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Abstract

A large variety of undoped binary and ternary transition metal oxides, including formally divalent, trivalent and tetravalent metal cations, have been examined. These 76 compounds are classified as either "metals", "insulators", or having a "metal-to-insulator" transition. In an attempt to understand these variations, the Zaanen-Sawatzky-Allen framework was used in which each compound can be characterized by three parameters: the Coulomb correlation or disproportionation energy (U'), the charge-transfer energy (Δ) and the bandwidth (W). Assuming W is constant, we have calculated U' and Δ using a simple ionic model, which includes only the gas phase ionization potentials and the bare electrostatic Coulomb interactions between the ions. With this model, the occurrence of metallic conductivity is remarkably well accounted for in these oxides.

Introduction

In some oxides of bismuth and copper, superconductivity has been discovered [1–3] at relatively high temperatures. One approach to understanding the electronic properties of these materials is to focus on a few representative examples and to examine them in great depth. An alternative and complementary strategy is to view these oxides in the broader context of the other transition metal oxides and to attempt to understand the differences between them. Following this latter approach, one is immediately faced with having to understand the large differences in their conductivity behavior: the majority of these undoped oxides are insulating, some exhibit metallic conductivity, but very few are superconducting. The first step in this strategy is thus to understand what basic difference between these oxides is responsible for the variety of their conductivity behavior; i.e., why are some undoped oxides metallic, whereas the majority are insulating? If we can find a way to understand this, we can continue and consider doped systems, with considerable degree of confidence in our starting point. It could also be argued that this is a more reliable strategy to arrive at the fundamental electronic energies for these oxides, which underlie the basic assumptions for the various theories for high-temperature superconductivity.

The conductivity of a large number of simple and perovskite oxide compounds has been compiled in several review articles [4–9]. In table 1 we have summarized [10] some of the data for 76 undoped systems [11] involving transition metals (including rare earths) in divalent (II), trivalent (III), and tetravalent (IV) formal oxidation states [9]. Here the conductivity behavior of these oxides has been crudely and arbitrarily divided into "metals" and "insulators" on the basis of the magnitude (and not the temperature dependence) of their conductivity, $\sigma(300 \text{ K})$, at room temperature: "metals" are defined as having $\sigma(300 \text{ K}) > 1$ S/cm, while "insulators" have $\sigma(300 \text{ K}) < 1$ S/cm. In a few cases (for example, FeO, SnO_2, and In_2O_3), the conductivity is high because of deviations from ideal stoichiometry, but their intrinsic "insulating" behavior is evident from optical measurements [12,13]. A few oxides have metal–insulator transitions [5,14–16] and are so labeled in table 1.

Table 1
Conductivity of undoped transition metal oxides

	Divalent		Trivalent			Tetravalent		
	MO	La$_2$MO$_4$	M$_2$O$_3$	LaMO$_3$	LaSrMO$_4$	MO$_2$	SrMO$_3$	Sr$_2$MO$_4$
Ti	met.		met./ins.	met./ins.		ins.	ins.	ins.
V	met.		met./ins.	ins.	ins.	met./ins.	met.	ins.
Cr			ins.	ins.	ins.	met.	met.	
Mn	ins.		ins.	ins.	ins.	met.	ins.	ins.
Fe	ins.		ins.	ins.	ins.		met.	
Co	ins.	ins.		ins.	ins.		met.	
Ni	ins.	ins.		met./ins.	met.			
Cu	ins.	ins.		met.	met.			
Nb	met.					ins.		
Mo						met.	met.	met.
Ru				met.		met.	met.	met.
Rh			ins.	ins.		met.		
Sn	ins.					ins.	ins.	ins.
La	met.		ins.					
Ce	met.		ins.			ins.	ins.	
Pr	met.		ins.			ins.	ins.	
Nd	met.		ins.					
Eu	ins.		ins.					
Yb	ins.		ins.	ins.				

In order to understand the differences between the "metals" and "insulators" in table 1, one might first be tempted to try an elementary electron band structure approach. According to the most basic feature of this approach, compounds in which the transition metal cation has an odd number of electrons would be predicted to be metallic, whereas those with an even number of electrons might be insulating. There are 36 oxides in table 1 with an odd number of electrons, but most of these (22) are "insulating"; there are 40 oxides with an even number of electrons, but 14 of these are "metallic". Thus, the simplest aspect of band structure theory appears not to be a promising starting point to account for the conductivity behavior exhibited in table 1. Of course, more sophisticated band structure calculations should be used to examine each of these compounds in detail. However, such calculations are not available for all the oxides, and there are serious questions whether these calculations would effectively include the effects of Coulomb correlations, believed to be often of critical importance [4–9].

Ionic model

A simple, and yet powerful, framework which includes correlation effects has been introduced and developed by Zaanen, Sawatzky and Allen (ZSA) [17,18]. According to this picture, oxides (as well as halides, sulfides, etc.) can be described in terms of the relative energies of three electronic energy states near the Fermi level, as shown in fig. 1. The fully occupied oxygen 2p-states are shown as the shaded band on the left side of the vertical energy axis, at the same energy for each of the five different examples shown. On the right side of the vertical energy axis and shown unshaded is the lowest unoccupied metal orbital (corresponding to the metal conduction band) which lies at an energy Δ above the former. Δ is seen to increase in going toward the right in fig. 1. This unoccupied metal orbital lies at an energy U' above the highest occupied (shaded) metal orbital, as shown in fig. 1. In order to be sure to include the correlations, we use semi-localized orbitals or energy levels (as opposed to bands) which each holds one electron (not two). The prime on U' reminds us that this energy (defined in fig. 1) is not always the Hubbard [4,5] U. Note also that the

Undoped

Oxygen | Metal
States | States

Δ

U'

U'

$U'<W$
Low U'
Metal

$U'<\Delta$
Mott–Hubbard
Insulator

$U'\sim\Delta$

$\Delta<U'$
Charge-
Transfer
Insulator

$\Delta<W$
Semi-
Metal
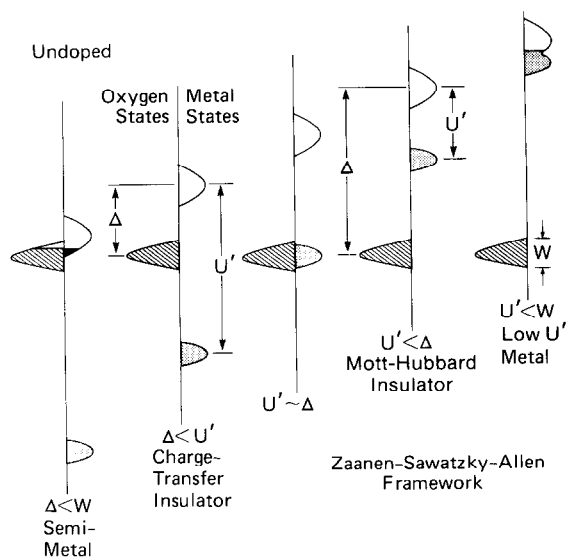
Zaanen–Sawatzky–Allen
Framework

Fig. 1. A schematic diagram of the relative energies of the oxygen and metal states in the ZSA framework. Five examples are shown with different relative values of Δ, U' and W (which are also defined in the figure).

Zaanen–Sawatzky–Allen Diagram

Gap $\sim (\Delta-W)$
Charge–Transfer
Insulator

Low Δ
Semi–
Metal

U'

Gap $\sim (U'-W)$
Mott–Hubbard
Insulator

W

Low U' Metal

0   W Δ

Fig. 2. The ZSA diagram showing the different regions that compounds may lie in, depending on their values of U' and Δ.

energies Δ and U' in fig. 1 are measured between the centers of the orbitals. In the solid, the electronic overlap between orbitals broadens them to a width, W, which is assumed here to be the same for each of these three states, for simplicity. Any given compound may then be represented by its values [19] of U', Δ, and W.

The different cases in fig. 1 can be conveniently discussed in the $U'-\Delta$ space of a Zaanen–Sawatzky–Allen diagram, as shown in fig. 2. For the case of $W<\Delta$, U', the occupied orbitals in fig. 1 do not overlap the unoccupied orbital and the compounds are insulating. ZSA [17,18] distinguish two different types of insulators:

(1) Charge-transfer insulators when $W<\Delta<U'$ (left of fig. 1 and upper-left of fig. 2). In this case, the gap $\sim(\Delta-W)$ is dominated by the value of Δ; and

(2) Mott–Hubbard insulators, when $W<U'<\Delta$ (right of fig. 1 and lower-right of fig. 2). Here the gap $\sim(U'-W)$ is dominated by U'.

Corresponding to these two types of insulators, there are two distinct types of optical excitations. These have a clearer physical interpretation in the localized limit (i.e., $W\ll\Delta$, U'), where the first is an
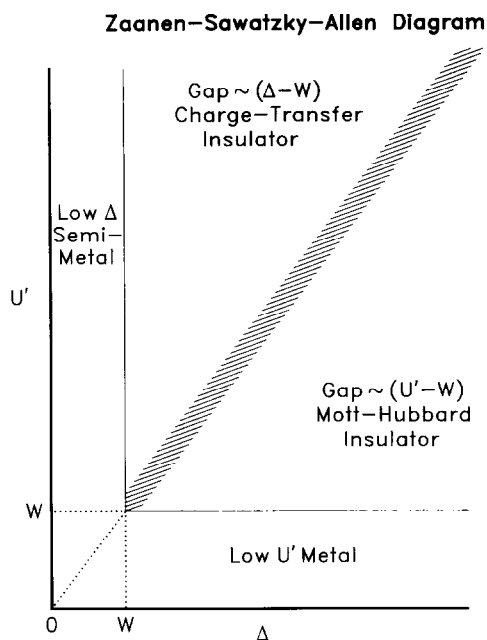
oxygen-to-metal charge-transfer transition at an energy Δ, and the second is a Hubbard-like metal-to-metal charge-transfer transition at an energy U', which corresponds to the disproportionation energy. In charge-transfer insulators, the former is the lowest lying excitation and dominates the gap, whereas the Hubbard-like transitions are lowest in the Mott–Hubbard type of insulators. A metal results when either of these two gaps approaches zero and hence there exist two types of correlated electron metals:

(1) "Low-Δ metals", when $\Delta<W$ (far left of figs. 1 and 2), in which the lowest-lying metal conduction band overlaps the occupied oxygen 2p-valence states; and

(2) "Low-U' metals", when $U'<W$ (far right of fig. 1 and bottom of fig. 2), in which case the two metal orbitals merge to form a partially filled band.

The goal of this paper is to obtain values of U' and Δ for each of the 76 compounds in table 1 and examine them within the ZSA framework, by plotting them as in fig. 2. While reasonable experimental values for a few of these compounds are available, a comparison among all oxide systems is more appropriately carried out with a self-consistent set of ap-

proximate values obtained in the same manner for each of them. Such a set may be obtained, if we approximate these oxides as ionic solids containing transition metal cations M^{v+} and oxide anions O^{2-}, with negligible wave function overlap between them. Such simple ionic models have proven useful for calculating ground state properties of oxides [20,21], including the significant role of the Madelung energy in high-T_c copper oxides [22–24]. The values of U' and Δ obtained in this approximation are called U'_0 and Δ_0, where the zero subscripts remind us that they are theoretically calculated values for the simple ionic model. Another reason for the subscripts is that both of these energies correspond to *excitons*. For example, the energy U'_0 corresponds to the excitation of an electron from one transition metal cation to its nearest neighbor (at a distance d_{M-M}), and is given in terms of the difference between the ionization potential I_{v+1} of M^{v+} and its electron affinity $A = I_v$:

$$U'_0 = I_{v+1}(M) - I_v(M) - e^2/d_{M-M} . \qquad (1)$$

The term e^2/d_{M-M} is the Coulomb attraction between the excited electron and the hole left behind and is present because this is a local excitation (exciton). This interaction is not included in some definitions [19] of U, which correspond to exciting an electron to infinity and bringing it back to another (uncorrelated) metal site, i.e., an electron–hole excitation with no interaction between them. The latter definition is physically related to experiments of photoemission, while the former can be compared with optical measurements. (There are important differences in the screening of these two types of excitations, as we shall see below.)

Correspondingly, the energy Δ to excite an electron from O^{2-} to a neighboring transition metal (at a distance d_{M-O}) involves the difference between the ionization potential $I(O^{2-})$ of O^{2-} (which is equal to the electron affinity $A(O^-)$) and the electron affinity $(A = I_v)$ of M^{v+}. In addition, there exists a term ΔV_M, the difference in electrostatic Madelung site potentials, that the electron experiences when it changes sites [25,26]:

$$\Delta_0 = e\Delta V_M + A(O^-) - I_v(M) - e^2/d_{M-O} , \qquad (2)$$

where the term e^2/d_{M-O} includes the electron–hole attraction of the exciton. Again, the subscript zero

on Δ_0 reminds us that this is the energy calculated for an exciton using a simple ionic model.

In this very simple ionic model, the values for U'_0 and Δ_0 depend only on the electrostatic interactions between ions and the gas-phase ionization potentials, which are used as values of I_v. The electrostatic site potentials were taken from earlier calculations by Broughton and Bagus [27], were calculated using standard Ewald techniques [28], or were estimated from known calculations or for an approximate crystal structure. The values of d_{M-O} and d_{M-M} were obtained directly from the crystal structures. The gas-phase values for I_v were generally [29] taken from experiment [30], while a value [31] of -7.70 eV was used for $A(O^-)$.

Closed-shell oxides

Before discussing the results for these transition metal oxides, it is instructive to test these ideas and approximations on some simpler closed-shell oxides, such as those shown in table 2. In these insulating compounds, the occupied metal orbitals are usually far below the Fermi energy and not involved, i.e., $U' \gg \Delta$. Hence, there are only two relevant orbitals, separated by the energy Δ (left of fig. 1) and the optical band gap is given by $(\Delta - W)$, Using eqs. (1) and (2), we have calculated U'_0 and Δ_0 for these closed-shell oxides and included them in table 2. (It can be seen that the values of U'_0 are indeed very large and in this case of closed shell metal cations U'_0 does not correspond to a Hubbard-like U). In order to test the validity of this ionic model calculation, we can compare the experimentally measured values [13,32] of the optical band gap (listed in table 2) with the predicted gap $> (\Delta_0 - W)$ by plotting the gap versus Δ_0 in fig. 3. In general, the expected trend of larger gap with larger Δ_0 is observed. Three compounds, Y_2O_3, CeO_2, and SiO_2 (marked by filled boxes in fig. 3) appear to lie somewhat outside this trend, suggesting that they are less well described by such a simple ionic model. Treating these three as exceptions, the remaining data (shown as open boxes) are fitted to a straight line with slope = 1.

The agreement is remarkable considering the approximations made. The gap extrapolates to zero at $\Delta_0 = 10.0$ eV, considerably larger than estimates for
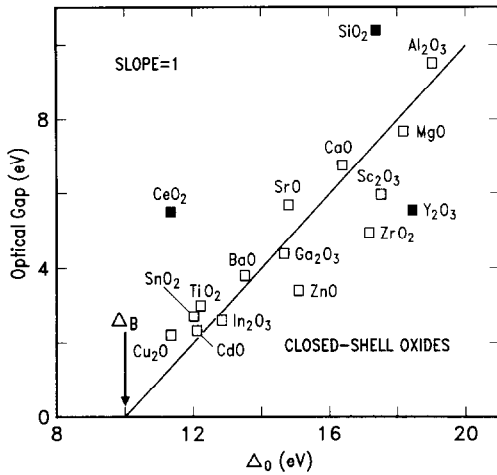
Fig. 3. The experimental optical energy gap of a series of simple oxides with closed-shell cations plotted as a function of the calculated value of the parameter Δ_0. The straight line is a least-squares fit to the open boxes, assuming a slope $= 1$. The intercept $\Delta_B = 10.0$ eV indicates the value of Δ_0 where the gap would go to zero.

W. But we must remember that, in this ionic model, we have not included any of the effects of the overlap between ions (covalency, hybridization, crystal field splittings, screening, electronic polarizability), or the motion of the ions (lattice relaxation, ionic polarizability). Our basic assumption is not that these effects are small; rather, that they are similar for all the oxides in tables 1 and 2, so that the *differences* in U_0' and Δ_0 are dominated by the large *differences* in I_v and ΔV_M via eqs. (1) and (2). The main consequence of these neglected effects is to reduce (or screen) the actual value of U' and Δ below those calculated in table 2. Detailed calculations [33] on La_2CuO_4, for example, show that the electronic relaxation energy around an isolated hole (O^- or Cu^{3+}) and that around an electron (Cu^+) are comparable and are of the order of ~ 3 eV. Thus, the energy to create a separated electron and hole is predicted [34] to be reduced (screened) by ~ 6 eV, i.e., both U and Δ are screened by this amount. On the other hand, in the local excitations U_0' and Δ_0 considered here, the electron–hole pair is more like a dipole than two isolated charges and the electronic and lattice relaxation energies should be much smaller. If the screening in this case were, say, ~ 3 eV, then the intercept in fig. 3 at $\Delta_0 = 10.0$ eV (unscreened) would

correspond to $\Delta \sim 7$ eV (screened), which is comparable (but somewhat higher) with estimates [35–36] of the bandwidth. In conclusion, the magnitude of the intercept $\Delta_0 = 10.0$ eV in fig. 3 is not unreasonable.

The calculated values of U_0' and Δ_0 are also considerably larger than the values of U and Δ obtained from spectroscopy. For example, in the case of NiO, photoemission experiments [17] give an estimate of $U \sim 7–8$ eV, while a value of $U_0' = 12.2$ eV is calculated below. It is important to recognize that these values should not be *directly* compared because of the basic difference in their definition and physical meaning, and the corresponding differences in screening. As mentioned above, the photoemission U corresponds to exciting a separated electron and hole on the Ni-sites, which would have an unscreened ionic model energy of $I_3(Ni) - I_2(Ni) = 17.0$ eV. These separated charges get strongly screened and together with covalent effects presumably reduce the above estimate down to the experimental values of $U \sim 7–8$ eV. The excitation corresponding to U_0', on the other hand, corresponds to exciting the electron and hole on neighboring Ni-sites. This energy in the ionic model (eq. (1)) is reduced by the Coulomb attraction between them from 17.0 eV to an unscreened estimate of 12.2 eV. This calculated value of U_0' will be reduced by screening and covalent effects *differently* than the U excitation. Thus, it is difficult to compare the values of U and U_0' and more difficult to use this comparison to estimate the crudeness of the oversimplified ionic model. The basic assumption of this paper is that the important screening and covalent effects not included in the ionic model may be large, but will be sufficiently similar in magnitude for all the oxides considered, so that the major variations in U_0' and Δ_0 will be dominated by the variations in I_v and ΔV_M.

The fact that the optical gap for closed-shell oxides extrapolates to zero for low Δ_0 is interpreted here as indicating that compounds with $\Delta_0 < 10$ eV would be metallic. Similarly, we interpret the transition between insulators and metals in the ZSA diagram (fig. 2) for either small Δ_0 or small U_0' as caused by an optical gap which vanishes at either low-Δ_0 or low-U_0'. Thus, we are interested in calculating the exciton-like parameters Δ_0 and U_0' (eqs. (2) and (1))

Table 2
Crystallographic data, gas-phase ionization potentials I_v, Madelung energies E_M, metal and oxygen Madelung site potentials V^M_M and V^O_M, U'_0 and Δ_0 values, and experimental optical gap E_g for closed-shell oxides

Crystal	Space group	Space group no. [a]	Ref.	d_{M-O} [b] (Å)	d_{M-M} [b] (Å)	v [c]	I_v [c] (eV)	I_{v+1} [c] (eV)	$-E'_M/S$ [d] (Å⁻¹)	μ [e]	V^M_M [f] (V)	V^O_M [f] (V)	U'_0 (eV)	Δ_0 (eV)	E_g [g] (eV)
MgO	Fm3m	225	s1	2.106	2.978	2	15.04	80.14	0.415	1.100897	−23.902	23.902	60.27	18.23	7.7
Al₂O₃	R-3c	167	s2	1.856	2.755 [h]	3	28.45	119.99	0.437	1.525840	−36.587	26.390	86.32	19.07	9.5
SiO₂	P3₁21	152	s3	1.609	3.060	4	45.14	166.71	0.458	1.536070	−48.384	30.803	116.86	17.40	10.4
CaO	Fm3m	225	s4	2.399	3.392	2	11.87	50.91	0.364	1.100897	−20.983	20.983	34.79	16.39	6.8
Sc₂O₃	Ia3	206	s5	2.121 [h]	3.251 [h]	3	24.76	73.47	0.394	1.540796	−33.169 [h]	23.620	44.28	17.54	6.0
TiO₂	P4₂/mnm	136	s6	1.946	2.958	4	43.27	99.22	0.409	1.286658	−44.732	25.882	51.09	12.25	3.0
Cu₂O	Pn3	201	s7	1.849	3.019	1	7.73	20.29	0.400	1.357156	−12.758	21.842	7.80	11.39	2.2
ZnO	P6₃mc	186	s8	1.981 [h]	3.209	2	17.96	39.72	0.417	1.199887	−24.024	24.024	17.27	15.11	3.4
β-Ga₂O₃	C2/m	12	s9	1.940 [h]	3.040	3	30.71	64.0	0.420	1.572499	−35.125 [h]	25.395 [h]	28.55	14.69	4.4
SrO	Fm3m	225	s10	2.572	3.637	2	11.03	43.6	0.340	1.100897	−19.568	19.568	28.61	14.81	5.7
Y₂O₃	Ia3	206	s11	2.273 [h]	3.529 [h]	3	20.52	61.8	0.367	1.544011	−31.113 [h]	21.892	37.20	18.45	5.6
ZrO₂	P2₁/c	14	s12	2.158 [h]	3.431 [h]	4	34.34	81.5	0.381	1.247253	−42.315	23.603 [h]	42.96	17.20	5.0
CdO	Fm3m	225	s13	2.348	3.320	2	16.91	37.48	0.372	1.100897	−21.438	21.438	16.24	12.13	2.3
In₂O₃	R-3c	167	s14	2.187 [h]	3.211 [h]	3	28.03	54.0	0.383	1.525476	−32.221	22.968	21.49	12.88	2.6
SnO₂	P4₂/mnm	136	s15	2.052 [h]	3.186	4	40.73	72.28	0.390	1.286016	−42.882	24.619	27.03	12.05	2.7
BaO	Fm3m	225	s16	2.762	3.905	2	10.00	34.5 [i]	0.316	1.100897	−18.225	18.225	20.8	13.53	3.8
CeO₂	Fm3m	225	s17	2.343	3.826	4	36.72	65.0 [i]	0.358	1.221764	−40.268	21.666	24.4	11.37	5.5

[a] The space group numbers are from International Tables for X-ray Crystallography, Vol. 1, ed. N.F.M. Henry and K. Lonsdale (Kynoch, Birmingham, 1969).

[b] The nearest-neighbor metal-metal and metal-oxygen distances are d_{M-M} and d_{M-O}, respectively.

[c] The oxidation number, and formal charge, of the metal cation is v; The vth and $(v+1)$th ionization potentials of the metal atom I_v and I_{v+1} are taken from CRC Handbook of Chemistry and Physics, 70th ed., eds. R.C. Weat, D.R. Lide, M.J. Astle and W.H. Beyer (CRC, Boca Raton, 1989) p. E80, unless otherwise indicated.

[d] The quantity $-E'_M/S$ (Å⁻¹) is the Madelung energy E'_M (electrons²/Å), divided by S (electrons²), the sum of charges in the formula unit, $S \equiv \Sigma_i (z_i)^2$.

[e] μ is a dimensionless constant related to the Madelung energy: $\mu \equiv -(E'_M/S)(Z/V)^{1/3}$.

[f] The Madelung site potentials V^M_M and V^O_M are for the transition metal and oxygen atoms, respectively.

[g] Optical band gap, ed. W.H. Strelow and E.L. Cook, J. Phys. Chem. Ref. Data 2 (1973) 163 and J.A. Duffy, J. Solid State Chem. 62 (1986) 145.

[h] Weighted average of several crystallographically inequivalent distances, or of Madelung site potentials.

[i] Estimated ionization energy, see ref. [29] of text.

s1 Halite structure; R.W.G. Wyckoff, Crystal Structures, sec. Ed., Vol. 1 (Interscience, New York, 1963) [=Wyckoff1], p. 88.

s2 Corundum; R.W.G. Wyckoff, Crystal Structures, sec. Ed., Vol. 2 (Interscience, New York, 1964) [=Wyckoff2], pp. 6–8.

s3 Room-temperature quartz, Wyckoff1, pp. 312–322 [International Tables for X-ray Crystallography, Vol. 1; symmetry requires: Si @3a: 0.465, 0, 1/3; O @6c: 0.272, 0.415, 0.787].

s4 Halite structure; Wyckoff1, p. 86, but a=4.797 Å.

s5 Cubic bixbyite structure; R. Norrestam, Ark. Kemi 29 (1968) 343.

s6 Rutile; Wyckoff1, p. 251.

s7 Cuprite; Wyckoff1, p. 331.

s8 Zincite; Wyckoff 1, p. 111.

s9 Wyckoff2, pp. 13–14.

s10 Halite structure; Wyckoff1, p. 90, but a=5.144 Å.

s11 Cubic Bixbyite structure; Wyckoff2, pp. 4–5.

s12 Baddeleyite; D.K. Smith and H.W. Newkirk, Acta Crystal. 18 (1965) 983.

s13 Halite structure; Wyckoff1, p. 86.

s14 C.T. Prewitt, R.D. Shannon, D.B. Rogers and A.W. Sleight, Inorg. Chem. 8 (1969) 1985.

s15 Cassiterite; Wyckoff1, p. 251.

s16 Halite structure; Wyckoff1, p. 86.

s17 Fluorite structure; Wyckoff1, p. 241.

which are related to optical excitations in these oxides.

Other oxides

For the oxides in table 1, we show in table 3 the values of ΔV_M, I_v, I_{v+1}, d_{M-O} and d_{M-M} with the values U_0' and Δ_0 calculated from eqs. (1) and (2). In figs. 4 and 5, we plot U_0' versus Δ_0 for each of the simple and perovskite oxides, respectively [25,26,29,37,38]. The "insulators" and "metals" are shown as open and closed symbols, respectively, while the symbols with dots in the center represent those having metal–insulator transitions. It is clearly
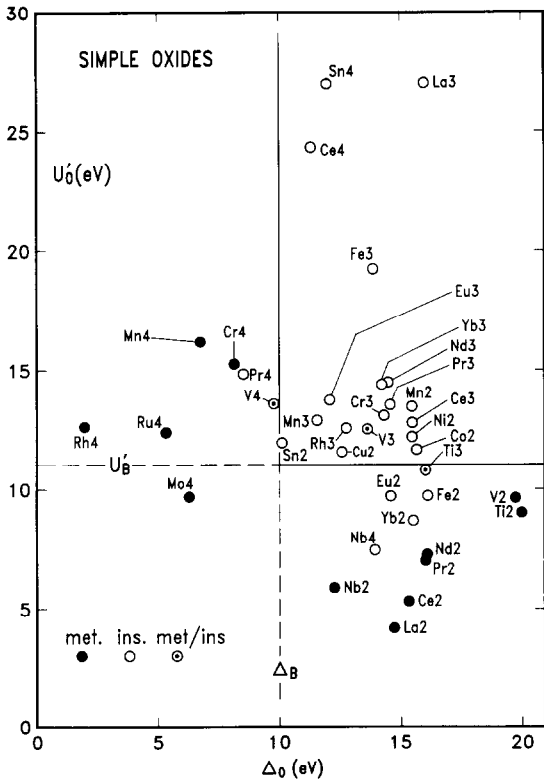

Fig. 4. A plot of the calculated values of U_0' and Δ_0 for the 38 simple oxides in table 1. The solid symbols represent the "metals" while the open symbols represent the "insulators". Those with a dot in the center exhibit a metal–insulator transition. The horizontal line at U_B and the vertical one at Δ_B are drawn as boundaries to separate the "insulators" from the "metals", as in the ZSA diagram of fig. 2.
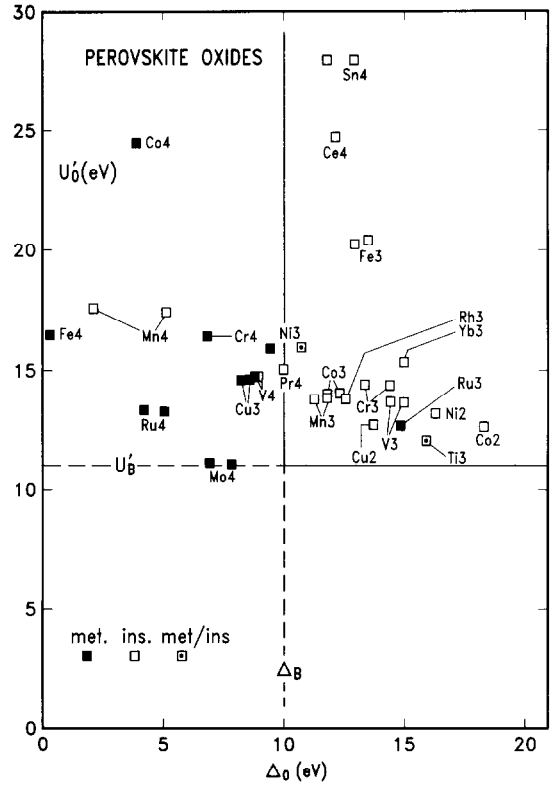

Fig. 5. A plot analogous to fig. 4 for the 38 perovskite-like oxides in table 1.

seen [10] in figs. 4 and 5 that the "metallic" oxides tend to have either low values of Δ_0 or U_0'. Attempting to put these data into the ZSA framework (as in fig. 2), one can separate most of the "insulators" from the "metals" by drawing a vertical and a horizontal boundary, such that the "metals" have either $\Delta_0 \lesssim \Delta_B = 10$ eV or $U_0' \lesssim U_B = 11$ eV. (We could achieve better separation if we chose a different Δ_B and U_B for simple and perovskite oxides, but we want to keep things simple.) Thus, there are eight "metals" in figs. 4 and 5 which we can classify as low-U' metals and 16 "metals" which are classified as low-Δ metals.

Another test of this model concerns those oxides in table 1 with metal–insulator transitions: these should fall on or near the boundary in fig. 2 between the "metals" and the "insulators". Indeed, we see in figs. 4 and 5 that all five compounds (shown by the symbols with a dot in their center) do lie near one

Table 3

Crystallographic data, gas-phase ionization potentials, Madelung energies and site potentials, U_0' and Δ_0 values, and experimental conductivities for "simple" oxides M_yO_z (M=transition metal), for "ternary" oxides $A_xM_yO_z$ (A=alkaline earth or lanthanum), and for ternary oxides $(La_{0.5}A_{0.5})_xM_yO_z$ (A=alkaline earth) (see pp. 362/363 for footnotes and references)

Crystal	Space group [a]	Space group no.	Ref.	d_{M-O} (Å) [b]	d_{M-M} (Å) [b]	v [c]	I_v (eV) [c]	I_{v+1} (eV) [c]	$-E'_M/S$ (Å⁻¹) [d]	μ [e]	V_M^M (V) [f]	V_M^O (V) [f]	U_0' (eV)	Δ_0 (eV)	C [g]
TiO [1]	Fm3m	225	r1	2.091	2.956	2	13.58	27.49	0.480	1.100897	−24.075	24.075	9.04	19.99	M
Ti₂O₃	R-3c	167	r2	2.048 [2]	2.890 [2]	3	27.49	43.27	0.405	1.512405	−33.651	24.636	10.79	16.07 [7]	M/I
LaTiO₃	Pbnm	62	r3	2.018 [2]	3.953	3	27.49	43.27	0.377	1.490900	−36.435	21.738 [2]	12.13 [7]	15.84	M/I
TiO₂	P4₂/mnm	136	r4	1.946	2.958	4	43.27	99.22	0.409	1.286658	−44.732	25.882	51.09	12.25	I
SrTiO₃	P23	195	r5	1.953	3.905	4	43.27	99.22	0.392	1.547184	−45.642	23.806	52.27	11.11	I
Sr₂TiO₄	I4/mmm	139	r6	1.942 [3]	3.884	4	43.27	99.22	0.387	1.766034	−45.706	24.720 [e]	52.24	12.04	I
VO [1]	Fm3m	225	r7	2.031	2.872	2	14.65	29.31	0.430	1.100897	−24.780	24.780	9.65	19.75	M
V₂O₃	R-3c	167	r8	2.024	2.924 [2]	3	29.31	46.71	0.401	1.518922	−33.378	24.363	12.47	13.62	M/I
LaVO₃	Pbnm	62	r9	1.990 [2]	3.922	3	29.31	46.71	0.380	1.492624	−36.650	21.958 [2]	13.72	14.36	I
LaSrVO₄	I4/mmm	139	r10	1.935 [3]	3.869	3	29.31	46.71	0.371	1.962045	−35.096	24.307 [3]	13.68	14.94	I
VO₂	P2₁/c	14	r11	1.934 [2]	2.894 [2]	4	46.71	65.23	0.415	1.281855	−45.310	26.378 [2]	13.55 [7]	9.83 [7]	M/I
SrVO₃ [1]	Pm3m	221	r12	1.919	3.838	4	46.71	65.23	0.403	1.547184	−46.439	24.222	14.77	8.75	M
Sr₂VO₄	I4/mmm	139	r13	1.917 [3]	3.834	4	46.71	65.23	0.388	1.752996	−45.055	25.601 [3]	14.76	8.74	I
Cr₂O₃	R-3c	167	r14	1.963	2.827 [2]	3	30.96	49.1	0.419	1.523656	−34.926	25.394	13.05	14.32	I
LaCrO₃	Pbnm	62	r15	1.970 [2]	3.876	3	30.96	49.1	0.379	1.469851	−37.454	21.805 [2]	14.42	13.29	I
LaSrCrO₄ [1]	I4/mmm	139	r16	1.92 [1]	3.84 [1]	3	30.96	49.1	–	–	(60.5) [1]		14.39	14.34	I
CrO₂	P4₂/mnm	136	r17	1.882	2.917	4	49.1	69.3	0.420	1.284157	−46.088	26.551	15.26	8.19	M
SrCrO₃ [1]	Pm3m	221	r18	1.909	3.818	4	49.1	69.3	0.405	1.547183	−46.682	24.349	16.43	6.69	M
MnO	Fm3m	225	r19	2.222	3.143	2	15.64	33.67	0.393	1.100897	−22.646	22.646	13.45	15.47 [7]	I
β-Mn₂O₃	Ia-3	206	r20	1.993	3.104	3	33.67	51.2	0.416	1.551715	−35.310	24.888	12.89	11.61	I
LaMnO₃	R-3c	167	r21	1.966	3.869	3	33.67	51.2	0.383	1.487767	−37.647	22.274	13.81	11.23	I
LaSrMnO₄ [1]	I4/mmm	139	r22	1.94 [1]	3.88 [1]	3	33.67	51.2	–	–	(60.5) [1]		13.82	11.71 [7]	I
β-MnO₂	P4/mmm	136	r23	1.887	2.873	4	51.2	72.4	0.424	1.285284	−46.493	26.830	16.18	6.79	M
SrMnO₃ [1]	Pm3m	221	r24	1.99	3.98	4	51.2	72.4	0.389	1.547183	−44.782	23.357	17.58	2.00	I
Sr₂MnO₄	I4/mmm	139	r25	1.894 [3]	3.787	4	51.2	72.4	0.392	1.754113	−45.528	26.037 [3]	17.39	5.06	I
FeO	Fm3m	225	r26	2.155	3.048	2	16.18	30.65	0.405	1.100897	−23.350	23.350	9.75 [7]	16.14	I
α-Fe₂O₃	R-3c	167	r27	1.960	2.946	3	30.65	54.8	0.414	1.527122	−34.730	24.880	19.26	13.91	I
LaFeO₃	Pbnm	62	r28	2.006 [2]	3.713	3	30.65	54.8	0.378	1.487325	−36.655	21.724 [2]	20.27 [7]	12.85	I
LaSrFeO₄	I4/mmm	139	r29	1.940 [3]	3.880	3	30.65	54.8	0.369	1.691993	−34.932	24.229 [1]	20.44	13.39	I
SrFeO₃	Pm3m	221	r30	1.935	3.869	4	54.8	75.0	0.400	1.547184	−46.065	24.028	16.48	0.15	M
CoO	Fm3m	225	r31	2.133	3.017	2	17.06	33.50	0.410	1.100897	−23.591	23.591	11.67	15.67	I
La₂CoO₄	Abma	64	r32	1.943 [3]	3.881	2	17.06	33.50	0.353	1.607753	−30.925	19.491 [3]	12.73	18.25	I
LaCoO₃	R-3c	167	r33	1.932	3.826	3	33.50	51.3	0.389	1.487345	−38.325	22.619	14.04 [7]	12.29 [7]	I
LaSrCoO₄	I4/mmm	139	r34	1.898 [3]	3.796	3	33.50	51.3	0.378	1.692017	−35.739	24.770 [3]	14.01	11.72	I
SrCoO₃	Pm3m	221	r35	1.931	3.863	4	51.3	79.5	0.401	1.547184	−46.144	24.068	24.47	3.76 [7]	M

Compound	Space group	No.	Ref												M/I
NiO	Fm3m	225	r36	2.084	2.948	2	18.17	35.17	0.419	1.100897	−24.148	24.148	12.17 [7]	15.52 [7]	I
La$_2$NiO$_4$ [1]	I4/mmm	139	r37	1.930 [3]	3.86	2	18.17	35.17	0.356	1.622990	−28.322	21.255	13.27	16.25 [7]	I
LaNiO$_3$ [1]	R-3c	167	r38	1.928	3.836	3	35.17	54.9	0.387	1.486199	−38.497	22.484 [1]	15.98	10.64 [7]	M/I
LaSrNiO$_4$	I4/mmm	139	r39	1.907 [3]	3.813	3	35.17	54.9	0.374	1.691962	−35.412	24.636 [3]	15.95	9.62	M
CuO	C2/c	15	r40	1.956 [2]	2.900	2	20.29	36.83	0.416	1.135461	−24.146	23.827	11.57	12.62	I
La$_2$CuO$_4$	Cmca	64	r41	1.905 [3]	3.810	2	20.29	36.83	0.356	1.627679	−27.568	21.654 [3]	12.76	13.67	I
LaCuO$_3$	R-3c	167	r42	1.943	3.865	3	36.83	55.2	0.385	1.486164	−38.213	22.318	14.64	8.59	M
LaSrCuO$_4$	I4/mmm	139	r43	1.883 [3]	3.77	3	36.83	55.2	0.374	1.698849	−36.448	23.905 [3]	14.55	8.17	M
NbO	Pm3m	221	r44	2.105	2.977	2	14.32	25.04	0.357	1.043003	−20.580	20.580	5.89	12.30	M
NbO$_2$	I4$_1$/a	88	r45	2.048 [2]	3.001 [2]	4	38.3	50.55	0.387	1.597648	−42.400 [2]	24.544	7.45 [7]	13.91 [7]	I
MoO$_2$	P2$_1$/c	14	r46	2.011 [2]	2.811 [2]	4	46.4	61.2	0.391	1.253048	−42.513	25.072 [2]	9.68 [7]	6.32 [7]	M
SrMoO$_3$	Pm3m	221	r47	1.987	3.975	4	46.4	61.2	0.389	1.547184	−44.837	23.386	11.18	6.88	M
Sr$_2$MoO$_4$	I4/mmm	139	r48	1.96 [3]	3.92	4	46.4	61.2	0.380	1.754239	−44.109	25.138 [3]	11.13	7.79	M
LaRuO$_3$ [1]	Pm3m	221	r49	2.02	4.04	3	28.47	44.73 [4]	0.368	1.485166	−36.862	21.276	12.70	14.83	M
RuO$_2$	P4$_2$/mnm	136	r50	1.943 [3]	3.107	4	50.0 [4]	67.0 [4]	0.407	1.284180	−44.782	25.599	12.35	5.37	M
SrRuO$_3$ [1]	Pm3m	221	r51	1.962	3.924	4	50.0 [4]	67.0 [4]	0.394	1.547184	−45.421	23.691	13.32	4.17	M
Sr$_2$RuO$_4$ [1]	I4/mmm	139	r52	1.935 [3]	3.87	4	50.0 [4]	67.0 [4]	0.384	1.754146	−44.591	25.474 [3]	13.27	5.02 [7]	M
Rh$_2$O$_3$ [1]	R-3c	167	r53	1.997	2.976	3	31.06	48.0 [4]	−	—	(58.74) [5]		12.54	12.77	I
LaRhO$_3$ [1]	Pm3m	221	r54	2.01	4.02	3	31.06	48.0 [4]	0.369	1.485166	−37.045	21.382	13.80	12.50	I
RhO$_2$ [1]	P4$_2$/mnm	136	r55	1.948	3.088	4	54.0 [4]	71.0 [4]	0.409	1.284745	−44.918	25.691	12.61	2.01	M
SnO	P4/nmn	129	r56	2.224	3.694	2	14.63	30.50	0.338	1.106271	−21.392	17.570	11.97	10.15 [7]	I
SnO$_2$	P4$_2$/mnm	136	r57	2.052 [2]	3.186	4	40.73	72.28	0.390	1.286016	−42.882	24.619	27.03	12.05 [7]	I
SrSnO$_3$ [1]	Pm3m	221	r58	2.018	4.035	4	40.73	72.28	0.383	1.547183	−44.171	23.039	27.98	11.64	I
Sr$_2$SnO$_4$	I4/mmm	139	r59	2.018 [3]	4.037	4	40.73	72.28	0.378	1.767032	−44.725	23.631 [3]	27.98	12.80	I
LaO	Fm3m	225	r60	2.572	3.637	2	11.06	19.18	0.340	1.100897	−19.567	19.567	4.16	14.78	M
La$_2$O$_3$	P-3m1	164	r61	2.371	3.877	3	19.18	49.95 [6]	0.340	1.478503	−28.977	19.992	27.06 [7]	16.02	I
CeO	Fm3m	225	r62	2.545	3.598	2	10.85	20.20	0.343	1.100897	−19.779	19.779	5.35	15.35	M
Ce$_2$O$_3$ [1]	P-3m1	164	r63	2.342	3.831	3	20.20	36.72	0.344	1.478715	−29.322	20.227	12.76	15.50	I
CeO$_2$	Fm3m	225	r64	2.343	3.826	4	36.72	65.0 [4]	0.358	1.221764	−40.268	21.666	24.40 [7]	11.37 [7]	I
SrCeO$_3$ [1]	Pm3m	221	r65	2.146	4.292	4	36.72	65.0 [4]	0.360	1.547183	−41.526	21.660	24.76	12.02	I
PrO	Fm3m	225	r66	2.516	3.558	2	10.55	21.62	0.347	1.100897	−20.007	20.007	7.03	16.04 [7]	M
Pr$_2$O$_3$ [1]	P-3m1	164	r67	2.345	3.781	3	21.62	38.95	0.348	1.478509	−29.626	20.440	13.52 [7]	14.61 [7]	I
PrO$_2$	Fm3m	225	r68	2.368	3.867	4	38.95	57.45	0.355	1.221764	−39.838	21.435	14.78 [7]	8.54 [7]	I
SrPrO$_3$ [1]	Pm3m	221	r69	2.140	4.279	4	38.95	57.45	0.362	1.547183	−41.653	21.725	15.07	9.96	I
NdO	Fm3m	225	r70	2.497	3.531	2	10.72	22.1 [6]	0.350	1.100897	−20.156	20.156	7.29	16.11	M
Nd$_2$O$_3$	P-3m1	164	r71	2.322 [2]	3.748	3	22.1 [6]	40.41 [6]	0.350	1.481778	−29.810	20.739	14.47	14.55	I
EuO	Fm3m	225	r72	2.572	3.637	2	11.25	24.92 [6]	0.340	1.100897	−19.568	19.568	9.71	14.59	I
Eu$_2$O$_3$ [1]	Ia3	206	r73	2.34 [2]	3.62 [2]	3	24.92 [6]	42.6 [6]	0.358	1.543982	−30.102 [2]	21.373	13.71 [7]	12.07	I
YbO	Fm3m	225	r74	2.439	3.449	2	12.17	25.2	0.358	1.100897	−20.639	20.639	8.67	15.49	I
Yb$_2$O$_3$ [1]	Ia3	206	r75	2.249	3.461	3	25.2	43.74 [6]	0.372	1.543057	−31.301 [2]	22.279	14.38 [7]	14.28 [7]	I
LaYbO$_3$ [1]	Pm3m	221	r76	2.163	4.325	3	25.2	43.74 [6]	0.343	1.485166	−34.433	19.874	15.38	14.91	I

[1] Approximate crystal structure.

[2] Weighted average of several crystallographically inequivalent distances, or of several Madelung site potentials.

[3] In-plane metal–oxygen distance(s) only.

[4] Estimated ionization energy; where theoretical estimates had to supplant experimental data, since energy differences are relevant, in some cases, different values are used for the same ionization in different salts (e.g. $I_4 = 44.73$ eV for $LaRuO_3$ but $I_4 = 49.9$ eV for RuO_2); see also footnote 29 in text.

[5] Madelung site potential difference $V_M^O - V_M^M$, from J.Q. Broughton and P.S. Bagus, J. Electron Spectr. Rel. Phenom. 20 (1980) 261, who probably used structural data from R.W.G. Wyckoff, Crystal Structures, sec. Ed., Vols. 1 and 2 (Interscience, New York, 1963, 1964).

[6] W.C. Martin, L. Hagan, J. Reader and J. Sugar, J. Phys. Chem. Ref. Data 3 (1974) 771.

[7] Because of new calculations, or different averages between sites, the U_0' and Δ_0 values given here differ somewhat from those of J.B. Torrance, P. Lacorre, C. Asavaroengchai and R.M. Metzger, J. Solid State Chem. 90 (1991) 168.

[a] The space group numbers are from International Tables for X-ray Crystallography, either Vol. 1, eds. N.F.M. Henry and K. Lonsdale (Kynoch, Birmingham, UK, 1969) or Vol. A, ed. T. Hahn (Reidel, Dordrecht, Holland, 1983).

[b] The nearest-neighbor transition metal–transition metal and transition metal–oxygen distances are d_{M-M} and d_{M-O}, respectively.

[c] The oxidation number, and formal charge, of the metal cation is v; The vth and $(v+1)$th ionization potentials of the metal atom I_v and I_{v+1} are taken from CRC Handbook of Chemistry and Physics, 70th Edition, eds. R.C. Weast, D.R. Lide, M.J. Astle and W.H. Beyer (CRC, Boca Raton, FL, 1989) p. E80, unless otherwise indicated.

[d] The quantity $-E_M'/S$ (Å^{-1}) is the Madelung energy E_M' (electrons^2/Å), divided by S (electrons^2) = the sum of charges in the formula unit, $S \equiv \Sigma_i (z_i)^2$; for instance, in Ti_2O_3, $S = 30$ electrons^2; E_M'/S is remarkably "constant" from structure to structure. This trend was first reported by R.M. Metzger and J.B. Torrance, MRS Symp. Proc., Vol. 156 (Materials Research Society, Pittsburgh, PA, 1989), p. 377.

[e] μ is a dimensionless constant related to the Madelung energy: $\mu \equiv -(E_M'/S)(Z/V)^{1/3}$. For NaCl (halite) $\mu = 1.100897$, and $\mu \, 4^{1/3} = \alpha = 1.747564$ yields the well-known binary Madelung "constant" α for the halite structure.

[f] The Madelung site potentials V_M^M and V_M^O are for the transition metal and oxygen atoms, respectively. When the Madelung site potentials were either estimated by extrapolation from other known structures, or [5] taken from J.Q. Broughton and P.S. Bagus, op. cit., then only the Madelung site potential difference $V_M^O - V_M^M$ is given in parentheses.

[g] The entries under C = Conductivity are: M = metal, M/I = borderline, or with semiconductor-to-metal transition, I = insulator or semiconductor).

r1 Halite structure; space group Fm3m (no. 225). R.W.G. Wyckoff, Crystal Structures, sec. Ed., Vol. 1 (Interscience, New York, 1963) [= Wyckoff1], p. 90, but using $a = 4.181$ Å. There is a newer monoclinic structure, space group A2/m (no. 12),

for TiO, by D. Watanabe et al., Acta Cryst. 23 (1967) 307; the U_0' values range from 2.57 eV ($d_{M-M} = 2.54$ Å) to 13.91 eV ($d_{M-M} = 2.98$ Å); the Δ_0 values range from 9.95 to 23.05 eV ($V_{Ti} = -18.188, -20.174$, and -25.844 V for Ti1, Ti3, and Ti2 respectively; $V_O = 18.188, 20.174, 25.844$ V for O1, O3, and O2 respectively); for details see C. Asavaroengchai, Ph.D. dissertation, Univ. of Alabama, 1990.

r2 Corundum structure; R.W.G. Wyckoff, Crystal Structures, sec. Ed., Vol. 2 (Interscience, New York, 1964) [= Wyckoff2], p. 6.

r3 D.A. MacLean, H.-N. Ng and J.E. Greedan, J. Solid State Chem. 30 (1979) 35.

r4 Rutile; Wyckoff1, p. 251.

r5 Cubic perovskite structure; G.W.C. Kaye and T.H. Laby, Tables of Physical and Chemical Constants, 14th ed. (Longman, London, 1973) p. 199.

r6 Tetragonal K_2MgF_4 structure; R.W.G. Wyckoff, Crystal Structures, sec. Ed., Vol. 3 (Interscience, New York, 1965) [= Wyckoff3], p. 69.

r7 Halite structure; cell constant for VO at 800°C, Wyckoff1, p. 91.

r8 Corundum structure; Wyckoff2, p. 7.

r9 V.G. Zubkov, G.V. Baznev, V.A. Perelyaev and G.P. Shveikin, Sov. Phys. Solid State 15 (1973) 1079.

r10 J.M. Longo and P.M. Raccah, J. Solid State Chem. 6 (1973) 526. The LaSr site was assigned a formal charge of +2.5.

r11 Distorted rutile structure; Wyckoff1, p. 258; cell constants of D.B. Rogers, R.D. Shannon, A.W. Sleight and J.L. Gillson, Inorg. Chem. 8 (1969) 841.

r12 B.L. Chamberland and P.S. Danielson, J. Solid State Chem. 3 (1971) 243.

r13 Tetragonal K_2MgF_4 structure; M. Cyrot, B. Lambert-Andron, J.L. Soubeyroux, M.J. Rey, P.H. Dehaut, F. Cyrot-Lackmann, G. Fourcaudot, J. Beille and J.L. Tholence, J. Solid State Chem. 85 (1990) 321.

r14 Corundum structure; Wyckoff2, p. 6.

r15 C.P. Khattak and D.E. Cox, Mat. Res. Bull. 12 (1977) 463.

r16 J.C. Joubert, A. Collomb, D. Elmaleh, G. Le Flem, A. Daoudi and G. Ollivier, J. Solid State Chem. 2 (1970) 343. The LaSr site was assigned to a formal charge of +2.5.

r17 Rutile structure; W.H. Cloud, D.S. Schreiber and K.R. Babcock, J. Appl. Phys. 33 (1962) 1193.

r18 Assumed cubic perovskite structure; $a = 3.818$ Å. Note useful mathematical relations for the site potentials derived by F. Michel-Calendini and G. Mesnard, Comptes Rendus Acad. Sci. Paris, B II 267 (1968) 727.

r19 Halite structure; Wyckoff1, p. 88.

r20 Cubic bixbyite structure; Wyckoff2, p. 5. Only the Mn1–O distances and Mn1 and O site potentials are used.

r21 Formula is $La_{0.94}Mn_{0.98}O_3$; B.C. Tofield and W.R. Scott, J. Solid State Chem. 10 (1974) 183.

r22 P. Ganguly and C.N. Rao, J. Solid State Chem. 53 (1984) 193. The LaSr site was assigned a formal charge of +2.5.

r23 Cassiterite (tetragonal SnO_2) structure; Wyckoff1, p. 251, but with new cell constants $a = 4.3983$ Å, $c = 2.8730$ Å, $u = 0.3034$; note slightly different site potentials (Mn: -46.499 V, O: 26.833 V) in J.Q. Broughton and P.S. Bagus, J. Electron Spectr. Rel. Phenom. 20 (1980) 261.

r24 Assumed cubic perovskite structure; $a = 3.98$ Å.

r25 Tetragonal K_2MgF_4 structure; J.-C. Bouloix, J.-L. Soubeyroux, G. Le Flem and P. Hagenmüller, J. Solid State Chem. 38 (1981) 34.

r26 Halite structure; Wyckoff1, p. 87.

r27 Corundum structure; Wyckoff2, p. 7.

r28 M. Marezio and P.O. Dernier, Mat. Res. Bull. 6 (1971) 23.

r29 J.-L. Soubeyroux et al., J. Solid State Chem. 31 (1980) 313. The LaSr site was assigned a formal charge of $+2.5$.

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of these boundaries. This agreement provides strong independent support for this picture and further gives us information concerning the driving force for each of these metal–insulator transitions. For example, Ti_2O_3, V_2O_3, and $LaTiO_3$ each lie near the $U_0' = U_B$ boundary, suggesting that the instability underlying the transition in these compounds involves low U', i.e., is related to the transfer of charge between metal cations. In fact, this is the Mott–Hubbard transition, which has been used [5] to describe the former two compounds, and is related to disproportionation. On the other hand, both VO_2 and $LaNiO_3$ fall near the $\Delta_0 = \Delta_B$ boundary, implying that low-Δ or charge transfer excitations between oxygen and metal ions are responsible for the instability [15]. (We also note that, when off stoichiometry, both FeO and VO exhibit a metal–insulator transition [5] and both lie near the $U_0' = U_B$ boundary.)

The general success of this simple ionic model is evident in figs. 3, 4 and 5. There is a correlation (fig. 3) of the gap with Δ_0 for the closed-shell cation oxides. In the ZSA diagram (figs. 4 and 5), boundaries at U_B and Δ_B can be drawn which give a reasonable separation between "metals" and "insulators", with the compounds exhibiting metal–insulator transitions lying near these boundaries. This general agreement is strong evidence for the validity of such an ionic model as a first starting point to describe the electronic structure of oxides. Of course, there are discrepancies and disagreements also evident: "noise" of 1–2 eV and some oxides which are "exceptions". These are an indication and a measure of the role and significance of the approximations made.

(1) We have assumed that the electronic and lattice polarization effects are the *same* for all the oxides. As discussed above, the magnitude of these effects should reduce Δ_0 and U_0' by ~4 eV. It is expected that this screening energy will, in fact, not be the same for all oxides.

(2) We have assumed that the covalent and crystal field effects are the same for all oxides in table 1. Stronger covalent effects are presumably responsible for the three outlying compounds in fig. 3, as discussed above.

(3) A third simplifying assumption was that the bandwidth, W, is the same for all three bands in fig. 1 and for all oxides. This is clearly not the case. For example, the bandwidth for rare earth oxides is less

than the first series transition-metal oxides, perhaps explaining why "insulating" EuO, YbO, PrO_2, and $SrPrO_3$ are calculated to lie in the metallic regions in figs. 4 and 5. In addition, there are two other major factors influencing the magnitude of W: dimensionality and distortion. The two-dimensional K_2NiF_4 structures have a ~33% narrower band than their three-dimensional cubic perovskite counterparts [36]. Presumably this effect accounts for the insulating behavior of Sr_2VO_4 compared with metallic $SrVO_3$. As an example of the effect of distortion, the calculated values of U_0' and Δ_0 in the series $REMO_3$, are approximately the same for each of the rare earths. However, the distortions of the perovskite structure increase considerably as the rare earth radius decreases, giving rise to a decreasing W. Presumably[15], this is the factor responsible for the insulating behavior of $YNiO_3$ and $YTiO_3$ compared with metallic $LaNiO_3$ and $LaTiO_3$.

Presumably, breakdown of these assumptions is responsible for the ~1–2 eV "noise" evident in figs. 3–5. However, outside of this "noise", there are a few additional exceptions: NbO_2 is predicted to be a low U_0' metal and yet it has low conductivity at 300 K. This fact is undoubtedly related to the observed distortion which pairs neighboring Nb atoms, since NbO_2 becomes metallic near 850°C when this distortion disappears [4]. $SrMnO_3$ and Sr_2MnO_4 are predicted to be low Δ_0 metals (with very low Δ_0), but are observed to be insulating. We have no explanation for this fact.

Historically, the description [4,6,7] of the electronic properties of oxides has been aided by the framework of Goodenough [4], in which the physical properties are largely determined by the value of the transfer energy, b. For example, metallic conductivity has been correlated with a large transfer energy, which is indicated by lattice parameters which are short compared with the sum of the ionic radii. What was not clear, however, was what caused the differences in transfer energy between compounds. We suggest that the short lattice constants (metallic bonding), large transfer energies, and metallic conductivity are all caused by and are all consequences of something else: either a small value of U_0' or small Δ_0.

Conclusion

It is clear that this simple ionic model of oxides is only a starting point, although a remarkably good one. There are a number of areas needing modification and improvement. We know that the electronic overlap is large and can have important consequences [35,36,39]. Similarly, the electronic and lattice polarization energies are large [33,40] and there will be some consequences of the crystal field splittings, etc. Some of these effects probably will need to be included before this model can be extended to the interesting oxides of the third transition series (e.g., Pb, Tl, Bi, etc.) and to the sulfides and selenides. One approach which we suggest is to start with the energy levels of the ionic model (which correctly include the important correlation effects) and add perturbatively the effect of electronic overlap.

In conclusion, we have examined the conductivity behavior of a large variety of simple and perovskite oxides, including 24 "metals'", 47 "insulators" and 5 compounds undergoing metal–insulator transitions. Using a simple ionic model in which we include *only* the electrostatic Coulomb interactions between the ions and the gas phase ionization potentials, we can reasonably account for most of these trends, as well as for the band gaps for 14 closed-shell metal oxides. The breadth of this agreement gives confidence that this simple ionic model is a remarkably good starting point for understanding the electronic structure of oxides.

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