S. Afr. J. mar. Sci. 21: 289-347 1999

# WORLDWIDE LARGE-SCALE FLUCTUATIONS OF SARDINE AND ANCHOVY POPULATIONS

R. A. SCHWARTZLOSE<sup>1</sup>, J. ALHEIT<sup>2</sup>, A. BAKUN<sup>3</sup>, T. R. BAUMGARTNER<sup>4</sup>, R. CLOETE<sup>5</sup>, R. J. M. CRAWFORD<sup>6</sup>, W. J. FLETCHER<sup>7</sup>, Y. GREEN-RUIZ<sup>8</sup>, E. HAGEN<sup>2</sup>, T. KAWASAKI<sup>9</sup>, D. LLUCH-BELDA<sup>10</sup>, S. E. LLUCH-COTA<sup>11</sup>, A. D. MacCALL<sup>12</sup>, Y. MATSUURA<sup>13</sup>, M. O. NEVÁREZ-MARTÍNEZ<sup>14</sup>, D. MACCALL<sup>12</sup>, Y. MATSUURA<sup>13</sup>, M. O. NEVÁREZ-MARTÍNEZ<sup>14</sup>, R. H. PARRISH<sup>15</sup>, C. ROY<sup>6</sup>, R. SERRA<sup>16</sup>, K. V. SHUST<sup>17</sup>, M. N. WARD<sup>18</sup> and J. Z. ZUZUNAGA<sup>19</sup>

Decade-scale regimes of sardine Sardinops sagax and anchovy Engraulis spp. have been observed in the productive coastal waters of the North-Western, North-Eastern and South-Eastern Pacific and the South-Eastern Atlantic. In each of these systems, the two genera fluctuate out of phase with each other. The subdominant genus may initiate a recovery while the other species is still abundant, so population growth is not necessarily a response to a vacant niche. Rather, it appears to be triggered by formation of one or a few powerful year-classes. At high population levels, quality of sardine and their eggs decreased in Japan, leading to decreased production and survival of eggs, poor year-classes and stock collapse. Excessive fishing of strong year-classes early in the recovery stage may prevent a species from assuming dominance, so influencing the natural succession of species. This may greatly alter the structure and functioning of an ecosystem. For example, a mesopelagic forage fish may replace an epipelagic one, with severe repercussions for predators that can only feed in the upper ocean, e.g. some seabirds. Biological factors also may influence the succession of forage fish. For example, off California, peaks in abundance of predatory species such as bonita *Sarda*, and chub mackerel *Scomber*, separate those of the planktivorous sardine and anchovy. In the Pacific Ocean, sardine distribution has change greatly. Compared with its range when scarce, a population at a high level of biomass can extend 1 000–1 800 miles farther along the coast and 400–2 200 miles farther out to sea. In different periods of high biomass, sardine did not always have the same distribution. In the 1930s and 1940s, near simultaneous fluctuations of sardine in the North-Western and North-Eastern Pacific were in phase. In the 1980s and 1990s, sardine in the North-Western and South-Eastern Pacific were fluctuating in phase. However, along the west coast of North America, sardine started their most recent increase later than in other regions of the Pacific Ocean. This both to the state of phase with other major Pacific sardine populations. This may be because abundance of sardine in the California Current has not yet reached a level at which density-dependent effects exert a major influence. Sardine and anchovy in the South-Eastern Atlantic have been out of phase with populations in the Pacific Ocean, especially those off Peru and Chile. Over the last 300 years, episodic fisheries for Bohuslän herring *Clupea harengus* in Sweden coincide with periods of high anchovy abundance off California. Near simultaneous fluctuations of fish stocks in widely separated regions support the view that they are sometimes influenced by climate operating at a global scale. Changes of the gyre-scale circulation seem to be major factors in the decadal climate. In Australia, sardine have a wide range, but the less productive waters support a much lower biomass than is found in the other four systems. Engraulis occur in some systems from which Sardinops are absent, for example, Brazil, where they and Sardinella are segregated vertically in the water column.

- Scripps Institution of Oceanography, University of California, San Diego, 9500 Gilman Dr., La Jolla, CA 92093-0227, USA; present address 1047 Highland Dr., Del Mar, CA 92014-3902, USA. E-mail: raschwar@juno.com
- Baltic Sea Research Institute, Rostock University, Seestrasse 15, D-18119, Warnemünde, Germany
- IRD Research Associate (VIBES Project), Oceanography Department, University of Cape Town, Kondebosch 7701, South Africa División de Oceanología, Centro de Investigación de Científica y de Educatión Superior de Ensenada, Apdo. Postal 2732, Ensenada,
- Baja California, México Ministry of Fisheries and Marine Resources, P.O. Box 912, Swakopmund 9000, Namibia
- Marine & Coastal Management, Private Bag X2, Rogge Bay 8012, South Africa NSW Fisheries Research Institute, P.O. Box 21, Cronulla, NSW 2230, Australia
- Instituto Nacional de Pesca, Centro Regional de Investigacion Pesquera, Apd Postal 1177, Mazatlán, Sinaloa, México

- <sup>9</sup> Kugatona de l'esca, cento kegatona de investigación esquera, apariosta 1177, Mazatan, onatoa, merceo
   <sup>9</sup> Kugatona Fujigaya, 1–10–6, Fujisawa 251-0031, Japan
   <sup>10</sup> Centro Interdisciplinario de Ciencias Marinas, P.O. Box 592, La Paz, Baja California Sur 23096, México
   <sup>11</sup> Centro de Investigaciones Biológicas del Noroeste S. C., P.O. Box 128, La Paz, Baja California Sur 23000, México
   <sup>12</sup> National Marine Fisheries Service, Tiburon Laboratory, Southwest Fisheries Center, 3150 Paradise Dr., Tiburon, CA 94920, USA
- <sup>13</sup> Instituto Oceanográfico da Universidade São Paulo, Butantâ, São Paulo 05508, Brasil
- 14 Centro Regional de Investigaciones Pesqueras de Guaymas, Calle 20 No. 605 sur, Centro. Guaymas, Sonora 85400, México
- Pacific Fisheries Environmental Laboratory, NMFS, 1352 Lighthouse Rd, Pacific Grove, CA 03950, USA
   Instituto Fomento Pesquero, Huito 374, Valparaiso, Chile 15
- 17 Bioresources, All-Russian Institute of Research Fisheries and Oceanography, (VNIRO), Verchnaja Krasnoselsbaja 17, 107140 Moscow, Russia 18
- Cooperative Institute for Mesoscale Meterological Studies, The University of Oklahoma, 100 East Boyd, Norman, Oklahoma 73019-0628, USÂ
- <sup>19</sup> Dirección Nacional de Extraccion, Ministerio de Pesquería, Calle Uno 4º piso, Urb. Corpac-San Isidro, Lima, Peru

Manuscript received: October 1998

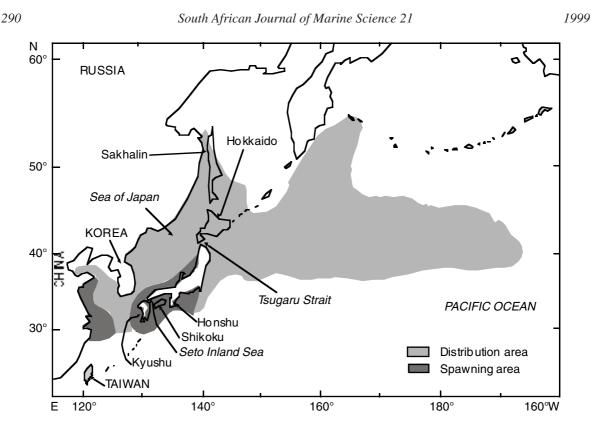


Fig. 1: Distribution of sardine in the North-Western Pacific Ocean, when the population level was very high in the 1980s (after Kuroda 1991). The spawning area is also the area of the population when it is low

In 1993, the Scientific Committee on Oceanic Research (SCOR) established Working Group 98 (WG 98) to investigate "Worldwide Large-scale Fluctuations of Sardine and Anchovy Populations". The first meeting of WG 98 was at Centro de Investigaciones Biológicas del Noroeste, La Paz, Baja California Sur, Mexico, from 13 to 17 June 1994. WG 98 held its second and final meeting at Scripps Institution of Oceanography, La Jolla, U.S.A., from 20 to 22 October 1997.

Prior to the establishment of WG 98, three workshops were held in La Paz, in 1988, 1990 and 1992, to consider regimes of high and low abundance of sardine *Sardinops sagax* and anchovy *Engraulis* spp. in the five regions where the genera co-occur. Reports of the 1988 and 1990 workshops were published (Lluch-Belda *et al.* 1989, 1992c). This paper summarizes the findings of WG 98.

WG 98 was tasked with collating historical information from the five regions where *Sardinops* have been fished: North-Western, North-Eastern and South-Eastern Pacific, Australasia and South-Eastern Atlantic. Catch data and some other pertinent information are tabulated in the Appendix. Additionally, an overview of fluctuations of sardine and anchovy populations in these five systems is presented in the text. The *Sardinella* fishery of Brazil is also considered, and a section addresses proxy records of sardine and anchovy abundance.

WG 98 was asked to describe the sequence of events at times of major change between sardine and anchovy regimes, to examine evidence for a worldwide cause of the major fluctuations of sardine and anchovy populations, to develop hypotheses regarding causes of these large changes and to consider consequences of such changes for ecosystem functioning. Sections deal with each of these aspects and with implications of regime behaviour for fisheries. Additionally, a brief review of regime changes in other systems is included, and a section considers how climate may operate at a global scale.

Each of the authors made substantial contribution to this report, but none of the authors necessarily agrees with the entire content of it. Throughout its deliberations, members of SCOR WG 98 had utmost respect for each other's views, but there was not always unanimous agreement on all matters.

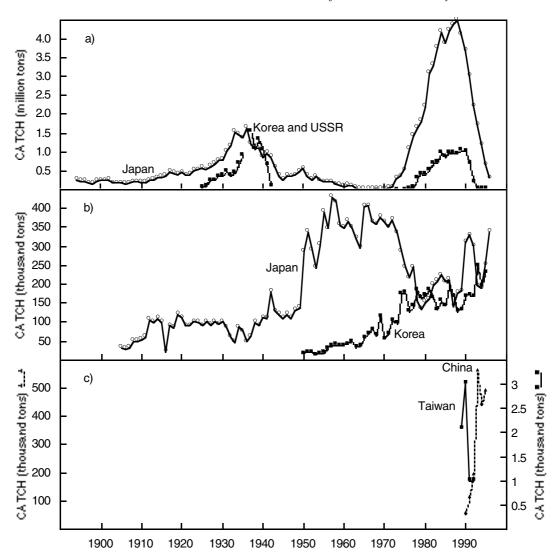


Fig. 2: (a) Trends in the catch of sardine by Japan, and by Korea and the USSR, the latter mostly in the Sea of Japan, 1894–1996, (b) trends in the catch of anchovy by Japan and Korea, 1905–1996, and (c) trends in the catch of anchovy by China and Taiwan, 1989–1996

## LARGE-SCALE FLUCTUATIONS OF SARDINE AND ANCHOVY POPULATIONS IN SOME MAJOR OCEAN ECOSYSTEMS

## North-Western Pacific

# HISTORICAL OVERVIEW

The group of fish that constitutes the largest catches

in the Japanese fishery is labeled *iwasi*, which consists of three clupeoid species: sardine *Sardinops sagax*, anchovy *Engraulis* sp. and round herring *Etrumeus* sp. They are harvested not only as adults, but also at the stage of metamorphosis and as post-larvae (Hayasi 1967). Ito (1887) stated that sardine (*Clupea melanosticta* = *S. sagax*) came to open sandy beaches on the east coast of Hokkaido in June and July. At that time, sardine amounted to 8% of the value (in yen) of round herring; anchovy was not listed and landings of each

Table I: Comparison of the lipid levels in adult sardine caught off Japan in 1935 and 1988

Parameter	1935	1988
Months	Early August – early September	Mid August – mid September
Region	Funka Bay off south-western Hokkaido	South-eastern Hokkaido
Average total length (cm) Lipid content	21.1 - 21.6	22.0 - 22.2
(% in flesh)	12.00 - 16.64	20.12 - 22.75

species were not indicated. Sardine, anchovy and round herring are known to have been exploited off Japan since the tenth century. Around 1890, anchovy was one of the most abundant fish (Hayasi 1967). The Japan Bureau of Agriculture (1894, p. 430) stated that sardine was the most important fishery of Japan: "It comes in enormous shoals and is caught almost everywhere along the coast." The fish migrated from south to north in spring and returned to the south in autumn. The greater part of the catch was dried and made into fertilizer and the oil extracted. About 1 200 tons of oil were exported each year (Japan Bureau of Agriculture 1894). Catch data for sardine are available from 1894, and for anchovy from 1905 (Appendix).

Sardine — The Japanese sardine fishery has had a long history since the beginning of the Tokugawa era (1600–1867). There have been six peaks of sardine catches: 1633–1660, 1673–1725, 1817–1843, 1858–1882 (Kikuchi 1958), 1920–1945 and 1975–1995. In the Tokugawa era, sardine were caught primarily by beach seines and eight-angle lift nets set along the shore. Changes in the availability and abundance of sardine caused the development and collapse of various coastal fishing villages.

There are two subpopulations of the Far Eastern sardine. One, the Sea of Japan subpopulation, is distributed in the Sea of Japan and the East China Sea. The second, the Pacific subpopulation, ranges along the Pacific coast of Japan. When the sardine population was small from 1950 to 1970, it was confined to a small coastal area along western and southern Japan. In the 1980s, it was distributed throughout the Sea of Japan (the Sea of Japan subpopulation) and in the Pacific as far east as 165°W (the Pacific subpopulation, Fig. 1).

Trends in sardine catches in the North-Western Pacific between 1894 and 1996 are shown in Figure 2a. There are two peaks in this period, one in the 1930s and the other in the 1980s. In both instances, the increase in catches resulted from an increased stock size, accompanied by an enormous spatial expansion of the range of sardine.

In the 1930s, catches were mainly from the Sea of

Japan subpopulation. A large portion of the catch was taken from the north-western Sea of Japan. Part of this subpopulation moved to the Pacific Ocean through the Tsugaru Strait between Hokkaido and Honshu and was caught in Funka Bay off south-western Hokkaido. In the 1980s, the Pacific subpopulation was much larger than that of the Sea of Japan. Most of the catch was from waters south-east of Hokkaido and east of Honshu.

The two subpopulations in the North-Western Pacific can be distinguished from each other by different contents of lipid at the same physiological stage. Table I shows the lipid contents of adult sardine caught just prior to spawning in the two peak years: 1935 (from the Sea of Japan subpopulation) and 1988 (from the Pacific subpopulation). The former had a lower lipid content.

Anchovy — Anchovy has a distribution in the temperate zone of the western North Pacific from southern Sakhalin to Taiwan. The east coast of Japan produced about 75% of the total catch in 1962. This included the Seto Inland Sea between southern Honshu and Shikoku. The northern island of Hokkaido produced <0.5% of the catch on the east coast (Hayasi 1967). Hayasi (1967) reported that the catch peaked in summer and winter and that little is known about the migration of anchovy. The Kuroshio Current transports eggs from southern Kyushu to the Pacific coast of Honshu. Eggs and larvae are found in surface water temperatures of  $11-29^{\circ}$ C.

The catch from 1905 through 1996 is shown in Figure 2b. The Japanese catch decreased by almost half in the mid 1930s, at the time the sardine catch was high. It then doubled between 1949 and 1950 and continued at this higher level until 1972, when it dropped rapidly through 1979. Since 1979, the trend has been up and down but mostly up. Haysai (1967) reports that the anchovy catch reflected fluctuations in population size and not a preference of the fishers for sardine. Catch data for Taiwan became available in 1989 and for China in 1990 (Fig. 2c). The Chinese catch rapidly increased to 557 000 tons by 1993, whereas the Japanese catch was down to 195 000 tons after a high of 329 000 tons in 1991.

# RECENT COLLAPSE OF THE SARDINE POPULATION

Having peaked at 5.43 million tons in 1988, the Japanese catch of Far Eastern sardine declined steeply to 0.3 million tons in 1996. The decrease resulted from a collapse of the sardine population, accompanied by a tremendous reduction of its range. The period between 1977 and 1988 was one of prosperity for sardine with an extended range (Fig. 1), and catches of more than a million tons per year were recorded from the waters south-east of Hokkaido. However, sardine disappeared from around northern Japan in the

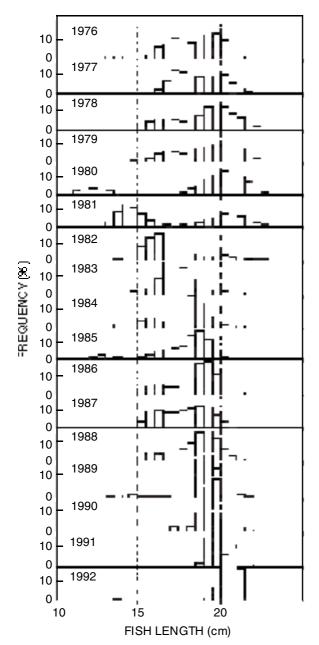


Fig. 3: Annual length frequency distributions of sardine caught by the two-boat, purse-seine operation in waters southeast of Hokkaido, 1976–1992. Data from Hokkaido Kushiro Fisheries Experimental Station

early 1990s, with only a small fraction of the former population remaining in the western Japan Sea by the late 1990s.

Frequency distributions of body lengths (Fig. 3) and

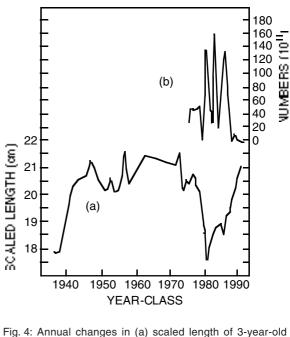


Fig. 4: Annual changes in (a) scaled length of 3-year-old sardine and (b) numbers of 1-year-old recruits southeast of Hokkaido. Sources of data on numbers of recruits: 1976–1984 – Wada (1988); 1985–1994 – Long-term Forecasting on the Distribution and Abundance of the Important Fishery Resources and Related Oceanographic Conditions in the Sea of Chuo Blocs 86 (1991) 93 (1994). From Kawasaki and Omori (1995)

a recruitment index (Fig. 4) show that 1-year-old sardine were almost absent off Hokkaido after 1989. Although production of sardine eggs along the Pacific coast of Japan increased from 1984 to 1991, survival of eggs to fish aged one year decreased by an order of magnitude for the 1988 and 1989 year-classes and by two orders of magnitude for the 1990 year-class (Table II). Survival subsequently remained poor. This strongly suggests that the collapse of the sardine population resulted from survival failure, not from overfishing (Kawasaki 1993).

## **North-Eastern Pacific**

In the North-Eastern Pacific, the distribution of sardine and anchovy ranges along the west coast of North America from Baja California to British Columbia, and the Gulf of California. The California Current is the dominant feature along the West Coast, whereas the Gulf is separated by Baja California Peninsula from the California Current. Within this section, the Gulf of California is treated separately, except when comparing it with the West Coast.

The sardine and anchovy fisheries of the California

Table II: Number of eggs produced along the Pacific coast of Japan (A), number of 1-year-old recruits in waters south-east of Hokkaido (B), and the relative survival of eggs to 1-year-old recruits (B/A) for the 1984–1993 sardine year-classes off Japan. Data from National Institute of Fisheries Science, Fishery Agency, Government of Japan

Year-class	Number of eggs (×10 <sup>12</sup> )	Number of recruits (×10 <sup>8</sup> )	Survival (×10 <sup>-4</sup> )
1984	1 854	662	0.357
1985	2 081	1 402	0.623
1986	8 935	7 907	0.880
1987	1 860	1 465	0.778
1988	3 784	116	0.031
1989	3 897	82	0.021
1990	6 528	28	0.004
1991	3 762	27	0.007
1992	1 555	204	0.131
1993	1 591	43	0.027

Current continued their pattern of extreme and unexpected changes into the 1990s. The northern stock of sardine began an extended recovery from its near extinction in the 1970s and currently it is supporting a fishery of more than 100 000 tons. The stock has also recolonized the northern portion of its range between Oregon and Vancouver Island, where it had been commercially extinct for more than 50 years (Hargreaves et al. 1994). The Gulf of California sardine fishery rose to more than 270 000 tons annually, collapsed to less than 10 000 tons and then recovered to more than 200 000 tons. The northern anchovy, which was previously absent from the Gulf of California, extended its range to include the Gulf of California. This equatorward colonization occurred during a period of anomalously warm SST, even though northern anchovy Engraulis mordax are considered to be more of a cold water species. The far northern stock of anchovy, off Oregon and Washington, has apparently collapsed, even though there was no fishery on this stock.

#### HISTORICAL OVERVIEW

The 1916–1917 seasonal (June–May) catch of sardine in California was 24 975 tons and 241 tons for anchovy, whereas British Columbia reported a sardine catch of 75 tons. In 1935, Washington and Oregon reported their first catches of sardine of 9 and 23 859 tons respectively. Earlier reports of the presence, availability and catch of sardine and anchovy date from the 1850s. Both species were recorded in San Francisco Bay in the early 1850s by Girard (1854) and Lockington (1879). Sardine were not available in the markets from November 1878 through February 1879, were scarce during March and April and reasonably abundant from

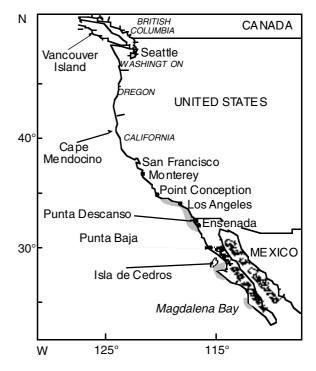


Fig. 5: Range of sardine in the North-Eastern Pacific Ocean. Dashed lines show the area occupied when the population is at a low level of abundance; dots indicate the extreme range when biomass is high. From the late 1960s to the early 1980s, the population was almost entirely south of 30°N. In the 1990s, the population extended from Baja California, Mexico, to British Columbia, Canada

May through September. Anchovy were reasonably abundant during the entire period. Jordan and Gilbert (1881) reported sardine in San Francisco Bay, Monterey Bay, Port San Luis, Santa Barbara, San Pedro (Los Angeles) and San Diego. They were reported as most common south of Point Conception, especially in San Diego, where they were taken with hook and line from wharves (Jordan and Gilbert 1882). In 1894, it was reported that sardine had been scarce for the past five years in San Francisco (Smith 1895). Canneries were in operation at San Francisco in 1889-1893 and in San Pedro in 1895 (Smith 1895, Anon. 1896). Swan (1894, p. 379) reports "The anchovy come to Puget Sound in enormous quantities, and during their season, from May to November, every bay and inlet is crowded with them." Between 1889 and 1899, the catch in California for sardine ranged from 500 to more than 1 000 tons and for anchovy from 56 to more than 200 tons. These data are available in the State of California Fish Commissioners Reports, and the Reports and Bulletins of the United States Commission of Fish and Fisheries.

# DISTRIBUTION

The geographical distribution of sardine in the California Current is heavily dependent upon its stock size and migratory behaviour (Fig. 5). Its extreme range extends from Alaska (57°N) to Cabo San Lucas (23°N) and around into the Gulf of California. The northern portion of the range is seasonally well below the preferred temperatures for sardine, and it migrates equatorwards to south of about 36°N to overwinter and spawn. Tagging studies indicated that the larger/older fish moved farther north than young adults (Clark and Janssen 1945). During the period of low stock size and generally cold temperatures (1950-1980), sardine were virtually absent from the Oregon-Alaska region and few occurred off central California. Sardine young of the year were found off central California in 1992 for the first time since 1957–58, and spawning concentrations were discovered in 1994. The rapid growth of the population during the 1990s and warm sea surface temperatures have resulted in the stock re-occupying feeding grounds in central California, Oregon, Washington and British Columbia. This expansion was associated with a northward and offshore movement of the principal spawning grounds from Baja California to the region offshore and north of Point Conception (35°N).

In the California Current system, anchovy are distributed from the Queen Charlotte Islands, British Columbia, to Magdalena Bay, Baja California, and they have recently colonized the Gulf of California.

## GROWTH, MORTALITY AND SEXUAL MATURITY

Sardine — Pacific sardine have considerable regional variation in growth rates, with average size at age increasing from south to north in the northern stock (Phillips 1948). The bulk of the fish in the historical California fishery were five years or younger. Larger (to 30 cm total length, *TL*) and older (to 13 years of age) fish were the most common in the Canadian fishery. Sardine in southern Baja California and the Gulf of California do not achieve the size of the northern fish. However, size-at-age for younger fish is not markedly different.

Murphy (1966) reported that the natural mortality rate (M) of the northern stock was 0.4 during the peak of the fishery, and that it increased to 0.8 during the period of population decline. MacCall (1979) used a constant mortality rate of M = 0.4 for his analyses and Clark and Marr (1955) estimated an M of 0.51. Sardine older than six years are very rare in the southern regions, which suggests that natural mortality may be higher than off California.

Sardine are multiple-batch spawners and their annual fecundity is indeterminate. Relatively little information is available concerning the variation of fecundity with age. Based on comparisons with sardine off South Africa, Butler *et al.* (1993) estimated that 2-year-old sardine spawn on average six times per year, whereas the oldest sardine spawn 40 times per year. Both eggs and larvae are found near the surface. Temperature strongly influences the geographical distribution of sardine. Off southern Baja California, eggs are most abundant at  $22-25^{\circ}$ C, whereas they are most common at  $17-21^{\circ}$ C in the Gulf of California and at  $14-16^{\circ}$ C off California (Lluch-Belda *et al.* 1991b).

Anchovy — In the central anchovy stock, fish as old as seven years and as large as 23 cm TL have been recorded, but the majority of the population is <16 cm and less than four years of age. Estimates of M vary from 0.6 to 1.1. There is a great deal of regional variation in age composition and size, with older and larger anchovy found farther offshore and to the north; in the southern stock, fish do not exceed 14 cm (Parrish *et al.* 1985).

Spawning occurs all year, but there is a seasonal peak in late winter and early spring (February-April). In contrast to sardine, anchovy throughout their range have a similar preferred spawning temperature, near 14°C, and eggs are most abundant at temperatures of 12-16°C (Lluch-Belda et al. 1991b). Females spawn numerous batches of eggs throughout the spawning season and the annual number of batches and annual fecundity is highly age/size dependent. Females aged four and older produce nearly five times as many eggs per unit body mass as 1-year-old fish. This increased fecundity is primarily achieved by an increased number of spawnings and increased length of the spawning season in older fish (Parrish et al. 1986). Spawning of 1-year olds is also dependent upon sea surface temperatures, sexual maturity being depressed at temperatures <13°C (Methot 1989).

#### STOCK STRUCTURE

Sardine — Two sardine subpopulations occupy the California Current. A northern stock (off northern Baja California to Alaska) and a southern stock (off central and southern Baja California). A separate Gulf of California subpopulation was distinguished on the basis of serological techniques (Vrooman 1964). Biochemical genetic analyses and morphological studies (Hedgecock *et al.* 1989) show extremely little genetic variation among sardine from central and southern California, the Pacific coast of Baja California or the Gulf of California, suggesting that the fish in the various areas may form separate fishery stocks, but not genetic stocks.

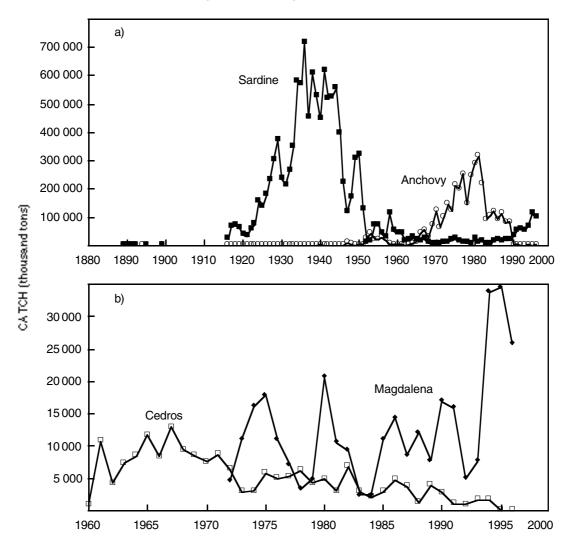


Fig. 6: Trends in catches of (a) sardine and anchovy in the California Current, 1889–1997; seasonal data (July–June) were used from 1916/1917 through 1967/1968, because the British Columbia, Washington and Oregon data are all seasonal data (annual data were used from 1968 through 1996), and (b) catches of sardine at Cedros and Magdelena off southern Baja California, 1960–1996

Anchovy — The anchovy population has traditionally been divided into three fishery stocks (northern, central and southern). However, a fourth fishery stock is probably that in the Gulf of California. The northern subpopulation extends from British Columbia to central California and little information is available for that stock. The central subpopulation, which has supported significant commercial fisheries in the U.S. and Mexico, ranges from central California to Punta Baja, Baja California. The bulk of the central subpopulation is located in the southern California Bight, which extends from Point Conception, California, in the north to Point Descanso, Baja California, in the south. The southern stock resides off the Pacific coast of central and southern Baja California and it supports a small fishery in southern Baja. Anchovy have only recently recolonized the Gulf of California and, since the mid-1980s, small catches have been made there.

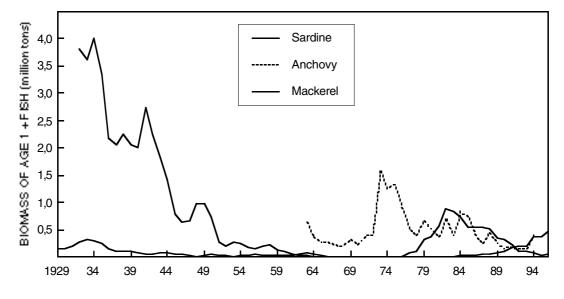


Fig. 7: Trends in the biomass of sardine, anchovy and chub mackerel off California and northern Baja California, 1929-1996

# FISHERY (WEST COAST)

Sardine — The California sardine fishery peaked at more than 700 000 tons in the mid 1930s and collapsed in the 1950s, falling from a biomass of just over four million tons to <3 000 tons in the 1970s. The fishery was finally completely protected in the early 1970s, and there was evidence of recovery in the early 1980s. The stock has now expanded to cover its original range and the fishery exceeded 110 000 tons in 1997 (Fig. 6a). The stock in southern Baja California has continued to support a small fishery, but little evidence of a major population has ever been observed there (Fig. 6b).

Anchovy — The central stock in the California Current supported a fishery that averaged more than 200 000 tons from the mid 1970s to the early 1980s. It peaked in 1981 at 310 211 tons, but two years later it had declined by 70%. The fishery again declined sharply in 1990, and it has remained at a low level (Fig. 6a). Few anchovy are harvested in either the southern Baja California region or to the north of Point Conception.

## STOCK ASSESSMENT (WEST COAST)

Sardine — Former assessments of the northern sardine stock were based on cohort analyses (Murphy 1966,

MacCall 1979), whereas more recent assessments have used an integrated stock synthesis type model (Hill *et al.* 1998). The present geographical expansion of the stock has made estimates rather imprecise, because an unknown proportion of the stock is out of range of many of the monitoring systems utilized in the development of the stock assessment model (Fig. 7). Assessments are not available for the stock off southern Baja California.

Anchovy — The central stock has had major research effort directed towards stock assessment. The most recent biomass estimates (Jacobson et al. 1995) show that it peaked at 1.6 million tons in 1973 and has not exceeded 500 000 tons in the past decade (Fig. 7). During the early 1990s, biomass was about 150 000 tons and the most recent estimate is about 400 000 tons. Palaeo-sediment analyses show that this stock is highly variable at longer time-scales (see the section on Proxy Records). Stock assessment work for the other stocks of anchovy in the California Current has been limited and no information is available for anchovy in southern Baja California. An early estimate based on eggs and larvae was made for the northern stock, which suggested it was more than 260 000 tons in 1975 (Richardson 1981). However, more recent information on spawning rates implies that this estimate was too large. The most recent work on the stock suggests that, although it has been virtually unexploited,

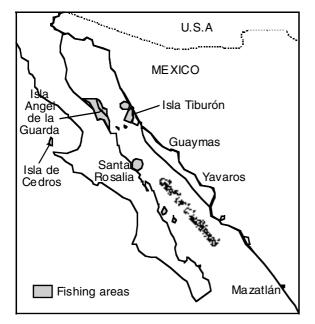


Fig. 8: Fishing areas for sardine in the Gulf of California

it has declined to an extremely low level. In contrast, the newly established population in the Gulf of California has been the subject of research and the estimated spawner biomass in 1991 was 110 000 tons (Cotero-Altamirano and Green-Ruiz 1997).

#### GULF OF CALIFORNIA

Sardine — Sardine were reported in the Gulf of California for many years before fishing started. A few examples are: in 1940 there were sardine north of La Paz (Clark 1947); they were collected near Guaymas in 1951 and 1952 (Walker 1953); they were collected in 1961 and 1962 in the western Gulf, from the lower Gulf to the upper Gulf (Vrooman 1964).

The sardine fishery is the largest of those for small pelagic fish in the Gulf of California. This fishery began in the late 1960s, as the sardine fishery on the west coast of Baja California declined and boats moved to the Gulf. First, small boats, without ice, fished near the port of Guaymas. By 1977, larger boats arrived, fished over a larger area and spent more time fishing before returning to port. Fishing during that time was limited to the central, east coast of the Gulf and from October to May. By 1979/80, the fishing area expanded to the major islands in the northern Gulf and extended to a 12-month fishery. By 1982/83, the fishery also expanded southwards along the east coast of the Gulf (Cisneros-Mata *et al.* 1995, Fig. 8). The peak catch of 294 000 tons was in 1988/89, after which the catch declined by almost two-thirds in one year and then further to 7 000 tons in 1991/92. Since then, the catch increased to 203 000 tons in 1996/97 (Fig. 9). In recent years, there have been some regulations on the fishing season in the Gulf.

Virtual population estimates of sardine biomass showed an increase in the adult stock from 1975/76, with a peak in 1985/86 of about 1.2 million tons. Subsequently, the population decreased. The level of recruitment showed a similar pattern, increasing after the 1974/75 season until 1984/85, and then falling dramatically. The mean length and size at maturity of sardine decreased during the period of increased abundance, then increased as the stock size decreased.

Lluch-Belda *et al.* (1986) concluded that the sardine catch is influenced by the availability of the fish to the fishing fleet and that availability is influenced by the ocean climate. During warmer years, sardine do not move as far south along the east coast of the Gulf, and the fleet has less area to fish. During the coldest years, the fish have been caught as far south as Mazatlan. Overfishing could have influenced the very rapid collapse of the fishery at the time when both biomass and recruitment were declining (Cisneros-Mata *et al.* 1995).

Anchovy — In 1985, juvenile anchovy were sighted near Guaymas, and during the fishing year 1985/86 they were being caught with sardine schools. This was the first time anchovy had ever been reported in the Gulf (Hammann and Cisneros-Mata 1989), although their earlier presence is known from scales in sediments (see section on Proxy Records). The first appearance of anchovy in the catch was also the year of the beginning of the decrease in sardine biomass. There was an increase in the catch of chub mackerel Scomber japonicus that year. The anchovy fishery reached a peak in the 1989/90 fishing season (18 000 tons), then declined to zero during the 1996/97 fishing season (Fig. 9). The spawner biomass was estimated to be 105 000 tons in 1991 (Cotero-Altamirano and Green-Ruiz 1997).

Ichthyoplankton studies, undertaken since 1971, have shown that sardine eggs and larvae occur south of Isla Angel de la Guarda, across the Gulf of California. In 1985, when anchovy were first detected in the Gulf, eggs and larvae of sardine were more abundant than those of anchovy. By 1987, there were approximately equal numbers of eggs and larvae of the two species and the area of their distribution was similar. However,

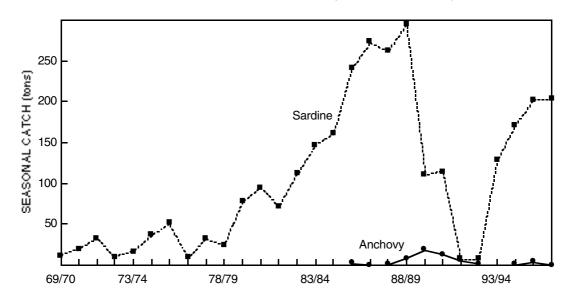


Fig. 9: Trends in catches of sardine and anchovy in the Gulf of California, 1969/70-1996/97

during 1991, 1992 and 1994, young anchovy larvae were much more abundant than sardine larvae. During 1987, 1991 and 1992, anchovy eggs and larvae were found in the vicinity of the large islands in the Gulf, but during 1992 they were restricted to the more northerly, cooler waters, because of the *El Niño* event.

#### **South-Eastern Pacific**

The Humboldt Current is the most productive in the world in terms of anchovy and sardine biomass. Catches have been made from Ecuador south to Talcahuano ( $35^{\circ}S$ ) in Chile, but mostly from northern Peru to northern Chile (Fig. 10).

The fishing industry began in the 1930s, but it did not develop into large-scale production until about 1955. By 1963, the catch of anchovy in the Humboldt system, for fishmeal production, was more than that of any other fish species in the world (Doucet and Einarsson 1967). Many of the fishmeal processing plants were imported from California, where the sardine catch had collapsed. Since 1959, the combined annual catch of anchovy and sardine in the Humboldt system has never been less than two million tons. Until about 1975, the catch was almost exclusively anchovy. At that time, the sardine biomass and catch began to increase markedly. In 1986, the sardine catch began to decrease and the anchovy catch to increase.

## DISTRIBUTION

There are two stocks of anchovy in the Humboldt Current, a northern stock, fished in northern and central Peru, and a southern stock, fished in southern Peru and northern Chile (Fig. 10). There are also four relatively discrete stocks of sardine: a northern stock off north-central Peru, a shared stock off southern Peru and northern Chile, a Coquimbo stock (29°31'S) and a Talcahuano stock (35°38'S, Parrish *et al.* 1989).

For anchovy, there was a larger catch of fish in northern and central Peru than in southern Peru by factors ranging up to 37, except for 1978, 1980, 1981 and 1984, when more fish were caught in southern Peru. During 1983, an *El Niño* year, there were very few anchovy caught in either location, especially southern Peru (366 tons); off north Chile there was no catch. Generally, the ratio of catches off north-central Peru to those in southern Peru and northern Chile fell from 1960 through 1984, and then began to increase again. Overall, it appears that anchovy are more plentiful in north and central Peru than in southern Peru.

When comparing the anchovy catch off southern Peru with that of northern Chile between 1969 and 1985, more fish were consistently caught off southern Peru. In all other years between 1960 and 1996, except 1965, more anchovy were caught off northern Chile than southern Peru (Appendix).

Catches of sardine were always greater off northcentral Peru and northern Chile than off southern

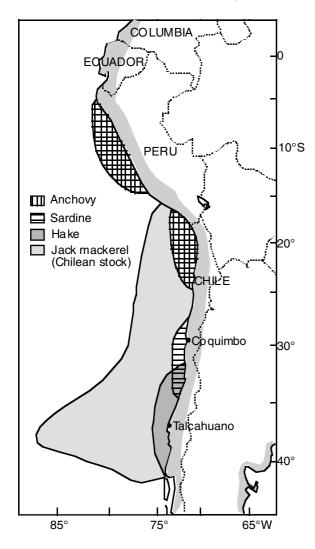


Fig. 10: Generalized locations of the fisheries for anchovy, sardine, hake and jack mackerel in the South-Eastern Pacific Ocean. When biomass of sardine was high, they were found up to 1 200 miles from the coast

Peru (Appendix).

Information is available that, when sardine biomass off Chile was high in 1983/84, sardine extended westwards nearly 1 200 miles into the south Pacific Ocean, to 97.5°W. During the 1982–83 *El Niño*, there was a large geographical displacement of sardine, as shown by tagging recoveries and hydro-acoustic surveys. During that *El Niño*, the Ecuadorian sardine fishery was much reduced as the fish moved south into Peruvian waters, possibly to avoid high water temperatures. Sardine also appeared to move into southern Peru and Chile. Maximum movement was from about 9 to 30°S. There is considerable evidence for intermixing of sardine from central Peru to Coquimbo, Chile (Parrish *et al.* 1989).

Russian investigations were undertaken at a distance of more than 200 miles from the coast of Peru and Chile from 1978 to 1985. These showed seasonal and interannual variations in the distribution of sardine. Most sardine were found in surface temperatures of  $17-20^{\circ}$ C. The distributions of eggs and larvae were patchy. Batch fecundity varied between 35 and 150 thousand eggs in female sardine of 20.7-31.5 cm (fork length, *FL*). The timing and location of spawning varied among years. During the season before the 1982/83 *El Niño*, and during that *El Niño*, eggs were relatively scarce. Anchovy spawned in water temperatures of  $16-18^{\circ}$ C, whereas sardine spawned in temperatures of  $19-21^{\circ}$ C (KVS, pers. obs.).

## LANDINGS

Anchovy — Trends in landings of anchovy off Peru and Chile are shown in Figure 11. The catch rose steeply from 1956 to 1970, peaking at nearly 13 million tons. It then dropped to less than two million tons in 1973. At that time, the sardine catch began to increase. The anchovy catch oscillated up and down until 1984, when it reached its smallest catch of 25 084 tons. The catch then rose to almost 12 million tons in 1994. In 1995 and 1996 the anchovy catch was about eight million tons.

The catches from each of the two anchovy stocks are in phase, ascending or descending together in most years (Fig. 12). The largest catches are from the northern stock. In 1970, when the highest catch was recorded, the catch from the northern stock was 5.5 times that from the southern stock. In 1994, the peak of the recent anchovy boom, there was a three-fold difference in catches from the two stocks. When the catch was at its lowest, in 1984, the catch from the northern stock (2 403 tons) was smaller than that from the southern stock (24 818 tons). That year followed the 1982–83 *El Niño*, and there may have been a southern displacement of the catch.

There is some exchange of anchovy between regions. In 1970, tagging of anchovy off Peru showed fish there to be mainly a single population, but there were some tags recovered in Chile (IMARPE 1972, Yañez 1991).

Sardine — Catches of sardine off Peru began in 1951 with 1 000 tons. In 1952 and 1953, some 400 and 100 tons were caught respectively. There are no reported catches from 1954 through 1957. Then in 1958, the catch was 2 000 tons. From 1959 through 1972 the

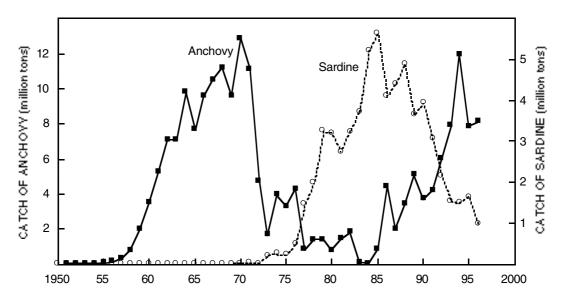


Fig. 11: Trends in catches of sardine and anchovy in the Humboldt Current, 1950-1996

largest catch in any year was 10 000 tons, but mostly annual catches ranged between 1 000 and 4 000 tons. Catches off northern Chile began in 1965 (3 800 tons), but only from 1970 are data recorded separately for all four stocks.

crease in the early 1970s, and from the southern Talcahuano stock in the late 1970s. Catches off northerncentral Peru peaked around 3.4 million tons in 1988, and then decreased (Fig. 13). Off southern Peru and northern Chile, catches peaked in 1985 at a level of three million tons, and then steadily decreased. The pattern

Catches from the three northern stocks began to in-

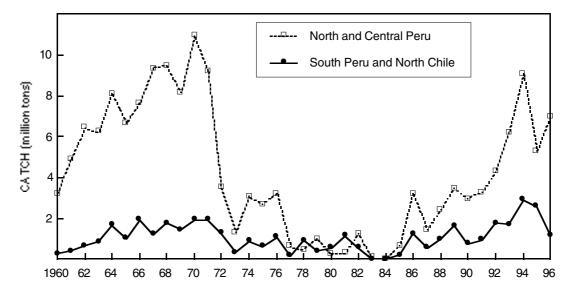


Fig. 12: Trends in catches of anchovy from the stock off northern and central Peru, and from that off southern Peru and northern Chile, 1960–1996

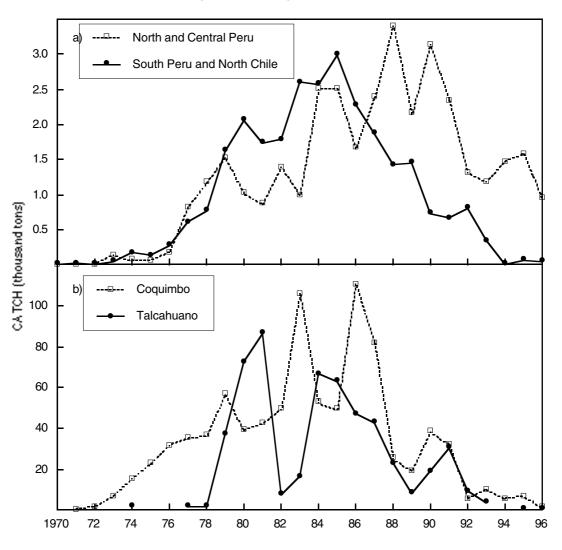


Fig. 13: Trends in catches of sardine from the four stocks in the Humboldt Current – those off (a) northern and central Peru and off southern Peru and northern Chile, and (b) off Coquimbo and off Talcahuano, 1971–1996

off Coquimbo was similar, but at Talcahuano the catch peaked in 1981 (Fig. 13). In 1996, landings in Peru amounted to about one million tons, but those from stocks along the Chilean coast were <50 000 tons.

# ABUNDANCE

Trends in biomass of anchovy and sardine, for both adults and recruits, are shown in Figure 14. Those for adults suggest that both the anchovy decline and the sardine increase were initiated in the early 1970s. Biomass of adult sardine off north-central Peru peaked in 1987, whereas off south Peru/north Chile the peak was in 1980. Biomass of anchovy off northcentral Peru began to recover after 1983.

1999

More exact dates of the initial change from a regime of anchovy to one of sardine, and the subsequent reversal to an anchovy-dominated system, can be deduced from estimates of recruit biomass (Fig. 14b). Anchovy recruitment fell in 1970, coinciding with the period when the composition of the ichthyoplankton community off northern Chile changed (Loeb and

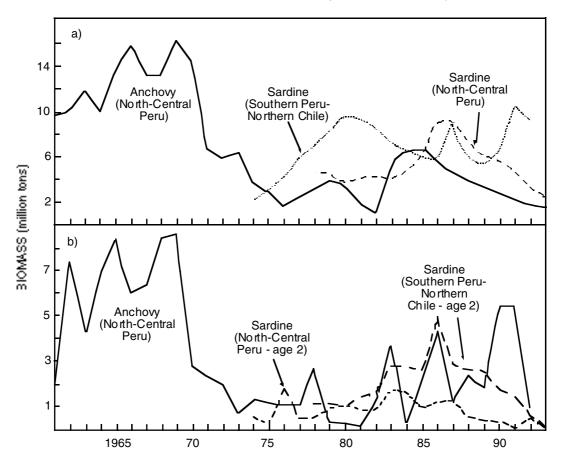


Fig. 14: Estimates of the biomass of (a) the adult component of stocks of sardine and anchovy in the Humboldt Current and (b) recruitment to those stocks, 1961–1993. Sardine recruits are aged two years, those of anchovy are young of the year

Rojas 1988). Recruitment of sardine increased from the early 1970s, fluctuated around a high level, and started to decrease again from about 1988–1990. As sardine recruits are 2 years old, this means that poor year-classes originated two years earlier. Trends of sardine recruits support the conclusion of an earlier decline of the Chilean stocks than that off north-central Peru.

## South-Australia Pacific

#### BACKGROUND

The coastal and oceanic waters off Australia are relatively unproductive, with both the east and west coasts of the continent dominated by south-flowing, warm, oligotrophic, tropical waters (Pearce 1991). The strengths of these currents are strongly correlated with variations in the Southern Oscillation Index. One of the major impacts of these currents, particularly off the west coast of Australia, is to inhibit almost completely any upwelling. Therefore, the eastern boundary current off Western Australia (WA) is unique in the world.

There are two additional factors that influence the potential size of the pelagic fish resources of Australia. First, the continental shelf in most southern areas of Australia is relatively narrow. Second, with most of the continent being arid, there is relatively little input from river systems providing terrestrial sources of nutrients. This is especially the case in the western half of the continent, where the majority of the land is desert.

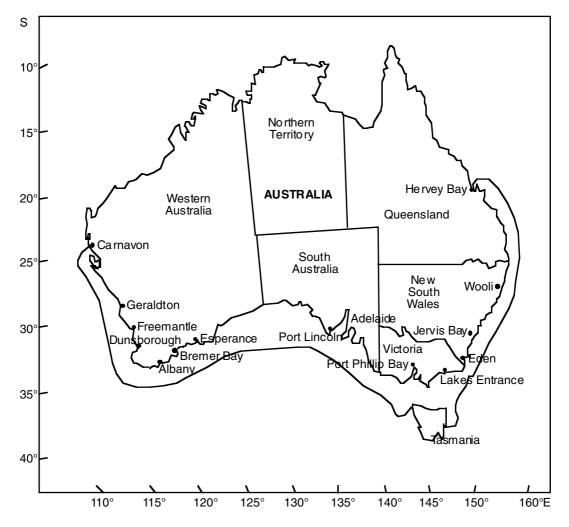


Fig. 15: Distribution of sardine off Australia. Except for Carnarvon and Hervey Bay, which are at the extremes of the range, the other locations shown are fishing ports for sardine

# DISTRIBUTION

Sardine — The distribution of sardine (or pilchard as they are locally known) in Australia extends across the entire lower half of the continent, from Red Bluff near Sharks Bay in Western Australia (WA), down and across the southern WA coastline, the Great Australian Bight (GAB), South Australia (SA), Victoria (Vic) and northern Tasmania and up the New South Wales (NSW) coast to Hervey Bay in southern Queensland (Fletcher 1990, Fig. 15). This is a total of 6 700 km, which makes it the largest range, in terms of linear distance, of all *Sardinops* populations. *Sardinops* also are distributed around the coasts of New Zealand. Trawl surveys and plankton studies have shown that, within Australian waters, sardine are almost totally restricted to waters of the continental shelf (e.g. Fletcher 1990, 1994). Furthermore, although larvae have sometimes been found in estuarine environments (Gaughan *et al.* 1990), extensive sampling has found neither juveniles nor adults within these locations in WA. Sardine have, however, been caught in oceanic regions along the south coast of WA, particularly near Esperance. On the east coast of Australia, Blackburn (1949, 1950) concluded that juvenile sardine inhabited sheltered bays and inlets, albeit with little supporting evidence (Fletcher 1990).

1999

Anchovy — The Australian anchovy Engraulis aus-

*tralis* also has a distribution that spans most of southern Australia. However, it generally inhabits only sheltered bays, inlets and estuarine areas, being rarely found in oceanic waters, and is therefore not found in the GAB. There is little information available for this species and there is no consistent fishery for it.

#### STOCK STRUCTURE

A large amount of work has been devoted to studying the stock structure of sardine in Australia, particularly in WA, and has shown that separation can be seen at a number of different levels.

Spawning times — Spawning seasons vary greatly among localities. Therefore, in the eastern region of WA and into the GAB, the main time for spawning by sardine is April–July (Blackburn 1950, Fletcher *et al.* 1996b). At Bremer Bay, there is also only one main spawning period per year, during June and July (Fletcher *et al.* 1994), whereas at Albany there are two periods of spawning, one in July and the other December/ January (Fletcher *et al.* 1994). There are also two periods of spawning on the west coast of WA, one in August and the other in February–March (Fletcher *et al.* 1996b).

Elsewhere in Australia, sardine spawn from February to March (SA) and November (Vic), whereas in NSW the season in the south begins during summer and moves later in the year with increasing distance up the NSW coast (Fletcher 1990).

Age structure — The rates of total mortality measured for a number of areas in WA appear to be related to the different levels of fishing exploitation. Therefore, at Albany, the total mortality rate is high (Z > 0.8), which correlates with the long history of heavy fishing in that region. At Bremer Bay, where exploitation has been much lower, mortality is lower, whereas at Esperance, where exploitation has only just begun, the curves appear to merely reflect natural mortality (Z = 0.43). Such differences are inconsistent with a freely mixing adult stock.

*Plankton* — Plankton surveys completed in summer and winter on the south coast of WA for the years 1991–1995 have confirmed that there are a number of discrete spawning areas, which are consistent in space and time, but the products of these are often mixed as a result of transport by the Leeuwin Current (Fletcher *et al.* 1994, 1996b). Furthermore, there has always been a large gap between spawning areas on the South and West coasts. There has also been surprisingly little evidence of movement of material from the West Coast to the South Coast, despite the presence of the strong, south-flowing Leeuwin Current.

Morphological studies - Studies of variation in mor-

phological features have found significant differences among regions. An eastern group (NSW), a southeastern group (Vic), a south-western group (southern WA) and a western group (west coast of WA, Blackburn 1951, Syahailatua 1992).

*Electrophoresis* — Dixon *et al.* (1993) completed an allozyme study of the genetic structure of sardine throughout Australia and concluded that there was "a series of contiguous quasi-independent pilchard sub populations". Those authors delineated western, south-western, south-eastern and eastern populations, the boundaries of which possibly shift in response to variations in environmental conditions, particularly the Leeuwin Current. However, this separation was not shown to be complete.

*Minor and trace elements* — Results of a relatively new technique of examining the composition of minor and trace elements in otoliths as a method of stock delineation were initially promising for sardine. They showed clear separation among sites on the South Coast, but no separation between West Coast sites (Edmonds *et al.* 1995). Repeated sampling on the South Coast showed that the level of temporal variation was similar to the level of spatial variation. However, there appear to be at least two South Coast stocks, the positions of which may vary with time. There is some overlapping of stocks, at least on a small scale.

 $O^{16/18}$  isotope analyses — Sampling of sardine otoliths from different locations revealed very clear differences in isotope ratios among sites, which were sustained over a full year of sampling (Edmonds and Fletcher 1997). Otoliths from West Coast sardine had ratios suggesting that they lived in water that, on average, was 1.5°C warmer than South Coast sardine. Those from Esperance indicated a temperature about 0.5°C cooler than at Albany and Bremer Bay. Both these results are consistent with average differences in temperatures among sites. These data support the notion that there is little movement between the South and West coasts by adult sardine, and that there is even restricted movement along the South Coast by adults.

*Conclusions* — In WA, there appear to be two separate spawning stocks, those on the West Coast and the South Coast, with recruits functionally separated. Along the South Coast there also seems to be a finer level of separation, with at least two and probably three adult stocks in that region. The position of these stocks is variable and there is evidence for major flow between areas during the juvenile phases (<3 years of age), but only minor movement as adults. In terms of fisheries management, the three South Coast areas are treated separately. By contrast, none

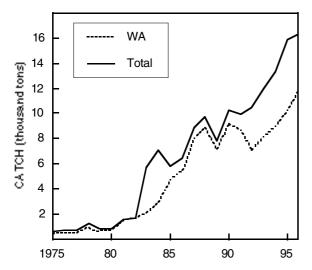


Fig. 16: Trends of catches of sardine in Western Australia and overall in Australia (Total), 1975–1996

of the data collected on the West Coast have indicated any finer level of stock separation, and consequently this area is treated as one stock.

#### GROWTH AND SEXUAL MATURITY

The size of individual sardine is much smaller in Australia than at the other locations around the world where the species is located. The average and maximum sizes in WA are 160 and 205 mm FL (40–100 g) respectively (Fletcher and Blight 1996). Despite the difference in sizes attained, the pattern of growth appears to be relatively similar to other Sardinops populations (Fletcher 1990). For the WA population, sexual maturity is attained during the second year, at approximately 120-130 mm FL, and sardine live to a maximum of nine years (Fletcher 1995, Fletcher and Blight 1996). Females grow to larger lengths than males ( $L_{\infty}$  of the Von Bertalanffy equation is 174 and 164 mm respectively), with the change in growth trajectories occurring after age two (Fletcher 1995, Fletcher and Blight 1996).

#### FISHERY

The fisheries for sardine and anchovy in Australia are small in comparison to the other regions of the world where these species are found. The maximum annual catch for these two species combined from Australian waters has been only around 16 000 tons. The composition of the catch is dominated by sardine, with the fishery for anchovy largely restricted to one

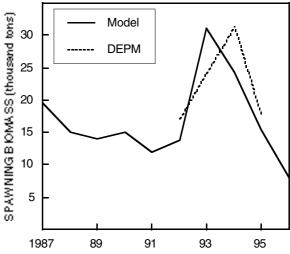


Fig. 17: Estimates of spawner biomass of sardine in the Albany region of Western Australia from a simulation model (Model) and the daily egg production method (DEPM), 1987–1996

or two embayment areas in Victoria on the southeastern coast of Australia.

The largest sardine fishery is in WA (Fletcher 1991). There, fishing for sardine began during the 1950s, expanding during the 1970s and especially the 1980s in both the areas of fishing and the level of catches (Fig. 16). There are at present seven management zones for sardine fishing in WA; all either already have a Total Allowable Catch (TAC) or a TAC that is being determined. A total of more than 40 boats has endorsements to use purse-seine gear within these zones and the catch during the past five years has averaged approximately 9 000 tons. This makes up more than 75% of the total sardine catch from Australian waters. Catches in other locations around Australia have increased recently, particularly in SA. The Australian catch of sardine exceeded 10 000 tons in 1990 and during the period 1992–1996.

## ABUNDANCE

Estimates of the size of sardine stocks in Australia have only recently been available. A computer simulation model, based on catch and effort information for the WA south coast fishery, was created in 1991 (Fletcher 1992). This estimated that the stock size of sardine in the Albany region was between 10 000 and 35 000 tons. Subsequent use of the model in conjunction with catch-at-age information, which has been collected yearly since 1989, has refined these estimates. The size

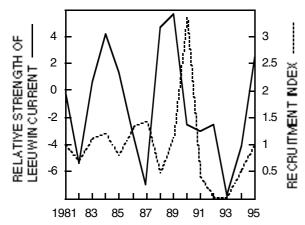


Fig. 18: Comparison between a recruitment index for sardine in the Albany region of Western Australia, and the relative strength of the Leeuwin Current as measured by sea level at Freemantle, 1981–1995

of the spawning stock at Albany during this period varied between 8 000 and 25 000 tons (Fig. 17), because of fluctuations in recruitment (Fig. 18, Fletcher 1994, 1995).

The daily egg production method (DEPM) for calculating biomass, which is independent of fishery information, was used in the Albany area from the period 1991–1995 and in 1997 (Fletcher *et al.* 1996a). These estimates, based on plankton tow data and adult spawning parameters, have varied between 15 000 and 32 000 tons, in good agreement with model estimates.

The pattern of recruitment at this site has seen large cohorts of 2-year-old fish derived from spawning during 1989 and 1990 entering the fishery. This was followed by a period of four years of greatly reduced recruitment that resulted in substantial declines in stock size, and a reduction of the quota from 5 500 to 3 500 tons per annum. These variations in recruitment may possibly be linked with variations in the strength of the Leeuwin Current, which in turn is influenced by El Niño Southern Oscillation (ENSO) events (Fig. 18). The Leeuwin Current directly influences temperatures and transport (Fletcher et al. 1996b). Many other species are affected by these fluctuations (Caputi et al. 1996). There was no expansion of anchovy in this region during the period of reduced sardine abundance.

The stock at Bremer Bay has been assessed using yearly catch-at-age information since 1989 and DEPM surveys in 1992 and 1993. Both indicate that the biomass is about 20 000 tons. Estimates of biomass for the other areas in WA are too preliminary to place much confidence in them.

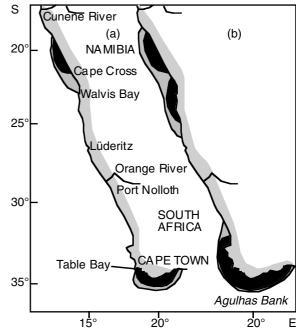


Fig. 19: Major fishing (hatched) and spawning (black) grounds of sardine in the South-Eastern Atlantic during periods of (a) low and (b) high abundance (after Lluch-Belda *et al.* 1989)

Estimates of biomass of sardine off SA using DEPM were 56 000 tons in 1995 and 18 000 tons in 1996. During those years, the *TAC* for the region was between 1 500 and 3 000 tons.

In Vic, the catch in the Port Philip Bay region has averaged approximately 1 000 tons for the past few years. This fishery catches mostly young-of-the-year (<11cm); almost no mature individuals are taken.

Off the NSW coast, fisheries for sardine have existed for a number of decades but the level of catches has been small (<500 tons and usually <100 tons). Although no formal estimate of stock size is available for the region, a substantial amount of dead sardine was seen off the coast during the period of mass mortalities. Hence, it is possible that the stock there may be bigger than has been assumed.

Conclusions — Until recently, variations in the biomass of sardine off Australia have been mostly short-term (2-5 years) fluctuations, more similar to those of tropical species such as *Sardinella* of Brazil than to those of *Sardinops* off Japan, southern Africa and Peru/Chile. However, sustained poor recruitment of sardine off many areas of WA during the 1990s suggest that long-term cycles may be possible.

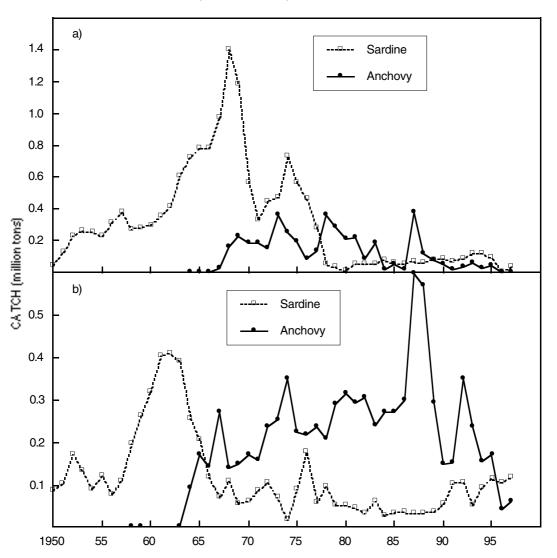


Fig. 20: Trends in catches of sardine and anchovy off (a) Namibia and (b) South Africa, 1950-1996

The very restricted distribution of anchovy in Australia results in there being almost no direct interaction with sardine. There are few reports of anchovy having a wider distribution at any time in the past. Therefore, there is no evidence that there has been a period when anchovy has dominated over sardine. Consequently, the fluctuations of stocks of sardine and anchovy in Australia do not yet exhibit characteristics observed in other regions of the world. This may result from the Australian ecosystems being considerably less productive than those elsewhere, and may also reflect the relatively short duration of the Australian time-series.

1999

# **South-Eastern Atlantic**

## DISTRIBUTION

There are two relatively independent stocks of sardine and anchovy off southern Africa, one each north and south of an area of intensive upwelling in the vicinity

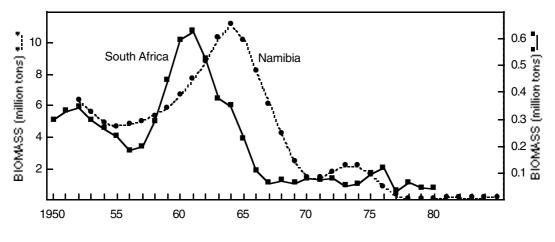


Fig. 21: Estimates from virtual population analysis of spawner biomass of sardine off Namibia and South Africa, 1950–1985

of Lüderitz (26°38'S, 15°09'E), Namibia (Fig. 19).

When sardine were at a high level of abundance, adults were plentiful north of Cape Town and south of Walvis Bay. As the stocks collapsed, the distribution of older sardine contracted and they spawned mainly in the north off Namibia and in the south off South Africa (Fig. 19). Adult anchovy tend to remain north of Walvis Bay, and on the western and central Agulhas Bank off South Africa (Hampton 1992, Barange *et al.* 1999). They generally did not colonize the region between Lüderitz and Table Bay that had been occupied by adult sardine.

Young-of-the-year of both sardine and anchovy are found from Walvis Bay northwards and south of the Orange River (Crawford *et al.* 1987, Hampton 1992, Barange *et al.* 1999). Off South Africa, they migrate southwards to the Agulhas Bank, and are only abundant off the West Coast seasonally.

Histories of the fisheries up to 1985 have been reviewed by Crawford *et al.* (1987). Regimes of sardine and anchovy in southern Africa since 1950 have been described by Crawford (1998).

#### **FISHERIES**

Sardine — In the 1950s and 1960s, 13.5 million tons of sardine were harvested by the purse-seine fisheries, 3.7 million tons off South Africa and 9.8 million tons off Namibia. The South African sardine fishery collapsed in the mid 1960s, ahead of that off Namibia, which decreased in the early 1970s (Fig. 20, Crawford *et al.* 1987). In the following two decades, from 1970 to 1989, the sardine catch totaled 5.7 million tons, of which 1.2 million tons were from South Africa and 4.5 million tons from Namibia. The annual South African catch peaked at 0.41 million tons in 1962 and the lowest catch of 16 000 tons was recorded in 1974. Off Namibia, 1.4 million tons were caught in 1968, but only 12 000 tons in 1980. The Angolan fishery has also exploited the northern stock of sardine.

Virtual population estimates of the spawner biomass of sardine off South Africa peaked at 0.63 million tons in 1961, falling to 0.03 million tons in 1977 (Fig. 21). Off Namibia, overall sardine biomass was estimated to have been more than 11 million tons in 1964, but just 0.05 million tons in 1979. For both South Africa and Namibia, the estimates are considered to reflect only large-scale trends in abundance because there are several limitations, including uncertainties concerning terminal fishing mortalities and the contributions of older age-classes in the initial period of the fishery (Armstrong *et al.* 1983, 1985, Thomas 1986).

Acoustic surveys to estimate sardine abundance were initiated off South Africa in 1984 (Hampton 1987). The spawner biomass of sardine was then 0.03 million tons, but it increased steadily to 0.77 million tons in 1997 (Hampton 1992, Barange et al. 1999). Off western South Africa, the increase is confirmed by the contribution of sardine to the diet of Cape gannets Morus capensis, which rose from <10% by mass in each year between 1978 and 1984 to almost 60% by 1990 (Crawford and Dyer 1995). A relatively strong sardine year-class was formed off South Africa in 1983 (Berruti and Colclough 1987), probably triggering subsequent growth of the resource. Acoustic surveys for sardine have recently been undertaken off Namibia, where more than 0.5 million tons were recorded in both 1991 and 1992 (Boyer 1994).

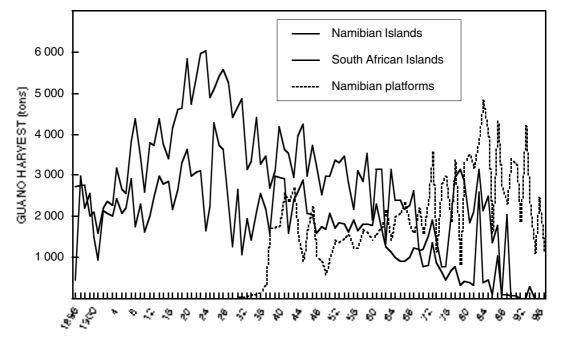


Fig. 22: Trends in harvests of seabird guano at islands off Namibia and South Africa, and at platforms off Namibia, 1896–1995

Anchovy — In the 1950s, anchovy was probably at a low level of abundance off South Africa. A large majority of fishers held that anchovy increased in abundance after the mid 1950s, most believing that the increase took place during the early 1960s (Crawford and Kriel 1985). In research catches of juvenile fish off western South Africa, anchovy contributed an annual average of 4% of total numbers of fish caught between 1955 and 1959. This proportion rose to 30% between 1960 and 1965. Equivalent values for sardine were 25 and 10% (Crawford et al. 1987). An especially good research catch of juvenile anchovy was recorded in 1962 (Crawford et al. 1990a). From 1953 to 1956, anchovy constituted 9-20% of the mass of the food eaten by Cape gannets off western South Africa (Davies 1955, 1956, Rand 1959). From 1978 to 1988, it formed 37-64% by mass of the food eaten by Cape gannets (Berruti et al. 1993, Appendix).

Between 1957 and 1959, anchovy contributed <2% to the diet of each of African penguins *Spheniscus demersus*, Cape gannets and Cape cormorants *Phalacrocorax capensis* near Walvis Bay, Namibia (Matthews 1961, Matthews and Berruti 1983). By contrast, from 1978 to 1982 anchovy formed 53% of the food of Cape gannets at Namibian islands south of Walvis Bay (Crawford *et al.* 1985). Newman (1970, p. 12)

wrote of 1963 "... during this year the anchovy abundance was extremely low, and negligible catches were recorded." In that year, only 600 tons were caught off Namibia, leading Thomas (1985) to conclude that the Namibian anchovy resource was then small. There-fore, off Namibia, anchovy was uncommon in the late 1950s and early 1960s.

1999

Anchovy was first exploited by the southern African purse-seine fisheries in the 1960s. By 1966, it contributed more than any other species to the South African purse-seine catch, and it continued to dominate the fishery for 30 years, up to and including 1995. Sardine regained dominance in 1996 and 1997. The anchovy catch dropped from 170 000 in 1995 to 40 000 tons in 1996 (Fig. 20).

Off South Africa, the spawner biomass of anchovy, estimated acoustically, was between 0.97 and 1.75 million tons from 1984 to 1988. It fell to about 0.5 million tons in 1989 and 1990, was above 1.5 million tons in 1991 and 1992, but fell again to <0.5 million tons in 1994 and 1995 (Hampton 1996). It was <0.2 million tons in 1996 and 0.8 million tons in 1997 (Barange *et al.* 1999).

Off Namibia, anchovy was first caught in 1964. Catches were small until 1968, when 0.16 million tons were landed. In 1978, when the catch was 0.36 million

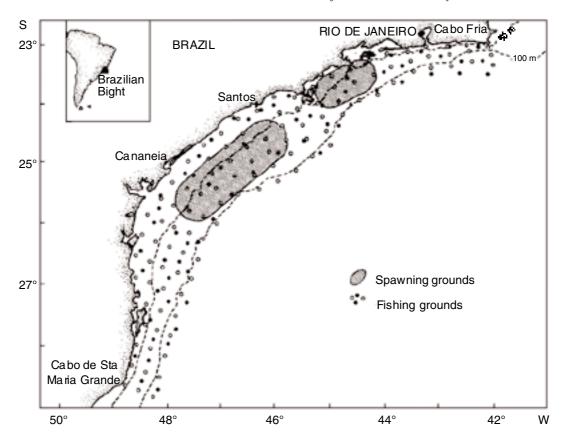


Fig. 23: Spawning and fishing grounds for Sardinella in the Brazilian Bight, Brazil

tons, anchovy became the most important contributor to the Namibian purse-seine fishery. This situation continued until 1984, when <17 000 tons of anchovy were caught. Poor catches continued in 1985 and 1986. In those three years, sardine dominated the landings. In 1987, the anchovy catch off Namibia was the highest yet recorded, 0.38 million tons. Thereafter, annual catches rapidly plummeted, and by 1997, were just 1 000 tons. From 1990 to 1996, sardine regained dominance of the Namibian purse-seine catch.

1999

The contributions by mass of sardine and anchovy to the diet of Cape gannets off western South Africa are available for 1978–1997 (Appendix). They correlate well with survey estimates of spawner biomass for the period when both sets of information are available – sardine (r = 0.63, p = 0.05, n = 10, unpublished), anchovy (r = 0.80, p < 0.01, n = 10 – Crawford and Dyer (1995). The diet time-series may be used as surrogate indices of abundance of sardine and particularly anchovy off South Africa.

# LONGER TERM TRENDS

Records of harvests of seabird guano at islands and platforms off Namibia and South Africa are available for the years 1896–1995 (Fig. 22). The seabirds producing guano eat mainly sardine and anchovy. Fluctuations in the quantity of guano produced reflect fluctuations in the availability of sardine and anchovy to the seabirds (Hutchinson 1950, Crawford and Shelton 1978). For example, the collapse of sardine off South Africa in the 1960s led to greatly reduced yields of guano at islands. Pre-whitened residuals for these two time-series over the period 1950–1975 indicate a significant relationship between guano yield and the biomass of sardine one year earlier (r = 0.39, p < 0.05, n = 26).

Cape cormorants are most numerous when anchovy abundance is high (Crawford and Dyer 1995, Crawford 1999). They decreased throughout the early 1930s, so probably anchovy were decreasing in that period and

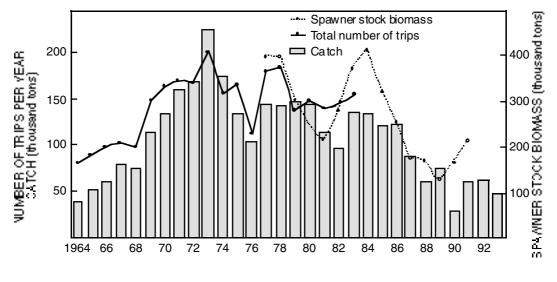


Fig. 24: Trends in catch, biomass of the spawner stock and fishing effort (number of trips per year) for *Sardinella* in the Brazilian Bight, 1964–1993

responsible for the high guano yields in the 1920s (Crawford and Jahncke 1999). Guano harvests were also high in the 1940s, but it is not possible to speculate as to which forage fish was abundant prior to powerful year-classes of horse mackerel *Trachurus trachurus* (*= T. t. capensis*) that were formed in 1946, 1947 and 1948 (Geldenhuys 1973).

More than two million measurements of sea surface temperature (SST) collected between 1910 and 1990 have been analysed for 88 areas in the South Atlantic and South Indian oceans  $(0-50^{\circ}S, 25^{\circ}W-50^{\circ}E, Villacastin-Herrero$ *et al.*1996). After accounting for biases in the data, the model indicates an average warming of 0.52°C over the region investigated between 1910 and 1990. Coherence in residuals (obtained by subtracting modelled results from observed data) indicates that several large areas of the oceans, often conforming with known oceanographic features, are influenced similarly. Therefore, there may be remote forcing of the southern African marine environment and its fish stocks.

# South-Western Atlantic

The Brazilian sardinella *Sardinella brasiliensis* and south-west Atlantic anchovy *E. anchoita* share the same habitat in the south-eastern Brazilian Bight, but segregate vertically. The former occupies the upper mixed layer, whereas the latter occurs in the bottom layer inshore of cool South Atlantic Central Water (SACW). The Brazilian sardinella stock has been exploited by purse-seiners since the late 1950s and is an important commercial resource. Conversely, although anchovy has a relatively large biomass, there is no commercial fishery for it in the Brazilian Bight. The Brazilian sardinella population is confined to the Bight. It does not migrate extensively, and its abundance is limited by the productivity of the region between 22 and 29°S (Fig. 23). This may explain why the biomass of sardinella in the Bight has not exceeded a level of about one million tons (Cergole 1995), with a maximum catch of 243 000 tons in 1973.

The Brazilian sardinella increased coincidentally with the recent increases of sardine in the Pacific and anchovy off South Africa, and also decreased in the late 1980s as the same resources decreased. The increased landings of Brazilian sardinella in the late 1960s resulted from greater fishing effort (Fig. 24). Thereafter, the fluctuations in landings appear to have been driven by the failure of some year-classes. Because the Brazilian sardinella matures when one year old and 84% of commercially caught sardinellas are fish aged one or two years, the failure of one year-class results in a drastic reduction of the spawner biomass and catch.

The fluctuations of the Brazilian sardinella population have been influenced by a low-frequency oceanographic anomaly, which seems to be induced by weather perturbations during the spawning season. The known failures of two year-classes (1975 and 1987) are attributed to a lack of intrusion of SACW to the coastal region, which probably caused high mortality of sardinella larvae (Matsuura 1996). Following the 1987 recruitment failure, sardinella biomass decreased drastically, resulting in a minimum catch of 31 000 tons in 1990. After the 1993 spawning season, the sardinella population began a recovery phase. Total catch increased to 84 000 tons in 1994 and exceeded 100 000 tons in 1997.

# PROXY RECORDS OF LONG-TERM CHANGES IN SARDINE AND ANCHOVY POPULATIONS

There are several means by which the long-term histories in abundance of sardine and anchovy may be documented. These are the anecdotal records of catch sizes of the Japanese sardine; the records kept of harvests of seabird guano off Peru as well as off Namibia and South Africa; and the sedimentary records of fish-scale accumulation off the west coasts of the United States and Mexico, and off Peru and Namibia. Careful examination of relationships among these various series provides the only way to begin to answer the question of whether these populations exhibited global synchroneity prior to the introduction of industrial-scale purse-seine fisheries.

The record for the Japanese sardine begins soon after AD 1600 as qualitative indices of good or poor catches in certain areas (Kikuchi 1958). These data need to be used with caution and should be interpreted in light of the spatial-temporal variability in feeding and spawning locations of modern populations.

Records of the annual harvests of seabird guano collected off Peru and Namibia/South Africa are available for about the last 100 years. The harvests are influenced by various factors, such as collecting effort and rainfall, and require careful interpretation. However, there is evidence that major signals often reflect pelagic fish production in the two systems (e.g. Crawford and Shelton 1978, Crawford and Jahncke 1999).

Some systems offer the possibility of extending the historical records by reconstructing variability of populations of the small pelagic fish species and their environment through application of marine paleoecology. This information is contained in the natural archives of marine sediments found in rare locations of suboxic deposition associated with eastern boundary currents of the North and South Pacific as well as the South Atlantic. These sites provide windows into the nature of large-scale variability over a hierarchy of time-scales from interannual through interdecadal and centennial.

So far, the longest and most reliable records are available from the Santa Barbara Basin (Southern

California Bight) of the California Current, where sampling and analysis completed in the 1970s (Soutar and Isaacs 1969, 1974) is now augmented by additional information. This is one of the best sites for reconstructing a detailed chronology from the annual layering (varves) of the sediments. Recent work on existing data has produced a composite time-series from approximately AD 300 to 1970 for the Santa Barbara Basin. These data have been analysed to determine signal-to-noise characteristics and principal timescales of variability by spectral analyses. A new sampling programme has begun for the Santa Barbara Basin, with a major objective being the development of as reliable and "robust" time-series as possible over the past 2 000 years for sardine and anchovy. These new time-series will provide higher resolution (five-year sample blocks) than the existing series based on 10-year averages of annual scale-deposition rates published by Baumgartner et al. (1992).

A second known site in the California Current is the Soledad Basin, lying just north of Bahia Magdalena, Baja California Sur, Mexico. Previous work at this site has provided a record of five-year averages of fish-scale deposition from approximately the years 1810–1970 (Soutar and Isaacs 1974), even though the sediments are not well varved. The site is important because it lies within the boundary of the southern subpopulations of sardine and anchovy of the California Current. Therefore, plans are being developed to resample the Soledad Basin for reconstruction of series comparable to those of the Santa Barbara Basin, which will perhaps reach back 2 000 years and provide a resolution of roughly 10 years.

Recent work has shown that sardine and anchovy records can be reconstructed from the varved sediments of the Gulf of California. This opens the possibility of developing comparisons of the subpopulation histories in the California Current with subpopulations in the Gulf of California to determine the relative timing of their major periods of growth and decline. So far, the reconstructed record in the Gulf of California extends over the approximate period 1740–1970 and is resolved into 10-year blocks of scale-deposition rates. This record shows anchovy scales more frequent, over time, than sardine. Also in the record are scales of chub mackerel and hake *Merluccius* spp. (Holmgren-Urba and Baumgartner 1993).

The record of fish-scale deposition off Peru/Chile is less well known than off California. The only published reference so far is that of De Vries and Pearcy (1982), who met with considerable difficulty in constructing a continuous chronology of deposition from an approximately 3-m long core from the upper slope off Peru. More recent work by Baumgartner *et al.* (in prep. b) shows the scale-deposition rates

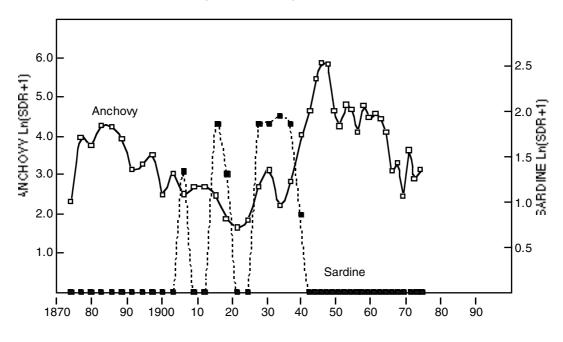


Fig. 25: Deposition of sardine and anchovy scales off Callao, Peru, measured from varved sediments from a single core. SDR = Scale Deposition Rate

(SDR) for sardine and anchovy off Peru, at a site on the outer shelf off Callao at a depth of 194 m (Fig. 25). Although this core does not exhibit continuous annual layers (varves), the chronology of deposition has been undisturbed by bioturbation or physical disruption and therefore can be reconstructed through the use of radiometric dating by the decay of the isotope <sup>210</sup>Pb and <sup>228</sup>Th/<sup>232</sup>Th. The core provides a record of the relative abundance of Peru's sardine and anchovy from 1875 through 1974 with a sampling resolution of less than two years. Analyses of these data show that the anchovy record is highly correlated with the decreasing population of guanay cormorants P. bougainvillii between the early 1950s and the mid 1970s. The scale deposition of anchovy off Callao also appears to be a good estimator for the difference in biomass between the VPA estimates and landings (Baumgartner et al. in prep. b). Note that the relationship between sardine and anchovy in Figure 25 suggests the presence of alternating regimes prior to the development of industrial-scale fishing off Peru.

The third major region known for deposition of fish scales is off Namibia, along the continental shelf. Serious work on these sediments was begun by Shackleton (1987). However, as a result of difficulties with dating the sediments because of the discontinuous nature of the varve formation, the author was not able to provide a chronology of fish-scale deposition that could be used to reconstruct histories of the fish populations. Recently, examination of other cores taken during the 1980s has begun, with the hope of developing a continuous record for the past millennium or more. Eventually it should be possible, with the development of techniques resulting from the work off California, to provide dates for the sediments off Namibia.

Effingham Inlet, located on the west coast of Vancouver Island, Canada, was discovered to be a suitable site for deposits of scales of small pelagic fish in December 1995. It can be used to compare with the Santa Barbara site and the Soledad site, so giving the distribution and abundance over time for the entire range of anchovy and sardine in the California Current (Baumgartner *et al.* in prep. a). The identification and development of sites in the Peru-Chile system lags behind that in the California Current, but nearcoastal sites with exceptional records have already been identified off central and southern Peru, and there are potentially important sites off northern and central Chile and in the fjords of southern Chile.

The use of multiple sites is a new phase in the study of fish-scale deposition. Hopefully, it will reveal the distribution/movement as well as abundance of sardine and anchovy throughout their potential habitats.

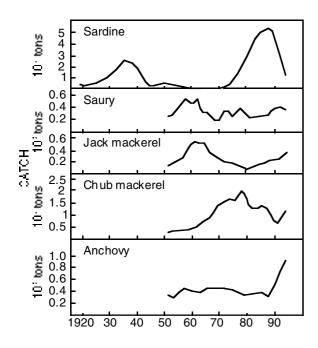


Fig. 26: Changes in catches, expressed as the three-year running mean, of five species of small pelagic fish in the North-Western Pacific, 1920–1996

This is necessary because sardine do not always have the same distribution in different periods of high abundance. Once the geographic coverage of data has been expanded, there will be considerably more information from which to examine synchrony of historical fluctuations in sardine and anchovy populations within the same and between different regions.

# SEQUENCE OF EVENTS AT TIMES OF MAJOR CHANGE

# Characteristics of change between regimes of anchovy and sardine

Geographic characteristics of change from regimes dominated by sardine to those dominated by anchovy and vice versa have been described (Lluch-Belda *et al.* 1992c). When anchovy is replacing sardine, a contraction in the range of sardine may be an early indication of change, as off Japan and Chile.

It appears that the subdominant species frequently begins to increase while the dominant one is abundant, as in the recent replacement of sardine by anchovy in the South-Eastern Pacific. The prior decrease of anchovy and increase of sardine in that system appeared to take place more or less simultaneously. Off South Africa, the recent increase of sardine was initiated by a powerful 1983 year-class, formed while anchovy was still abundant. Earlier, anchovy had increased in the early 1960s while sardine biomass was high. However, off Namibia, anchovy has been at a low level of abundance since 1987, and sardine is not yet abundant. Probably, adverse environmental conditions have precluded steady growth of sardine in Namibia.

#### **Species successions**

An orderly succession of dominant (i.e. exhibiting a peak in abundance) pelagic species has been observed in the Japanese and California current systems (MacCall 1996), in the Humboldt system and off South Africa.

Off Japan, the succession was: *Sardinops* (1930s and 1940s), followed by a mix of *Trachurus*, saury *Cololabis* and *Engraulis* (1950s and early 1960s), then *Scomber* (late 1960s, early 1970s), then *Sardinops* (late 1970s, 1980s – MacCall 1996). As sardine biomass decreased in the late 1980s, catches of *Trachurus*, *Cololabis* and *Engraulis* rose immediately, and those of *Scomber* began to increase in the 1990s (Fig. 26).

Off California the succession was: *Scomber* (peak 1932), *Sardinops* (peaks 1934, 1941), *Trachurus*, bonito *Sarda* (peak 1960–64), *Engraulis* (peak 1973–75), *Scomber* (peak 1983) and *Sardinops*, which is still increasing (MacCall 1996). In the California system, the only recent period without a clear dominant lies between the years 1945 and 1955, but increased catch levels of jack mackerel *T. symmetricus* suggest that that species may have been unusually productive at that time. MacCall (1996) was hesitant to draw conclusions from jack mackerel catches, because the fishing industry was also seeking alternatives to the severely declining sardine catches during the late 1940s.

Further examination of the historical information on jack mackerel provides confirmation that the period 1945–1955 was indeed unusually productive for that species in California. A collection of length frequencies of jack mackerel was compiled by MacCall and Stauffer (1983) as part of the basis for developing management recommendations. Length frequencies from purseseine catches of jack mackerel off southern California in the late 1940s and early 1950s consisted mostly of 20–35 cm fish, with a mean age of three years. In later years, the purse-seine fishery has rarely caught other than one-year-old fish. Another set of length frequency data was compiled from night-light surveys conducted offshore of central California during the

period 1957–1968. This length frequency contained a strong mode of larger fish of 48-55 cm. The growth curves imply probable ages of 13-20 years, indicating that these fish were originally produced around 1950. Another length frequency was taken from jack mackerel caught in the foreign trawl fishery for hake in 1977. These fish were larger (52–58 cm), suggesting probable ages of 18-30 years, which again is consistent with an origin around 1950. The length frequencies indicate that there was an unusual production of jack mackerel in California around 1950, and confirm the position of jack mackerel in the succession of California's pelagic fish species.

In the Peru-Chile system, the collapse of the *Engraulis* fishery in the early 1970s was followed by a large *Sardinops* fishery in the 1980s, with *Engraulis* again dominating in the 1990s. Jack mackerel increased at the same time as sardine, but remained at a high level of abundance when sardine decreased. *Sarda* catches were high when *Engraulis* was abundant in the 1960s, decreased following the collapse of *Engraulis* in the early 1970s, were low through the early 1980s, and started to increase again as *Engraulis* biomass increased after the mid 1980s (Cury *et al.* in press).

Off western South Africa, the succession was *Trachurus* (1940s, early 1950s), *Sardinops* (late 1950s, early 1960s), *Scomber* (late 1960s), *Engraulis* (1970s and 1980s) and *Sardinops* in the mid 1990s (Crawford *et al.* 1987, Crawford 1998). Additionally, based on guano harvests and numbers of Cape cormorants, *Engraulis* was probably abundant in the 1920s, decreasing in the early 1930s (Crawford and Jahncke 1999).

Off Namibia, a large biomass of *Sardinops* in the 1960s was followed by a mix of *Trachurus* and goby *Sufflogobius bibarbatus* in the late 1970s and early 1980s (Crawford *et al.* 1985, 1987). *Engraulis* also increased following the collapse of *Sardinops* (Crawford *et al.* 1987), but its replacement of *Sardinops* was of shorter duration and less apparent off than South Africa (Crawford 1999). A large year-class of *Scomber* was formed off Namibia in 1977 and persisted in the fishery through 1981 (Crawford and de Villiers 1984).

The ordering of the succession may be influenced by biological interactions. For example, off California, peaks in abundance of more predatory species (*Sarda* and *Scomber*) separate those of the planktivorous sardine and anchovy (MacCall 1996). Similarly, off South Africa, *Scomber* was abundant in the late 1960s, between periods of high biomass of *Sardinops* and *Engraulis*. Also off South Africa, guano harvests were substantially reduced in the 1930s (Appendix), although the demand for guano has always exceeded supply. This perhaps suggests that a more piscivorous species than sardine or anchovy dominated at that

time, between likely regimes of *Engraulis* in the 1920s (Crawford and Jahncke 1999) and *Trachurus* in the 1940s.

Of considerable interest is that, from 1983 to about 1989, there was good recruitment of both sardine and anchovy in the Humboldt Current, i.e. the recovery of anchovy began before the decline of sardine. In 1991 and 1992, recruitment of sardine decreased, but there were powerful anchovy year-classes (Fig. 14b). Off South Africa, estimates of recruitment of sardine and anchovy obtained by acoustic survey are significantly positively related for the years 1989–1997 (r = 0.715, p < 0.05, n = 9), a period in which biomass of sardine increased. Anchovy decreased from 1.7 million tons in 1991 to 0.8 million tons in 1997 (Appendix).

#### EVIDENCE FOR A WORLDWIDE CAUSE OF THE MAJOR FLUCTUATIONS OF SARDINE AND ANCHOVY

#### Synchrony of the catch trends

Kawasaki (1983, 1991) postulated that the abundance of sardine was being influenced by global climate in three well-separated regions of the Pacific Ocean; off Japan, in the California system and in the Humboldt system. This was based on the observation that, up to the time of his analysis, catches of sardine in all three regions had risen, been sustained and fallen more or less in synchrony. Subsequently, it has become apparent that sardine have continued to increase in the North-Eastern Pacific, while they have been decreasing in the North-Western and South-Eastern Pacific.

Californian sardine were intensively fished as they decreased in the 1950s and 1960s. By the late 1960s, it was difficult to find sardine along the California coast, but sardine were still being caught off Baja California. In 1967, an almost full moratorium on fishing for sardine was introduced off California. In 1973, California legislation permitted a catch of 1 000 tons as soon as the spawner biomass exceeded 20 000 tons. However, it was not until 1986 that a small fishery was allowed (Wolf 1992). Biomass of sardine off California has been increasing at 20-30% per year, and in 1998 was estimated to be 1.78 million tons between Ensenada, Mexico, and British Columbia, Canada (Anon. 1999).

Common regime changes may be linked to global climate change, which in turn may be associated with an interdecadal cycle of dislocation of the convergence area in the North Atlantic where thermohyaline circulation starts (Kawasaki 1994). According to Rahmstorf (1997), the recent increase in emissions of greenhouse gases weakens, even halts, overturning in the North Atlantic, so radically altering the regional climate. A rapid rise in the concentration of greenhouse gases could disrupt the thermohaline circulation. This could have a serious impact on global climate, and on regimes of sardine, anchovy and other small pelagic fish species in the future. However, as global warming is a recent possibility, it cannot account for the changes in population sizes in the past.

## **Empirical observations**

When Box-Jenkins techniques (Box and Jenkins 1976) were applied to the catch series of sardine in the three regions of the Pacific Ocean up until 1986, to remove systematic time-series effects, there was strong positive correlation between all three series at lags similar to ages at which sardines recruit to the various fisheries (Crawford *et al.* 1991). This finding supported the hypothesis of global forcing. The significant correlations were driven by particular years (Crawford *et al.* 1991). For example, 1980 appeared to have been a favourable year in all three regions, and 1984 unfavourable. An exceptionally strong sardine year-class was formed off Japan in 1980, whereas in 1984 there was poor survival of larvae (Kawasaki 1993).

Further evidence in support of global climate influencing marine ecosystems is provided by the observation that oceanographic anomalies in different regions of the world occur repeatedly at the same time (Harris et al. 1992, Crawford et al. 1995a). Off Japan, during the period 1955–1985, anomalous southward intrusions of the Oyashio Current (ASIOs) along the east coast of Japan took place in 1963, 1974, 1981 and 1984 (Sekine 1991). The average southward limit of Oyashio water in the boreal spring is approximately 39°-39°30'N, but in anomalous years it intrudes to about 36°N (Sekine 1991). Off Tasmania, periods of low zonal westerly winds (LZWWs) cause a form of Tasmanian "El Niño", during which sea surface temperature rises, nutrients become scarce in surface waters, and productivity decreases. There were LZWWs over Tasmania during the periods 1949-50, 1960-63, 1973-74 and 1984-85 (Harris et al. 1992). In the South-Eastern Atlantic, Benguela *Niños* advect warm, more saline water from the north onto the Namibian shelf. This water may intrude about 600 km farther south than normal. Such events have been reported for the years 1934, 1949-50, 1963, 1974 and 1984 (Shannon et al. 1986) and 1995 (Gammelsrød et al. 1998).

There is clear correspondence between the four

periods of LZWWs off Tasmania and the four Benguela *Niños* off Namibia between 1949 and 1984. Information on ASIOs off Japan is only available since 1955, but ASIOs occurred in each subsequent period of LZWWs off Tasmania and Benguela *Niños* off Namibia. Of particular interest is the year 1984, when oceanographic anomalies were recorded off Japan, Tasmania and Namibia, and when the environment was apparently unfavourable for sardine in the Japan, California and Humboldt systems (Crawford *et al.* 1991).

Prewhitened residuals of guano harvests off Peru and southern Africa are significantly negatively related for the period 1920–1953, i.e. prior to development of intensive fisheries for sardine and anchovy, suggesting that the out-of-phase nature between sardine and anchovy in the two systems (Crawford *et al.* 1991) has been in operation since at least 1920 (Crawford and Jahncke 1999).

More precise definition of dates when increases or decreases in pelagic fish populations were initiated will assist in determining if climate operating at a global scale is influencing populations and, if so, how. Examination of historical databases may allow such dates to be established. A case study of the Japanese fishery for the different pelagic species would be especially beneficial. Japan has many stations collecting biological and fisheries data and oceanographic information. Its comprehensive research programme has lasted many years and continues today. A difficulty is that many of the data, especially historical information, may not have been collated into a central database.

## Teleconnections

The existence of teleconnections among the lowfrequency fluctuations of coastal pelagic fisheries in the Pacific Ocean is well established for sardine and anchovy (Kawasaki 1991, Lluch-Belda *et al.* 1989). The relationships of the Pacific stocks to sardine and anchovy off South Africa and Namibia appears to be inverse (i.e. 180° out of phase). MacCall (1996) has shown that interdecadal variability in the entire suite of coastal pelagic species appears to be similar in California and Japan, except that shifts in the California Current have been delayed by about 10 years relative to those in Japanese waters.

There may also be strong teleconnections with low-frequency changes in North Atlantic fish stocks. Alheit and Hagen (1997) described the episodic nature of the Bohuslän herring fishery, giving dates of the nine major events in the last thousand years. Figure 27 shows the dates of the Bohuslän herring fisheries in

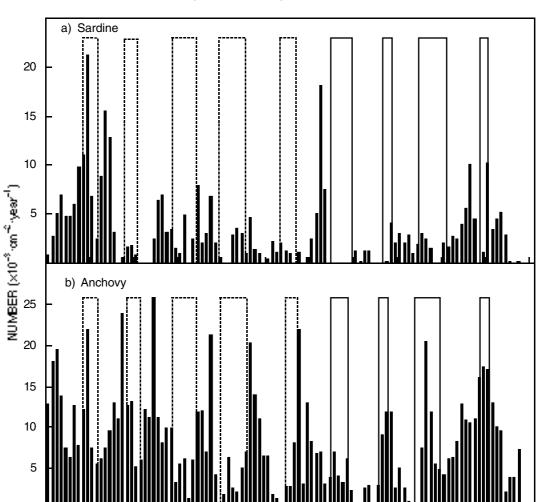


Fig. 27: Coincidence of Bohuslän herring episodes in Sweden (rectangles) with fluctuations in palaeo-sedimentary depositions of (a) sardine and (b) anchovy scales in the Santa Barbara Basin, California (bars). Dotted rectangles indicate less reliable dates for herring; dates in the earlier years are also less reliable for sardine and anchovy

1400

1500

YEAR

1600

1700

1800

1900

Sweden superimposed on the palaeochronology of sardine and anchovy scales from the Santa Barbara Basin, California (Baumgartner *et al.* 1992). For the last 300 years, Bohuslän herring fisheries appear to coincide with low abundance of sardine and high abundance of anchovy off California. The match deteriorates for earlier centuries, but earlier dates from the sediments may be off by as much as 70 years (TB, pers. obs.).

900

1000

1100

1200

1300

The mechanisms driving these teleconnections are not clear, although some evidence is accumulating on interrelationships among patterns of atmospheric and oceanic circulation. Fluctuations in ENSO events are linked to fluctuations in the position and intensity of the atmospheric low pressure cell near the Aleutian Islands or "Aleutian Low". Parrish *et al.* (in prep.) have shown that latitudinal changes in the source of North Pacific water entrained into the California Current and Alaska Gyre may be related to atmospheric circulation (especially the Aleutian Low), and may be a major factor influencing productivity in those coastal systems. Alheit and Hagen (1997) showed that the Bohuslän herring events are related to the North Atlantic Oscillation, which includes long-term fluctuations in the Icelandic Low. The Icelandic Low is linked to the Aleutian Low by the characteristic meanders of the circumpolar atmospheric circulation.

The known ocean-atmosphere interconnections are global in scale. The large physical dimensions of that system and the involvement of oceanic circulation and heat distribution supports mechanisms contributing to very low-frequency variability. However, the dominant frequencies of fish or ecosystem variability are even lower than is explained easily by known mechanisms: c. 60 years in the Pacific Ocean, and 100 or more years in the Atlantic Ocean, judging by the Bohuslän herring record. However, recent information for the South-East Atlantic suggests a shorter period.

## HYPOTHESES REGARDING REGIME CHANGES

Two categories emerge of mechanisms that may initiate and sustain regimes of sardine and anchovy. There may be continuous modification of habitat, e.g. a trend to warming that permits an expansion in spawning range and enhanced egg production (Lluch-Belda *et al.* 1992a). Alternatively, there may be episodic environmental events that trigger changes in populations and ecosystems in well-separated areas. Formation of powerful year-classes could cause a population to expand quickly and, for short-lived species, a few poor year-classes could result in a rapid population decrease. Empirical evidence exists for both these forms of environmental influence at a global scale, and it is quite possible that several factors may operate at any one time.

#### Continuous modification of the environment

Two mechanisms that may sustain large shifts in abundance of sardine and anchovy populations over long periods are food and temperature.

#### FOOD

Off Japan during 1988 and 1989, the mean algal fraction of stomach contents of sardine was 0.65 and of anchovy 0.39. The modal prosome length of copepods eaten by sardine was 3 mm, and of copepods eaten by anchovy 6 mm (Li *et al.* 1992). Off Peru, during

the period 1981–1982, sardine ate mainly small herbivorous copepods and tunicates, whereas anchovy fed on large copepods and euphausiids. Sardine were considered to be situated closer to the base of the trophic food-web than anchovy (Konchina 1991) and in offshore areas also ate some phytoplankton (KVS, pers. obs.). Off Namibia, King and Macleod (1976) considered sardine to be primarily phytophagous. Off South Africa, although sardine utilize phytoplankton to a greater extent than anchovy, both species are primarily carnivorous (Van der Lingen 1994, 1998). However, the two species are relatively distinct with respect to their utilization of zooplankton: sardine obtain most of their dietary input from small zooplankton that is captured by filter-feeding (Van der Lingen 1994, 1995), whereas anchovy obtain most of their food from large zooplankton, especially large copepods and euphausiids caught by particulate feeding (James 1987). These results are consistent in indicating that sardine feed on smaller organisms, and closer to the base of the food chain, than anchovy. Therefore, any change in the size structure of zooplankton, as reported, for example, by Verheye et al. (1998) for the southern Benguela system, may initiate regime changes.

There is also information that food may limit populations of sardine and anchovy, as follows.

South Africa — Since 1988, information has been collected from the western Agulhas Bank on food available for spawning anchovy (zooplankton biomass and production). In November 1988, food was insufficient for maintenance of anchovy at approximately 50% of stations examined (Hutchings and Boyd 1992). This may have contributed to a weak year-class that recruited in the austral winter of 1989 and led to the fluctuating decrease of anchovy (Appendix).

Japan — When populations are large, food may be limiting. Length at age of the Far Eastern sardine is substantially reduced when stock biomass is high. Figure 4 contrasts size of 3-year-old fish caught around Japan with the numbers of 1-year-old recruits in waters south-east of Hokkaido, the northernmost fishing ground for sardine on the Pacific coast of Japan. This is where sardine forage voraciously in summer-autumn before spawning in winter-spring along the southern coast of Japan. There is a close negative relationship between abundance of fish aged one and their growth.

Off Japan, body condition of adult sardine has a striking effect on the quality and quantity of eggs produced. For females, positive correlations have been found between gonad index ( $GM/BL^3$ ; GM = gonad mass, BL = body length) and condition factor ( $BM/BL^3$ ;

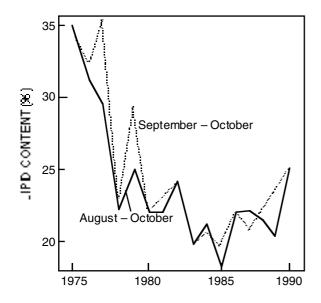


Fig. 28: Annual changes in average lipid content, expressed as its percentage contribution in the flesh of sardine >19.0 cm total length, in the months August–October and September–October in the waters south-east of Hokkaido, Japan, 1975–1990 (after Kawasaki and Omori 1995)

BM = body mass), between yolk content (mm<sup>3</sup>) of hydrated eggs and condition factor, and between ovary mass and lipid content in muscle (Morimoto 1996). The contents of lipid and eicosapentaenoic acid in ovaries were linked to their content in muscle, as well as to ovary mass (Morimoto 1996). These relationships indicate that adult body condition, and in particular the accumulation of lipid prior to spawning, will have a marked effect on the quality and quantity of eggs. This is likely to influence the survival of eggs and other early stages. However, until now, partly because of a lack of appropriate data, the relationship between the egg stage and year-class strength has been viewed mainly from a quantitative aspect, i.e. number of eggs produced (e.g. Ricker 1954, Watanabe 1983), and seldom from the qualitative aspect, i.e. egg quality

Average lipid content in the flesh of sardine of total length >19.0 cm caught south-east of Hokkaido from August to October, just prior to spawning, was high from 1975 to 1977, but dropped in 1978 and reached a trough in 1985 (Fig. 28). In 1986, the lipid content started rising again. This shows that adult sardine were in good condition until 1977, but that condition thereafter deteriorated rapidly until 1985, when it improved again.

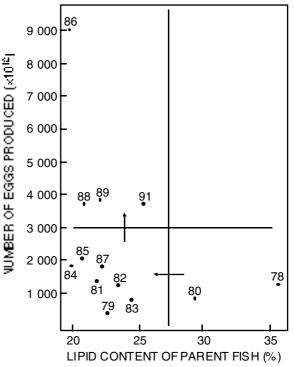


Fig. 29: Relationship between production of sardine eggs in waters off the Pacific coast of Japan and the lipid content in flesh of their parents in September–October of the preceding year, in waters south-east of Hokkaido. Year-classes are indicated. Arrows depict the probable hyperbolic relationship. Sources of data on egg production: 1978–1988 year-classes – Kuroda (1991); 1989–1991 year-classes – Long-term Forecasting on the Distribution and Abundance of the Important Fishery Resources and Related Oceanographic Conditions in the Sea of Chuo Blocs **93** (1994). After Kawasaki and Omori (1995)

The relationship between the number of sardine eggs along the Pacific coast of Japan and the lipid content of the flesh of their parents in waters south-east of Hokkaido during September–October of the preceding year is shown in Figure 29. Arrows in the figure indicate the hyperbolic relationship between the two parameters. As there is a high correlation between the lipid content of the ovary and that of the muscle (Morimoto 1996), a similar hyperbolic relationship between the number of eggs produced and their lipid content can be postulated. It is noticeable that the 1978 and 1980 year-classes are located in the fourth quadrant, whereas the 1986 and 1988–91 year-classes are in the second quadrant. The 1979, 1981–85 and

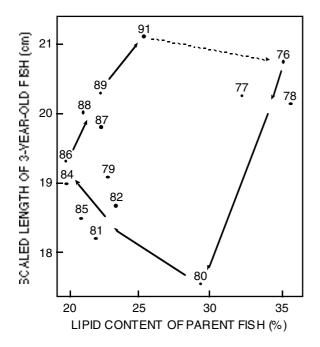


Fig. 30: Relationship between the scaled length of 3-year-old sardine in waters off north-eastern Japan in summer and the lipid content (as a percentage) in the flesh of parent fish >19.0 cm total length caught off south-eastern Hokkaido four years previously. Year-classes are indicated (after Kawasaki and Omori 1995)

1987 year-classes are in the third quadrant. As seen in Figure 3, the strong year-classes of 1978 and 1980 drove the proliferation of the sardine population there. They developed from high-quality eggs that were relatively few in number (Fig. 29). By contrast, the weak 1988–91 year-classes (Fig. 4) originated from abundant but low-quality eggs.

The relationship between the length of 3-year-old sardine sampled off north-eastern Japan in summer and the lipid content of their parents in autumn one year before their birth, i.e. four years previously, is shown in Figure 30. Parents of the 1976–78 year-classes had high-quality bodies, and large 3-year-old fish resulted. The 1980 year-class was produced from parents of moderately high quality and was abundant throughout its lifetime (Kawasaki 1993). Body size of this year-class was the smallest recorded. After this year-class, the lipid content of parent fish kept falling, but the body size of their offspring tended to rise until the 1986 year-class. Then, there was a recovery in the condition of parents, and the body size of their progeny continued to increase.

The overall process shows a clockwise rotation, indicated by arrows in Figure 30, representing a cycle of the population fluctuation of sardine. The cycle begins with the successful survival of a small number of eggs produced by high-quality parents, triggered by environmental (density-independent) processes (Kawasaki 1992). At large population sizes, sardine become an oceanic species off Japan. When they enter the oceanic phase, they expand their range and rapidly increase in number. The physical condition of adult fish deteriorates as a result of overcrowding, and the offspring produced become smaller in size. The cycle ends in a failure to survive, resulting from an enormous number of small fish produced by low-quality parents (density-dependent process; Kawasaki 1993). At low population levels, sardine off Japan are by nature a coastal species.

Kawasaki and Omori (1988) suggested that variations in solar radiation lead to variations in primary production. Because sardine utilize phytoplankton and small copepods to a greater extent than their competitors, their populations increase when phytoplankton stocks increase. This leads to less food for anchovy, which decreases (Kawasaki 1993). Kawasaki (1994) inferred that the synchronous increases of some sardine populations in the 1970s and 1980s were brought about by higher productivity throughout the world's temperate oceans, which stemmed from such variations as occur in thermohaline circulation on a 65- to 70-year cycle (Schlesinger and Ramankutty 1994). This results in a hypothetical pathway of the fluctuation cycle of a sardine population (Fig. 31, Kawasaki and Omori 1995).

## TEMPERATURE

Lluch-Belda *et al.* (1991a) proposed that, off the Californias, the sardine population retreated southwards in cold years, but expanded both its spawning and feeding range to the north during warm periods. This resulted in increased egg production in warm years (Lluch-Belda *et al.* 1992a). Off the Californias, sardine spawn over a wider temperature range  $(13-25^{\circ}C)$  than anchovy (11.5–16.5°C), and therefore are better able to take advantage of warm conditions (Lluch-Belda *et al.* 1991b). Sardine in the Humboldt system have also expanded in warm periods (Lluch-Belda *et al.* 1992b), although Serra *et al.* (1998) found no relationship between sardine recruitment and temperature.

## **Episodic environmental events**

Support for episodic environmental events initiating regime changes comes from powerful year-classes

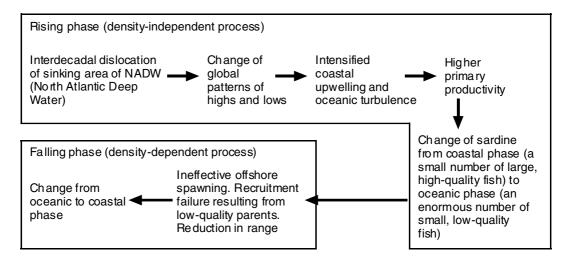


Fig. 31: Hypothetical linkages of processes involved in long-term fluctuations of the Japanese sardine (after Kawasaki and Omori 1995)

often playing a pivotal role in the recovery of a species, for example, sardine off Japan (Kondo 1980) and South Africa (Berruti and Colclough, 1987), and the fact that particular years have been identified as being either favourable or unfavourable in well-separated systems (see: Evidence for a worldwide cause of the major fluctuations of sardine and anchovy, section Empirical observations). Because of the high intrinsic reproductive rate (r) of sardine and anchovy, their populations can increase under favourable oceanographic conditions to very high levels within a few generations. However, an adverse environment may sometimes result in major mortality of eggs and larvae, and consequent recruitment failure subsequently. This is the origin of population collapse in many cases.

Serra *et al.* (1998) investigated formation of favourable sardine year-classes in the Humboldt system. Using an upwelling index as a measure of the environment, they found that an optimal environmental window existed for the formation of year-classes. This was the same for sardine at both high and low levels of biomass.

To explain alternation of dominance among species in ecosystems, several mechanisms have been suggested (Matsuda *et al.* 1992). These include:

- (i) direct influence of environmental change on different species;
- (ii) density-dependent change of intrinsic reproductive rate (r);
- (iii) phase polymorphism of species;
- (iv) competition between two species in a variable

environment; and

(v) prey-predator system with one predator and two prey species, which have large fluctuations.

Because the species alternation occurs in near synchrony in different ecosystems, global-scale environmental change is likely to be the main factor causing fluctuations of sardine and anchovy. The question is what kind of environmental cue will induce species alternation by means of the hypotheses mentioned above?

## Mortality

A large mortality of sardine around Australia during autumn 1995 drew attention to the fact that unusually high natural mortality may influence sizes of pelagic fish populations. A summary of the environmental and biological aspects of this event is reported here because of the unusual nature.

The first sardine deaths were in South Australia during March 1995 in the eastern region of the Great Australian Bight, southern Australia. Subsequently, dead sardine were found both east and west of this point-source, moving in a "bushfire-like" front at an average of 30 km day<sup>-1</sup>. By early May, the fronts had reached Albany in Western Australia and Bass Strait in Victoria. They continued moving up both coasts, reaching Carnarvon (Western Australia) and Noosa Heads (Queensland) by the end of June, so affecting sardine throughout their entire (6 700 km)

Australian distribution. In early June 1995, a similar pattern of deaths began in the north of New Zealand.

The pattern of deaths at all locations was similar, only adult sardine (>10 cm; 13 cm in Western Australia) being affected. No other species or even juvenile sardine were found dead. Moreover, neither predators nor scavengers died as a result of consuming the dead sardine. Fatalities lasted for only a few days at any one location, but the intensity did not appear to diminish with time or distance from the origin. Subsequently, no further deaths were observed. Affected sardine, aside from being dead, were in good condition, with many having advanced gonad stages. The dead fish usually had open mouths and gills that were pale in colour. Histopathology showed that the gills had epithelial hyperplasia (multiplication of the surface cells), synechiae of the secondary gill lamellae tips (they had stuck together), sloughing of epithelial cells and oedema. Therefore, the cause of death was asphyxiation. Identical patterns of damage were seen at all locations where the deaths occurred, indicating a common cause.

Possible causes of the gill damage include contact with toxic and non-toxic phytoplankton. Alternatively, this damage could have arisen from the pathogenic effect of some specific virus or bacterium to which only adult sardines were susceptible. Data collected in Western Australia clearly showed that sardine mortalities had no relationship with phytoplankton. Toxic phytoplankton were not involved in the deaths; few were seen in the phytoplankton samples and no toxic substances were found in any affected fish. There were no blooms of non-toxic phytoplankton anywhere along the coast during the periods of the mortalities. The composition of the phytoplankton present varied greatly among sites, independently of whether dead and dying sardine were in the region. Most sites where deaths were recorded had very low densities of phytoplankton. Furthermore, examination of the affected gills by scanning electron microscope showed no evidence that clogging or mechanical damage consistent with phytoplankton being responsible.

The stomach contents of the affected sardines varied greatly. In addition, many were empty. The slight difference in feeding capabilities between adult and juvenile sardine is insufficient to support the total lack of impact on juveniles. Similarly, the lack of an effect seen on the other coexistent, filter-feeding clupeids (anchovy and *Sardinella*) is a further rejection of the hypothesis that this phenomenon was somehow caused by phytoplankton blooms. Finally, the passage of the front of dying fish moved in the opposite direction to the prevailing currents and continued even after several severe storms. None of these observations are consistent with phytoplankton being involved.

The gill damage seen in the dead sardines collected in Western Australia was always associated with the presence of a Herpesvirus. This virus was not present in fish sampled ahead of the deaths, and was not found in samples of survivors after mortalities stopped. An amoeba was associated with gills of many dead fish, but this was usually in insufficient numbers to account for the damage seen and was not always present in fish with gill damage. Therefore, the virus was the only consistent factor in all of the kills around Australia and NZ. Furthermore, the rate of passage of the fronts was within the limits of daily movement rates of adult sardine.

The most likely hypothesis is that the virus was introduced recently into Australia. The association of the virus with the gill damage, the severity of the impact on the population and the "bushfire-like" passage of the front are all consistent with a novel pathogen infecting a naive population. Furthermore, once infection had passed an area, reinfection did not occur, suggesting that the surviving fish were resistant to infection.

In Western Australia, it is estimated that approximately 10–15% of the sardine biomass died, representing many thousands of tons.

## CONSEQUENCES FOR ECOSYSTEM FUNCTIONING

The large changes in abundance of sardine and anchovy that have been observed may be expected to have major implications for ecosystem functioning. The sardine-anchovy systems have been termed "wasp-waist" ecosystems by Bakun (1996). This is because there is a high diversity of planktonic organisms on which sardine and anchovy prey, and these fish are in turn preyed upon by a large number of predators. However, transfer of energy from plankton to predators is mainly through just a few species of forage fish. When one forage fish dominates, most of the transfer of energy may be through just that one species -awasp-waist in terms of species diversity. Changes in the relative abundance of forage fish species, especially shifts between sardine and anchovy regimes, may be expected to influence species at both lower (food) and higher (predator) trophic levels.

## Impacts on lower and equivalent trophic levels

The collapse of an abundant forage fish must have an impact on other organisms in the system; more food should become available for other species. However,

studies have shown that, if one planktivorous fish is reduced (e.g. by fishing), other species cannot be expected to benefit from food that would otherwise have been consumed to the same extent, i.e. it is unlikely that any one species would be able to take over fully the ecological niche of any other species (Jones and Henderson 1987). Nevertheless, it seems true that, in sardine-anchovy systems, the collapse of abundant species is generally followed by increases in other species that are at more or less the same trophic level (see: Sequence of events at times of major change; section, Species successions).

#### **Impacts on seabirds**

In the South-Eastern Atlantic, consequences of shifts between regimes of sardine and anchovy for African penguins have been explored (Crawford 1998). Between the 1950s and 1980s there were large decreases of penguins at most colonies between Lüderitz and Table Bay (Crawford et al. 1990b, 1995b). There, adult sardine were abundant in the 1950s. It is likely that the shift from sardine to anchovy during the 1960s and 1970s resulted in a substantial decrease in food in that region. Between the 1950s and 1970s, numbers of penguins increased south of South Africa, where the adult anchovy stock was located. Later, as the system reverted from one dominated by anchovy to one dominated by sardine, penguin colonies in the south decreased. Three new colonies were formed in the vicinity of Table Bay, and colonies between Table Bay and Lüderitz stabilized or increased. Overall, however, both the shift from sardine to anchovy and that from anchovy to sardine resulted in substantial decreases in numbers of African penguins.

There has been a similar long-term decrease in the population of Humboldt penguins *Spheniscus humboldti* off Peru and Chile, which is continuing (Luna-Jorquera 1998). As is the case with the African penguin, the Humboldt penguin appears unable to cope with the shifts in dominance between prey species in a system heavily exploited by man.

Off Namibia, the collapse of sardine was followed by severe decreases in numbers of Cape gannets. Conversely, off South Africa, there was an increased abundance of Cape gannets as sardine were replaced by anchovy (Crawford 1999). Off Namibia, gannets were unable to exploit effectively the mesopelagic horse mackerel and goby that replaced sardine, but off South Africa they were able to switch between sardine and anchovy as prey.

In the Gulf of California, there are large breeding colonies of birds that feed nearly entirely on sardine or anchovy. Of world populations, 99% of yellowfooted gulls *Larus livens*, 95% of Heerman's gulls *L. heermanni*, 95% of elegant terns *Sterna elegans*, 90% of Craveri's murrelets *Synthliboramphus craveri* and 90% of least petrels *Oceanodroma microsoma* breed in the Gulf of California. Therefore, any reduction in the fish population as a result of natural causes or fishery exploitation could affect numbers of these breeding birds. The reporting period, 1983–1992, showed that the birds had no difficulty changing their diet between anchovy and sardine (Velarde *et al.* 1994).

Clearly, if prey remains available within the foraging range/depth of seabirds, they are able to cope with regime changes, but, if not, there may be large decreases in numbers.

#### Distribution

That most of the catch of the Far Eastern sardine in the 1930s came from the Sea of Japan subpopulation and most of that in the 1980s from the Pacific subpopulation suggests a different geographic distribution of sardine during those two eras of abundance. Similarly, in the North-Eastern Pacific Ocean, the bulk of the catch in the 1930s and 1940s was from populations distributed along the western coast of North America, whereas from the 1970s to the 1990s, most of the catch was from the Gulf of California. Such an altered distribution of sardine can be expected to have a considerable impact on the ecosystem, both on food resources and on predators. Predators that are tied to land sites, such as seabirds and seals, will not easily be able to adapt to large changes in the distributions of forage species.

## **REGIME BEHAVIOUR IN OTHER SYSTEMS**

Regime behaviour is evident in fluctuations of many North Atlantic fisheries. Alheit and Hagen (1997) reviewed patterns of historical changes in stocks of sardine *Sardina pilchardus* and herring *Clupea harengus* in northern Europe. During the last 1 000 years, there have been nine episodes of abundant Bohuslän herring occurring at relatively even intervals of about once every 100 years. In the last 400 years, the Biscay herring, French catches of English Channel herring and Devon and Cornwall herring have fluctuated in phase with the Bohuslän herring. In contrast, increased catches of Norwegian spring-spawning herring and of sardine in North Brittany and in Devon and Cornwall have occurred in the periods of scarcity of the Bohuslän herring and related stocks. Alheit and Hagen (1997) associated these fluctuations with low frequency fluctuations of the North Atlantic Oscillation Index.

Although Alheit and Hagen (1997) focused mainly on North Atlantic pelagic fish, there is also evidence that demersal fish, such as gadoids, can show lowfrequency behaviours. For example, the "gadoid outburst" of the 1960s coincided with a decrease in herring stocks (Burd 1978), but it is unclear whether this was attributable to changes in climate or to changes in biological conditions associated with the decline in herring stocks.

Regime behaviour has been shown for many salmon stocks in the North Pacific (Francis and Hare 1994), and there is strong evidence that low-frequency fluctuations of Alaskan salmon populations are inversely related to fluctuations of California populations over interdecadal time-scales. Hollowed *et al.* (1987) examined recruitment variability in 59 stocks (28 species), including both pelagic fish and groundfish in the North-Eastern Pacific, and found strong patterns of covariability at all time-scales. Recruitment covariability at the lowest frequencies may have been a result of progressive exploitation (recruitment overfishing), but Hollowed *et al.* (1987) favoured the hypothesis that these long-term patterns were because of low-frequency climate variability.

There is limited evidence of regime behaviours in tropical waters, but most time-series of observations are still rather short. During the 1970s, the coastal waters of tropical West Africa experienced an exceptional abundance of triggerfish *Balistes* sp., a condition that lasted for several years (Caveriviére 1991). Hobson and Chess (1996) report a perhaps similar episodic abundance of filefish *Pervagor spilosoma* in Hawaii. Extensive destruction of coral reefs by the crown-ofthorns starfish *Acanthaster planci* appears to be episodic, and may also be related to long-term climate variability (Birkeland and Lucas 1990, Moran 1986).

#### **IMPLICATIONS FOR FISHERIES**

Decade-scale regimes of high and low abundance appear characteristic of the four regions supporting the largest fisheries on sardine and anchovy. In the long-term, it is unrealistic to expect that fisheries on individual pelagic fish species can be sustained, and they should rather be geared to take advantage of periods of high abundance of these fish. For example, the South African fishery for horse mackerel in the 1950s was based on the powerful 1946, 1947 and 1948 year-classes (Geldenhuys 1973).

There is a practical lesson to be drawn from the confirmation of an episodic production of jack mackerel off California around 1950. MacCall and Stauffer (1983) were attempting to develop management advice for a proposed fishery on jack mackerel. They noted that many Trachurus fisheries around the world have experienced brief high harvests and sudden fishery collapses. Therefore, they tried to take a precautionary approach based on equilibrium or steady-state interpretations of the data (the regime problem had not yet been recognized). Accordingly, the length frequencies were interpreted as being representative of the sizes of fish normally to be found in the various sampling locations. They were puzzled that 30-cm fish were no longer found in southern California fisheries, and remarked on the peculiar absence of 35-45 cm fish. However, they mistakenly were concerned with where these "missing" fish might be, rather than realizing that these length data tracked a single pulse of jack mackerel production. The assumed abundance of 30-50 cm jack mackerel actually no longer existed anywhere in the system. Because of limited understanding of the nature of regimes in jack mackerel productivity, MacCall and Stauffer (1983) proposed harvest levels based on fish that may not have existed. Perhaps fortunately, the fishery never developed off California.

The most important point concerning management of fisheries for small pelagic fish species is to sustain the regimes of abundance for as long as possible. It should be borne in mind that fishing has potential to decrease the extent and duration of peaks in abundance, as well as to depress and prolong troughs. The worst-case scenario is for fishing to prevent recovery of a species, so converting a potential period of high abundance into continuation of a period of low abundance.

In this regard, Japan has had a bitter experience. The Hokkaido-Sakhalin subpopulation of Pacific herring, the largest subpopulation in the North-Western Pacific, collapsed in 1958. Thereafter, minimal catches were made along the coast of Hokkaido. However, in 1986, 3-year-old spawners of the Hokkaido-Sakhalin herring (the 1983 year-class) reappeared in quantity off the coast of Hokkaido adjoining the Sea of Japan and Okhotsk Sea. This herring was intensively exploited by off-shore trawlers, 72 000 tons being caught in 1986 and 16 000 tons the following year. As a result, the 1983 year-class was virtually eliminated, and the hoped-for new regime of Pacific herring did not materialize.

Fishing may also have had an impact on the succession of pelagic fish species off Namibia (Crawford 1999). Off South Africa, anchovy became the dominant pelagic fish following the collapse of sardine in the 1960s. In Namibia, horse mackerel dominated after the collapse of sardine in the 1970s. A possible explanation for this is the disparate fishing policies that were pursued in the two countries. Once sardine had collapsed in South Africa, anchovy became an attractive alternative resource with which to perpetuate the fishery and attempts were made to manage it in a sustainable manner (Newman et al. 1979, Newman and Crawford 1980). When sardine collapsed off Namibia, the South African experience prompted belief that anchovy was a competitor of sardine and that this competition would be minimized by subjecting the anchovy to heavy fishing pressure (Butterworth 1983). Off South Africa, horse mackerel had been fished when sardine were abundant, and its dominant year-classes were depleted (Newman and Crawford 1980). Off Namibia, the fishery for horse mackerel was only commencing when the sardine collapsed (Crawford et al. 1987). In both instances, it was the fish species least intensively exploited that later dominated the forage-fish community.

The earlier decrease of sardine off southern Peru and northern Chile (the peak catch was in 1980) than off northern Peru (peak 1987 – Csirke *et al.* 1996) has been ascribed to heavy fishing of the former stock (GTE.IFOP-IMARPE 1998).

In some instances there have been large fluctuations in the biomass of a species that is decreasing (e.g. sardine off Namibia, anchovy off Peru and anchovy off South Africa). The sporadic appearance of relatively good year-classes has tended to mask the longer term downward trend. The relatively large catches that were permitted as a result of the good year-classes may have hastened collapses of these fish stocks.

#### **GLOBAL CLIMATE**

#### Database

In order to understand how future climate variations may influence marine ecosystems, it must first be understood how and why climate has varied in the past. Unfortunately, detailed records of regional and seasonal changes are limited to the period since about 1850. Prior to then, data are dependent upon proxy indicators of various kinds. Sources of proxy data include tree rings, ice and/or laminated sediment cores containing fish scales, banded corals and last but not least, historical documents. Such data are frequently limited to specific geographical regions and they often only provide information about a specific season when the influence of the climate was strongest.

Even after 1850, the coverage of available data can by no means be termed global. Instrumental measurements are affected by differences in instrumentation, methodology, times of observation and changes in the density and location of stations. Nevertheless, concerning air temperature, there is a clear warming trend in annual global land surface temperature of about  $0.5^{\circ}$ C over the past century. In order to estimate true global surface temperature fluctuations, marine temperature observations have recently been combined with the land data. The resulting trend of similar order cannot be considered linear; there are influences of decadal to multi-decade variability that appear to be a natural part of the global climate system (Jones *et al.* 1986). Satellites are currently providing the first datasets that are truly global in coverage.

Regional trends seem to differ widely and no region has been identified to be a reliable indicator of global trends. However, selected "regional groups" show similar climatic tendencies in areas separated by far distances (Glantz *et al.* 1991). Consequently, attention should focus on individual groups of areas and years when considering the decade-scale trends in large marine ecosystems. To identify climatic changes on this scale, there are two particular deficiencies in the historical record: oceanic datasets (deep-water formation, thermohaline overturning) and upper atmosphere datasets (stratospheric dust, jet streams).

Changes in climate involve changes in regional weather regimes, which may be manifest as shifts in the position of Asian monsoons, mid-latitude storm tracks and displacements of centres of action, such as the Icelandic Low, the Azores High and the Aleutian Low. For instance, the climate of Western Europe in winter depends on the number and location of atmospheric blocking events. A simple shift from two blocks to three blocks per season constitutes a major change in the regional climate.

#### Climatic tendencies in the teleconnected patterns

Two approaches to decadal Pacific climate variability have been described partially in the literature. The first is associated with an apparent "shift" in climate mean state or regime that occurred in the mid 1970s (Graham 1994, Miller *et al.* 1994). In the eastern North Pacific, there was anomalous horizontal advection of heat in the ocean, related to changes in atmospheric circulation. The origin of the shift seemed to be related to an abrupt increase in the sea surface temperature (SST) of about  $0.5-1^{\circ}$ C in the central and western equatorial Pacific, associated with decreasing cloud coverage and relaxed near-surface winds. However, the physical reason for that thermal anomaly is still unexplained in the literature.

The second approach calls for more gradual changes,

more oscillatory in nature (Trenberth 1990, White and Cayan 1998). For example, anomalies of the SST in the North Pacific Ocean and those of sea-level air pressure vary in phase. SST anomalies can change, via modified heat fluxes, the transient activity in the atmosphere, which in turn changes the winds reinforcing the initial SST. Such simultaneous fluctuations in ocean and atmosphere indicate that their coupling is important for the decadal variability. Nevertheless, there seems to be considerable confusion in the literature as to whether there exists a discrete climatic shift or a kind of oscillatory phenomenon.

The period from the mid 1930s to the mid 1970s/ 1980s seems to offer some "keys" to the possible global driving forces that may be involved. This was a period of particularly abrupt decade-scale fall in a very "low-pass filtered" rendering of the Southern Oscillation Index (SOI). This standardized index yields a negative value for *El Niño* years and correlates with a deepened "Aleutian Low" pressure system, positive SST anomalies in the eastern Pacific, predominance of El Niños over La Niñas, etc. Both the El Niño and the Southern Oscillation form the complex global ENSO phenomenon. *El Niño* is the oceanic component with extremely anomalous warm water at the sea surface off Peru and Chile, whereas the Southern Oscillation is the atmospheric part in the coupled ocean-atmosphere system. The latter is usually described by anomalies in the sea level air pressure describing variations in the zonal Walker Cell over the tropical Pacific between Tahiti and Darwin. For example, the SOI suggests major ENSO events in the periods 1911–1912, 1918–1919, 1925–1926, 1940–1941, 1957-1958, 1972-1973, 1982-1983, 1992-1993 and 1997–1998.

There is some statistical evidence that a negative SOI correlates with a positive anomaly in the Aleutian Low Pressure Index (ALPI), and vice versa. For example, a deep Aleutian Low speeds up the onshore advection of warm water in the north and reduces coastal upwelling off California. Since El Niño is known to be the dominant mode of interannual-scale climatic variability throughout the world, the ENSO phenomenon seems an obvious candidate for implication in the global-scale "regime shifts", because of its pronounced extra-tropical consequences. ENSOlike changes are reported from areas around the whole globe. Their linkage is termed "teleconnection". It is believed that unstable air-sea interactions and the subsurface "thermal memory" of the ocean, which is caused by its large heat capacity, control such ENSO quasi-cycles.

Fluctuations in this subsurface memory can be described in terms of very low-frequency waves (eastpropagating equatorial Kelvin waves, west-travelling Rossby waves, and poleward-propagating Kelvin-Rossby waves that are topographically trapped along the west coasts of continents). Therefore, a dependence of the typical oscillatory period on basin size is expected. The dominant ENSO period is about 4-6 years in the Pacific Ocean. Assuming that the nature of such air-sea interactions in the Atlantic is similar to that in the Pacific, this would imply a three-year oscillation for the Atlantic because its basin size is about half that of the Pacific. There is some observational evidence that this is indeed the case.

Differences between subsequent events of *El Niño* have tended to occur between epochs of high or low solar activity. When the solar activity is high, it seems to be that strong events recur at intervals of about 12–13 years, whereas a shorter interval of about 8–9 years probably dominates during periods of low solar activity. Nevertheless, in absence of a plausible physical relationship, the solar association should be considered with skepticism.

The Atlantic Ocean is the only ocean where the net meridional heat transport crosses the equator up to high latitudes. Oceanic currents effectively redistribute the stored heat content of tropical/subtropical latitudes up to higher latitudes to provide an essential part of energy for the wind systems of mid-latitudes via related heat fluxes from the ocean to the atmosphere. Here, the frequency of cyclones increases within the intensified belt of westerlies. The geostrophic component of the westerlies depends strictly on the meridional air pressure difference between subpolar and subtropical regions. Such gradients are well described by the pressure difference between Iceland and the Azores, which is characterized by a dipole-like pattern with north-south orientation. These differences indicate a whole range of different time-scales. Their temporal fluctuations are termed the North Atlantic Oscillation (NAO) in the literature (Van Loon and Rodgers 1978). Associated changes are not randomly distributed in time (i.e. not a white noise power spectrum) and several frequencies tend to dominate for one or several decades (a red power spectrum). Characteristic quasiperiods are found in the range of 70, 25-30 and 2-3years.

A positive NAO-index corresponds to intensified westerlies transporting relatively warm air masses to western Europe (mild European winters), whereas a negative NAO-index supports the inflow of cold Siberian air masses over north-eastern Europe, with a typical blocking situation over Scandinavia (severe European winters). The persistence of such a NAOmode seems to be related to the persistence of anomalies in the SST and in the wind field through feedback mechanisms, which take place in the northern tropical/ subtropical Atlantic Ocean (Hurrell 1996). However, other mechanisms must also be relevant because of such clear decade-scale signals. Suitable candidates are variations in subtropical and polar gyres, coverage of the sea-ice in polar regions and the deep-water formation and freshwater runoff of rivers.

At mid-latitudes, analysis of detrended SSTs confirms oscillations of about 30-70 years. In this context, the formation of North Atlantic Deep Water (NADW) can be expected to play a crucial role. In the late 1960s, a "freshwater anomaly" occurred in the Greenland-Iceland-Norway Sea region and travelled southwards with the East Greenland Current (Dickson et al. 1988). It passed the main region of deep-water formation (Greenland Sea) and reduced it temporarily. Its pathway turned north-eastwards in 1971–1972, after passing the Grand Banks. Numerical simulations confirm that the interdecadal climate variability in the North Atlantic is substantially influenced by the strength of the meridional thermohaline overturning cell. There is also some observational evidence that an increased injection of polar waters into the East Greenland Current is caused by a considerable intensification of northerly winds over the Greenland Sea and changes in the sea-ice conditions.

Long time-series also suggest that the atmosphere responds to anomalies in the SST (SSTA) as a result of variations in the subpolar gyre system in different ways. On interannual time-scales, the SSTAs are negatively correlated with the wind velocity south of about 50°N (SSTA >0 and NAO <0), whereas this correlation is positive on interdecadal time-scales (SSTA >0 and NAO >0). Therefore, interannual variability such as the NAO is mainly determined by air-sea flux variations, whereas the oceanic circulation dynamics seem to play an active role for interdecadal patterns. Therefore, climatic changes of the decade-scale stand for anomalies acting globally. For example, the "jump" of the early-mid 1970s is accompanied with a decreasing winter season SOI over the equatorial Pacific (El Niño tendency) and positive sea level anomalies along the coast off central Chile, an increasing winter season NAO-index over the central North Atlantic (intensification of westerlies), decreasing potential temperature of the Labrador Sea Water at the level of the potential vorticity minimum (intensified convection), increasing potential temperature at depths >2 000 m in the Greenland Sea (relaxed deep-water formation), but with a decreasing tendency for the Sahel precipitation index over sub-Saharan North Africa and a tendency for warmer water in the South Atlantic and Indian oceans. Because knowledge of mechanisms is incomplete, and global models are not yet sufficiently advanced to be expected to contain accurately all the processes that could connect these features, caution is needed. It is possible that some of the changes have been created by regional processes

that have approximately coincided with other remote events. For example, the change in NAO is somewhat later than the change in many other phenomena around the globe, such as Sahelian rainfall.

#### Physical-biological linkages and methods

How are such globally occurring climate changes linked to "regime shifts" observed in sardine and anchovy populations? Changes of the gyre-scale circulation, which influence not only the oceanic warmwater sphere but also its cold-water sphere via anomalies in the deep-water formation, seem to be major factors in the decadal climate. Until now, three classes of physical processes tend to determine favourable reproductive habitat for many types of fish (Bakun 1996). These are:

- (i) enrichment processes, namely
  - upwelling,
  - mixing,
  - cyclonic wind stress curl (Ekman divergence),
  - cyclonic eddy formation;

(ii) concentration processes, namely

- convergent frontal formation, anticyclonic wind stress curl (Ekman conver-
- gence),
- lack of dispersion by turbulent mixing processes,
  "encounter-rate" increases/decreases as a result of changes in the micro-scale turbulence;
- (iii) retention processes:
  - lack of offshore transport in (1) Ekman field (near-surface and superficial layers), (2) geostrophic current (intermediate layers) and (3) offshore dispersion of eddy-like features (filaments) on the meso-scale,
  - · availability of enclosed gyral circulations,
  - stability of current patterns to which life cycles are adapted.

Time-series of indices of variation of many of these processes can, in principle, be developed for use in retrospective analysis. Some may be directly related to wind and so may be formulated from COADS (Com-prehensive Ocean-Atmosphere Dataset) data (Roy and Mendelssohn 1998) or various meteorological fields. Ways to address others might be sought in satellite imagery or in hydrographic survey data and/or climatic atlases (e.g. Levitus). In addition, some new concepts and methods for retrospective analysis are now available. There is the concept of the "optimal environmental window", which directly relates regional wind conditions via production and turbulence to the survival of fish larvae (Cury and Roy 1989). Methods for the analysis of time-series involve power spectra

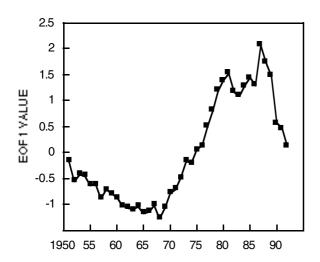


Fig. 32: Time-series (or "scores") of the first principal component (EOF1) of catches of sardine and anchovy in four regions – North-Western, North-Eastern and South-Eastern Pacific and South-Eastern Atlantic (see Table III), 1951–1992. Catches from the Gulf of California were excluded from the data for the North-Eastern Pacific. The EOF time-series is calculated by combining the eight original series of fish catches, with each series weighted by the Principal Component weights shown in Table III. All series were standardized prior to analysis, i.e. it is a correlation principal component analysis

to elucidate characteristic periods in the frequency domain and/or the use of empirical orthogonal functions (EOF), as well as principal oscillation patterns (POP) to help avoid the confounding of mechanisms that are acting on different time-scales. These may allow new inferences even from old data series that may have been previously addressed by conventional correlative methods. Finally, there is the realistic hope that unanswered questions can be addressed successfully by modelling approaches, as a result of rapidly increased computer power and a desire to address the modelling of the global environment. This is driven by a growing world population and global economy that, for success, will demand careful interaction with the environment through the next century.

#### Two cycles of decade-scale waves

Physical conditions influence marine ecosystems over a broad range of scales in time and space. Regional patterns occur in physical and chemical water characteristics, which alter the basic environmental conditions. Such "regime changes" reorganize marine ecosystems

Table III: First Principal Component (EOF1) of annual catches of sardine and anchovy in four selected regions of the world, 1951-1992. The values shown are the EOFweights, which give the correlation between each individual time-series and the EOF1 time-series. The EOF1 time-series is shown in Figure 32. The EOF1 explains 61.1% of the total variance in the original eight series of fish catch. Catches from the Gulf of California were not included with the California catch

Region	Sardine	Anchovy	
Japan	0.92	-0.88	
California	0.75	0.78	
Peru	0.90	-0.46	
South-Western Africa	-0.82	0.67	

and synchronize variability in fish populations distributed around the world. The yearly identification of relative minimum/maximum values in landings suggests the existence of global "turning points", which switch the "regimes" from an increasing to a decreasing stage, or vice versa. For example, palaeosedimentary analysis of longer periods has indicated that there does seem to have been an alternation in dominance of either sardine or anchovy in the California system. The character of the series of landings seems less indicative of actual replacement of one component by the other, but rather a response of both to some common climatic forcing function. In any case, the idea that these variations may be somehow "linked" via a climatic forcing seems rather compelling.

Therefore, long-term fluctuations of pelagic fish production in this century probably can be conceived as a result of two climate-related "waves". Their first peak occurred in the late 1930s, influencing the years 1920–1950, while the second peak value started in the 1970s and apparently reached its maximum in the late 1980s and early 1990s. The latter cycle is illustrated in Figure 32, which shows the time-series of the first EOF of sardine and anchovy fish catch for four regions of the globe (Japan, California, South-West Africa and Peru/Chile - each region has a time-series of sardine and anchovy catch, making a total of eight time-series that were entered into a Correlation Principal Component Analysis). The statistical weights of the EOF (Table III) show generally out-of-phase behaviour for sardine and anchovy, whereas the marine ecosystem off South-Western Africa (Benguela Current) appears to be out of phase with that in Japan, California and Peru. The first EOF explains 61.1% of the total variance in the original eight indices. Inspection of Table III shows that the EOF combines the fish catch data in a way that is similar to other "regime indicator series" (Lluch-Cota et al. 1997).

Until now, only two cycles are well documented.

That means the available database is too sparse to prove statistically the apparent link between "regime shifts" in marine ecosystems and variations in the coupled ocean-atmosphere system on interdecadal time-scales. Generally, this situation will not be altered greatly by an increasing data coverage in space as a result of large international programmess like those of the World Climate Research Programme (WCRP), the World Ocean Circulation Experiment (WOCE), the Joint Global Ocean Flux Studies (JGOFS) and others such as the Global Ocean Ecosystem Dynamics (GLOBEC) and the Climate Variability and Prediction Research Programme (CLIVAR - component of WCRP) scheduled for or still running in the next decades. However, it is hoped that these programmes will ensure that, at the beginning of the next century, any "new climatic phase" will be better monitored as it affects not only oceanic but also terrestrial ecosystems worldwide. Furthermore, if actively persuaded, there are two specific ways in which the international programmes could improve knowledge of past events. First, palaeo-ecology holds the promise of improved understanding of decadal variability over the past few thousand years or so. Second, methods of assimilating sparse data using physical-based models are improving, and targeted studies making the best use of available data and rescuing data still held in manuscript form, may allow some of the major turning points in marine ecosystems this century to be studied in more detail.

#### ACKNOWLEDGEMENTS

This paper was a product of SCOR WG 98. The staff at SCOR, notably Ms E. Gross and Ms W. A. Ross, went to considerable effort to ensure the smooth running of the meetings and travel. Ms E. Gross, and Dr C. L. Moloney and Mr C. D. van der Lingen (Marine & Coastal Management, South Africa) provided valuable comments on the manuscript. Support was also received from CONACYT and Centro de Investigaciones Biológicas del Noroeste for the meeting in La Paz, and from South Africa's Sea Fishery Fund and Foundation for Research Development for the meeting in La Jolla. Scripps Institution of Oceanography hosted the last meeting. We thank the staff of Centro de Investigaciones Biológicas del Noroeste and Ms P. Schwartzlose for organizing the two meetings.

#### LITERATURE CITED

ALHEIT, J. and E. HAGEN 1997 - Long-term climate forcing of European herring and sardine populations. Fish. Oceanogr. 6: 130-139.

- ANON. 1896 Fourteenth biennial report of the State Board of Fish Commissioners of the State of California for the years 1895–1896: p. 15.
- ANON. 1999 California Sea Grant Extension Program Newsetter **99-1** p. 1. ARMSTRONG, M. J., SHELTON, P. A. and R. M. PROSCH 1985
- Catch-based assessments of population size variability of pelagic fish species exploited in ICSEAF Division 1.6. Colln scient. Pap. int. Commn SE. Atl. Fish. 12(1): 17-29.
- ARMSTRONG, M. J., SHELTON, P. A., PROSCH, R. M. and W. S. GRANT 1983 -- Stock assessment and population dynamics of anchovy and pilchard in ICSEAF Division 1.6 in 1982. Colln scient. Pap. int. Commn SE. Atl. Fish. **10**(1): 7–25. BAKUN, A. 1996 — Patterns in the Ocean: Ocean Processes and
- Marine Population Dynamics. University of California Sea Grant Program, San Diego, California, USA, in cooperation with Centro de Investigaciones Biológicas de Noroeste, La Paz, Mexico: 323 pp. BARANGE, M., HAMPTON, I. and B. A. ROEL 1999 — Trends
- in the abundance and distribution of anchovy and sardine on the South African continental shelf in the 1990s, deduced from acoustic surveys. S. Afr. J. mar. Sci. 21:
- BAUMGARTNER, T. R., CRUSIUS, J., THOMSON, R., HOLM-GREN, D., FRANCIS, R., SOUTAR, A., FERREIRA-BARTRINA, V. and J. FIELD (in preparation a) Discovery of a sedimentary record of small pelagic fish abundance from the west coast of Vancouver Island.
- BAUMGARTNER, T. R., SOUTAR, A. and V. FERREIRA-BARTRINA 1992 Reconstruction of the history of Pacific sardine and northern anchovy populations over the past two millenia from sediments of the Santa Barbara Basin, California. Rep. Calif. coop. ocean. Fish. Invest. 33: 24 - 40
- BAUMGARTNER, T. R., SOUTAR, A. and V. FERREIRA-BARTRINA (in preparation b) - Comparative history of sardine and anchovy populations in the California and Peru-Chile ecosystems from fish scale deposition records.
- BERRUTI, A. and J. COLCLOUGH 1987 Comparison of the abundance of pilchard in Cape gannet diet and commercial catches off the Western Cape, South Africa. In *The* Benguela and Comparable Ecosystems. Payne, A. I. L. Gulland, J. A. and K. H. Brink (Eds). S. Afr. J. mar. Sci. 5: 863-869
- BERRUTI, A., UNDERHILL, L. G., SHELTON, P. A., MOLONEY, C. L. and R. J. M. CRAWFORD 1993 - Seasonal and interannual variation in the diet of two colonies of the Cape gannet (*Morus capensis*) between 1977–78 and 1989. Colon. Waterbirds **16**(2): 158–175.
- BIRKELAND, C. and J. S. LUCAS 1990 Acanthaster planci: Major Management Problem of Coral Reefs. Boca Raton;
- BLACKBURN, M. 1949 Age, rate of growth and general life-history of the Australian pilchard (Sardinops neopilchardus) in New South Wales waters. Bull. Commonw. scient. ind. Res. Org. 242: 86 pp.
- BLACKBURN, M. 1950 Studies on the age, growth and life history of the pilchard, Sardinops neopilchardus (Steindachner),
- and predicts, Startings inceptionardus (Steinlachner), in southern and western Australia. Aust. J. mar. Freshwat. Res. 1: 221–258 + 5 Plates.
   BLACKBURN, M. 1951 Races and populations of the Australian pilchard, Sardinops neopilchardus (Steindachner). Aust. J. mar. Freshwat. Res. 2: 179–192.
   ROY, C. F. B. and C. M. INVKINS 1076 Time Series Angelusian
- BOX, G. E. P. and G. M. JENKINS 1976 Time Series Analysis; Forecasting and Control, Revised Edition. San Francisco;
- Holden Day: xxi + 575 pp. BOYER, D. 1994 State of the Namibian pilchard stock and recommendations regarding harvesting. Unpublished Report, Ministry of Fisheries and Marine Resources, Namibia: 10 pp.

(mimeo)

- BURD, A. C. 1978 Long-term changes in North Sea herring stocks. Rapp. P.-v. Reun. Cons. perm. int. Explor. Mer 172: 137 - 153
- BUTLER, J. L., SMITH, P. E. and N. C. H. LO 1993 The effect of natural variability of life-history parameters on anchovy and sardine population growth. *Rep. Calif. coop. ocean. Fish. Invest.* **34**: 104–111.
- BUTTERWORTH, D. S. 1983 -- Assessment and management of pelagic stocks in the southern Benguela region. In Proceedings of the Expert Consultation to Examine Changes in Abundance and Species Composition of Neritic Fish Resources, San José, Costa Rica, April 1983. Sharp, G. D. and J. Csirke (Eds). F.A.O. Fish. Rep. 291(2): 329–405. CAPUTI, N., FLETCHER, W. J., PEARCE, A. and C. F. CHUBB 1996 — Effect of the Leeuwin Current on the recruitment
- of fish and invertebrates along the Western Australian coast. Mar. Freshwat. Res. 47(2): 147-155.
- CAVERIVIÉRE, A. 1991 L'explosion démographique du baliste (Balistes carolinensis) en Afrique de l'Ouest et son évolution en relation avec les tendances climatiques. In Pêcheries Ouest-Africaines. Variabilité, Instabilité et Changement. Cury, P. and C. Roy (Eds). Paris; ORSTOM: 354-367.
- CERGOLE, M. C. 1995 Stock assessment of the Brazilian sardine, Sardinella brasiliensis, of the southeastern coast of Brazil.
- Scientia Mar, Barcelona 59(3–4): 597–610.
   CISNEROS-MATA, M. A., NEVÁREZ-MARTÍNEZ, M. O. and M. G. HAMMANN 1995 The rise and fall of the Pacific sardine, Sardinops sagax caeruleus Girard, in the Gulf of California, Mexico. Rep. Calif. coop. ocean. Fish. Invest. 36: 136-143
- CLARK, F. N. 1947 Analysis of populations of the Pacific sardine on the basis of vertebral counts. Fish Bull. Calif. 65: 26 pp. CLARK, F. N. and J. F. JANSSEN 1945 — Movements and abun-
- dance of the sardine as measured by tag returns. Fish Bull. Calif. 61: 7-42.
- CLARK, F. N. and J. C. MARR 1955 Population dynamics of
- CDARK, F. H. and J. C. MARK 1955 ropulation dynamics of the Pacific sardine. *Prog. Rep. Calif. coop. oceanic Fish. Invest.* 1 July 1953 to 31 March 1955: 11–48.
   COTERO-ALTAMIRANO, C. E. and Y. GREEN-RUIZ 1997 Spawning biomass of the northern anchory (*Engraulis*) mordax) in the Gulf of California during 1991. Rep. Calif. coop. ocean. Fish. Invest. 38: 171-179.
- CRAWFORD, R. J. M. 1998 Responses of African penguins to regime changes of sardine and anchovy in the Benguela system. In Benguela Dynamics: Impacts of Variability on Shelf-Sea Environments and their Living Resources. Pillar, (Eds). S. Afr. J. mar. Sci. 19: 355–364.
- CRAWFORD, R. J. M. 1999 Seabird responses to long-term changes of prey resources off southern Africa. In *Proceedings* of the 22<sup>nd</sup> International Ornithological Congress, August 1998, Durban, South Africa. Adams, N. J. and R. H. Slotow (Eds). Johannesburg; BirdLife South Africa: 688 - 705
- CRAWFORD, R. J. M., CRUICKSHANK, R. A., SHELTON, P. A. and I. KRUGER 1985 - Partitioning of a goby resource amongst four avian predators and evidence for altered trophic flow in the pelagic community of an intense, perennial upwelling system. S. Afr. J. mar. Sci. 3: 215–228.
   CRAWFORD, R. J. M. and G. DE VILLIERS 1984 — Chub mackerel Scomber japonicus in the South-East Atlantic –
- its seasonal distribution and evidence of a powerful 1977
- year-class. S. Afr. J. mar. Sci. 2: 49–61. CRAWFORD, R. J. M. and B. M. DYER 1995 -- Responses by four seabird species to a fluctuating availability of Cape anchovy *Engraulis capensis* off South Africa. *Ibis* 137: 329-339
- CRAWFORD, R. J. M. and J. JAHNCKE 1999 Comparison of

trends in abundance of guano-producing seabirds in Peru and southern Africa. S. Afr. J. mar. Sci. 21: 145–156. CRAWFORD, R. J. M. and F. KRIEL 1985 – Fishermen's views

- of pelagic fishery. *S. Afr. Shipp. News Fishg Ind. Rev.* **40**(1): 29, 31, 33, 35, 37. CRAWFORD, R. J. M., SHANNON, L. J. and G. NELSON 1995a
- Environmental change, regimes and middle-sized pelagic fish in the South-East Atlantic Ocean. *Scientia* Mar., Barcelona 59(3-4): 417-426.
- CRAWFORD, R. J. M., SHANNON, L. V. and D. E. POLLOCK - The Benguela ecosystem. 4. The major fish and 1987 invertebrate resources. In Oceanography and Marine Biology. An Annual Review 25. Barnes, M. (Ed.). Aberdeen; University Press: 353–505. CRAWFORD, R. J. M. and P. A. SHELTON 1978 — Pelagic fish
- and seabird interrelationships off the coasts of South West and South Africa. Biol. Conserv. 14(2): 85-109
- CRAWFORD, R. J. M., SIEGFRIED, W. R., SHANNON, L. V., VILLACASTIN-HERRERO, C. A. and L. G. UNDERHILL 1990a - Environmental influences on marine biota off southern Africa. S. Afr. J. Sci. 86: 330–339. CRAWFORD, R. J. M., UNDERHILL, L. G., SHANNON, L. V.,
- LLUCH-BELDA, D., SIEGFRIED, W. R. and C. A. VILLA-CASTIN-HERRERO 1991 - An empirical investigation of trans-oceanic linkages between areas of high abundance of sardine. In Long-Term Variability of Pelagic Fish Populations and their Environment. Kawasaki, T., Tanaka, S., Toba, Y. and A. Taniguchi (Eds). Oxford; Pergamon: 319-332
- CRAWFORD, R. J. M., WILLIAMS, A. J., HOFMEYR, J. H., KLAGES, N. T. W., RANDALL, R. M., COOPER, J., DYER, B. M. and Y. CHESSELET 1995b — Trends of African penguin Spheniscus demersus populations in the 20th century. S. Afr J. mar. Sci. 16: 101–118.
   CRAWFORD, R. J. M., WILLIAMS, A. J., RANDALL, R. M., RANDALL, B. M., BERRUTI, A. and G. J. B. ROSS
- 1990b Recent population trends of jackass penguins Spheniscus demersus off southern Africa. Biol. Conserv.
- 52(3): 229–243.
   CSIRKE, J., GUEVARA-CARRASCO, R., CÁRDENAS, G., NIQUEN, M. and A. CHIPOLLINI 1996 Situación de los recursos anchoveta (Engraulis ringens) y sardina (Sardinops sagax) a principios de 1994 y perspectivas para la pesca en el Perú, con particular referencia a las regiones
- In pesce of effective construction reference a ratio regiones norte y centro de la costa peruana. Boln Inst. Mar. Perú 15(1): 23 pp.
   CURY, P., BAKUN, A., CRAWFORD, R. J. M., JARRE-TEICH-MANN, A., QUIÑONES, R. A., SHANNON, L. J. and H. M. VERHEYE (in press) Small pelagics in upwelling systems: transmitter and interest in study of the systems. patterns of interaction and structural changes in "waspwaist" ecosystems. In Proceedings of the ICES/SCOR WG 105 International Symposium on Ecosystem Effects of Fishing, Montpellier, France, March 1999. Academic Press.
- CURY, P. and C. ROY 1989 Optimal environmental window and pelagic fish recruitment success in upwelling areas. Can. J. Fish. aquat. Sci. 46(4): 670-680.
- DAVIES, D. H. 1955 The South African pilchard (Sardinops ocellata). Bird predators, 1953–4. Investl Rep. Div. Fish. S. Afr. 18: 32 pp. DAVIES, D. H. 1956 — The South African pilchard (Sardinops
- ocellata) and maasbanker (Trachurus trachurus). Bird
- predators, 1954–55. *Investl Rep. Div. Fish. S. Afr.* **23**: 40 pp. DE VRIES, T. J. and W. G. PEARCY 1982 Fish debris in sediments of the upwelling zone off central Peru; a late
- Quaternary record. Deep-Sea Res. 28(1A): 87–109.
   DICKSON, R. R., MEINCKE, J., MALMBERG, S. A. and A. E. LEE 1988 The "great salinity anomaly" in the northern North Atlantic 1968–1982. Prog. Oceanogr. 20: 103–151.

- DIXON, P. I., WORLAND, L. J. and B. H. Y. CHAN 1993 Stock identification and discrimination of pilchards in Australian waters, using genetic criteria. Final Report. Fisheries Research and Development Corporation 89/25: 95 pp. DOUCET, W. F. and H. EINARSSON 1967 — A brief description
- DOUCET, W. F. and H. EINARSSON 1967 A brief description of Peruvian fisheries. *Rep. Calif. coop. ocean. Fish. Invest.* 11: 82–87.
- EDMONDS, J. S., CAPUTI, N., MORAN, M. J., FLETCHER W. J. and M. MORITA 1995 — Population discrimination by variation in concentrations of minor and trace elements in sagittae of two Western Australian teleosts. In *Recent Developments in Fish Otolith Research*. Secor, D. H., Dean, J. M. and S. E. Campana (Eds). University of South Carolina Press: 655–671.
- EDMONDS, J. S. and W. J. FLETCHER 1997 Stock discrimination of pilchards Sardinops sagax by stable isotope ratio analysis of otolith carbonate. Mar. Ecol. Prog. Ser. 152: 241–247.
- FLETCHER, W. J. 1990 A synopsis of the biology and exploitation of the Australasian pilchard, Sardinops neopilchardus (Steindachner).1. Biology. Fish. Res. Rep. westn Aust. Dep. Fish. 88: 45 pp.
  FLETCHER, W. J. 1991 — A synopsis of the biology and ex-
- FLETCHER, W. J. 1991 A synopsis of the biology and exploitation of the Australasian pilchard, *Sardinops neopil-chardus* (Steindachner). 2. History of stock assessment and exploitation. *Fish. Res. Rep. westn Aust. Dep. Fish.* **91**: 55 pp.
- FLETCHER, W. J. 1992 Use of a spatial model to provide initial estimates of stock size for a purse seine fishery on pilchards (*Sardinops sagax neopilchardus*) in Western Australia. *Fish. Res.*14: 41–57.
- FLETCHER, W. J. 1994 Modelling, a method for setting research priorities not just the tool for final analysis of Western Australian pilchards. In *Population Dynamics for Fisheries Management. Australian Society for Fish Biology Conference Proceedings, August 1993, Perth, Western Australia.* Perth; Australian Society for Fish Biology: 29–38.
  FLETCHER, W. J. 1995 — Application of the otolith weight-age
- FLETCHER, W. J. 1995 Application of the otolith weight-age relationship for the pilchard, *Sardinops sagax neopilchardus*. *Can. J. Fish. aquat. Sci.* **52**: 657–664.
  FLETCHER, W. J. and S. J. BLIGHT 1996 — Validity of using
- FLETCHER, W. J. and S. J. BLIGHT 1996 Validity of using translucent zones of otoliths to age the pilchard Sardinops sagax neopilchardus from Albany, Western Australia. Mar. Freshwat. Res. 47(4): 617–624.
- Sagax neoplicharaus from Aloany, Western Australia, Mar. Freshwat. Res. 47(4): 617–624.
   FLETCHER, W. J., LO, N. C-H., HAYES, E. A., TREGONNING, R. J. and S. J. BLIGHT 1996a — Use of the daily egg production method to estimate the stock size of Western Australian sardines (Sardinops sagax neoplichardus). Mar. Freshwat. Res. 47: 819–825.
- FLETCHER, W. J., TREGONNING, R. J. and G. J. SANT 1994 — Interseasonal variation in the transport of pilchard eggs and larvae off southern Western Australia. *Mar. Ecol. Prog. Ser.* **111**: 209–224.
- Prog. Ser. 111: 209–224.
   FLETCHER, W. J., WHITE, K. V. and D. J. GAUGHAN 1996b
   Analysis of the distribution of pilchard eggs off Western Australia to determine stock identity and monitor stock size. Final Report. FRDC 92/25: 94 pp.
   FRANCIS, R. C. and S. R. HARE 1994 — Decadal-scale regime
- FRANCIS, R. C. and S. R. HARE 1994 Decadal-scale regime shifts in the large marine ecosystems of the North-East Pacific: a case for historical science. *Fish. Oceanogr.* 3: 279–291.
- GAMMELSRØD, T., BARTHOLOMAE, C. H., BOYER, D. C., FILIPE, V. L. L. and M. J. O'TOOLE 1998 — Intrusion of warm surface water along the Angolan-Namibian coast in February – March 1995: the 1995 Benguela Niño. In Benguela Dynamics: Impacts of Variability on Shelf-Sea Environments and their Living Resources. Pillar, S. C., Moloney, C. L., Payne, A. I. L. and F. A. Shillington (Eds). S. Afr. J. mar. Sci. 19: 41–56.

- GAUGHAN, D. J., NEIRA, F. J., BECKLEY, L. E. and I. C. POTTER 1990 — Composition, seasonality and distribution of the ichthyoplankton in the Lower Swan Estuary, southwestern Australia. Aust. J. mar. Freshwat. Res. 41: 529–543.
- GELDENHUYS, N. D. 1973 Growth of the South African maasbanker *Trachurus trachurus* Linnaeus and age composition of the catches, 1950–1971. *Investl Rep. Sea Fish. Brch S. Afr.* 101: 24 pp.
   GIRARD, C. 1854 — Descriptions of new fishes, collected by
- GIRARD, C. 1854 Descriptions of new fishes, collected by Dr A. L. Heermann, naturalist attached to the survey of the Pacific railroad route, under Lieut. R. S. Williamson, U.S.A. Proc. Acad. nat. Sci. Philad. 7: 129–140.
   GLANTZ, M. H., KATZ, R. W. and N. NICHOLLS (Eds) 1991 —
- GLANTZ, M. H., KATZ, R. W. and N. NICHOLLS (Eds) 1991 Teleconnections Linking Worldwide Climate Anomalies. Cambridge; University Press: 535 pp.GLANTZ, M. H. and J. D. THOMPSON (Eds) 1981 — Resource
- GLANTZ, M. H. and J. D. THOMPSON (Eds) 1981 Resource Management and Environmental Uncertainty: Lessons from Coastal Upwelling Fisheries. New York; Wiley: 491 DD.
- GRAHAM, N. E. 1994 Decadal-scale climate variability in the tropical and north Pacific during the 1970s and 1980s: observations and model results. *Climate Dynam.* 10: 135–162.
- GTE.IFOP-IMARPE 1998 Evaluación conjunta de los stocks de sardina y anchoveta del sur del Perú y norte de Chile. Informe Técnico. Grupo de Trabajo IFOP-IMARPE sobre pesquerías de pequeños pelágicos. Quinto Taller, Valparaíso, Noviembre 1998: 51 pp. + Appendices.
   HAMMANN, M. G. and M. A. CISNEROS-MATA 1989 —
- HAMMAÑN, M. G. and M. A. CISÑEROS-MATA 1989 Range extension and commercial capture of the northern anchovy, *Engraulis mordax* Girard, in the Gulf of California, Mexico. *Calif. Fish Game* **75**(1): 49–53.
- HAMPTON, I. 1987 Acoustic study on the abundance and distribution of anchovy spawners and recruits in South African waters. In *The Benguela and Comparable Ecosystems*. Payne, A. I. L., Gulland, J. A. and K. H. Brink (Eds). S. Afr. J. mar. Sci. 5: 901–917.
  HAMPTON, I. 1992 The role of acoustic surveys in the assess-
- HAMPTON, I. 1992 The role of acoustic surveys in the assessment of pelagic fish resources on the South African continental shelf. In *Benguela Trophic Functioning*. Payne, A. I. L., Brink, K. H., Mann, K. H. and R. Hilborn (Eds). S. Afr. J. mar. Sci. 12: 1031–1050.
- HAMPTON, I. 1996 Acoustic and egg-production estimates of South African anchovy biomass over a decade: comparisons, accuracy, and utility. *ICES J. mar. Sci.* 53: 493–500.
- HARGREAVES, N. B., WARE, D. M. and G. A. McFARLANE 1994 — Return of Pacific sardine (*Sardinops sagax*) to the British Columbia coast in 1992. *Can. J. Fish. aquat. Sci.* 51: 460–463.
- HARRIS, G. P., GRIFFITHS, F. B. and L. A. CLEMENTSON 1992 — Climate and the fisheries off Tasmania – interactions of physics, food chains and fish. In *Benguela Trophic Functioning*. Payne, A. I. L., Brink, K. H., Mann, K. H. and R. Hilborn (Eds). S. Afr. J. mar. Sci. 12: 585–597.
- R. Hilborn (Eds). S. Afr. J. mar. Sci. 12: 585–597.
   HAYASI, S. 1967 A note on the biology and fishery of the Japanese anchovy Engraulis japonica (Houttuyn). Rep. Calif. coop. oceanic Fish. Invest. 11: 44–57.
- HEDGECOCK, D., HUTCHINSON, E. S., LI, G., SLY, F. L. and K. NELSON 1989 — Genetic and morphometric variation in the Pacific sardine, *Sardinops sagax caerulea*: comparisons and contrasts with historical data and with variability in the northern anchovy, *Engraulis mordax*. *Fishery Bull.*, *Wash.* 87: 653–671.
- HILL, K. T., YAREMKO, M., JACOBSON, L. D., LO, N. C. H. and D. A. HANAN 1998 Stock assessment and management recommendations for Pacific sardine (*Sardinops sagax*). Admin. Rep.
  HOBSON, E. S. and J. R. CHESS 1996 Examination of a great
- HOBSON, E. S. and J. R. CHESS 1996 Examination of a great abundance of filefish, *Pervagor spilosoma*, in Hawaii. *Environ. Biol. Fishes* 47: 269–278.

- HOLLOWED, A. B., BAILEY, K. M. and W. S. WOOSTER 1987 - Patterns in recruitment of marine fishes in the northeast Pacific Ocean. Biol. Oceanogr. 5: 99-131.
- HOLMGREN-URBA, D. and T. R. BAUMGARTNER 1993 -250-year history of pelagic fish abundances form the anaerobic sediments of the central Gulf of California. *Rep. Calif. coop. ocean. Fish. Invest.* **34**: 60–68. HURRELL, J. W. 1996 — Influence of variations in extratropical
- wintertime teleconnections on northern hemisphere temperatures. *Geophys. Res. Letts* **23**: 665–668. HUTCHINGS, L. and A. J. BOYD 1992 — Environmental influences
- on the purse seine fishery in South Africa. Investigación pesq., Santiago 37: 23-43.
- HUTCHINSON, G. E. 1950 Survey of contemporary knowl-edge of biogeochemistry. 3. The biogeochemistry of vertebrate excretion. Bull. Am. Mus. nat. Hist. 96: 1-554.
- IMARPE 1972 Informe sobre la segunda reunión del panel de expertos en dinámica de población de la anchoveta peruana. Boln Inst. Mar. Perú 2(7): 373-458.
- ITO, K. 1887 The fisheries of Japan. Transactions of the American Fisheries Society Sixteenth Annual Meeting, May–June 1887: 17–23.
- JACOBSON, L. D., LO, N. C. H., HERRICK, S. F. and T. BISHOP 1995 — Spawning biomass of the northern anchovy in
- 1955 and status of the coastal pelagic species fishery during 1994. Admin. Rep. NMFS, SWFSC: LJ-95-13.
   JAMES, A. G. 1987 Feeding ecology, diet and field-based studies on feeding selectivity of the Cape anchovy Engraulis capensis Gilchrist. In The Benguela and Comparable Ecosystems. Payne, A. I. L., Gulland, J. A. and K. H. Brink (Eds). S. Afr. J. mar. Sci. 5: 673-692.
- JAPAN BUREAU OF AGRICULTURE 1894 The fisheries of Japan. Bull. U. S. Fish Commn 13: 419–435. JONES, P. D., WIGLEY, T. M. L. and P. B. WRIGHT 1986 —
- Global temperature variations between 1861 and 1894. Nature, Lond. 322: 430-434.
- JONES, R. and E. W. HENDERSON 1987 The dynamics of energy transfer in marine food chains. In The Benguela and Comparable Ecosystems. Payne, A. I. L., Gulland, J. A.
- and K. H. Brink (Eds). S. Afr. J. mar. Sci. 5: 447–465. JORDAN, D. S. and C. H. GILBERT 1881 List of fishes of the Pacific coast of the United States with a table showing the
- distribution of species. *Proc. U.S. natn. Mus.* **3**: 452–458. JORDAN, D. S. and C. H. GILBERT 1882 Notes on the fishes of the Pacific coast of the United States. Proc. U.S. natn. Mus. 4: 29–70.
- KAWASAKI, T. 1983 Why do some pelagic fishes have wide fluctuations in their numbers? Biological basis of fluctuation from the viewpoint of evolutionary ecology. FAO Fish. Rep. 291(3): 1065-1080.
- KAWASAKI, T. 1991 Long-term variability in the pelagic fish populations. In Long-Term Variability of Pelagic Fish Populations and their Environment. Kawasaki, T., Tanaka, S., Toba, Y. and A. Taniguchi (Eds). Oxford; Pergamon: 47-60.
- KAWASAKI, T. 1992 Mechanisms governing fluctuations in pelagic fish populations. In Benguela Trophic Functioning. Payne, A. I. L., Brink, K. H., Mann, K. H. and R. Hilborn
- (Eds). S. Afr. J. mar. Sci. 12: 873–879.
   KAWASAKI, T. 1993 Recovery and collapse of the Far Eastern sardine. Fish. Oceanogr. 2: 244–253.
   KAWASAKI, T. 1994 Adorda of the recirculation of the far Eastern statement of the second second
- KAWASAKI, T. 1994 A decade of the regime shift of small pelagics - from the FAO Expert Consultation (1983) to the PICES III (1994). Bull. jap. Soc. Fish. Oceanogr. 59: 321-333 (in Japanese)
- KAWASAKI, T. and M. OMÓRI 1988 Fluctuations in the three major sardine stocks in the Pacific and the global trend in temperature. In Long Term Changes in Marine Fish Populations. Wyatt, T. and M. G. Larrañeta (Eds). Vigo;

Instituto de Investigaciones Marinas de Vigo: 37-53 (Proceedings of a symposium held in Vigo, November 1986).

- KAWASAKI, T. and M. OMORI 1995 Possible mechanisms underlying fluctuations in the Far Eastern sardine population inferred from time series of two biological traits. Fish. Oceanogr. 4: 238-242.
- KIKUCHI, T. 1958 A relation between the alternation between good and poor catches of sardine and the establishment of Shinden and Naya villages. *Memorial Works dedicated to* Professor K. Uchida: 84–92.
- KING, D. P. F. and P. R. MACLEOD 1976 Comparison of the food and the filtering mechanism of pilchard Sardinops ocellata and anchovy Engraulis capensis off South West Africa, 1971–1972. Investl Rep. Sea Fish. Brch S. Afr. 111: 29 pp. KONCHINA, Y. V. 1991 — Trophic status of the Peruvian an-
- chovy and sardine. J. Ichthyol. 31(2): 59-72.
- KONDO, K. 1980 The recovery of the Japanese sardine the biological basis of stock size fluctuations. Rapp. P.-v. Réun. Cons. perm. int. Explor. Mer 177: 332–354.
- KURODA, K. 1991 On the distribution of the Japanese sardine, Sardinops melanostictus (Schlegel), in the Yellow Sea and the East China Sea. Bull. jap. Soc. Fish. Oceanogr. 55: 169-171 (in Japanese)
- LI, X-Y., KAWASAKI, T. and H. HONDA 1992 The niches of the Far Eastern sardine and Japanese anchovy. Asian Fish. Soc. 5: 315-326.
- LLUCH-BELDA, D., CRAWFORD, R. J. M., KAWASAKI, T., MacCALL, A. D., PARRISH, R. H., SCHWARTZLOSE, R. A. and P. E. SMITH 1989 World-wide fluctuations of sardine and anchovy stocks: the regime problem. S. Afr. J. mar. Sci. **8**: 195–205.
- LLUCH-BELDA, D., HERNANDEZ-VAZQUEZ, S., LLUCH-COTA, D. B., SALINAS-ZAVALA, C. A. and R. A. SCHWARTZLOSE 1992a The recovery of the California sardine as related to global change. *Rep. Calif. coop. ocean. Fish. Invest.* **33**: 50–59. Fish. Invest. 33: 50-
- LLUCH-B[ELDA], D., HERNANDEZ-V[AZQUES], S. and R. A. SCHWARTZLOSE 1991a — A hypothetical model for the fluctuation of the California sardine population (*Sardinops sagax caerulea*). In *Long-term Variability of Pelagic Fish* Populations and their Environment. Kawasaki, T., Tanaka, S., Toba, Y. and A. Taniguchi (Eds). Oxford; Pergamon: 293-300.
- LLUCH-BELDA, D., LLUCH-COTA, D. B., HERNÁNDEZ-VÁZQUEZ, S. and C. A. SALINAS-ZAVALA 1992b -Sardine population expansion in eastern boundary systems of the Pacific Ocean as related to sea surface temperature. In Benguela Trophic Functioning. Payne, A. I. L., Brink, K. H., Mann, K. H. and R. Hilborn (Eds). S. Afr. J. mar. Sci. 12: 147-155.
- LLUCH-BELDA, D., LLUCH-COTA, D. B., HERNANDEZ-VAZQUEZ, S., SALINAS-ZAVALA, C. A. and R. A. SCHWARTZLOSE 1991b Sardine and anchovy spawning as related to temperature and upwelling in the California Current System. Rep. Calif. coop. ocean. Fish. Invest. 32: 105-111.
- LLUCH-BELDA, D., MAGALLON, F. J. and R. A. SCHWARTZ-LOSE 1986 -- Large fluctuations in the sardine fishery in the Gulf of California: possible causes. *Rep. Calif. coop. ocean. Fish. Invest.* **27**: 136–140.
- LLUCH-BELDA, D., SCHWARTZLOSE, R. A., SERRA, R., PARRISH, R. [H.], KAWASAKI, T., HEDGECOCK, D. and R. J. M. CRAWFORD 1992c — Sardine and anchovy regime fluctuations of abundance in four regions of the world oceans: a workshop report. Fish. Oceanogr. 1(4): 339 - 347
- LLUCH-COTA, D. B., HERNÁNDEZ-VÁZOUEZ, S. and S. E. LLUCH-COTA 1997 — Empirical investigation on the re-

lationship between climate and small pelagic global regimes and El Niño-Southern Oscillation (ENSO). FAO Fish. Circ. 934: 48 pp.

- LOCKINGTON, W. N. 1879 Report upon the food fishes of San Francisco. Rep. Commn Fish. St. Calif. 1878 and 1879: 17-58
- LOEB, V. and O. ROJAS 1988 Interannual variation of ichthyoplankton composition and abundance relations off northern Chile, 1964–83. Fishery Bull., Wash. 86(1): 1–24.
- LUNA-JORQUERA, G. 1998 Humboldt penguin taxon data sheet. In Penguin Conservation Assessment and Management Plan. Ellis, S., Croxall, J. P. and J. Cooper (Compilers). Apple Valley, USA; IUCN/SSC Conservation Breeding Specialist Group: 95-104.
- MacCALL, A. D. 1979 Population estimates for the waning years of the Pacific sardine fishery. Rep. Calif. coop. ocean. Fish. Invest. 20: 72-82.
- MacCALL, A. D. 1996 Patterns of low-frequency variability in fish populations of the California Current. *Rep. Calif. coop. ocean. Fish. Invest.* 37: 100–110.
   MacCALL, A. D. and G. D. STAUFFER 1983 Biology and fishery potential of jack mackerel (*Trachurus symmetricus*). *Burg Clifterum China Science Science* 2010, 2010 (2010).
- Rep. Calif. coop. oceanic Fish. Invest. 24: 46–56.
   MATSUDA, H., WADA, T., TAKEUCHI, Y. and Y. MAT-SUMIYA 1992 Model analysis of the effect of environmental fluctuation on the species replacement pattern of pelagic fishes under interspecific competition. *Researches Popul. Ecol. Kyoto Univ.* **34**(2): 309–319. MATSUURA, Y. 1996 — A probable cause of recruitment failure
- of the Brazilian sardine Sardinella aurita population during
- the 1974/75 spawning season. S. Afr. J. mar. Sci. **17**: 29–35. MATTHEWS, J. P. 1961 The pilchard of South West Africa Sardinops ocellata and the marsbanker Trachurus trachurus. Bird predators, 1957–1958. Investl Rep. mar. Res. Lab. S.W. Afr. 3: 35 pp.
- MATTHEWS, J. P. and A. BERRUTI 1983 Diet of Cape gannet and Cape cormorant off Walvis Bay, 1958-1959. S. Afr. J. mar. Sci. 1: 61–63.
- METHOT, R. D. 1989 Synthetic estimates of historical abundance and mortality for northern anchovy. Symp. Am. Fish. Soc. 6: 66 - 82
- MILLER, A. J., CAYAN, D. R., BARNETT, T. P., GRAHAM, N. E. and J. M. OBERHUBER 1994 The 1976–77 climate shift of the Pacific Ocean. Oceanography 7: 221-226.
- MORAN, P. J. 1986 The Acanthaster phenomenon. In Oceanography and Marine Biology. An Annual Review. 24.
- Barnes, M. (Ed.). Aberdeen; University Press: 379–480. MORIMOTO, H. 1996 Effects of maternal nutritional conditions on number, size and lipid content of hydrated eggs in the Japanese sardine from Tosa Bay, southwestern Japan. In Survival Strategies in Early Life Stages of Marine Resources. Proceedings of An International Workshop, Yokohama, Japan, October 1994. Watanabe, Y., Yamashita, Y. and Y. Oozeki (Eds). Rotterdam; Balkema: 3–12. MURPHY, G. I. 1966 — Population biology of the Pacific sardine
- (Sardinops caerulea). Proc. Calif. Acad. Sci., Ser. 4 34(1): 1 - 84.
- NEWMAN, G. G. 1970 Stock assessment of the pilchard Sardinops ocellata at Walvis Bay, South West Africa. Investl Rep. Div. Sea Fish. S. Afr. 85: 13 pp. NEWMAN, G. G. and R. J. M. CRAWFORD 1980 — Population
- biology and management of mixed-species pelagic stocks off South Africa. Rapp. Pv. Réun. Cons. perm. int. Explor. Mer 177: 279–291.
- NEWMAN, G. G., CRAWFORD, R. J. M. and O. M. CENTURIER-HARRIS 1979 — Fishing effort and factors affecting vessel performance in the South African purseseine fishery, 1964–1972. Invest Rep. Sea Fish. Brch S. Afr. **120**: 34 pp. PARRISH, R. H., SCHWING, F. B. and R. MENDELSSOHN (in

preparation) - Mid-latitude wind stress: the energy source for climatic regimes in the North Pacific Ocean.

- PARRISH, R. H., MALLICOATE, D. L. and K. F. MAIS 1985 -Regional variations in the growth and age composition of northern anchovy, Engraulis mordax. Fishery Bull., Wash. 83(4): 483-496
- PARRISH, R. H., MALLICOATE, D. L. and R. A. KLINGBEIL 1986 — Age dependent fecundity, number of spawnings per year, sex ratio, and maturation stages in northern anchovy, Engraulis mordax. Fishery Bull., Wash. 84(3): 503–517. PARRISH, R. H., SERRA, R. and W. S. GRANT 1989 — The
- monotypic sardines, Sardina and Sardinops: their taxonomy, distribution, stock structure, and zoogeography. *Can. J. Fish. aquat. Sci.* **46**(11): 2019–2036. PEARCE, A. F. 1991 — Eastern boundary currents of the southern
- hemisphere. Proceedings of the Leeuwin Current Symposium. Jl R. Soc. westn Aust. 74: 35–45. PHILLIPS, J. B. 1948 Growth of the sardine Sardinops
- caerulea, 1941-42 through 1946-47. Fish Bull. Calif. 71: 33
- RADOVICH, J. 1982 The collapse of the California sardine fishery. What have we learned? *Rep. Calif. coop. oceanic* Fish. Invest. 23: 56-78.
- RAHMSTORF, S. 1997 Risk of sea-change in the Atlantic. Nature, Lond. 388: 825–826. RAND, R. W. 1959 — The biology of guano-producing sea-birds.
- The distribution, abundance, and feeding habits of the Cape gannet, Morus capensis, off the south-western coast of the Cape Province. Investl Rep. Div. Fish. S. Afr. **39**: 36
- RICHARDSON, S. L. 1981 Spawning biomass and early life of northern anchovy, Engraulis mordax, in the northern Statistical and Statistics, Engrands induct, in the normeric subpopulation off Oregon and Washington. Fishery Bull., Wash. 78(4): 855–876.
   RICKER, W. E. 1954 — Stock and recruitment. J. Fish. Res. Bd Can. 11: 559–623.
- ROY, C. and R. MENDELSSOHN 1998 The development and the use of a climatic database for CEOS using the COADS dataset. In *Global versus Local Changes in Upwelling Systems.* Durand, M. H., Cury, P., Mendelssohn, R., Roy, C., Bakun, A. and D. Pauly (Eds). Paris; ORSTOM: 27–44. SCHLESINGER, M. E. and N. RAMANKUTTY 1994 — An
- oscillation in the global climate system of period 65–70 years. *Nature, Lond.* **367**: 723–726.
- SEKINE, Y. 1991 Anomalous southward intrusion of the Oyashio east of Japan. In Long-term Variability of Pelagic Fish Populations and their Environment. Kawasaki, T., Tanaka, S., Toba, Y. and A. Taniguchi (Eds). Oxford; Pergamon: 61–75.
- SERRA, R., CURY, P. and C. ROY 1998 The recruitment of the Chilean sardine (Sardinops sagax) and the "optimum environmental window". In Global versus Local Changes in Upwelling Systems. Durand, M. H., Cury, P., Mendelssohn, R., Roy, C., Bakun, A. and D. Pauly (Eds). Paris; ORSTOM: 267-274.
- SHACKLETON, L. Y. 1987 A comparative study of fossil fish scales from three upwelling regions. In The Benguela and Scales from the dipole dipole of the bengueria and Comparable Ecosystems. Payne, A. I. L., Gulland, J. A. and K. H. Brink (Eds). S. Afr. J. mar. Sci. 5: 79–84.
   SHANNON, L. V., BOYD, A. J., BRUNDRIT, G. B. and J. TAUNTON-CLARK 1986 — On the existence of an El
- Niño-type phenomenon in the Benguela system. J. mar. Res. 44(3): 495–520.
   SMITH, H. M. 1895 Notes on a reconnaissance of the fisheries of the Pacific Coast of the United States in 1894. Bull. U.S. Eich Commun. 14: 022–222
- Fish Commn 14: 223–283. SOUTAR, A. and J. D. ISAACS 1969 History of fish popula-
- tions inferred from fish scales in anaerobic sediments off California. Rep. Calif. coop. ocean. Fish. Invest. 13: 63-70.

- SOUTAR, A. and J. D. ISAACS 1974 Abundance of pelagic fish during the 19th and 20th centuries as recorded in anaerobic sediment off the Californias. *Fishery Bull.*, *Wash.* 72(2): 257–273.
   SYAHAILATUA, A. 1992 The Australian pilchard (*Sardinops*)
- SYAHAILATUA, A. 1992 The Australian pilchard (Sardinops neopilchardus); morphometric, meristic, growth and reproductive studies. M.Sc. thesis, University of New South Wales, Australia: 96 pp.
- SWAN, J. G. 1894 Notes on the fisheries and the fishery industries of Puget Sound. Bull. U.S. Fish Commn 13: 371–380.
- THOMAS, R. M. 1985 Age studies on pelagic fish in the South-East Atlantic, with particular reference to the South West African pilchard, *Sardinops ocellata*. Ph.D. thesis, University of Cape Town: [xi] + 289 pp.
  THOMAS, R. M. 1986 — The Namibian pilchard: the 1985 sea-
- THOMAS, R. M. 1986 The Namibian pilchard: the 1985 season, assessment for 1952–1985 and recommendations for 1986. Colln scient. Pap. int. Commn SE. Atl. Fish. 13(2): 243–269.
- TRENBERTH, K. E. 1990 Recent observed interdecadal climate changes in the northern hemisphere. *Bull. Am. met. Soc.* 71: 988–993.
- VAN DER LINGEN, C. D. 1994 Effect of particle size and concentration on the feeding behaviour of adult pilchard, *Sardinops sagax. Mar. Ecol. Prog. Ser.* 109: 1–13.
   VAN DER LINGEN, C. D. 1995 Respiration rate of adult
- VAN DER LINGEN, C. D. 1995 Respiration rate of adult pilchard Sardinops sagax in relation to temperature, voluntary swimming speed and feeding behaviour. Mar. Ecol. Prog. Ser. 129: 41–54.
- VAN DER LINGEN, C. D. 1998 Nitrogen excretion and absorption efficiencies of sardine Sardinops sagax fed phytoplankton and zooplankton diets. Mar. Ecol. Prog. Ser. 175: 67–76.
- VAN LOON, H. and J. C. RODGERS 1978 The seesaw in winter temperatures between Greenland and northern Europe. 1. General description. *Mon. Weath. Rev.* 106: 296–310.
- VELARDE, E., DE LA SOLEDAD TORDESILLAS, M., VIEYRA, L. and R. ESQUIVEL 1994 — Seabirds as indicators of important fish populations in the Gulf of California. *Rep. Calif. coop. ocean. Fish. Invest.* 35: 137–143.

- VERHEYE, H. M., RICHARDSON, A. J., HUTCHINGS, L., MARSKA, G. and D. GIANAKOURAS 1998 — Longterm trends in the abundance and community structure of coastal zooplankton in the southern Benguela system, 1951–1996. In Benguela Dynamics: Impacts of Variability on Shelf-Sea Environments and their Living Resources. Pillar, S. C., Moloney, C. L., Payne, A. I. L. and F. A. Shillington (Eds). S. Afr. J. mar. Sci. 19: 317–332.
- bit Bit-Sed Edutionments and inter Elving Resources.
   Pillar, S. C., Moloney, C. L., Payne, A. I. L. and F. A. Shillington (Eds). S. Afr. J. mar. Sci. 19: 317–332.
   VILLACASTIN-HERRERO, C. A., UNDERHILL, L. G., CRAW-FORD, R. J. M. and L. V. SHANNON 1996 Sea surface temperature of oceans surrounding subequatorial Africa: seasonal patterns, spatial coherence and long-term trends. S. Afr. J. Sci. 92: 189–197.
- S. Afr. J. Sci. **92**: 189–197. VROOMAN, A. M. 1964 — Serologically differentiated subpopulations of the Pacific sardine, Sardinops caerulea. J. Fish. Res. Bd Can. **21**(4): 691–701.
- WADA, T. 1988 Population dynamics of Japanese sardine, Sardinops melanostictus, caught by the domestic purseseine fishery in the waters off the coast of southeastern Hokkaido. Bull. Hokkaido Reg. Fish. Res. Lab. 52: 1–138 (in Japanese).
- WALKER, B. W. 1953 New records of Pacific sardine and Pacific mackerel in the Gulf of California. *Calif. Fish Game* 39(2): 263–264.
- WATANABE, T. 1983 Egg production method. In Analysis and Assessment of Fishery Resources. Ishii, T. (Ed.). Tokyo, Koseisha-Koseikaku. Fish. Sci. Ser. 46: 9–29 (in Japanese).
  WHITE, W. B. and D. R. CAYAN 1998 — Quasi-periodicity and
- WHITE, W. B. and D. R. CAYAN 1998 Quasi-periodicity and global symmetries in interdecadal upper ocean temperature variability. *J. geophys. Res.* 103(C10): 21335–21354.
   WOLF, P. 1992 — Recovery of the Pacific sardine and the California
- WOLF, P. 1992 Recovery of the Pacific sardine and the California sardine fishery. *Rep. Calif. coop. ocean. Fish. Invest.* 33: 76–86
- 76-86.
  YAÑEZ, E. 1991 Relationships between environmental changes and fluctuating major pelagic resources exploited in Chile (1950-1988). In Long-Term Variability of Pelagic Fish Populations and their Environment. Kawasaki, T., Tanaka, S., Toba, Y. and A. Taniguchi (Eds). Oxford; Pergamon: 301-309.

## APPENDIX

# Information collated pertinent to sardine and anchovy populations in the five regions of the world where *Sardinops* are found

Annual catch of sardine by Japan, Korea, former USSR and China in the North-Western Pacific, 1894–1996

Year		Catch (mi	llion tons)		Year		Catch (mi	illion tons)	
rear	Japan	Korea	USSR	China	rear	Japan	Korea	USSR	China
1894	0.256				1946	0.359			
1895	0.228				1947	0.349			
1896	0.222				1948	0.375			
1897	0.169				1949	0.472			
1898	0.148				1950	0.563			
1899	0.218				1951	0.368			
1900	0.237				1952	0.258			
1901	0.237				1953	0.344			
1902	0.252				1954	0.246			
1903	0.164				1955	0.211			
1904	0.178				1956	0.206			
1905	0.178				1957	0.212			
1906	0.153				1958	0.137			
1907	0.180				1959	0.120			
1908	0.206				1960	0.078			
1909	0.211				1961	0.127			
1910	0.186				1962	0.108			
1911	0.187				1963	0.056			
1912	0.251				1964	0.016			
1913	0.268				1965	0.009			
1913	0.317				1966	0.013			
1915	0.334				1967	0.013			
1915	0.364				1968	0.024			
1910	0.465				1968	0.024			
1917	0.405				1909	0.020			
1918	0.401				1970	0.057			
1919	0.401				1971	0.057			
1920	0.430				1972	0.038	0.004		
1921							0.004		
	0.403				1974	0.352	0.004		
1923	0.506				1975	0.526	0.004		
1924	0.517	0.040			1976	1.066	0.011		
1925	0.579	0.040			1977	1.420	0.050	0.242	
1926	0.528	0.090			1978	1.637	0.054	0.243	
1927	0.608	0.250			1979	1.817	0.047	0.369	
1928	0.676	0.250			1980	2.198	0.038	0.359	
1929	0.767	0.340	0.070		1981	3.089	0.063	0.461	
1930	0.789	0.300	0.060		1982	3.290	0.082	0.594	
1931	1.026	0.380	0.090		1983	3.745	0.140	0.580	
1932	1.153	0.280	0.090		1984	4.179	0.178	0.799	
1933	1.525	0.340	0.090		1985	3.867	0.108	0.748	
1934	1.467	0.580	0.100		1986	4.210	0.161	0.821	
1935	1.378	0.800	0.120		1987	4.362	0.194	0.765	
1936	1.628	0.990	0.110		1988	4.488	0.146	0.795	
1937	1.208	1.390	0.140		1989	4.099	0.183	0.861	
1938	1.084	0.980	0.100		1990	3.678	0.133	0.879	0.042
1939	1.091	1.210	0.110		1991	3.010	0.045	0.656	0.063
1940	0.866	0.960	0.100		1992	2.224	0.047	0.165	0.053
1941	0.974	0.630	0.020		1993	1.714	0.031	0.004	0.047
1942	0.861	0.080			1994	1.189	0.036	0.003	0.068
1943	0.587				1995	0.661	0.014		0.058
1944	0.371				1996	0.304			
1945	0.260								

Year		Catch (tho	usand tons)		Year		Catch (tho	usand tons)	
	Japan	Korea	China	Taiwan		Japan	Korea	China	Taiwan
1905	32				1951	339	19		
1906	28				1952	290	19		
1907	32				1953	243	12		
1908	49				1954	304	16		
1909	50				1955	391	18		
1910	53				1956	347	29		
1911	60				1957	430	35		
1912	105				1958	417	38		
1913	98				1959	356	37		
1914	109				1960	349	36		
1915	98				1961	367	40		
1916	21				1962	349	47		
1917	90				1963	321	32		
1918	85				1964	296	36		
1919	120				1965	406	57		
1920	111				1966	408	66		
1920	90				1967	365	79		
1922	90				1968	358	63		
1923	100				1969	377	115		
1923	98				1970	365	54		
1924	90				1970	351	67		
1925	100				1971	370	104		
1920	92				1972	335	96		
1927	100				1973	288	173		
1928	94				1974	245	175		
1929	100					243	126		
	85				1976 1977	245	120		
1931 1932	60				1977	152	141		
1933	46				1979	135	162		
1934	87				1980	151	170		
1935	75				1981	160	184		
1936	48				1982	197	162		
1937	61				1983	208	132		
1938	98				1984	224	155		
1939	90				1985	206	144		
1940	111				1986	211	202		
1941	110				1987	141	168		
1942	180				1988	177	126		
1943	129				1989	182	132		2 3
1944	120				1990	311	168	54	3
1945	109				1991	329	170	113	1
1946	120				1992	301	168	193	1
1947	110				1993	195	249	557	
1948	130				1994	188	193	439	
1949	138				1995	252	231	489	
1950	287	15			1996	339			

Annual catch of anchovy by Japan, Korea, China and Taiwan in the North-Western Pacific, 1905–1996

		Catch	(tons)				Catch	(tons)	
Year	California	Baja California Ensendada	Baja California Cedros	Baja California Magdelena	Year	California	Baja California Ensendada	Baja California Cedros	Baja California Magdelena
1889           1890           1891           1892           1893           1894           1895           1896           1897           1898           1899           1900           1901           1902           1903           1904           1905           1906           1907           1908           1909           1910           1911           1912           1913           1914           1915           1916           1917           1918           1919           1920           1921           1922           1923           1924           1925           1926           1927           1928           1929           1930           1931           1932           1933           1934           1935           1936           1937           1938	498 596 425 342 327 1 072 1 072 24 970 65 903 71 816 63 771 38 865 34 003 59 980 77 004 158 172 138 971 182 044 231 911 303 836 373 240 235 992 216 088 267 601 351 443 578 715 573 277 717 740 452 043 608 195 528 669 447 445 617 098 519 775				1943 1944 1945 1946 1947 1948 1949 1950 1951 1952 1953 1954 1955 1956 1957 1958 1956 1957 1958 1959 1960 1961 1962 1963 1964 1965 1966 1967 1968 1969 1970 1971 1972 1973 1974 1975 1976 1977 1978 1979 1970 1977 1978 1979 1980 1981 1982 1983 1984 1985 1986 1987 1988 1987 1988 1989 1990 1991 1992 1993 1994	$\begin{array}{c} 525\ 270\\ 556\ 939\\ 339\ 427\\ 224\ 838\\ 118\ 020\\ 171\ 510\\ 307\ 428\\ 320\ 251\\ 131\ 203\\ 13\ 420\\ 17\ 050\\ 73\ 381\\ 71\ 352\\ 42\ 899\\ 29\ 202\\ 114\ 559\\ 42\ 899\\ 29\ 202\\ 114\ 559\\ 53\ 243\\ 44\ 241\\ 42\ 446\\ 17\ 044\\ 11\ 045\\ 18\ 679\\ 20\ 830\\ 18\ 027\\ 25\ 149\\ 9\ 451\\ 8\ 519\\ 7\ 813\\ 8\ 810\\ 11\ 259\\ 14\ 023\\ 19\ 222\\ 23\ 579\\ 15\ 913\\ 12\ 591\\ 4\ 023\\ 19\ 222\\ 23\ 579\\ 15\ 913\\ 12\ 591\\ 4\ 811\\ 18\ 200\\ 20\ 480\\ 16\ 798\\ 19\ 096\\ 21\ 771\\ 3\ 936\\ 55\ 991\\ 58\ 612\\ 55\ 302\\ 69\ 770\\ 113\ 387\\ 98\ 988\\ \end{array}$	$\begin{array}{c} 18\ 882\\ 10\ 551\\ 10\ 391\\ 11\ 679\\ 18\ 045\\ 10\ 533\\ 11\ 135\\ 14\ 695\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\ 0\\$	$\begin{array}{c}1\ 017\\10\ 719\\4\ 229\\7\ 339\\8\ 441\\11\ 714\\8\ 396\\12\ 962\\9\ 395\\8\ 471\\7\ 612\\8\ 675\\6\ 472\\2\ 942\\3\ 080\\5\ 773\\4\ 964\\5\ 308\\6\ 208\\4\ 273\\4\ 964\\5\ 308\\6\ 208\\4\ 273\\4\ 854\\3\ 069\\6\ 801\\2\ 952\\2\ 127\\2\ 916\\4\ 751\\3\ 706\\1\ 257\\3\ 958\\2\ 816\\1\ 081\\992\\1\ 743\\1\ 686\\0\\0\\0\end{array}$	$\begin{array}{c} 4\ 618\\ 11\ 012\\ 16\ 136\\ 17\ 804\\ 10\ 942\\ 7\ 108\\ 3\ 293\\ 4\ 922\\ 20\ 630\\ 10\ 557\\ 9\ 362\\ 2\ 387\\ 2\ 453\\ 10\ 979\\ 14\ 341\\ 8\ 599\\ 12\ 080\\ 7\ 746\\ 16\ 975\\ 15\ 893\\ 5\ 026\\ 7\ 671\\ 33\ 787\\ 34\ 541\\ 25\ 795\\ \end{array}$

Annual catch of sardine off California and for three regions of Baja California, 1889–1996. Some of the information for Baja California may be seasonal rather than annual data. Data for California are annual, published by California State Fish and Game

Seasonal (June–May) catch of sardine in seven regions off the west coast of North America, by reduction ships fishing in the
California Current, and overall, 1916/17–1967/68. These data were originally published as short tons in Glantz and Thompson
(1981) and Radovich (1982) but are here given as metric tons. Some subsequent publications have used the original data
as metric rather than short tons. The Baja California catch does not include catches made in the Gulf of California

	Catch (tons)								
Season	British Columbia	Washington	Oregon	San Francisco	Monterey	Southern California	Baja California	Reduction ships	California Current
1916/17 1917/18 1918/19 1919/20 1920/21 1921/22 1922/23 1923/24 1924/25 1925/26 1926/27 1927/28 1928/29 1929/30 1930/31 1931/32 1933/34 1933/34 1933/34 1933/34 1933/34 1934/35 1935/36 1936/37 1937/38 1938/39 1939/40 1940/41 1941/42 1942/43 1943/44 1944/45 1945/46 1946/47 1947/48 1948/49 1949/50 1950/51 1951/52 1955/56 1955/56 1955/56 1955/56 1955/56 1955/56 1955/56 1955/56 1960/61 1961/62 1965/66 1965/66 1965/66 1965/66	$\begin{array}{c} 73\\ 3\ 301\\ 2\ 975\\ 3\ 991\\ 898\\ 925\\ 880\\ 1\ 243\\ 14\ 467\\ 43\ 990\\ 62\ 066\\ 73\ 023\\ 78\ 310\\ 68\ 088\\ 66\ 755\\ 40\ 225\\ 3\ 673\\ 39\ 001\\ 41\ 105\\ 40\ 316\\ 43\ 609\\ 46\ 955\\ 5\ 007\\ 26\ 094\\ 54\ 465\\ 59\ 753\\ 80\ 487\\ 53\ 622\\ 31\ 110\\ 3\ 619\\ 444\end{array}$	$\begin{array}{c} 9\\ 5\ 950\\ 15\ 510\\ 24\ 017\\ 16\ 108\\ 735\\ 15\ 510\\ 526\\ 9\ 469\\ 18\\ 2\ 095\\ 5\ 569\\ 1\ 234\\ 45\\ 23\\ 0\\ 1\end{array}$	$\begin{array}{c} 23 \ 791 \\ 12 \ 879 \\ 15 \ 102 \\ 15 \ 437 \\ 20 \ 253 \\ 2 \ 866 \\ 14 \ 376 \\ 1 \ 769 \\ 1 \ 651 \\ 0 \\ 82 \\ 3 \ 592 \\ 6 \ 286 \\ 4 \ 825 \end{array}$	$\begin{array}{c} 63\\ 408\\ 907\\ 209\\ 73\\ 100\\ 172\\ 508\\ 508\\ 3 193\\ 15 138\\ 12 263\\ 19 918\\ 23 555\\ 19 598\\ 16 901\\ 32 957\\ 62 109\\ 69 065\\ 127 977\\ 121 282\\ 182 488\\ 192 695\\ 107 109\\ 169 236\\ 105 107\\ 114 746\\ 123 894\\ 76 281\\ 2 602\\ 85\\ 102\\ 15 820\\ 11 543\\ \end{array}$	$\begin{array}{c} 6 \ 993\\ 21 \ 596\\ 32 \ 425\\ 39 \ 037\\ 22 \ 639\\ 14 \ 775\\ 26 \ 493\\ 41 \ 649\\ 61 \ 050\\ 62 \ 592\\ 74 \ 247\\ 88 \ 904\\ 109 \ 103\\ 145 \ 165\\ 99 \ 425\\ 62 \ 654\\ 81 \ 266\\ 138 \ 299\\ 209 \ 385\\ 167 \ 314\\ 187 \ 482\\ 95 \ 177\\ 164 \ 162\\ 206 \ 682\\ 150 \ 288\\ 227 \ 010\\ 167 \ 250\\ 193 \ 750\\ 215 \ 182\\ 131 \ 986\\ 28 \ 472\\ 15 \ 990\\ 43 \ 411\\ 119 \ 514\\ 30 \ 565\\ 14 \ 419\\ 44\\ 53\\ 776\\ 470\\ 57\\ 15\\ 22 \ 404\\ 14 \ 611\\ 2 \ 122\\ 2 \ 024\\ 1 \ 098\\ 921\\ 279\\ 137\\ 21\\ \end{array}$	$\begin{array}{c} 17 \ 977 \\ 44 \ 171 \\ 35 \ 681 \\ 20 \ 852 \\ 12 \ 027 \\ 18 \ 258 \\ 32 \ 462 \\ 34 \ 303 \\ 95 \ 371 \\ 61 \ 404 \\ 60 \ 615 \\ 65 \ 803 \\ 109 \ 448 \\ 129 \ 846 \\ 34 \ 983 \\ 38 \ 928 \\ 75 \ 886 \\ 115 \ 001 \\ 166 \ 600 \\ 135 \ 189 \\ 129 \ 437 \\ 100 \ 669 \\ 135 \ 327 \\ 87 \ 924 \\ 160 \ 352 \\ 136 \ 501 \\ 185 \ 371 \\ 125 \ 167 \\ 164 \ 222 \\ 157 \ 873 \\ 180 \ 985 \\ 93 \ 981 \\ 123 \ 127 \\ 172 \ 071 \\ 278 \ 142 \\ 102 \ 604 \\ 5 \ 135 \\ 4 \ 022 \\ 61 \ 321 \\ 67 \ 066 \\ 30 \ 457 \\ 20 \ 185 \\ 71 \ 898 \\ 19 \ 180 \\ 24 \ 070 \\ 21 \ 130 \\ 2 \ 686 \\ 1 \ 748 \\ 5 \ 256 \\ 515 \\ 291 \\ 64 \end{array}$	14 179 8 310 12 976 11 283 3 816 12 385 9 001 20 257 19 452 18 048 19 292 13 260 16 674 24 598 20 178 17 715 25 085	9 941 28 153 53 323 61 513 101 620 136 803 213 698 61 295 39 808	$\begin{array}{c} 24 \ 970 \\ 65 \ 903 \\ 71 \ 816 \\ 63 \ 771 \\ 818 \\ 65 \\ 34 \ 003 \\ 59 \ 980 \\ 77 \ 004 \\ 158 \ 172 \\ 138 \ 971 \\ 182 \ 044 \\ 231 \ 911 \\ 303 \ 836 \\ 373 \ 240 \\ 235 \ 992 \\ 216 \ 088 \\ 267 \ 601 \\ 351 \ 443 \\ 578 \ 715 \\ 573 \ 277 \\ 717 \ 740 \\ 452 \ 043 \\ 608 \ 195 \\ 528 \ 669 \\ 447 \ 445 \\ 617 \ 098 \\ 519 \ 775 \\ 525 \ 270 \\ 556 \ 939 \\ 399 \ 427 \\ 224 \ 838 \\ 118 \ 020 \\ 171 \ 510 \\ 307 \ 428 \\ 320 \ 251 \\ 131 \ 203 \\ 13 \ 490 \\ 17 \ 050 \\ 73 \ 381 \\ 71 \ 352 \\ 42 \ 899 \\ 29 \ 202 \\ 114 \ 559 \\ 53 \ 243 \\ 44 \ 241 \\ 42 \ 446 \\ 17 \ 044 \\ 19 \ 343 \\ 30 \ 133 \\ 20 \ 830 \\ 18 \ 027 \\ 25 \ 149 \end{array}$

1999

=

\_

		Catch (tons)				Catch (tons)	
Year	Washington	California	Baja California	Year	Washington	California	Baja California
1889		56		1943	2	787	
1890		61		1944		1 946	
1891		66		1945		808	
1892		68		1946	17	978	
1893				1947	40	9 510	
1894		209		1948	405	5 823	
1895				1949	406	2 067	
1896				1950		2 439	
1897				1951		3 477	
1898		_		1952		27 891	
1899		7		1953		42 918	
1900				1954	1	21 205	
1901 1902				1955 1956	1	22 347	
1902				1956		28 460 20 274	
1903				1957		5 801	
1904				1958		3 587	
1905				1959		2 529	
1900				1961		3 856	
1908				1962		2 051	
1909				1963		3 229	669
1910				1964		7 087	944
1911				1965	1	12 038	4 599
1912				1966		44 383	9 171
1913				1967	48	54 957	13 243
1914				1968	154	29 959	20 104
1915		244		1969	161	71 671	14 267
1916		241		1970	199	124 419	3 871
1917 1918		240 394		1971 1972	81	65 013	27 977 20 079
1918		394 730		1972	124 158	101 848 147 634	32 623
1919		258		1973	249	122 747	14 840
1920		883		1974	284	213 956	39 807
1922		296		1976	187	201 166	55 162
1923		139		1977	143	253 828	76 061
1924		157		1978	_	147 639	142 214
1925		42		1979		246 355	135 032
1926		27		1980		290 245	192 474
1927		167		1981	1	316 405	242 906
1928		162		1982	5	221 005	258 745
1929		274		1983	3	92 172	174 636
1930 1931		145 154		1984 1985	10 12	106 201 120 804	87 429 102 933
1931		154		1985	22	93 801	102 933
1932		150		1980	77	114 501	93 547
1933		129		1988	40	81 484	124 482
1935		90		1989	61	83 471	79 495
1936		98		1990	50	3 206	81 810
1937		113		1991	54	4 238	98
1938		368		1992	41	1 165	831
1939	3	1 077		1993	44	1 998	2 324
1940		3 159		1994	69	3 749	284
1941		2 053		1995		1 881	875
1942		847		1996		4 424	2 831

Annual catch of anchovy off Washington, California and Baja California, 1889–1996. The Baja California catch does not include catches made in the Gulf of California

Year	Bio	mass (thousand	tons)	Year	Bic	mass (thousand	tons)
Tear	Sardine	Anchovy	Chub mackerel	rear	Sardine	Anchovy	Chub mackerel
1929			149	1963	20	637	76
1930			153	1964	11	379	51
1931			198	1965	4	261	20
1932	3 821		277	1966	4	275	
1933	3 619		308	1967	4	214	2
1934	4 016		289	1968	3	187	2
1935	3 348		234	1969	3	331	2
1936	2 179		158	1970	3	221	2
1937	2 063		106	1971	<3	360	2
1938	2 249		96	1972	<3	391	2
1939	2 054		91	1973	<3	1 598	2
1940	1 997		64	1974	<3	1 246	2
1941	2 727		56	1975	<3	1 326	4
1942	2 255		55	1976	4 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	902	9 2 2 2 2 2 2 2 2 2 4 5 75
1943	1 799		79	1977	<3 3	521	75
1944	1 430		78	1978	3	395	106
1945	771		55	1979	4	673	307
1946	634		44	1980	4	513	371
1947	649		26	1981	5	356	572
1948	980		13	1982	5 5 5	714	872
1949	987		33	1983	5	397	830
1950	729		41	1984	15	822	740
1951	280		33	1985	18	723	534
1952	194		15	1986	27	412	549
1953	270		5	1987	46	241	533
1954	245		17	1988	61	441	512
1955	173		37	1989	78	245	339
1956	141		43	1990	89	153	330
1957	197		35	1991	182	172	233
1958	226		17	1992	187	145	109
1959	132		15	1993	208	156	90
1960	88		29	1994	360	392	71
1961	54		37	1995	357		28 56
1962	29		60	1996	462		56

Estimates of biomass of sardine, anchovy and chub mackerel (fish aged one and older) off California and northern Baja California, 1929–1996

#### Seasonal (June-May) catch of sardine and anchovy in the Gulf of California, 1969/70-1996/97

Season	Catch	(tons)	Season	Catch	Catch (tons)		
Season	Sardine	Anchovy	Season	Sardine	Anchovy		
69/70	11 287	_	83/84	146 467	_		
70/71	19 558	_	84/85	160 391	_		
71/72	32 617	_	85/86	240 226	2 081		
72/73	9 924	_	86/87	272 574	39		
73/74	16 180	-	87/88	261 363	777		
74/75	36 648	-	88/89	294 095	7 706		
75/76	51 263	-	89/90	109 942	18 493		
76/77	8 802	-	90/91	113 631	12 768		
77/78	32 600	-	91/92	6 858	5 167		
78/79	24 627	-	92/93	7 549	1 606		
79/80	77 566	-	93/94	127 486			
80/81	93 989	-	94/95	170 473	1 039		
81/82	71 425	-	95/96	200 870	4 217		
82/83	111 526	-	96/97	202 970	0		

### South African Journal of Marine Science 21

				Catch	(tons)			
Year	Northern and Central Peru	Southern Peru	Peru (total)	Northern Chile	Coquimbo	Talcahuano	Chile (total)	Peru and Chile
1970 1971 1972 1973 1974 1975 1976 1977 1978 1979 1980 1981 1982 1983 1984 1985 1986 1987 1988	$\begin{array}{r} 449\\ 6\ 051\\ 6\ 338\\ 132\ 252\\ 72\ 183\\ 61\ 684\\ 172\ 894\\ 815\ 897\\ 1\ 173\ 966\\ 1\ 525\ 040\\ 1\ 022\ 030\\ 870\ 839\\ 1\ 389\ 274\\ 990\ 197\\ 2\ 501\ 560\\ 2\ 514\ 244\\ 1\ 661\ 590\\ 2\ 387\ 502\\ 3\ 404\ 896\\ 2\ 156\ 532\\ \end{array}$	421 1 167 1 807 55 006 83 982 201 991 458 366 312 108 120 503 181 994 286 938 387 522 63 009 91 663 65 934 46 127	$\begin{array}{c} 449\\ 6\ 051\\ 6\ 338\\ 132\ 252\\ 72\ 604\\ 62\ 851\\ 174\ 701\\ 870\ 903\\ 1\ 257\ 948\\ 1\ 727\ 031\\ 1\ 480\ 396\\ 1\ 182\ 947\\ 1\ 509\ 777\\ 1\ 172\ 191\\ 2\ 788\ 498\\ 2\ 901\ 766\\ 1\ 724\ 599\\ 2\ 479\ 165\\ 3\ 470\ 830\\ 2\ 202\ 659\\ \end{array}$	$\begin{array}{c} 13\ 886\\ 23\ 180\\ 10\ 830\\ 50\ 797\\ 169\ 459\\ 133\ 967\\ 280\ 283\\ 557\ 662\\ 692\ 389\\ 1\ 420\ 086\\ 1\ 606\ 049\\ 1\ 425\ 499\\ 1\ 425\ 499\\ 1\ 425\ 499\\ 1\ 663\ 257\\ 2\ 420\ 732\\ 2\ 286\ 083\\ 2\ 607\ 114\\ 2\ 216\ 728\\ 1\ 782\ 418\\ 1\ 356\ 751\\ 1\ 405\ 140\\ \end{array}$	31 1 422 6 572 15 179 22 471 31 268 34 845 36 303 56 561 38 987 42 250 49 211 105 677 52 456 49 315 110 003 81 638 25 019 18 893	1 670 - 1 623 1 570 37 068 72 327 86 226 7 697 16 260 66 381 62 788 47 092 42 620 22 970 8 152	$\begin{array}{c} 13\ 886\\ 23\ 211\\ 12\ 252\\ 57\ 369\\ 186\ 308\\ 156\ 438\\ 311\ 551\\ 594\ 130\\ 730\ 262\\ 1\ 513\ 715\\ 1\ 717\ 363\\ 1\ 553\ 975\\ 1\ 720\ 165\\ 2\ 542\ 669\\ 2\ 404\ 920\\ 2\ 719\ 217\\ 2\ 373\ 823\\ 1\ 906\ 676\\ 1\ 404\ 740\\ 1\ 432\ 185\\ \end{array}$	$\begin{array}{c} 14\ 335\\ 29\ 262\\ 18\ 590\\ 189\ 621\\ 258\ 912\\ 219\ 289\\ 486\ 252\\ 1\ 465\ 033\\ 1\ 988\ 210\\ 3\ 240\ 746\\ 3\ 197\ 759\\ 2\ 736\ 922\\ 3\ 229\ 942\\ 3\ 714\ 860\\ 5\ 193\ 418\\ 5\ 620\ 983\\ 4\ 098\ 422\\ 4\ 385\ 841\\ 4\ 875\ 570\\ 3\ 634\ 844\\ \end{array}$
1990 1991 1992 1993 1994 1995 1996	3 134 141 2 335 712 1 314 683 1 178 738 1 469 772 1 574 728 940 560	34 652 79 452 179 878 27 644 323 255 378	3 168 793 2 415 164 1 494 561 1 206 382 1 470 095 1 574 983 940 938	700 386 583 299 634 296 315 218 11 725 65 492 46 131	38 253 31 844 5 364 9 920 5 431 6 480 1 042	18 905 30 225 9 260 3 626 	757 544 645 368 648 920 328 764 17 156 72 253 47 553	3 926 337 3 060 532 2 143 481 1 535 146 1 487 251 1 647 236 988 491

Annual catch of sardine off northern and central Peru, southern Peru, northern Chile, Coquimbo and Talcahuano, for Peru overall, for Chile overall and for Peru and Chile combined, 1970–1996

-

## Schwartzlose et al.: World Fluctuations of Sardine and Anchovy

Annual catch of anchovy off northern and central Peru, southern Peru, for Peru overall, for Chile overall and for Peru and Chile
combined, 1951–1996

	Catch (tons)									
Year	Northern and Central Peru	Southern Peru	Peru (total)	Chile (total)	Peru and Chile					
1951					12 000					
1952					16 000					
1953					37 000					
1954					43 000					
1955					65 689					
1956					132 378					
1957					347 405					
1958					777 134					
1959					1 982 580					
1960	3 196 372	113 785	3 310 157	168 818	3 478 975					
1961	4 846 829	164 101	5 010 930	235 780	5 246 710					
1962	6 410 436	281 085	6 691 521	384 457	7 075 978					
1963	6 215 293	419 544	6 634 837	474 515	7 109 352					
1964	8 088 295	785 072	8 873 367	910 504	9 783 871					
1965	6 645 222	597 172	7 242 394	408 479	7 650 873					
1966	7 623 459	906 362	8 529 821	1 015 156	9 544 977					
1967	9 314 686	509 938	9 824 624	688 571	10 513 195					
1968	9 450 926	811 735	10 262 661	945 645	11 208 306					
1969	8 157 982	802 656	8 960 638	637 830	9 598 468					
1970	10 929 649	1 347 373	12 277 022	588 527	12 865 549					
1971	9 179 443	1 097 390	10 276 833	836 362	11 113 195					
1972	3 492 886	954 479	4 447 365	309 222	4 756 587					
1973	1 312 861	200 115	1 512 976	146 540	1 659 516					
1974	3 053 318	530 158	3 583 476	348 329	3 931 805					
1975	2 672 706	406 104	3 078 810	206 460	3 285 270					
1976	3 210 634	652 416	3 863 050	433 293	4 296 343					
1977	625 911	166 195	792 106	19 881	811 987					
1978	464 110	722 931	1 187 041	191 886	1 378 927					
1979	1 000 362 223 099	362 401 497 025	1 362 763	24 030	1 386 793					
1980 1981	223 099	497 025 937 391	720 124 1 225 168	78 556 196 527	798 680 1 421 695					
1981	1 240 410	480 027	1 720 437	75 538	1 795 975					
1982	118 051	366	118 417	15 558	118 417					
1985	2 403	22 415	24 818	230	25 048					
1985	648 161	146 447	794 608	51 877	846 485					
1986	3 185 991	312 194	3 498 185	941 378	4 439 563					
1987	1 455 237	246 469	1 701 706	305 300	2 007 006					
1988	2 434 105	285 636	2 719 741	688 183	3 407 924					
1989	3 451 982	462 729	3 914 711	1 168 205	5 082 916					
1990	2 952 965	179 939	3 132 904	575 080	3 707 984					
1991	3 267 117	419 619	3 686 736	521 334	4 208 070					
1992	4 276 687	843 652	5 120 339	918 280	6 038 619					
1993	6 179 606	631 429	6 811 035	1 079 775	7 890 810					
1994	9 047 114	781 322	9 828 436	2 134 430	11 962 866					
1995	5 276 505	971 498	6 248 003	1 604 650	7 852 653					
1996	6 952 926	183 930	7 136 856	988 674	8 125 530					

Annual catch of sardine off New South Wales, Victoria, South Australia, Western Australia and in total for Australia, 1975–1996

Year	Catch (tons)							
Tear	New South Wales	Victoria	South Australia	Western Australia	Total Australia			
1975 1976 1977 1978 1979 1980 1981 1982 1983 1984 1985 1986 1987 1988 1989 1990 1991 1992 1993 1994 1995 1996	$ \begin{array}{c} 130\\ 216\\ 236\\ 268\\ 160\\ 109\\ 68\\ 84\\ 141\\ 150\\ 128\\ 117\\ 141\\ 67\\ 110\\ 124\\ 117\\ 130\\ 154\\ 347\\ 256\\ 343\\ \end{array} $	$\begin{array}{c} 3 \ 500 \\ 4 \ 000 \\ 1 \ 000 \\ 800 \\ 700 \\ 800 \\ 500 \\ 900 \\ 1 \ 200 \\ 3 \ 230 \\ 2 \ 882 \\ 2 \ 535 \\ 2 \ 346 \\ 773 \end{array}$	750 1 500 3 000 3 500	$\begin{array}{r} 460\\ 482\\ 519\\ 964\\ 633\\ 761\\ 1\ 491\\ 1\ 649\\ 2\ 073\\ 2\ 953\\ 4\ 734\\ 5\ 545\\ 8\ 077\\ 8\ 909\\ 7\ 238\\ 9\ 231\\ 8\ 644\\ 7\ 135\\ 8\ 118\\ 8\ 961\\ 10\ 278\\ 11\ 723\\ \end{array}$	$\begin{array}{c} 590\\ 698\\ 755\\ 1\ 232\\ 793\\ 870\\ 1\ 559\\ 1\ 733\\ 5\ 714\\ 7\ 103\\ 5\ 862\\ 6\ 462\\ 8\ 918\\ 9\ 776\\ 7\ 848\\ 10\ 255\\ 9\ 961\\ 10\ 495\\ 11\ 904\\ 13\ 343\\ 15\ 880\\ 16\ 339\\ \end{array}$			

Estimates of the spawner biomass of sardine off Albany, Western Australia from a simulation model (Model) and the Daily Egg Production Method (DEPM), 1987–1997 A recruitment index for sardine in the Albany region, Western Australia, the sea level at Freemantle and the relative strength of the Leeuwin Current, 1981–1995

Year	Spawner biomass (tons)			
Ical	Model	DEPM		
1987	19 500			
1988	15 000			
1989	14 000			
1990	15 000			
1991	12 000			
1992	13 800	17 000		
1993	31 000	24 000		
1994	24 300	31 300		
1995	15 360	17 600		
1996	8 000			
1997	6 000	10 000		

Year	Recruitment Index	Sea level index	Relative strength of the Leeuwin Current (residual)
1981 1982 1983 1984 1985 1986 1987 1988 1989 1990 1991 1992 1993 1994	$\begin{array}{c} 1.000\\ 0.700\\ 1.100\\ 1.200\\ 0.816\\ 1.324\\ 1.411\\ 0.493\\ 1.137\\ 3.356\\ 0.411\\ 0.037\\ 0.016\\ 0.540\\ 1.000\\ \end{array}$	$\begin{array}{c} 72.33\\ 67.08\\ 73.08\\ 76.58\\ 73.75\\ 69.41\\ 65.50\\ 77.08\\ 78.08\\ 69.96\\ 69.45\\ 69.90\\ 64.68\\ 68.32\\ 74.93\\ \end{array}$	$\begin{array}{r} -0.10 \\ -5.35 \\ 0.65 \\ 4.15 \\ 1.32 \\ -3.02 \\ -6.93 \\ 4.65 \\ 5.65 \\ -2.47 \\ -2.98 \\ -2.53 \\ -7.75 \\ -4.11 \\ 2.50 \end{array}$

Annual catch of sardine and anchovy off South Africa and off Namibia, 1950–1997. Values for the period 1950–1984 are from Crawford *et al.* (1987) and for Namibia include catches of sardine made off Angola

Estimates of the spawner biomass of sardine off South Africa (Armstrong *et al.* 1983) and Namibia (Thomas 1986) using virtual population analysis, 1950–1985

		Catch	(tons)		
Year	South	Africa	Namibia		
	Sardine	Anchovy	Sardine	Anchovy	
1950	85 300		46 700		
1951	101 900		127 200		
1952	170 000		225 800		
1953	132 500		262 200		
1954	88 300		250 600		
1955	121 900		227 100		
1956	76 600		308 200		
1957	109 500		374 000		
1958	194 500	200	268 800		
1959	260 200	1 400	278 900		
1960	318 000		293 100		
1961 1962	402 200 410 200		$350\ 100\ 411\ 400$		
1962	390 100	300	607 700		
1963	256 100	92 400	717 600	600	
1965	204 500	171 000	780 200	1 000	
1966	118 000	143 900	775 600	3 300	
1967	69 700	270 600	969 600	24 300	
1968	107 800	138 100	1 400 100	161 200	
1969	56 100	149 200	1 178 400	226 100	
1970	61 800	169 300	564 600	188 900	
1971	87 600	157 300	327 500	184 700	
1972	104 200	235 600	446 900	149 500	
1973	69 000	250 900	469 200	361 100	
1974	16 000	349 800	730 400	249 100	
1975	89 200	223 600	564 800	191 100	
1976	176 400	218 300	460 600	89 300	
1977	57 800	235 500	276 000	132 900	
1978 1979	97 000 52 900	209 500 291 400	51 000 39 100	$363\ 600\ 288\ 100$	
1979	50 400	315 500	11 800	209 800	
1980	46 200	292 000	52 400	209 800 216 500	
1982	33 500	306 900	53 300	86 500	
1983	60 500	240 200	48 500	187 400	
1984	27 200	272 500	73 300	16 900	
1985	33 000	272 600	57 600	50 700	
1986	35 500	299 600	52 900	16 000	
1987	34 300	596 000	66 000	376 000	
1988	32 900	596 900	62 400	117 000	
1989	34 500	294 100	77 600	78 800	
1990	54 900	149 900	89 000	50 500	
1991	101 500	150 700	68 000	18 000	
1992	104 500	349 400	82 000	38 000	
1993	50 700	235 600	114 800	63 100	
1994	92 600	155 600	116 500	25 100	
1995 1996	112 800 105 200	170 200 40 700	92 500	47 400 1 500	
1996	105 200	60 100	$2 400 \\ 32 000$	1500 2 500	

Year	Spawner biomas	s (million tons
Year	South Africa	Namibia
1950	0.298	
1951	0.330	
1952	0.345	6.331
1953	0.298	5.598
1954	0.265	4.917
1955	0.234	4.665
1956	0.183	4.777
1957	0.197	5.008
1958	0.291	5.346
1959	0.444	5.853
1960	0.594	6.653
1961	0.626	7.662
1962	0.523	8.762
1963	0.375	10.306
1964	0.348	11.139
1965	0.227	10.149
1966	0.110	8.165
1967	0.062	6.100
1968	0.071	4.215
1969	0.063	2.420
1970	0.080	1.465
1971	0.074	1.399
1972	0.079	1.767
1973	0.053	2.164
1974	0.059	2.167
1975	0.097	1.605
1976	0.120	0.842
1977	0.033	0.320
1978	0.065	0.103
1979	0.045	0.049
1980	0.042	0.054
1981		0.153
1982		0.161
1983		0.134
1984		0.150
1985		0.170

#### South African Journal of Marine Science 21

Estimates of the spawner biomass of sardine and anchovy and of the biomass of recruiting young-of-the-year anchovy off South Africa (updated from Hampton 1992, 1996 and Barange *et al.* 1999) and of numbers of recruiting young-of-the-year sardine (updated from Hampton 1992 and Barange *et al.* 1999), and contribution of sardine and anchovy to the diet of Cape gannets off western South Africa (updated from Crawford and Dyer 1995), 1978–1997

Year	Spawner bioma	Spawner biomass (million tons)		Anchovy recruit-	Contribution to diet (% by mass)	
	Sardine	Anchovy	- ment (×10 <sup>9</sup> )	ment (million tons)	Sardine	Anchovy
1978					9.85	50.96
1979					5.90	51.36
1980					4.50	50.88
1981					4.30	56.40
1982					1.90	63.55
1983					5.80	44.14
1984	0.032	1.067			7.70	49.38
1985	0.054	0.975	0.310	5.44	18.00	26.72
1986	0.160	1.747	0.466	6.79	20.80	50.04
1987	0.129	1.456	0.575	11.83	36.15	37.60
1988	0.113	1.104	0.508		34.00	41.10
1989	0.286	0.536	0.132	6.84	49.25	17.55
1990	0.263	0.469	0.150	3.30	59.50	9.70
1991	0.441	1.682	0.377	4.34	34.55	34.90
1992	0.327	1.501	0.388	16.09	26.10	42.50
1993	0.464	0.800	0.163	29.98	48.10	23.65
1994	0.597	0.476	0.110	7.20	56.00	8.35
1995	0.620	0.432	0.398	48.65	55.85	20.40
1996	0.505	0.143	0.068	9.97	56.50	5.85
1997	0.769	0.841	0.497	68.82	49.35	16.20

		Harvest (tons)		Harvest (tons)			
Year	Namibian islands	Namibian platforms	South African islands	Year	Namibian islands	Namibian platforms	South African islands
1892		_	1 633	1944	3 941	1,555	2 608
1893		_	1 089	1945	4 246	911	2 875
1894		_	2 592	1946	2 983	1,665	2 086
1895		_	2 903	1947	3 725	2,223	2 0 2 7
1896	2 714	-	454	1948	3 225	1,039	1 599
1897	2 740	-	2 987	1949	2 515	910	1 737
1898	2 762	_	2 217	1950	2 971	577	1 673
1899	2 006	-	2 544	1951	2 970	1 014	2 063
1900	2 112	-	1 490	1952	3 384	1 432	1 722
1901	1 584	_	932	1953	3 300	1 353	1 850
1902	2 218	-	2 138	1954	3 473	1 451	1 799
1903	2 363	_	2 063	1955	2 823	1 552	1 620
1904	2 260	-	2 007	1956	2 159	1 247	1 918
1905	3 167	_	2 417	1957	3 119	1 222	1 662
1906	2 654	-	2 087	1958	2 844	1 699	1 821
1907	2 549	_	2 204	1959	3 520	1 631	1 814
1908	3 819	-	2 902	1960	1 911	1 421	1 783
1909	4 374	-	1 762	1961	3 147	1 557	2 303
1910	3 444	-	2 287	1962	3 153	1 723	1 675
1911	2 589	-	1 627	1963	1 333	2 159	1 249
1912	3 776	-	2 005	1964	3 147	1 418	1 121
1913	3 737	-	2 454	1965	2 387	1 965	1 004
1914	4 383	-	2 994	1966	2 413	2 073	915
1915	3 757	-	2 794	1967	2 163	2 359	918
1916	3 404	-	2 857	1968	2 255	1 797	998
1917	4 163	-	2 157	1969	2 617	1 586	1 223
1918	4 599	-	2 668	1970	1 173	2 218	1 198
1919	4 650	-	3 303	1971	1 203	1 545	791
1920	5 841	-	3 635	1972	1 536	2 423	807
1921	4 742	-	2 969	1973	1 917	3 611	1 360
1922	5 407	-	3 079	1974	1 430	1 119	868
1923	5 950	-	3 118	1975	786	2 778	655
1924	6 041	-	1 668	1976	786	2 983	465
1925	4 893	-	2 224	1977	1 976	1 845	688
1926	5 074	-	4 286	1978	2 948	3 365	764
1927	5 423	-	3 729	1979	3 132	796	335
1928	5 588	-	3 641 2 315	1980 1981	2 847 1 855	3 293 3 494	437 394
1929	5 251	-		1981			
1930 1931	4 417 4 517	- 8	1 255 2 660	1982	2 111 3 131	3 171 3 899	330 2 598
1931 1932	4 517	24	1 072	1983	2 129	3 899 4 827	2 598 379
1932	3 151	43	1 952	1984	2 129 2 480	4 827 3 945	379 454
1933	3 333	43	1 952	1985	1 348	3 945 1 582	454 87
1934	4 396	82	2 119	1980	1 784	4 299	1 035
1935	3 282	143	2 554	1987	0	2 708	88
1930	3 461	332	2 176	1988	2 050	2 309	87
1937	2 699	1 669	1 598	1989	2 0 3 0	3 418	78
1938	3 108	1 720	2 995	1990	0	3 266	80
1939	4 196	1 720	2 993	1991	0	1 854	2
1940	3 638	2 554	2 902 2 930	1992		4 203	0
1941	3 538	2 334	1 591	1995	0	2 323	281
1942	2 952	2 320 2 706	2 391	1994	0	2 525	201
1773	2 752	2700	2 371	1,755	U	1 104	0

Harvest of seabird guano at islands and platforms off Namibia and islands off South Africa, 1892-1995