determined according to the  $2^{-\Delta\Delta Ct}$  method.

### Gene annotation

Gene annotations were interrogated using AmiGO2 (<http://amigo2.berkeleybop.org/amigo>). The GO annotation terms related to the central

**Table 2 Possible function of identified genes in the central nervous system**

Gene	(Possible) function <sup>a</sup>	Neuronal function <sup>b</sup>	Description <sup>b</sup>
<i>ABCA3</i>	ABC transporter	–	Unknown
<i>ARHGAP19</i>	Rho GTPase-activating protein	–	Unknown
<i>CAMSAP3</i>	Microtubule organization	–	Unknown
<i>DDX50</i>	RNA helicase	–	Unknown
<i>ECSIT</i>	Adapter protein	–	Unknown
<i>ENO3</i>	Enolase	–	Unknown
<i>FAHD1</i>	Hydrolase	–	Unknown
<i>FRRS1</i>	Ferric-chelate reductase	–	Unknown
<i>HERC1</i>	E3 ubiquitin ligase	+	Neuron projection development (experimental evidence) GO:0031175
<i>ITGA10</i>	integrin alpha-10	+	Neuron projection morphogenesis (sequence similarity) GO:0048812
<i>LARP1</i>	RNA metabolism	–	Unknown
<i>NECAP2</i>	Endocytosis regulator	–	Unknown
<i>NUP205</i>	Nucleoporin	–	Unknown
<i>OBSCN</i>	Rho guanine nucleotide exchange factor	–	Unknown
<i>OR6P1</i>	Olfactory receptor	–	Unknown
<i>OSMR</i>	IL-31 receptor	–	Unknown
<i>PCNX</i>	Pecanex-like protein	–	Unknown
<i>PEAK1</i>	Tyrosine kinase	–	Unknown
<i>PLEKHA4</i>	PH domain-containing	–	Unknown
<i>POGZ</i>	Zinc finger protein	–	Unknown
<i>POLR1E</i>	RNA polymerase	–	Unknown
<i>PPCS</i>	PPC synthetase	–	Unknown
<i>PRKD2</i>	Serine/threonine kinase	–	Unknown
<i>RPP40</i>	Ribonuclease P	–	Unknown
<i>SERPINB7</i>	Serpin peptidase inhibitor	–	Unknown
<i>SLC41A2</i>	Magnesium transporter	–	Unknown
<i>SMO</i>	GPCR/hedgehog signaling	+	Axon extension/neuron differentiation (experimental evidence) GO:0048846
<i>WDR20</i>	WD repeat containing protein	–	Unknown
<i>WNK2</i>	Serine/threonine kinase	–	Unknown
<i>YAF2</i>	YY1-associated factor	+	Forebrain development (zebrafish) (experimental evidence) GO:0030900
<i>ZFX3</i>	Transcription factor	+	Brain development (sequence similarity) GO:0007420
<i>ZFPM2</i>	Transcription factor	–	Unknown

<sup>a</sup>NCBI.<sup>b</sup>AmiGO2.

nervous system function, including neurite outgrowth were manually searched for each gene.

### Statistical analyses

Statistical analysis was performed using one-way analysis of variance, or Fisher's exact test, as indicated. All *P*-values reported are two-tailed. The level of significance was set at *P* < 0.05.

## RESULTS

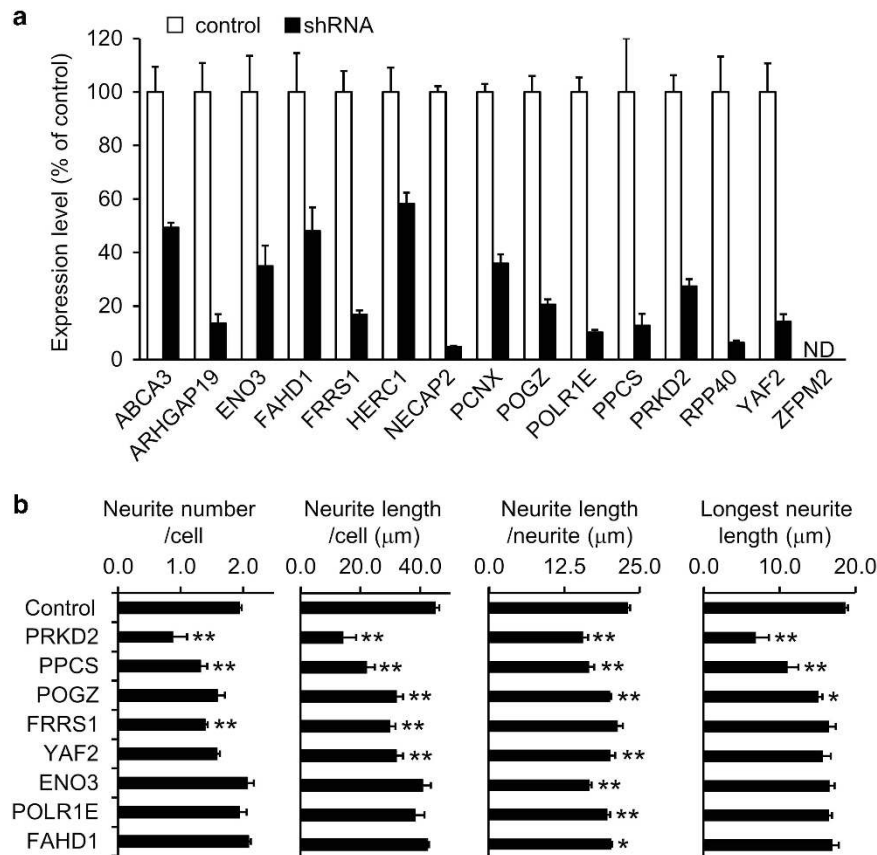
### WES of 30 sporadic autism trios

We selected 30 sporadic autism trios from human brain phenotype consortium in Japan (Supplementary Materials and Methods). We performed trio-based WES and validated 38 *de novo* events, 37 SNVs and one small deletion (Supplementary Materials and Methods). These SNVs included 3 nonsense, 1 frameshift, 27 non-synonymous, 4 synonymous and 3 possible splice-site mutations (Table 1). The observed point-mutation rate in coding sequences was ~1.2 events per trio, which is similar to that observed in previous studies indicating increased sensitivity.<sup>7,8</sup> Because the possible functional impact of one frameshift SNV in a retrovirus gene and four synonymous SNVs is low, we selected 33 SNVs (3 nonsense, 27 non-synonymous and 3 possible splice-sites) in 32 genes for further analysis (Table 1). The 33 variants were confirmed by Sanger sequencing (Supplementary

Table S1 and data not shown). The possible function, as well as neuronal function of these 32 gene products is shown in Table 2. Five of those 32 genes, *POGZ*, *PLEKHA4*, *PCNX*, *PRKD2* and *HERC1*, have been previously reported as genes with *de novo* SNVs in ASD.<sup>1–3,6–9</sup>

### The strategy for gene knockdown-based assignment of priorities to the identified disease-related genes for further detailed analysis

Recent genetic analyses have generally identified many disease-related genes, most of which have functions that are largely unknown, making further molecular etiological analysis difficult. Thus, it is important to develop a screening system with which we can rapidly and conveniently assign priorities to the number of candidate genes for further detailed analysis. One possible criterion is that autism-associated gene should be expressed in the brain; however, almost identified 32 genes except for *SERPINB7* are expressed in the brain (GTEx Project (<http://www.gtexportal.org/home/>)). Another possible criterion is that autism-associated gene products should have neuronal functions. To examine whether the identified genes with *de novo* SNV in autism are involved in neural functions, we focused on neurite development in neuronal cells. Neurite development is commonly used for monitoring early neuronal differentiation and is one of the most important events in the formation of neural circuits.<sup>29</sup> In this study, we used mouse neural crest-derived Neuro2a neuroblastoma cells, which have been



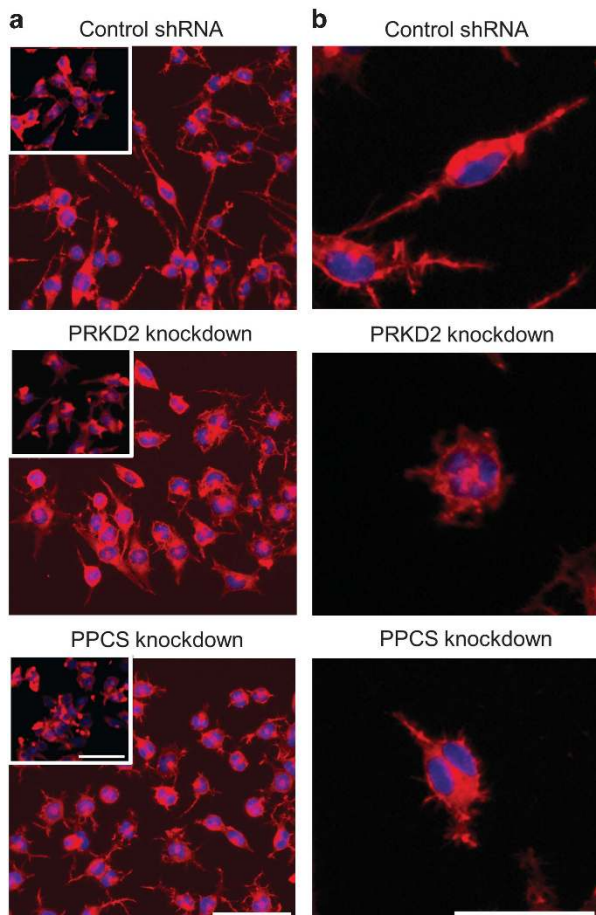
**Figure 1** The lentivirus-mediated shRNA knockdown of candidate susceptible genes impaired neurite outgrowth in Neuro2a cells. **(a)** Decreased expression of the candidate susceptible genes by shRNA knockdown in Neuro2a cells. Neuro2a cells were infected with recombinant lentivirus expressing shRNA against the indicated genes. Two days after infection, total RNA was isolated from Neuro2a cells and reverse transcribed. Real-time PCR was performed with the SYBR system with self-designed primer sets. The expression levels for each gene were normalized to that of *GAPDH*, which were determined according to the 2- $\Delta\Delta C_t$  method. Note that the shRNA sequence that does not target any mouse genes (Sigma-Aldrich) was used as a control. **(b)** The lentivirus-mediated shRNA of the indicated genes impaired retinoic acid-induced neurite outgrowth in Neuro2a cells. Hoechst 33258 dye and Alexa Fluor 546-phalloidin were used to identify nuclei and neurites, respectively. Each parameter was automatically measured using ToxInsight (Thermo Scientific). All data are expressed as the mean  $\pm$  s.e.m. \* $P < 0.05$ , \*\* $P < 0.01$ , one-way ANOVA (vs control). mock,  $n = 12\,951$  (average value); PRKD2,  $n = 11\,933$ ; PPCS,  $n = 9\,442$ ; POGZ,  $n = 11\,368$ ; FRRS1,  $n = 9\,890$ ; YAF2,  $n = 9\,892$ ; ENO3,  $n = 15\,309$ ; POLR1E,  $n = 10\,762$ ; FAHD1,  $n = 10\,122$ . Note that statistical analysis was performed using the average value from each experiment ( $n = 3$ ). ANOVA, analysis of variance; shRNA, small hairpin.

extensively used to study neuronal differentiation and its associated signaling pathways. A useful characteristic of Neuro2a cells is their ability to develop relatively simple neurites within a few days, which serves a well-established model system for the study of neurite development of central nervous system neurons.<sup>29</sup> Using the simple system, we easily determine that the candidate ASD-associated gene products can possibly be involved in neurite development, one of the important aspects of the central nervous system development and function. The system focuses only in neurite development, which gives us fragmentary information of the possible neural function of each gene product, however, the information is enough to drive us to further analyze the function of the gene product in detail. The strategy for gene knockdown-based assignment of priorities of the identified autism-related genes is summarized in Supplementary Figure S2. We searched a commercial shRNA library (MISSION TRC shRNA library SP1, Sigma-Aldrich) for the 32 target genes and found that the library offered 20 validated shRNAs for 15 of those genes (Supplementary Table S2). To maintain the rapid and convenient screening, the remaining 17 genes, for which validated shRNA constructs were not available from the library, were not subjected to further study.

#### Identification of neural functions of the autism-related genes in mouse neuroblastoma Neuro2a cells

We first tested the knockdown efficiency of the 20 commercially available validated shRNA constructs for the 15 genes and found that the validated shRNA constructs effectively decreased their target RNAs, except for that targeting *ZFPM2*, which was not detected in Neuro2a cells (Figure 1a and Supplementary Table S2). For *FRRS1*, *POGZ*, *POLR1E*, *PPCS* and *PRKD2*, we examined two validated shRNA constructs for knockdown efficiency and chose the more effective one for further morphological studies (data not shown). We then performed shRNA-based assays using Neuro2a cells, which are well known to undergo neural differentiation and extend bidirectional and multidirectional process outgrowth on retinoic acid treatment.<sup>29</sup> The measured common parameters included the following: neurite number per cell; neurite length per cell; neurite length per neurite; and the length of the longest neurite. Before our experiments, we confirmed that retinoic acid treatment increased the number of neurites and the total length of neurites in Neuro2a cells (Supplementary Figure S1). We compared cells infected with lentiviruses expressing validated shRNA vs cells infected with lentiviruses expressing control shRNA under retinoic acid-differentiated

conditions for a variety of morphological changes. The shRNA-mediated knockdown of these genes did not affect cell viability (data not shown). We analyzed ~9000–15000 cells for each construct and found that knockdown of 8 out of 14 genes significantly decreased at least 1 parameter: retinoic acid-induced neurite outgrowth was decreased (Figure 1b and Supplementary Table S3). Among these genes, knockdown of *PRKD2* or *PPCS* markedly impaired neurite outgrowth (Figure 2). In addition, knockdown of the other six genes did not significantly affect neurite outgrowth (Supplementary Table S3). These results suggest that the identified eight gene products, *PRKD2*, *PPCS*, *POGZ*, *FRRS1*, *YAF2*, *ENO3*, *POLR1E* and *FAHD1*, may be candidate regulators of neurite development in neurons in the central nervous system. We then performed a rescue experiment of *PRKD2* and *PPCS*, disruption of which markedly impaired neurite outgrowth (Figure 2). We found that overexpression of *PRKD2* but not *PPCS* rescued the impaired neurite outgrowth (Figure 3 and data not shown). These results suggest either that the impaired neurite outgrowth by shRNA-mediated knockdown of *PPCS* may be a false positive result or that overexpressed *PPCS* cannot substitute the endogenous function of *PPCS*.



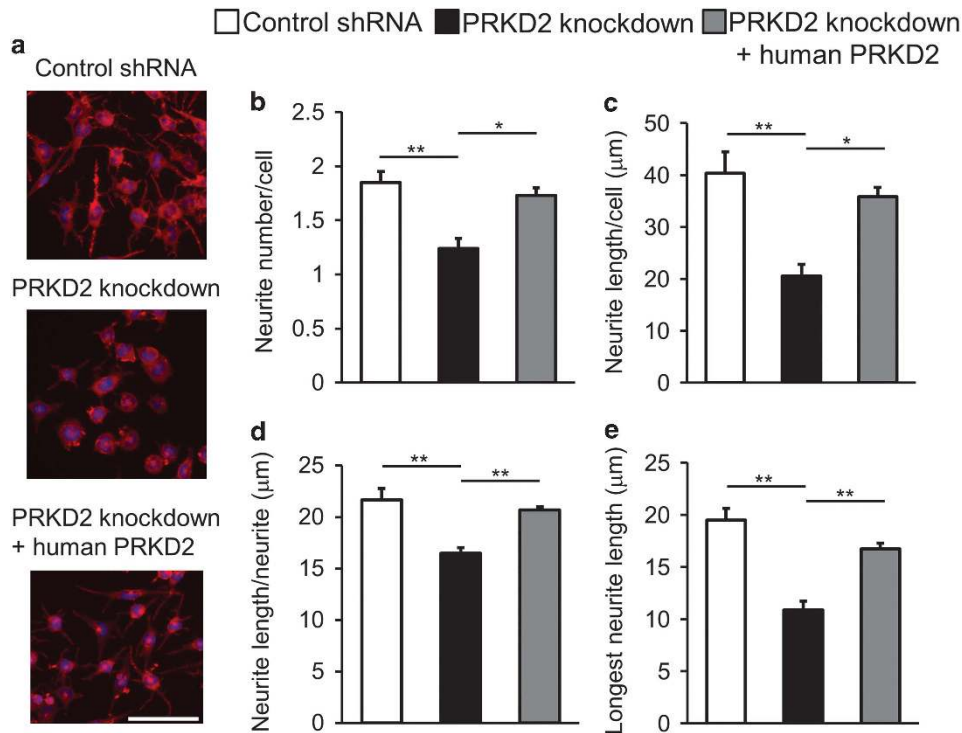
**Figure 2** The lentivirus-mediated shRNA of *PRKD2* or *PPCS* severely impaired neurite outgrowth in Neuro2a cells. (a) Representative images of retinoic acid-treated Neuro2a cells. Hoechst 33 258 dye and Alexa Fluor 546-phalloidin were used to identify nuclei and neurites, respectively. Insets, knocked down cells without retinoic acid treatment. Scale bars, 100  $\mu$ m. (b) Representative higher magnification images of retinoic acid-treated Neuro2a cells. Hoechst 33 258 dye and Alexa Fluor 546-phalloidin were used to identify nuclei and neurites, respectively. Scale bars, 50  $\mu$ m. shRNA, small hairpin.

## DISCUSSION

For the comprehensive analysis of a large number of candidate genes identified by genetic studies, it is necessary to make biological sense of these genes in the central nervous system and to identify convergent signaling pathways in which multiple candidate genes are involved; however, molecular analysis of the function of each individual gene product in the central nervous system generally requires substantial effort. To circumvent this problem, we developed a high-throughput post-genomics screening method with which we can easily predict the neuronal function of the candidate gene products and assign priorities to the number of candidate genes for detailed analysis. We interrogated the annotations of the identified 32 disease susceptibility genes with a Gene Ontology browser (AmiGO2) and found that five of those genes, *HERC1*, *ITGA10*, *SMO*, *YAF2* and *ZFH3*, are involved in neuronal functions such as neurite outgrowth (Table 2). Our current screening system identified 7 (in the case of excluding *PPCS*) to 8 (including *PPCS*) out of the 14 genes examined (50~57.1%) as regulators of neurite development, which is 3.2~3.7-fold higher than that expected from all of AmiGO2 (5 out of 32 genes, 15.6%;  $P=0.010$ , Fisher's exact test). The system focuses only in neurite development in Neuro2a cells, which gives us fragmentary information of the possible neural function of each gene product, however, the information is enough to drive us to further analyze the function of the gene product in detail. Thus, the Neuro2a cell-based rapid and convenient screening of ASD genes can be valuable for identifying the ASD-related neural functions of enormous pools of candidate genes identified by genetic analyses. Further detailed analysis might eliminate false positive genes from identified candidate ASD genes in our current screening.

In this study, we performed WES of 30 individuals with sporadic ASD and their parents, identified 37 genes with 38 *de novo* SNVs, and selected 33 SNVs (3 nonsense, 27 non-synonymous and 3 possible splice site) in 32 genes for analysis. Five of those 32 genes, *POGZ*, *PLEKHA4*, *PCNX*, *PRKD2* and *HERC1*, have been previously reported as genes with *de novo* SNVs in ASD.<sup>1-3,6-9</sup> A previous study has shown that *HERC1* regulates Purkinje cell physiology in the cerebellum;<sup>30</sup> however, the functions of most of these gene products in the brain had remained unclear despite extensive bioinformatics resources. Using our system, we found that *POGZ* and *PRKD2* regulate neural functions, such as neurite development. Further comprehensive analysis of these recurrently mutated gene products in neural development will unravel the molecular etiology of ASD. In addition to the recurrently mutated genes identified in our study, there are several recurrent disruptive mutated genes, such as *CHD8*, *SCN2A*, and *DYRK1*.<sup>4,10</sup> It will also be interesting to examine the functions of these gene products in neurite development using our system.

Proper development of axons and dendrites is critical for the anatomical connectivity of brain regions and leads to functional neural circuit formation; precise axon and dendrite development is a biological basis for higher brain function. The elaboration of axons and dendrites is a highly dynamic process that is regulated by the combination of many genes.<sup>31</sup> ASDs are often associated with altered synaptic connectivity and plasticity, resulting in marked disruptions in information processing and cognition.<sup>2</sup> Neuropathologically, in ASD patients, the development of axons and dendrites is impaired in multiple brain areas associated with cognitive and behavioral functioning, including the prefrontal cortex.<sup>32-34</sup> Several ASD-associated gene products such as *EPAC2*, *TAOK2* and *PTEN* are involved in the maintenance of axonal and dendritic growth.<sup>34-38</sup> We found that shRNA-mediated knockdown of *PRKD2* or *PPCS* drastically impaired neurite growth in Neuro2a cells, suggesting that these gene products



**Figure 3** Overexpression of human PRKD2 rescued the PRKD2 knockdown-mediated impaired neurite outgrowth in Neuro2a cells. (a) Representative images of retinoic acid-treated Neuro2a cells. Hoechst 33 258 dye and Alexa Fluor 546-phalloidin were used to identify nuclei and neurites, respectively. Scale bars, 100 μm. (b–e) Quantification of retinoic acid-induced neurite outgrowth in Neuro2a cells. Each parameter was automatically measured using ToxInsight (Thermo Scientific). All data are expressed as the mean ± s.e.m. \* $P < 0.05$ , \*\* $P < 0.01$ , one-way ANOVA (vs control). Control shRNA,  $n = 11\,179$  (average value); PRKD2 knockdown,  $n = 10\,069$ ; PRKD2 knockdown+human PRKD2,  $n = 12\,532$ . Note that statistical analysis was performed using the average value from each experiment ( $n = 3$ ). ANOVA, analysis of variance.

have important roles in dendrite development in neurons. Although the effects were not as marked, the shRNA-mediated knockdown of the remaining six genes also impaired neurite development. A more comprehensive analysis of the molecular mechanisms of axon and dendrite development is important for understanding the etiology of ASDs.

The current study has several limitations as follows:

1. The screening method lacks the positive controls (for example, robust ASD-associated genes such as CHD8 and MEPC2) and negative controls (for example, genes with *de novo* loss-of-function mutations in healthy siblings in previously published studies). Because of the lack of these important factors, we cannot definitively claim whether the screening system is valid or not.
2. The identified mutations may cause a variety of effects on protein functions, such as loss of function, gain of function, dominant negative suppression of the wild-type protein, or acquisition of a new function. Therefore, the identified mutations cannot be mimicked by simple knockdown experiments.
3. It is important to perform the knockdown experiments with ~50% knockdown efficiency for examining the putative loss-of-function mutations. Furthermore, the screening method lacks the rescue experiments.
4. In the screening method, we selected the candidate ASD genes for the neurite outgrowth assay in a nonrandom manner.

Thus, the data on sensitivity and specificity seems lacking; further detailed analysis might eliminate false positive genes from the candidate ASD genes, resulting in identifying causative ASD genes.

Given that recent studies argue that true ASD-associated exome SNVs make up ~3–5% of ASD (for review, see Krumm, N. *et al.*<sup>4</sup>, Malhotra, D. *et al.*<sup>5</sup>, Ronemus, M. *et al.*<sup>10</sup>), the number of causative ASD genes among the 30 trios will be only one to two. Although the number of causative ASD genes is expected to be low, the other identified *de novo* SNVs may also be associated with risk for ASD.

Our current neurobiological screening system using neuroblastoma cell lines focuses only in neurite development, which gives us fragmentary information of the possible neural function of each gene product. It is also important to examine the precise role of the identified candidate gene products in various neural functions, including synapse development and function as well as neurite development. Furthermore, although our system can biologically select genes involving neural function from a vast number of candidate ASD-associated genes for further detailed analysis, the limitation of this study is that we are unable to identify the biological significance of the SNVs using our current screening system. It is important to develop a new system in which we can rapidly examine the biological significance of the SNVs identified by WES of patients with ASD in the near future.

#### CONFLICT OF INTEREST

The authors declare no conflict of interest.

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