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# ZFIRE: The Evolution of the Stellar Mass Tully–Fisher Relation to Redshift $\sim 2.2$

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## Abstract

Using observations made with MOSFIRE on Keck I as part of the ZFIRE survey, we present the stellar mass Tully–Fisher relation at  $2.0 < z < 2.5$ . The sample was drawn from a stellar-mass-limited,  $K_s$ -band-selected catalog from ZFOURGE over the CANDELS area in the COSMOS field. We model the shear of the  $H\alpha$  emission line to derive rotational velocities at  $2.2\times$  the scale radius of an exponential disk ( $V_{2.2}$ ). We correct for the blurring effect of a 2D point-spread function (PSF) and the fact that the MOSFIRE PSF is better approximated by a Moffat than a Gaussian, which is more typically assumed for natural seeing. We find for the Tully–Fisher relation at  $2.0 < z < 2.5$  that  $\log V_{2.2} = (2.18 \pm 0.051) + (0.193 \pm 0.108)(\log M/M_\odot - 10)$  and infer an evolution of the zero-point of  $\Delta M/M_\odot = -0.25 \pm 0.16$  dex or  $\Delta M/M_\odot = -0.39 \pm 0.21$  dex compared to  $z = 0$  when adopting a fixed slope of 0.29 or  $1/4.5$ , respectively. We also derive the alternative kinematic estimator  $S_{0.5}$ , with a best-fit relation  $\log S_{0.5} = (2.06 \pm 0.032) + (0.211 \pm 0.086)(\log M/M_\odot - 10)$ , and infer an evolution of  $\Delta M/M_\odot = -0.45 \pm 0.13$  dex compared to  $z < 1.2$  if we adopt a fixed slope. We investigate and review various systematics, such as PSF effects, projection effects, systematics related to stellar mass derivation, selection biases, and slope. We find that discrepancies between the various literature values are reduced when taking these into account. Our observations correspond well with the gradual evolution predicted by semianalytic models.

*Key words:* galaxies: evolution – galaxies: high-redshift – galaxies: kinematics and dynamics

## 1. Introduction

A major goal for galaxy evolution models is to understand the interplay between dark matter and baryons. In the current  $\Lambda$ CDM paradigm, galaxies are formed as gas cools and accretes into the centers of dark matter halos. The gas maintains its angular momentum, settling in a disk at the center of the gravitational potential well (Fall & Efstathiou 1980) where it forms stars. This process can be disrupted by galaxy mergers, gas inflows, active galactic nuclei (AGNs), and star formation feedback, which can affect the shape, star formation history, and kinematics of galaxies (e.g., Hammer et al. 2005).

From studies at  $z = 0$  of the kinematic properties of disk galaxies a correlation has emerged between disk rotational velocity and, initially, luminosity. This relation is now named the Tully–Fisher relation, first reported by Tully & Fisher (1977) and originally used as a distance indicator. At  $z = 0$  the Tully–Fisher relation is especially tight if expressed in terms of stellar mass instead of luminosity (Bell & de Jong 2001). If studied at high redshift, it can be an important test of the mass assembly of galaxies over time, as it describes the relation between angular momentum and stellar mass and the conversion of gas into stars versus the growth of dark matter halos by accretion (e.g., Fall & Efstathiou 1980; Mo et al. 1998; Sales et al. 2010). With the increasing success of multiwavelength photometric surveys to study galaxy evolution, much insight has already been obtained into the structural evolution of galaxies to high redshift (e.g., Franx et al. 2008; van der Wel et al. 2014b; Straatman et al. 2015) and their stellar mass

growth and star formation rate (SFR) histories (e.g., Whitaker et al. 2012; Tomczak et al. 2014, 2016). The study of galaxy kinematics at  $z > 1$  has been lagging behind, because of the faint magnitudes of high-redshift galaxies and the ongoing development of sensitive near-IR multiobject spectrographs needed for efficient follow-up observations.

In the past few years, studies of the Tully–Fisher relation at  $0 < z < 1$  were performed with the multiplexing optical spectrographs DEIMOS on Keck I (Kassin et al. 2007; Miller et al. 2011) and LRIS on Keck II (Miller et al. 2012) and optical Integral Field Unit (IFU) spectrographs such as VLT/GIRAFFE (Puech et al. 2008), but beyond  $z > 1$  progress has been comparatively slow because of the reliance on mostly single-object integral field spectrographs, such as SINFONI (Cresci et al. 2009; Gnerucci et al. 2011; Vergani et al. 2012) on the VLT. These studies resulted in contrary estimates of a potential evolution of the stellar mass zero-point of the Tully–Fisher relation with redshift (Glazebrook 2013). For example, studies by Puech et al. (2008), Vergani et al. (2012), Cresci et al. (2009), Gnerucci et al. (2011), and Simons et al. (2016) indicate evolution already at  $z \gtrsim 0.6$ . At  $z = 0.6$  this amounts to  $\Delta M/M_\odot \sim -0.3$  dex (Puech et al. 2008). At  $z \sim 2$   $\Delta M/M_\odot \sim -0.4$  dex (Cresci et al. 2009; Simons et al. 2016), and at  $z = 3$   $\Delta M/M_\odot \sim -1.3$  dex (Gnerucci et al. 2011). At the same time, Miller et al. (2011, 2012) find no significant evolution up to  $z = 1.7$ .

Part of the inferred evolution, however, or lack thereof, could be explained by selection bias, for example, by preferentially selecting the most dynamically evolved galaxies

at each redshift. This acts as a progenitor bias (van Dokkum & Franx 2001), where the high-redshift sample is an increasingly biased subset of the true distribution, leading to an underestimate of the evolution. Dynamically evolved galaxies could make up only a small fraction of the total population at high redshift, as irregular, dusty, and dispersion-dominated galaxies become more common toward higher redshifts (e.g., Abraham & van den Bergh 2001; Kassin et al. 2012; Spitler et al. 2014), and in a recent publication, Tiley et al. (2016) showed that the inferred evolution is indeed larger for more rotationally supported galaxies. Similarly, previous surveys at the highest redshift at  $z > 2$  tend to be biased toward the less dust-obscured or blue star-forming galaxies, such as Lyman break galaxies, and often required previous rest-frame UV selection or a spectroscopic redshift from optical spectroscopy (e.g., Förster Schreiber et al. 2009; Gnerucci et al. 2011). As a consequence, these samples may not be representative of massive galaxies at high redshift, which are more often reddened by dust obscuration (e.g., Reddy et al. 2005; Spitler et al. 2014).

The different results between these studies could also be due to systematics arising from the different methodologies used to derive stellar mass, rotational velocity, and the different types of spectral data (1D long-slit spectra versus 2D IFU data). As Miller et al. (2012) note, a striking discrepancy exists between their long-slit results (no evolution) and IFU studies by Puech et al. (2008), Vergani et al. (2012), and Cresci et al. (2009) ( $\Delta M/M_{\odot} = 0.3 - 0.4$  dex). Sample size may also play a role: the highest-redshift studies are based on small samples of only 14 galaxies at  $z = 2.2$  (Cresci et al. 2009) and 11 galaxies at  $z = 3$  (Gnerucci et al. 2011).

At face value, a nonevolving Tully–Fisher relation would be a puzzling result. In the framework of hierarchical clustering at fixed velocity, the mass of a disk that is a fixed fraction of the total mass of an isothermal halo is predicted to change proportionately to the inverse of the Hubble constant (Mo et al. 1998; Glazebrook 2013). The average properties of galaxies also evolve strongly with redshift. For example, the average SFR of star-forming galaxies at fixed stellar mass tends to increase with redshift (e.g., Tomczak et al. 2016), as does their gas fraction (e.g., Papovich et al. 2015). At the same time their average size tends to be smaller (e.g., van der Wel et al. 2014b), which would by itself imply higher velocities at fixed stellar mass. Yet semianalytic models predict only a weak change in the stellar mass zero-point, with most of the evolution occurring along the Tully–Fisher relation (e.g., Somerville et al. 2008; Dutton et al. 2011; Benson 2012).

It is clear that more studies with larger numbers of galaxies are needed to shed light on the observationally key epoch at  $z \sim 2$ . In this study we use new spectra of galaxies at  $2.0 < z < 2.5$  from the ZFIRE survey (Nanayakkara et al. 2016). These were obtained from the newly installed MOSFIRE instrument on Keck I, a sensitive near-IR spectrograph whose multiplexing capability allows batch observations of large numbers of galaxies at the same time to great depth. The primary aim of ZFIRE is to spectroscopically confirm and study galaxies in two high-redshift clusters, one in the UDS field (Lawrence et al. 2007) at  $z = 1.62$  (Papovich et al. 2010) and one in the COSMOS field (Scoville et al. 2007) at  $z = 2.095$  (Spitler et al. 2012; Yuan et al. 2014). However, ZFIRE also targets many foreground and background galaxies at redshifts  $1.5 < z < 4.0$ . With ZFIRE the  $H\alpha$  (rest-frame vacuum 6564.614 Å) emission line is observed for a large

number of galaxies at  $z \sim 2$ , which can be used for studies of galaxy kinematics. In a recent paper Alcorn et al. (2016) derived velocity dispersions and virial masses and investigated environmental dependence. In this paper, we use the rich data set over the COSMOS field to study the Tully–Fisher relation at  $2.0 < z < 2.5$ . Our aim is to provide improved constraints on the evolution of the stellar mass Tully–Fisher relation with redshift.

In Section 2 we describe our data and sample of galaxies, in Section 3 we describe our analysis, in Section 4 we derive the Tully–Fisher relation at  $2 < z < 2.5$ , and in Section 5 we discuss our results in an evolutionary context. Throughout, we use a standard cosmology with  $\Omega_{\Lambda} = 0.7$ ,  $\Omega_m = 0.3$ , and  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ . At  $2 < z < 2.5$ ,  $1''$  corresponds to  $\sim 8 \text{ kpc}$ .

## 2. Observations and Selections

### 2.1. Observations

#### 2.1.1. Spectroscopic Data

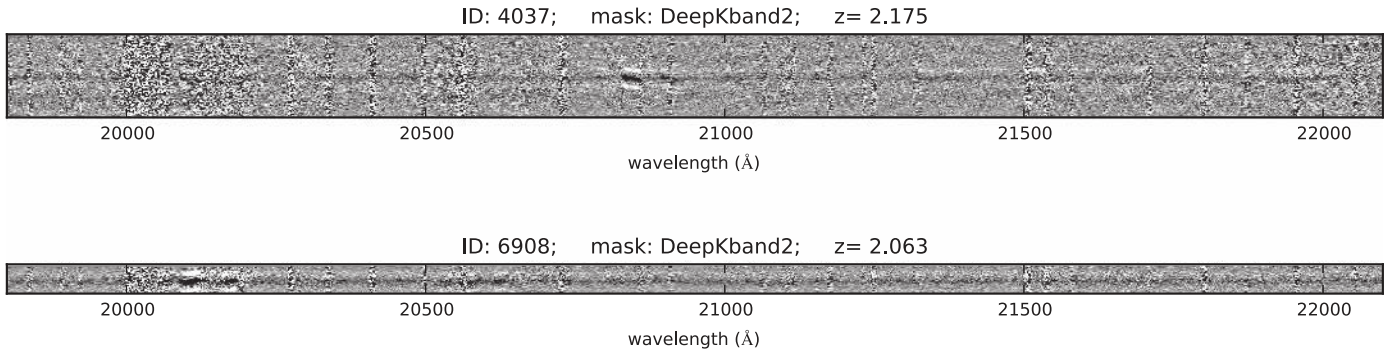
This study makes use of data obtained with the Multi-Object Spectrometer for InfraRed Exploration (MOSFIRE; McLean et al. 2010) on Keck I on Maunakea in Hawaii. The observations over COSMOS were carried out in six pointings with a  $6'.1 \times 6'.1$  field of view. The observations were conducted on 2013 December 24–25 and 2014 February 10–13. Galaxies were observed in eight masks in the  $K$  band, which covers  $1.93\text{--}2.45 \mu\text{m}$ , and can be used to measure  $H\alpha$  and [N II] emission lines for galaxies at  $z \sim 2$ . Two  $H$ -band masks were also included in the observations. The  $H$ -band coverage is  $1.46\text{--}1.81 \mu\text{m}$ , covering  $H\beta$  and [O III]. For this work, we limit the analysis to the  $H\alpha$  emission line data in the  $K$  band. Further details on the  $H$ -band masks can be found in Nanayakkara et al. (2016).

The total exposure time was 2 hr for each  $K$ -band mask. A  $0''.7$  slit width was used, yielding a spectral resolution of  $R = 3610$ . At  $z = 2.2$ , the median redshift of the sample of galaxies in this study, this corresponds to  $\sim 26 \text{ km s}^{-1}$  per pixel. The seeing conditions were  $0''.65\text{--}1''.10$ , with a median of  $0''.7$ . We used a standard two-position dither pattern (ABBA). Before and after science target exposures, we measured the spectrum of an A0V-type standard star in  $0''.7$  slits to be used for telluric corrections and standard stars to be used for flux calibration in a slit of width  $3''$  to minimize slit loss. Each individual mask also contained a star for monitoring purposes, such as measuring the seeing conditions.

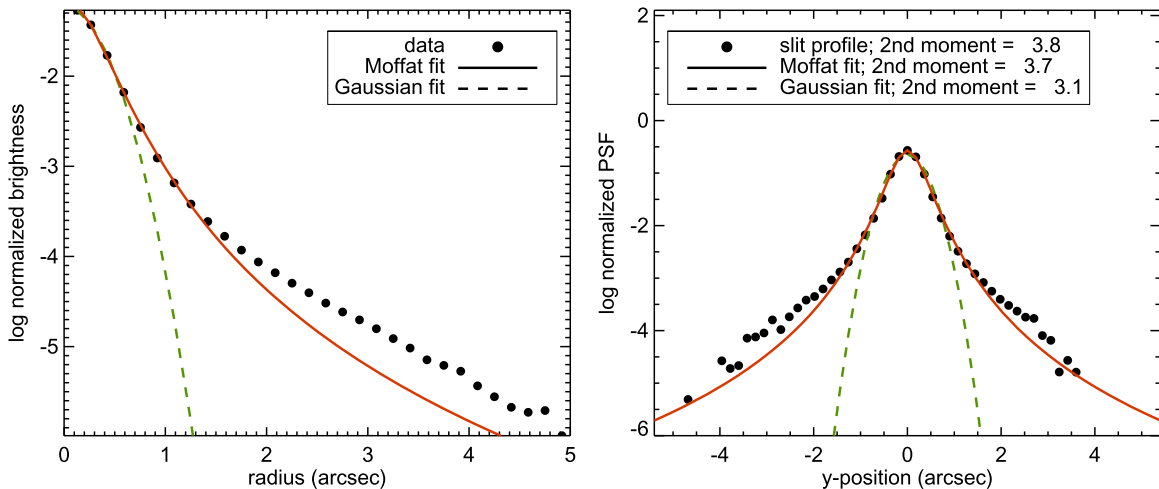
The raw data were reduced using a slightly modified version of the publicly available 2015A data reduction pipeline<sup>8</sup> developed by the MOSFIRE instrument team, resulting in 2D spectra that were background subtracted, rectified, and wavelength-calibrated to vacuum wavelengths, with a typical residual of  $< 0.1 \text{ \AA}$  (Nanayakkara et al. 2016). To make up for the lack of sky lines at the red end of the  $K$  band, we used both night-sky lines and a neon arc lamp for wavelength calibration.

Based on the standard star, we applied a telluric correction and flux calibration to the 2D spectra, similar to the procedure used by Steidel et al. (2014), and using our own custom IDL routines. We subsequently scaled the flux values to agree with the photometric  $K_s$ -band magnitudes from the FourStar (Persson et al. 2013) Galaxy Evolution Survey (ZFOURGE;

<sup>8</sup> The modified version is available at [https://github.com/themiyan/MosfireDRP\\_Themiyan](https://github.com/themiyan/MosfireDRP_Themiyan).



**Figure 1.** Two example Keck MOSFIRE spectra (inverted gray scale) at  $z = 2.175$  and  $z = 2.063$ , with  $H\alpha\lambda 6565$  clearly visible at  $\lambda = 20843.2 \text{ \AA}$  (top) and  $\lambda = 20109.6 \text{ \AA}$  (bottom). Other lines are visible as well, most notably  $[\text{N II}] \lambda\lambda 6550, 6585$  and  $[\text{S II}] \lambda\lambda 6718, 6733$ .



**Figure 2.** Left: surface brightness profile of the 2D  $K_s$ -band image PSF (dots) as a function of radius, with the best-fit Moffat (solid red line) and Gaussian (dashed green line). The Gaussian is quite steep, whereas the Moffat gives a better approximation of the flux at large radii. Right: simulated 1D spectral PSF, obtained from integrating the 2D  $K_s$ -band PSF and the best fits in a  $0''.7$  virtual slit. The second-order moment of the Moffat is close to that of the actual PSF, but that of the Gaussian is much smaller.

Straatman et al. 2016), resulting in a final median uncertainty of 0.08 mag (see also Nanayakkara et al. 2016).

In Figure 1 we show two example spectra at  $z = 2.175$  and  $z = 2.063$ , with strong  $H\alpha$  emission at observed frame  $\lambda = 20843.2 \text{ \AA}$  and  $\lambda = 20109.6 \text{ \AA}$ , respectively. Other lines are visible in the spectrum as well, most notably  $[\text{N II}] \lambda\lambda 6550, 6585$  and  $[\text{S II}] \lambda\lambda 6718, 6733$ .

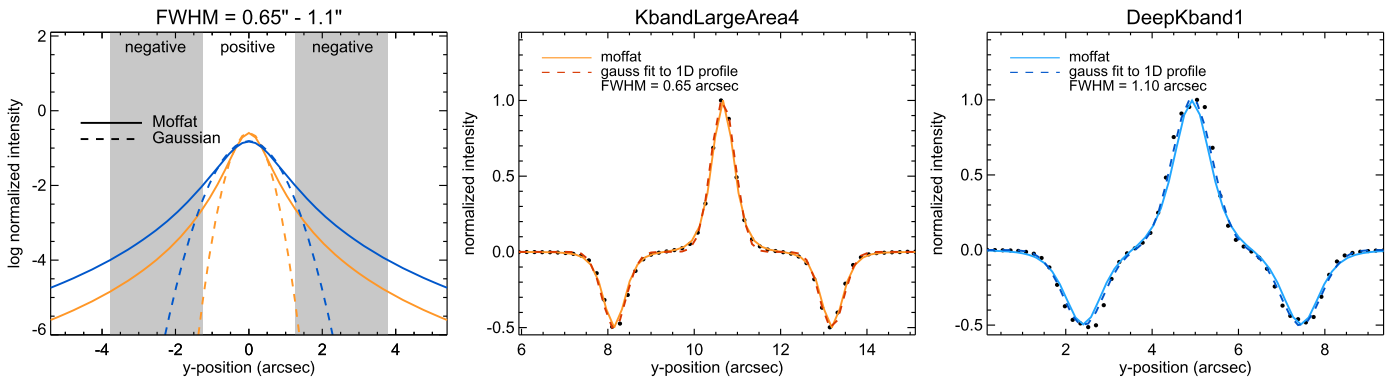
### 2.1.2. Continuum Subtraction

From each 2D spectrum we extracted spectral image stamps of  $300 \text{ \AA}$  wide (46 pixels) centered on the  $H\alpha$  emission lines. Night-sky emission was masked using the publicly available night-sky spectra taken during 2012 May engineering, at wavelengths where the sky spectrum exceeds  $10^{-24} \text{ erg s}^{-1} \text{ cm}^{-2} \text{ arcsec}^{-2}$ . We also masked  $40 \text{ \AA}$  wide boxes centered on the  $H\alpha$  line and the  $[\text{N II}]$  doublet. We subtracted the continuum using the following method: for each pixel row (one row corresponding to a 1D spectrum with a length of  $300 \text{ \AA}$ ) we determined the median flux and the standard deviation. Next, we iteratively rejected pixels at  $>2.5\sigma$  from the median and recalculated both values. We repeated this a total of three times. The final median flux was our estimate of the continuum in that particular pixel row, which was then subtracted accordingly.

### 2.1.3. Point-spread Function Determination

The galaxies in this study are small ( $<0''.7$ ; see Section 4.1), so the point-spread function (PSF) needs to be properly characterized. Not only does the FWHM of the PSF need to be tracked, but even the detailed shape of the PSF can have a noticeable effect on the smoothing of the  $H\alpha$  line and its rotation profile. A simple Gaussian is often assumed, but this leads to underestimating the shear of the emission line—and hence the velocity—if the true PSF has stronger wings. Because the Tully–Fisher relation is very steep (e.g., Bell & de Jong 2001; Reyes et al. 2011), a small change in velocity could lead to significant offsets.

We first attempted to derive the PSF from the collapsed spectra of the monitor stars, which received the same exposure as the galaxies in the masks. The collapsed spectra were obtained by averaging over the flux in the wavelength direction, after masking sky lines. The intensity profile had a very steep profile, which was superficially well fit by a Gaussian profile. Although adopting a Gaussian profile is common (e.g., Kriek et al. 2015), this was unexpected, because the MOSFIRE PSF in deep  $K_s$ -band imaging (D. Marchesini 2017, private communication) clearly has strong wings, which generally are better fit with a Moffat profile (see Figure 2). Even small wings are important, because the effect of the PSF on convolution does not scale with the amount of flux in the wings, but with the second-order moment of the PSF



**Figure 3.** Examples of spatial profiles of MOSFIRE PSFs. The solid and dashed curves are theoretically derived Moffat and Gaussian intensity profiles, respectively. They are shown at logarithmic scale in the left panel. A Moffat is a good representation of the original MOSFIRE PSF, but sky subtraction in the reduction process leaves negative imprints on each side, which will subtract the strong wings. This makes the reduced PSF appear Gaussian. This is illustrated by the two examples of spatial profiles of monitor stars in the middle and right panels, with best and worst seeing, respectively. The black data points represent the star spectra collapsed in the wavelength direction. The solid and dashed lines are the reconstructed Moffat PSFs and the 1D Gaussian fits, showing that they are nearly indistinguishable in one dimension.

(Franx et al. 1989). Even a few percent flux in the wings can have a significant effect, due to the  $r^2$  weighting. For illustration, we calculate the second moment for a simulated spectral PSF derived from a deep MOSFIRE image at  $\text{FWHM} = 0''.6$  seeing. The image PSF was created by median stacking five unsaturated bright stars, after background subtraction and normalization. First, we measured the brightness profile of this 2D PSF as a function of radius and fitted both a Moffat and a Gaussian function, as shown in the left panel of Figure 2. To reproduce the 1D spectral PSFs, we integrated the 2D image PSF and its two model fits within a  $0''.7$  virtual slit. Finally, we calculated the second-order moments,  $F_2$  for the PSF,  $G_2$  for the Gaussian model, and  $M_2$  for the Moffat model. As shown in the right panel of Figure 2, the true PSF ( $F_2 = 3.8$ ) is severely underestimated by a Gaussian approximation ( $G_2 = 3.1$ ), whereas a Moffat fit produces good correspondence ( $M_2 = 3.7$ ).<sup>9</sup>

Clearly it is important to account for the flux in the wings of the PSF. However, it turns out to be rather difficult to reconstruct the true shape of the PSF accurately from the spatial profile of a monitor star spectrum. The reason is that standard reduction of the ABBA dither pattern results in one positive and two negative imprints each  $2''.52$  apart, meaning that the PSF wings are largely subtracted out and the resulting profile is too steep. The problem is seeing dependent and becomes worse if the seeing is larger. We therefore proceeded to reconstruct the true PSF separately for every mask (with seeing varying from  $0''.65$  to  $1''.1$ ). As the central regions of the PSFs are still well approximated by a Gaussian, we used Gaussian fits to the collapsed spectra of the monitor stars to characterize the seeing FWHM for each of the eight  $K$ -band masks. We then reconstructed the approximate true PSF by first integrating a 2D Moffat ( $\beta = 2.5$ ) PSF over the width of a  $0''.7$  wide virtual slit and subtracting  $1/2$  times the intensity offset by  $2''.52$  on either side to simulate the reduction process. Because the FWHM of a Gaussian fit to the resulting spectral PSF is 12% broader than the original Moffat FWHM, we scaled the FWHM of the 2D Moffat to match the simulated spectral PSF to the observations. Figure 3 illustrates two extreme cases of best and worst seeing for our data.

<sup>9</sup> Note that to avoid noise amplification at large radii due to the  $r^2$  weighting, we evaluate the second-order moment at  $r < 2''.6$ . The Gaussian is scaled up by 12% for a consistent comparison to a Moffat in one dimension.

We verified the effect of using a Gaussian or Moffat profile in our modeling by calculating rotational velocities using either the Moffat PSFs derived above or Gaussian fits to the collapsed star spectra. The mean velocity is 4% smaller if a Gaussian is assumed, with up to 15% effects for some individual cases.

## 2.2. Target Sample Selection

The primary ZFIRE sample was designed to spectroscopically confirm a large cluster of galaxies at  $z = 2.095$  (Spitler et al. 2012; Yuan et al. 2014) within the COSMOS field (Scoville et al. 2007). The sample was optimized by focusing mostly on near-IR star-forming galaxies, with strong expected signatures such as  $H\alpha$  emission. Star-forming galaxies as part of the cluster were selected based on their rest-frame  $U - V$  and  $V - J$  colors, with photometric redshifts between  $2.0 < z < 2.2$ .  $K$ -band magnitudes of  $K < 24$  were priority sources, but fainter sources could be included as well. Non star-forming galaxies were prioritized next, and lastly, field galaxies (not necessarily at the cluster redshift) could be used as fillers for the mask. In total, 187 unique sources were listed for  $K$ -band observations. A total of 36 of these were observed in two different masks and two in three different masks, leading to a total of 224 spectra.

Spectroscopic targets were originally obtained from the photometric redshift catalogs of ZFOURGE. These were derived from ultra-deep near-IR  $K_s$ -band imaging ( $\sim 25.5$  mag). FourStar has a total of six near-IR medium bandwidth filters ( $J_1, J_2, J_3, H_s, H_l$ ), which accurately sample the rest-frame  $4000 \text{ \AA}$ /Balmer break at redshifts  $1.5 < z < 4$ . We combined these with a wealth of already-public multiwavelength data at  $0.3\text{--}24 \mu\text{m}$  to derive photometric redshifts, using the EAZY software (Brammer et al. 2008). These redshifts were used as a prior for the MOSFIRE masks. The typical redshift uncertainty is 1%–2% for galaxies at  $1.0 < z < 2.5$  (Straatman et al. 2016).

For this work we make use of the ZFOURGE stellar masses. These were calculated by fitting Bruzual & Charlot (2003) stellar template models, using the software FAST (Kriek et al. 2009), assuming a Chabrier (2003) initial mass function (IMF), exponentially declining star formation histories, solar metallicities, and a Calzetti et al. (2000) dust law. Galaxy sizes, axis ratios, and position angles are obtained from the size catalog of

galaxies from the 3D-*HST*/CANDELS survey (Skelton et al. 2014; van der Wel et al. 2014b). These were cross-matched to ZFOURGE by looking for matches within  $<0''.7$ . The sizes were derived by fitting 2D Sérsic (Sérsic 1968) surface brightness profiles to *HST*/WFC3/F160W images, using the software GALFIT (Peng et al. 2010).

From the original  $N = 224$  ZFIRE  $K_s$ -band sample, we first selected 151 unique galaxies with  $2.0 < z_{\text{spec}} < 2.5$ , where we used spectroscopic redshifts derived from 1D collapsed spectra (Nanayakkara et al. 2016). Using the F160W position angles, we determined offsets with respect to the MOSFIRE masks:  $\Delta\alpha = \text{PA} - \alpha_{\text{mask}}$ , with PA the position angle of the major axis of the galaxy and  $\alpha_{\text{mask}}$  the slit angle from the mask. We refined the sample by selecting only galaxies with  $|\Delta\alpha| < 40^\circ$  to minimize slit angle corrections, resulting in a sample of 81 galaxies. Some were included in more than one mask, and we have 102 spectra in total that follow these criteria. The  $\text{H}\alpha$  emission was inspected by eye for contamination from sky lines, and we only kept those instances that were largely free from sky lines, removing 25. Out of the remaining 77 spectra, 29 have very low signal-to-noise ratio (S/N) and were also omitted. We also looked for signs of AGNs, by cross-matching with radio and X-ray catalogs (Cowley et al. 2016). This revealed one AGN, which we removed. Finally, we removed five spectra without corresponding *HST*/WFC3/F160W imaging. The final high-quality sample contains 42 spectra of 38 galaxies, and these form the basis for the kinematic analysis, which we discuss next.

### 3. Analysis

#### 3.1. $\text{H}\alpha$ Rotation Model

We modeled the rotation curves by fitting 2D  $(\lambda, r)$  intensity models. We used the empirically motivated arctan function to model the velocity curve (Courteau 1997; Willick 1999; Miller et al. 2011):

$$v(r) = V_0 + \frac{2}{\pi} V_a \arctan\left(\frac{r - r_0}{r_t}\right), \quad (1)$$

with  $v(r)$  the velocity at radius  $r$ ,  $V_0$  the central velocity,  $V_a$  the asymptotic velocity,  $r_0$  the dynamic center, and  $r_t$  the turnover, or kinematic, scale radius.  $r_t$  is a transitional point between the rising and flattening of the arctan curve.

For relatively small proper motion if viewed on a cosmological scale, we can express the velocity as a function of the wavelength difference with respect to the central wavelength  $\lambda_0$  as

$$\frac{v}{c} = \frac{\Delta\lambda}{\lambda_0} = \frac{\lambda - \lambda_0}{\lambda_0}. \quad (2)$$

Therefore, we initially fit our model in wavelength space, and afterward we convert the offset in  $\lambda$  to velocity. In terms of wavelength, Equation (1) becomes

$$\lambda(r) = \lambda_0 + \frac{2}{\pi} \lambda_a \arctan\left(\frac{r - r_0}{r_t}\right). \quad (3)$$

We also model the spatial intensity of the emission, assuming an exponential disk:

$$I(r) = I_0 \exp\left[-\frac{(r - r_0)}{R_s}\right], \quad (4)$$

with  $I(r)$  the intensity at radius  $r$  and  $I_0$  the central intensity.  $r_0$  is the same in Equations (1), (3), and (4), and the coordinates  $(\lambda_0, r_0)$  represent the velocity centroid of the galaxy in  $\text{H}\alpha$ .  $R_s$  is the scale length of an exponential disk. At a given  $r$ , the intensity as a function of wavelength is modeled by a Gaussian profile, centered on  $\lambda(r)$ :

$$I(\lambda, r) = I(r) \exp\left[-\frac{(\lambda - \lambda(r))^2}{2(\sigma^2 + \sigma_{\text{instr}}^2)}\right], \quad (5)$$

with  $\sigma$  the velocity dispersion and  $\sigma_{\text{instr}}$  the instrumental broadening.  $\sigma_{\text{instr}} = 2.4 \text{ \AA}$  was obtained from a Gaussian fit to a sky line. We allowed  $\sigma$  to vary in the fit, but assumed it to be independent of radius.

With Equations (3)–(5) we built a 2D model of the  $\text{H}\alpha$  emission line, which was then smoothed with the PSF derived in Section 2.1.3. To avoid undersampling effects, we built the initial model on a grid with  $3\times$  the spatial and wavelength resolution of the spectra. We also used a  $3\times$  refined PSF. After convolving we rebinned the model by a factor of  $1/3$ . We also subtracted half the intensity of the model at  $\pm 14$  pixels to reproduce the dithering pattern. Parameters that can vary in the model are  $\lambda_0$ ,  $\lambda_a$ ,  $r_0$ ,  $r_t$ ,  $I_0$ ,  $R_s$ , and  $\sigma$ .

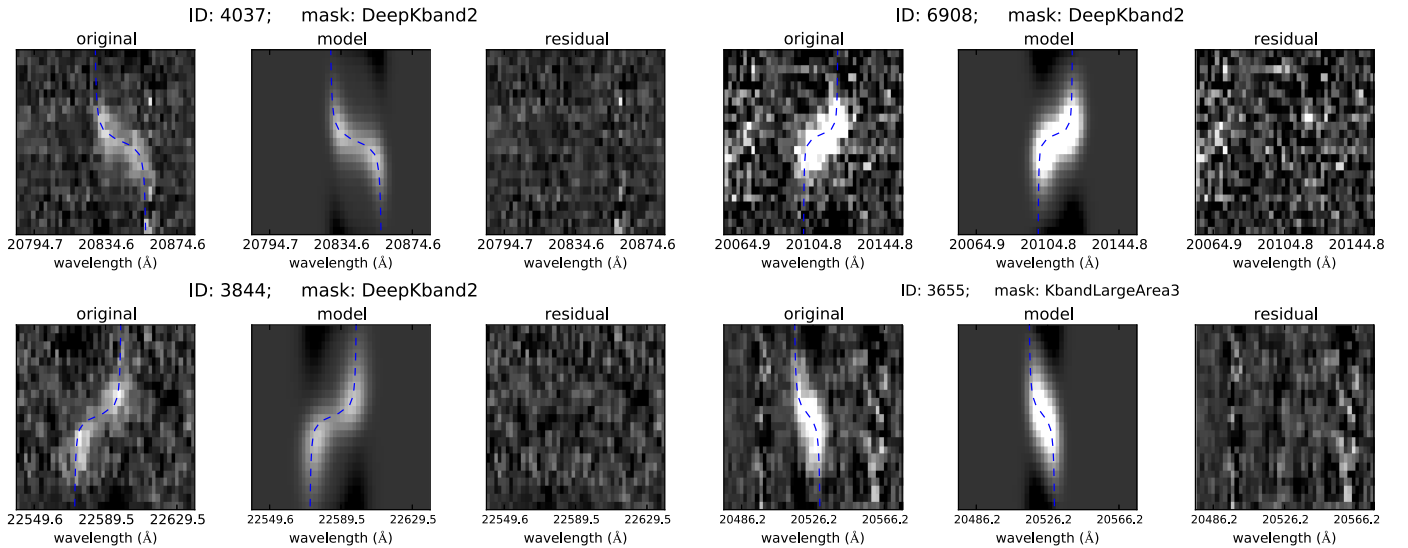
#### 3.2. Fitting Procedure

We fit the intensity model to  $100 \text{ \AA}$  wide spectral image stamps, centered on the  $\text{H}\alpha$  emission line. We used the Python `scipy.optimize.curve_fit` algorithm, which is based on the Levenberg–Marquardt algorithm. This algorithm can be used to solve nonlinear least-squares minimization problems. The Levenberg–Marquardt algorithm can find local minima, but these are not necessarily the global minima, i.e., the best fits, that we are looking for. Therefore, we assessed each galaxy’s spectral image stamp individually, and we chose initial parameters for the model to be a reasonable match to the observed  $\text{H}\alpha$  emission.

In addition to the  $\text{H}\alpha$  stamps, we extracted corresponding images from the error spectra that are available for each observation. The error spectra represent standard errors on the flux in each pixel. The error stamps were matched by wavelength location to the  $\text{H}\alpha$  spectral image stamps, and we included these as weight arrays in the fit. We did not mask sky lines or pixels with low S/N, but simply used the (much) smaller weights from the error images at those locations.

In Figure 4 we show the initial guesses and best-fit models for four example galaxies. The best-fit models are good representations of the  $\text{H}\alpha$  emission, with small residuals. We also show the unconvolved arctangent functions constructed from the best-fit parameters using Equation (3). In the Appendix we show the spectral image stamps and best fits for the whole sample with additional radial velocity profiles derived from the emission in individual rows of each spectrum.

We estimated uncertainties on the parameters  $\lambda_0$ ,  $\lambda_a$ ,  $r_0$ ,  $r_t$ ,  $I_0$ ,  $R_s$ , and  $\sigma$ , by applying a Monte Carlo procedure. For every source, we subtracted the best-fit 2D model from the spectral image stamp, obtaining the residual images shown in Figure 4. We then shifted the residual pixels by a random number of rows and columns, preserving local pixel-to-pixel correlations. The magnitude of the shift was drawn from a Gaussian distribution centered on zero, allowing negative values, i.e., shifting in the opposite direction, and with a standard deviation of 2 pixels. The numbers of rows and columns to be shifted



**Figure 4.** Best-fit models. For each subsequent panel from left to right: the spectral image stamps, the best-fit model, the residual after subtracting the best-fit model. The blue dashed curves are the model arctan functions.

were generated independently from each other. We then added the best-fit model back to the shifted residual and reran our fitting procedure. We repeated this process 200 times, obtaining for each parameter a distribution of values. We calculated the standard deviations for each parameter and used these as the uncertainties.

### 3.3. Velocities

We measured the velocities from Equation (1) at 2.2 times the scale radius ( $R_s$ ) of the exponential brightness profile. We chose  $r = 2.2R_s$  as this is the radius where the rotation curve of a self-gravitating ideal exponential disk peaks (Freeman 1970). It is also a commonly adopted parameter in literature (e.g., Miller et al. 2011). Its main advantage is that it gives a consistent approximation of the rotational velocity across the sample, while avoiding extrapolations toward large radii and low-S/N regions of the spectrum.

We corrected the velocities for inclination using

$$v'_{2.2} = \frac{v_{2.2}}{\sin(i)}, \quad (6)$$

with

$$i = \cos^{-1} \sqrt{\frac{(b/a)^2 - q_0^2}{1 - q_0^2}}. \quad (7)$$

We adopt here the convention that  $i = 0^\circ$  for galaxies viewed face-on and  $i = 90^\circ$  for edge-on galaxies. We used the axis ratios ( $b/a$ ) derived with GALFIT from van der Wel et al. (2014b). Uncertainties on the axis ratio were propagated and added to the velocity uncertainty from the Monte Carlo procedure.

$q_0 \simeq 0.1 - 0.2$  represents the intrinsic flattening ratio of an edge-on galaxy. Following convention, we adopt  $q_0 = 0.19$  (Haynes & Giovanelli 1984; Pizagno et al. 2007). It has been shown that galaxies with  $9 < \log M/M_\odot < 10$  at  $z > 1$  have a higher fraction of more elongated systems (e.g., van der Wel et al. 2014a). We note that using the axis ratios to derive the inclination

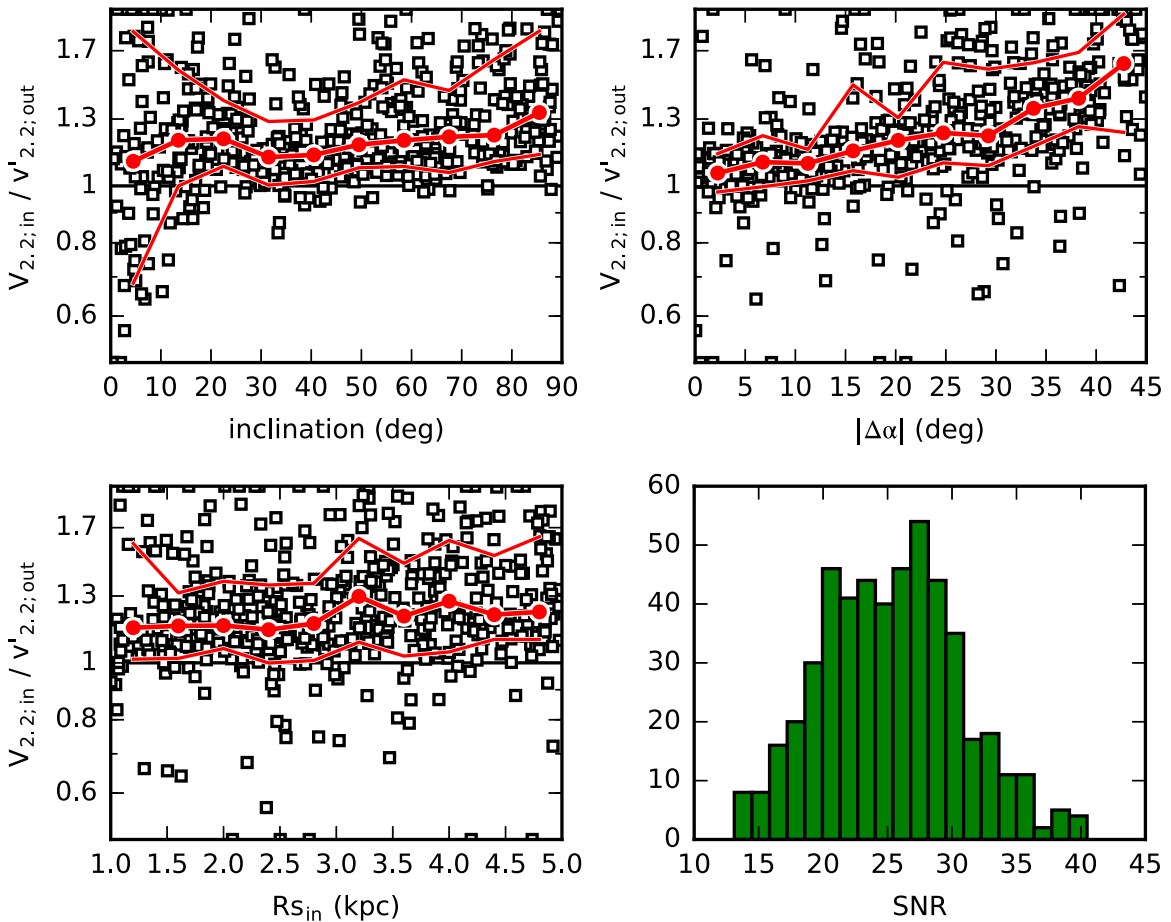
may therefore lead to underestimated corrections for some of the galaxies in our sample, as 9/21 have  $\log M/M_\odot < 10$ .

### 3.4. Two-dimensional PSF and Projection Effects

When considering slit spectra, with one spatial dimension, we need to account for systematic effects due to the 2D nature of the PSF smoothing, as well as any mismatch between the slit angle and kinematic angle, here assumed to be the F160W position angle. The main effect is that 2D smoothing will effectively lead to an underestimation of the line-of-sight motion captured in 1D spectra, as a flux component from lower velocity regions is mixed in. The effect depends on the apparent size of the galaxy relative to the size of the PSF and the size of the slit, i.e., mixing occurs even for an infinitely thin slit if the seeing is significant, and vice versa.

To assess this effect, we generated a suite of 500 emission-line models (Bekiaris et al. 2016) of infinitely thin galaxies with similar sizes and velocities to our sample. We projected these onto the MOSFIRE 2D space, using various inclination angles ( $0^\circ < i < 90^\circ$ ) and slit angles relative to the major axes ( $0^\circ < |\Delta\alpha| < 45^\circ$ ), a finite slit width of  $0''.7$ , and a 2D Moffat PSF with  $\text{FWHM} = 0''.5$  and  $\beta = 2.5$ . This PSF results in a Gaussian approximation of the seeing of  $0''.6$  in 1D. In the simulations  $R_s$  was varied between 1 and 5 kpc,  $\sigma$  between 20 and 100  $\text{km s}^{-1}$ , and  $V_a$  between 100 and 400  $\text{km s}^{-1}$ .  $r_t$  was defined as  $R_s/3$ , typical of the galaxies in this study. We added noise based on the noise spectrum of observed galaxy 4037 and scaled to match the typical  $\text{H}\alpha$  S/N of the galaxies in our sample with a median of  $\text{S/N} = 25$  (see Section 3.5 for details on how we derive S/N). We included two negative imprints to simulate the ABBA pattern. The Bekiaris et al. (2016) models are part of a fitting code designed to diagnose IFU data and are therefore an excellent sanity check on methods used for single-slit modeling.

We measured the rotational velocity in the same way as for the observed spectra, including the correction for inclination angle. The ratio between  $V_{2.2;\text{in}}$  (the actual rotational velocity) and  $v'_{2.2;\text{out}}$  (the measured rotational velocity) is shown in Figure 5. There is a slight trend with inclination, with on one side larger scatter for face-on galaxies, due to the general



**Figure 5.** Results of simulating 500 MOSFIRE spectra, with a  $0''.6$  PSF and typical S/N. We show the offset between input and measured velocity as a function of inclination,  $|\Delta\alpha|$ , and input  $R_s$ . The red lines are the running median and  $1\sigma$  percentiles. There is a slight trend with inclination, indicating that mixing of light plays a role, no trend with input  $R_s$ , and a strong trend with slit mismatch.

difficulties of measuring at small inclination. On the other side we find, as expected, an increase in both the scatter and the ratio  $V_{2.2;in}/v'_{2.2;out}$  for very inclined galaxies, which suffer the most from 2D smoothing effects. There is a strong trend of increasing  $V_{2.2;in}/v'_{2.2;out}$  toward higher  $|\Delta\alpha|$ , but we do not find a significant trend with input radius. In general, the result is that the recovered velocities are too small by a median factor of 1.19, depending on  $|\Delta\alpha|$ , with a scatter of 0.24.

We found similar results for different seeing values or a factor of 2 lower S/N. Given that there is a clear trend with slit angle mismatch, we derived a  $|\Delta\alpha|$ -dependent correction to our velocities, using the median offset in  $V_{2.2;in}/v'_{2.2;out}$  in a five-degree bin around the value of  $|\Delta\alpha|$  associated with each spectrum. We propagated the scatter around the median offset into the velocity uncertainty already derived from the Monte Carlo procedure. From hereon we use the symbol  $V_{2.2}$  for the final slit angle and projection-corrected velocities.

### 3.5. Results

The best-fit parameters of the rotation model and their uncertainties, along with  $v_{2.2}$  and  $V_{2.2}$ , are shown in Table 1. Of the 42 spectra in the high-quality sample, we obtained good fits for 24 (of 22 galaxies), while for 18 spectra we obtained poorly constrained fits, with large random uncertainties ( $>30\%$ ) on the velocities. We therefore removed these 18 spectra (of 16

galaxies) from the sample. To evaluate whether removing the failed fits introduces biases relative to the target sample, we show the distribution of the  $K_s$ -band magnitudes and sizes in Figure 6. The  $K_s$ -band magnitudes for the good fits are brighter than those of the full target sample (median  $K_s = 22.8$  versus median  $K_s = 23.5$ ), and the galaxies are slightly larger (median  $R_e = 0''.40$  versus  $R_e = 0''.26$ ). So removing these galaxies does bias the sample to somewhat brighter and larger galaxies.

The 22 galaxies for which we will derive the Tully–Fisher relation have high velocities and velocity dispersions, with a median  $V_{2.2} = 164 \text{ km s}^{-1}$ ,  $\sigma = 53 \text{ km s}^{-1}$ , and  $V/\sigma = 3.5$ . We note that these dispersions could be slightly overestimated, e.g., the dispersion reflects mixing of velocity gradients on scales smaller than the seeing.

In the case of high S/N the uncertainties are not dominated by random errors and the Monte Carlo procedure would result in relatively small errors. Because it is unlikely that we can derive velocities with more than 10% accuracy, we impose a minimum error of 10% of the measured velocities for all sources.

At high redshift measuring the kinematic profile of a galaxy is more difficult, due to the smaller angular scales for distant galaxies, and seeing effects and S/N play a larger role. We therefore verified our size measurements. We converted the best-fit  $R_s$  derived from the  $K$ -band spectra to effective radius ( $R_e$ ), using  $R_e = 1.678R_s$  (valid for exponential disks), and



**Table 1**  
Results

ID	Mask	Seeing (arcsec)	$z_{\text{centroid}}$	$V_a$ ( $\text{km s}^{-1}$ )	$r_t$ (arcsec)	$R_s$ (arcsec)	$S/N_{\text{H}\alpha}$
1814	KbandLargeArea4	0.65	$2.1704 \pm 0.00016$	$60.6 \pm 83.0$	$6.5\text{e-}10 \pm 1.3\text{e-}01$	$0.09 \pm 0.03$	46
2715	mask2	0.67	$2.0824 \pm 0.00004$	$52.3 \pm 90.5$	$8.2\text{e-}07 \pm 1.6\text{e-}01$	$0.16 \pm 0.03$	22
2723	mask2	0.67	$2.0851 \pm 0.00004$	$102.1 \pm 105.7$	$2.7\text{e-}06 \pm 1.6\text{e-}04$	$0.13 \pm 0.03$	12
2765	mask1	0.71	$2.2279 \pm 0.00008$	$242.6 \pm 83.2$	$3.5\text{e-}01 \pm 1.7\text{e-}01$	$0.24 \pm 0.03$	36
3074	mask1	0.71	$2.2267 \pm 0.00005$	$117.8 \pm 114.4$	$2.6\text{e-}02 \pm 2.6\text{e-}02$	$0.37 \pm 0.05$	16
3527	KbandLargeArea4	0.65	$2.1890 \pm 0.00004$	$87.9 \pm 3.2$	$1.6\text{e-}03 \pm 3.4\text{e-}04$	$0.23 \pm 0.01$	71
3598	mask2	0.67	$2.2279 \pm 0.00006$	$145.3 \pm 19.5$	$1.3\text{e-}01 \pm 3.6\text{e-}02$	$0.34 \pm 0.05$	11
3633	DeepKband2	0.80	$2.0991 \pm 0.00008$	$170.7 \pm 22.2$	$7.9\text{e-}02 \pm 2.8\text{e-}02$	$0.44 \pm 0.09$	20
3655	KbandLargeArea3	1.09	$2.1263 \pm 0.00003$	$110.0 \pm 31.5$	$2.2\text{e-}01 \pm 1.7\text{e-}01$	$0.35 \pm 0.06$	41
3680	mask3	0.68	$2.1753 \pm 0.00005$	$132.7 \pm 31.7$	$1.2\text{e-}01 \pm 6.4\text{e-}02$	$0.19 \pm 0.04$	10
3714	mask3	0.68	$2.1761 \pm 0.00005$	$81.1 \pm 76.7$	$2.1\text{e-}02 \pm 1.2\text{e-}02$	$0.22 \pm 0.01$	33
3844	DeepKband2	0.80	$2.4404 \pm 0.00001$	$177.5 \pm 181.8$	$1.2\text{e-}01 \pm 1.3\text{e-}01$	$0.70 \pm 0.02$	16
4010	KbandLargeArea4	0.65	$2.2216 \pm 0.00011$	$56.2 \pm 24.9$	$6.4\text{e-}09 \pm 2.3\text{e-}01$	$0.21 \pm 0.05$	18
4037	DeepKband2	0.80	$2.1750 \pm 0.00004$	$212.2 \pm 212.9$	$1.5\text{e-}01 \pm 1.5\text{e-}01$	$0.33 \pm 0.04$	16
...	mask2	0.67	$2.1747 \pm 0.00005$	$190.8 \pm 23.5$	$8.9\text{e-}02 \pm 3.0\text{e-}02$	$0.34 \pm 0.03$	20
4099	mask3	0.68	$2.4391 \pm 0.00002$	$51.1 \pm 52.0$	$1.3\text{e-}02 \pm 1.2\text{e-}02$	$0.28 \pm 0.03$	15
4645	DeepKband1	1.10	$2.1011 \pm 0.00005$	$184.7 \pm 27.7$	$1.7\text{e-}01 \pm 4.6\text{e-}02$	$0.26 \pm 0.03$	3
4930	DeepKband2	0.80	$2.0974 \pm 0.00002$	$70.4 \pm 27.6$	$1.5\text{e-}01 \pm 1.9\text{e-}01$	$0.24 \pm 0.03$	14
5630	KbandLargeArea4	0.65	$2.2427 \pm 0.00003$	$152.1 \pm 61.9$	$2.2\text{e-}01 \pm 2.2\text{e-}01$	$0.27 \pm 0.02$	26
5870	mask4	0.66	$2.1036 \pm 0.00006$	$51.7 \pm 8.6$	$3.2\text{e-}06 \pm 1.0\text{e-}02$	$0.20 \pm 0.05$	18
6908	DeepKband2	0.80	$2.0633 \pm 0.00002$	$145.8 \pm 17.1$	$8.3\text{e-}02 \pm 3.4\text{e-}02$	$0.30 \pm 0.02$	19
...	mask1	0.71	$2.0632 \pm 0.00004$	$144.4 \pm 142.5$	$4.1\text{e-}02 \pm 3.6\text{e-}02$	$0.33 \pm 0.05$	26
8108	mask2	0.67	$2.1622 \pm 0.00005$	$230.9 \pm 54.0$	$1.5\text{e-}01 \pm 5.8\text{e-}02$	$0.15 \pm 0.03$	21
9420	mask3	0.68	$2.0633 \pm 0.00016$	$344.0 \pm 337.2$	$4.2\text{e-}01 \pm 4.0\text{e-}01$	$0.28 \pm 0.05$	5

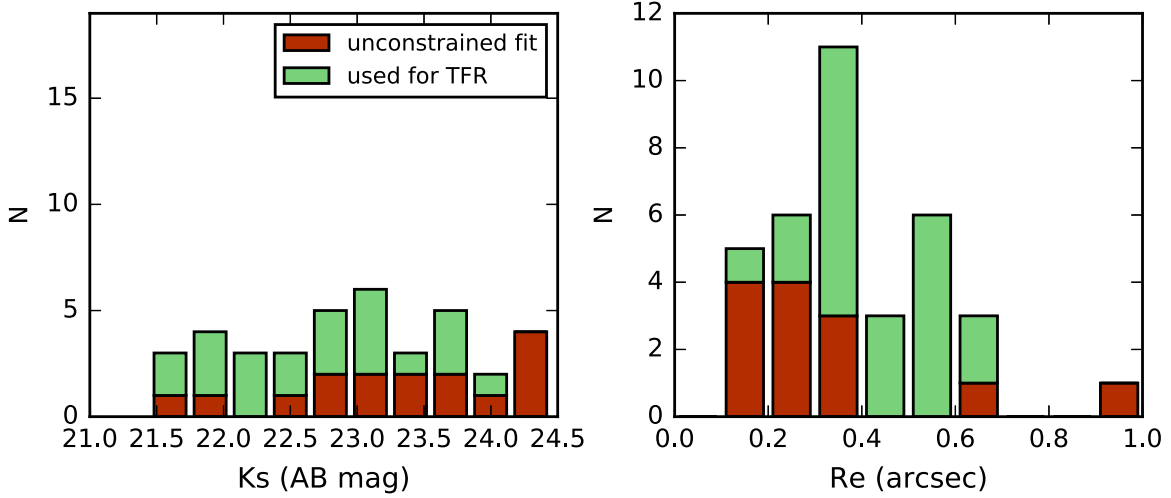
  

ID	mask	$\sigma$ ( $\text{km s}^{-1}$ )	$v_{2.2}$ ( $\text{km s}^{-1}$ )	$V_{2.2}$ ( $\text{km s}^{-1}$ )	$V_{2.2,\text{in}}/v'_{2.2,\text{out}}$	$\sin(i)$ (deg)	$\alpha_{\text{mask}}$
1814	KbandLargeArea4	$47.2 \pm 10.6$	$60.6 \pm 15.7$	$115.5 \pm 29.0$	$1.20 \pm 0.14$	0.63	2.0
2715	mask2	$57.0 \pm 5.6$	$52.3 \pm 11.5$	$72.2 \pm 15.3$	$1.11 \pm 0.08$	0.81	-47.3
2723	mask2	$69.4 \pm 6.1$	$102.1 \pm 7.4$	$283.4 \pm 46.2$	$1.36 \pm 0.23$	0.49	-47.3
2765	mask1	$83.6 \pm 11.0$	$150.2 \pm 29.4$	$242.0 \pm 68.0$	$1.26 \pm 0.31$	0.78	134.0
3074	mask1	$79.4 \pm 11.7$	$115.4 \pm 14.3$	$144.9 \pm 19.1$	$1.10 \pm 0.07$	0.87	134.0
3527	KbandLargeArea4	$68.4 \pm 4.1$	$87.7 \pm 3.3$	$107.2 \pm 7.5$	$1.09 \pm 0.07$	0.89	2.0
3598	mask2	$75.8 \pm 12.8$	$128.9 \pm 19.4$	$184.8 \pm 36.1$	$1.39 \pm 0.20$	0.97	-47.3
3633	DeepKband2	$52.2 \pm 4.9$	$161.9 \pm 19.3$	$202.9 \pm 29.1$	$1.19 \pm 0.12$	0.95	-62.0
3655	KbandLargeArea3	$27.3 \pm 10.5$	$90.5 \pm 10.9$	$288.2 \pm 39.6$	$1.10 \pm 0.09$	0.35	59.0
3680	mask3	$0.0 \pm 17.4$	$108.9 \pm 19.5$	$154.9 \pm 38.6$	$1.24 \pm 0.26$	0.87	14.8
3714	mask3	$70.2 \pm 4.4$	$78.9 \pm 7.4$	$130.9 \pm 15.6$	$1.19 \pm 0.11$	0.72	14.8
3844	DeepKband2	$52.8 \pm 4.5$	$168.6 \pm 3.1$	$251.5 \pm 22.8$	$1.10 \pm 0.11$	0.73	-62.0
4010	KbandLargeArea4	$104.6 \pm 9.8$	$56.2 \pm 14.1$	$72.8 \pm 18.1$	$1.10 \pm 0.11$	0.85	2.0
4037	DeepKband2	$21.9 \pm 8.9$	$185.1 \pm 9.2$	$283.0 \pm 29.4$	$1.10 \pm 0.11$	0.72	-62.0
...	mask2	$61.0 \pm 12.8$	$176.5 \pm 12.2$	$270.4 \pm 30.8$	$1.10 \pm 0.11$	-	-47.3
4099	mask3	$25.8 \pm 5.2$	$50.4 \pm 7.7$	$86.8 \pm 20.3$	$1.24 \pm 0.26$	0.72	14.8
4645	DeepKband1	$0.0 \pm 7.8$	$151.1 \pm 16.3$	$164.8 \pm 21.4$	$1.05 \pm 0.08$	0.97	2.0
4930	DeepKband2	$50.0 \pm 5.1$	$57.8 \pm 12.0$	$70.9 \pm 21.9$	$1.23 \pm 0.26$	1.00	-62.0
5630	KbandLargeArea4	$65.9 \pm 10.4$	$117.8 \pm 21.8$	$163.3 \pm 34.9$	$1.37 \pm 0.19$	0.99	2.0
5870	mask4	$21.1 \pm 10.1$	$51.7 \pm 6.9$	$74.9 \pm 11.6$	$1.10 \pm 0.11$	0.76	-63.0
6908	DeepKband2	$46.1 \pm 7.8$	$134.0 \pm 11.0$	$324.9 \pm 46.7$	$1.36 \pm 0.18$	0.56	-62.0
...	mask1	$86.9 \pm 11.3$	$139.3 \pm 22.0$	$298.0 \pm 53.7$	$1.20 \pm 0.15$	-	134.0
8108	mask2	$45.6 \pm 16.8$	$168.8 \pm 21.8$	$190.9 \pm 27.8$	$1.11 \pm 0.08$	0.98	-47.3
9420	mask3	$36.7 \pm 23.8$	$212.9 \pm 40.7$	$257.2 \pm 51.6$	$1.19 \pm 0.11$	0.99	14.8

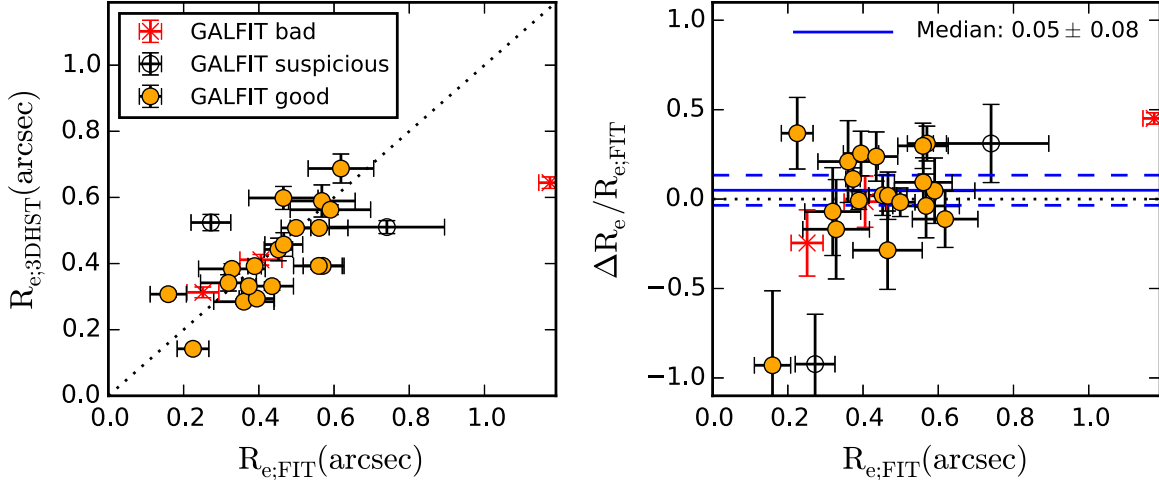
**Note.** Columns explained from left to right.

ID: galaxy ID; mask: observing mask; seeing: measured Gaussian seeing;  $z_{\text{centroid}}$ : redshift based on kinematic center;  $V_a$ : best-fit  $V_a$ ;  $r_t$ : best-fit  $r_t$ ;  $R_s$ : best-fit  $R_s$ ;  $S/N_{\text{H}\alpha}$ : signal-to-noise ratio of the  $\text{H}\alpha$  emission line;  $\sigma$ : best-fit intrinsic velocity dispersion;  $v_{2.2}$ : velocity derived at  $2.2R_s$ ;  $V_{2.2}$ : velocity after correcting for inclination, projection effects, and slit misalignment;  $V_{2.2,\text{in}}/v'_{2.2,\text{out}}$ : median input versus output ratio of emission-line models for the given  $|\Delta\alpha|$ ;  $\sin(i)$ : inclination correction;  $\alpha_{\text{mask}}$ : slit angle.

The final velocity was derived from  $v_{2.2}$  as  $V_{2.2} = (v_{2.2}/\sin(i))(V_{2.2,\text{in}}/v'_{2.2,\text{out}})$ .



**Figure 6.**  $K_s$ -band magnitude and effective F160W radius  $R_e$  stacked histograms of 38 galaxies in the high-quality sample. For 18 spectra of 16 galaxies the fits were poorly constrained or could not be fit with an exponential brightness profile. The remaining 22 galaxies were used to derive the Tully–Fisher relation. The removed galaxies have fainter  $K_s$ -band magnitudes and smaller sizes on average, which resulted in a selection bias toward larger and/or brighter galaxies.



**Figure 7.** Left:  $R_e = 1.678 * R_s$  in the  $K$  band from our fits vs.  $R_e$  in the  $HST/WFC3/F160W$  band measured by van der Wel et al. (2014b), for 25 spectra. The dotted line indicates the one-to-one relation. Right:  $\Delta R_e / R_{e,\text{FIT}} = (R_{e,\text{FIT}} - R_{e,3\text{DHST}}) / R_{e,\text{FIT}}$  as a function of  $R_{e,\text{FIT}}$ . The bootstrapped median and  $1\sigma$  error on the median (excluding any GALFIT bad fits indicated by red crosses) are shown as the solid and dashed blue lines, respectively.

compared this with the effective radii from the  $HST/WFC3/F160W$  image reported by van der Wel et al. (2014b). On average we find good agreement, with some scatter, and we derived a bootstrapped median  $\Delta R_e / R_e = 0.05 \pm 0.08$  (Figure 7). The most prominent outliers, with  $|\Delta R_e / R_e| > 0.5$ , occur for two small galaxies, which have  $R_s < 25\%$  of the seeing. One additionally has a very irregular morphology and was flagged by van der Wel et al. (2014b) as a suspicious GALFIT result.

We note that 7/25 fits resulted in very small  $r_t$ , with  $r_t < 0''.02$ . This is clearly much less than the resolution of a pixel:  $0''.18$ . To investigate the potential impact of small  $r_t$  on the velocities, we refit these spectra limiting  $r_t$  to  $r_t > 0''.02$ , obtaining a median velocity that is 10% higher. This may indicate that the velocities are underestimated for sources with small  $r_t$ , but without knowing the true  $r_t$ , the effect is difficult to quantify.

The total S/N is included in Table 1. We measured the S/N within  $5R_s$  above and below the center of the line, but never beyond  $1''.26$  to avoid the negative imprints of the emission line in the spectrum. We also defined a wavelength region within which to measure S/N, defined by the maximum shear of the line, plus a buffer of  $3\text{FWHM}_\lambda = 3(2\sqrt{2\ln 2})\sqrt{\sigma^2 + \sigma_{\text{instr}}^2}$  Å. The S/N within these limits was calculated by summing the flux and summing the squares of the equivalent pixels in the noise spectrum, and dividing the first by the square root of the latter.

Two galaxies were included in two masks (4037 and 6908 in Table 1). As they were observed under different seeing conditions and have different S/N and slit angle, they provide a useful check on consistency. Encouragingly, we find that these galaxies have velocities, redshifts, and scale parameters that agree between masks within their uncertainties. We

averaged their velocities to derive the Tully–Fisher relation in the next section.

#### 4. The Tully–Fisher Relation at $2.0 < z < 2.5$

##### 4.1. Tully–Fisher Sample

We show F160W images of the remaining 22 galaxies in the Tully–Fisher sample in Figure 8 and illustrate the orientation of their major axis and the MOSFIRE slits. Physical properties of the sample are shown in Table 2 and Figures 9 and 10. We also compare with the primary 187 ZFIRE targets, as well as with the general population of galaxies at this redshift obtained from ZFOURGE. For the ZFOURGE sample we selected galaxies with stellar mass  $M/M_{\odot} > 10^9$ . The 19 galaxies in our sample cover the full range of the star-forming region of the *UVJ* diagram (below the red line), but they have higher SFRs compared to the SFR–stellar mass relation for star-forming galaxies at  $2.0 < z < 2.5$  (Tomczak et al. 2016). They lie at the bright, high-mass end of the general galaxy population. They have a large spread in size (Figure 10), including even a massive compact galaxy with effective size  $R_e = 0''.14$ , but on average they are larger than predicted by the size–mass relation at  $2.0 < z < 2.5$  (van der Wel et al. 2014b).

Of the 22 galaxies, 6 are spectroscopically confirmed to be part of the  $z = 2.095$  galaxy cluster. However, due to the small number of cluster galaxies in this sample, a study of the effects of environment on the evolution of the Tully–Fisher relation is not feasible here. Alcorn et al. (2016) measured the velocity dispersions of a larger sample of ZFIRE cluster galaxies and found no evidence for environmental effects at this redshift.

##### 4.2. The Tully–Fisher Relation

The Tully–Fisher relation is the relation between rotational velocity and stellar mass. We show our rotation measurements (also shown in Table 3) versus stellar mass in the left panel of Figure 11, using the stellar masses taken from the ZFOURGE catalogs and averaging values if galaxies were observed in two masks. We performed a linear regression to the data following

$$\log V_{2.2} = B + A(\log M/M_{\odot} - 10). \quad (8)$$

The Tully–Fisher relation is by convention shown in diagrams with stellar mass on the  $y$ -axis. However, the dominant uncertainty here is that in velocity, and therefore we performed regression with  $V_{2.2}$  as the dependent variable. This is also a method very commonly used in literature, which acts against Malmquist bias (Bamford et al. 2006; Weiner et al. 2006b; Kelly 2007).

We obtain from the fit  $B = (2.18 \pm 0.051)$  and  $A = (0.193 \pm 0.108)$ . We derived the uncertainties by bootstrapping the sample 1000 times and taking the standard deviation from the bootstrapped distributions of  $B$  and  $A$ . The slope of the Tully–Fisher relation,  $(0.193 \pm 0.108)$ , is consistent with previous results at  $z = 0$ . For example, Reyes et al. (2011) find  $A = 0.29$ , and Bell & de Jong (2001) find  $A = 1/4.5 = 0.22$ . Our study has too few numbers to significantly constrain evolution in the slope between  $z = 0$  and  $2 < z < 2.5$ , but if we fix the slope to that at lower redshift, we can study the evolution of the zero-point. Setting  $A = 0.29$ , we find  $B = (2.17 \pm 0.047)$ . Compared to  $z = 0$  (Reyes et al. 2011), this implies an evolution of the zero-point (in stellar mass) of  $\Delta M/M_{\odot} = -0.25 \pm 0.16$  dex. We included here a small correction of  $-0.05$  dex in stellar mass to account for the

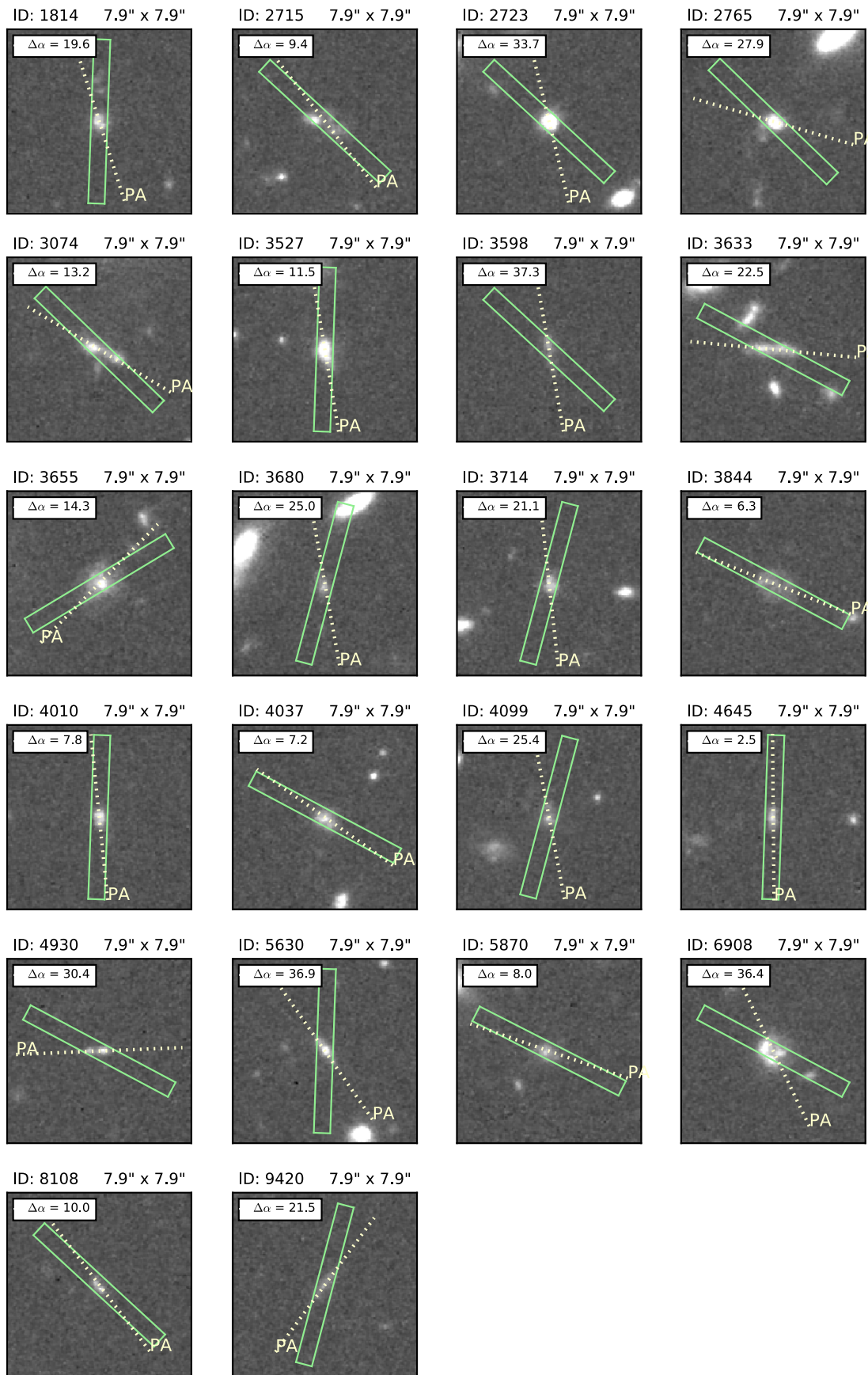
Kroupa (2001) IMF used by Reyes et al. (2011) instead of the Chabrier (2003) IMF used here. Similarly, we can compare to the  $z = 0$  result of Bell & de Jong (2001), by setting the slope to  $1/4.5$ . This results in an observed evolution of  $\Delta M/M_{\odot} = -0.39 \pm 0.21$  dex. These offsets in stellar mass are consistent with the findings of Cresci et al. (2009) and Simons et al. (2016), who derived  $\Delta M/M_{\odot} = -0.41 \pm 0.11$  dex and  $\Delta M/M_{\odot} = -0.44 \pm 0.16$ , respectively.

As an additional consistency check, we investigated the effects of sample selection. First, we refined the sample even more and fitted the Tully–Fisher relation only to the galaxies with highest S/N, fixing the slope to  $A = 0.29$  or  $1/4.5$ . We obtained consistent results with  $\Delta M/M_{\odot} = -0.27 \pm 0.17$  dex and  $\Delta M/M_{\odot} = -0.43 \pm 0.23$  dex, respectively, for the 11 galaxies with spectra with S/N  $> 20$ . Then we tested applying a less severe sample selection, including spectra with velocity errors  $< 50\%$  instead of  $< 30\%$ . This resulted in  $\Delta M/M_{\odot} = -0.18 \pm 0.16$  dex and  $\Delta M/M_{\odot} = -0.29 \pm 0.21$  dex, respectively, for a sample of 27 galaxies. This is a rather large difference, and we take note that it may be a potential caveat if one selects the brightest (and therefore easiest to fit) galaxies.

To investigate whether there are remaining systematic trends, we show in Figure 12 the velocity residuals of the best-fit Tully–Fisher relation with respect to various parameters and properties of the galaxies (such as SFR). We define the residual as  $\Delta \log V_{2.2} = \log V_{2.2} - \log V_{\text{TFR}}$ , with  $V_{\text{TFR}}$  the rotational velocity predicted from the fit for a specific stellar mass. There are no systematic effects related to Sérsic index,  $R_s$ , stellar mass, SFR, specific SFR (sSFR), or offset from the SFR–stellar mass relation at  $2.0 < z < 2.5$  (Tomczak et al. 2016). In addition, there is no clear relation with inclination, PA, or seeing. A few prominent outliers have a negative  $\Delta \log V_{2.2}$ , i.e., they are located to the left of the Tully–Fisher relation in Figure 11. These have average values of very small  $r_t$ , the kinematic scale radius. As we have shown in Section 3.3, resolution effects may play a role in determining  $r_t$ , and we derive somewhat higher velocities if we limit  $r_t$  to  $r_t > 0''.02$  for these spectra, resulting in  $\Delta \log M/M_{\odot} = -0.31 \pm 0.14$  dex and  $\Delta \log M/M_{\odot} = -0.47 \pm 0.17$  dex for slopes of 0.29 and  $1/4.5$ , respectively, for the whole sample. We note that a value of zero for  $r_t$  is possible in the presence of noncircular motion, for example, if the galaxy has a bar (Franx & de Zeeuw 1992). We inspected the F160W images, but found no indications of a bar-like morphology.

The scatter of the residual velocities with respect to the Tully–Fisher relation is significant, with  $\sigma = 0.19$  dex. This is more than at  $z = 0$  and could partly be due to the low  $r_t$  outliers. It may also be related to star-forming galaxies at high redshift showing more variety in kinematics and the increase of nonrotationally supported galaxies (e.g., Kassin et al. 2007). An alternative to the stellar mass–velocity relation is the stellar mass– $S_{0.5}$  relation, with  $S_{0.5} = \sqrt{0.5V_{2.2}^2 + \sigma^2}$ . This relation was first coined by Weiner et al. (2006a), and Kassin et al. (2007) showed that the scatter decreases significantly if  $S_{0.5}$  is used. They also found that it does not evolve significantly between  $z = 0.1$  and 1.2.

We calculated  $S_{0.5}$  for the galaxies in our sample (right panel in Figure 11) and find that the scatter is indeed smaller:  $\sigma_{\text{rms}} = 0.15$  dex, similar to what Kassin et al. (2007) derived at  $0.1 < z < 1.2$  (0.16 dex) and to a recent study by Price et al. (2016), using MOSFIRE at  $1.4 < z < 2.6$  (0.17 dex). We derived the best-fit relation to the data with  $A$  and  $B$  free in the



**Figure 8.** *HST*/WFC3/F160W images of the galaxies in our Tully–Fisher sample. The green box shows the dimensions and orientation of the slit compared to the galaxies. The dotted line indicates the PA of the major axis.

**Table 2**  
Full Sample

ID	R.A. (deg)	Decl. (deg)	$K_s$ (AB mag)	F160W (AB mag)	$M/10^{10}$ ( $M_\odot$ )	SFR ( $M_\odot \text{ yr}^{-1}$ )	$R_e$ (arcsec)	GALFIT Flag <sup>a</sup>	$b/a$	$n_{\text{Sérsic}}$	P.A. (deg)
1814	150.1680908	2.2112861	23.0	23.3	0.6	17.5	$0.31 \pm 0.01$	0	$0.79 \pm 0.02$	0.6	-17.6
2715	150.0895386	2.2235634	22.6	23.0	0.8	34.4	$0.52 \pm 0.02$	1	$0.61 \pm 0.03$	0.6	-37.9
2723	150.1172638	2.2238791	21.5	22.0	7.8	66.4	$0.14 \pm 0.00$	0	$0.88 \pm 0.02$	4.5	-13.6
2765	150.119339	2.2241209	21.9	22.5	2.4	101.1	$0.29 \pm 0.01$	0	$0.64 \pm 0.01$	1.8	-73.9
3074	150.1209106	2.2288201	22.2	22.6	1.4	30.9	$0.69 \pm 0.04$	0	$0.51 \pm 0.02$	2.3	-59.2
3527	150.1825714	2.2358665	21.9	22.5	2.1	168.8	$0.39 \pm 0.01$	0	$0.48 \pm 0.01$	1.0	-9.5
3598	150.1120911	2.2368469	22.9	23.7	2.3	69.9	$0.59 \pm 0.05$	0	$0.30 \pm 0.03$	1.1	-10.0
3633	150.1249237	2.236979	22.1	22.7	2.5	150.5	$0.51 \pm 0.02$	1	$0.37 \pm 0.02$	0.9	-84.5
3655	150.1691284	2.2383816	21.9	22.4	2.1	127.3	$0.56 \pm 0.01$	0	$0.94 \pm 0.01$	1.1	44.7
3680	150.063446	2.237031	24.0	24.1	0.2	16.9	$0.34 \pm 0.02$	0	$0.51 \pm 0.04$	0.9	-10.2
3714	150.0707703	2.2381561	22.7	23.3	1.5	23.1	$0.33 \pm 0.01$	0	$0.71 \pm 0.02$	0.7	-6.3
3844	150.1094666	2.2400432	22.4	23.0	1.5	65.2	$0.64 \pm 0.02$	2	$0.69 \pm 0.02$	0.2	-68.3
4010	150.1798706	2.2423265	23.0	23.5	1.1	131.2	$0.28 \pm 0.01$	0	$0.55 \pm 0.02$	0.4	-5.8
4037	150.0981293	2.2428052	22.2	23.1	4.9	95.7	$0.39 \pm 0.01$	0	$0.71 \pm 0.02$	0.6	-54.8
4099	150.0718231	2.243396	23.1	23.7	2.2	57.5	$0.46 \pm 0.04$	0	$0.71 \pm 0.03$	1.7	-10.6
4645	150.0743256	2.2516196	23.6	23.8	0.3	14.1	$0.33 \pm 0.01$	0	$0.32 \pm 0.03$	0.5	-0.5
4930	150.0559387	2.2557058	23.5	23.8	0.3	15.3	$0.41 \pm 0.02$	2	$0.09 \pm 0.02$	0.2	87.6
5630	150.2009735	2.2665324	22.9	23.3	0.9	111.3	$0.44 \pm 0.03$	0	$0.24 \pm 0.02$	2.4	-34.9
5870	150.0609436	2.2696433	23.1	23.5	0.8	15.2	$0.38 \pm 0.02$	0	$0.67 \pm 0.02$	0.7	-71.0
6908	150.0834198	2.2857671	21.7	22.2	3.0	138.9	$0.51 \pm 0.01$	0	$0.84 \pm 0.01$	0.4	-25.6
8108	150.0622711	2.3044007	23.8	24.1	0.5	16.3	$0.31 \pm 0.02$	2	$0.27 \pm 0.03$	0.2	-37.3
9420	150.0947418	2.3236084	23.6	24.0	0.7	17.1	$0.60 \pm 0.03$	0	$0.24 \pm 0.03$	0.5	36.3

**Note.** Columns explained from left to right.

ID: galaxy ID; R.A.: right ascension; Decl.: declination;  $K_s$ : total FourStar/ $K_s$ - band magnitude; F160W: total *HST*/WFC3/F160W magnitude;  $M$ : stellar mass; SFR: star formation rate;  $R_e$ : effective radius from van der Wel et al. (2014b); GALFIT flag: quality flag provided by van der Wel et al. (2014b);  $b/a$ : axis ratio;  $n_{\text{Sérsic}}$ : Sérsic index; P.A.: position angle of the major axis.

<sup>a</sup> 0: good fit; 1: suspicious fit; 2: bad fit (van der Wel et al. 2012).

fit and found  $\log S_{0.5} = (2.06 \pm 0.032) + (0.211 \pm 0.086)$  ( $\log M/M_\odot - 10$ ). Here the slope is steeper than at  $0.1 < z < 1.2$ , where Kassin et al. (2007) found that  $A = 0.34$ . This is in agreement with the previous study by Cresci et al. (2009), who did not derive a best-fit to their data, but they do find higher  $S_{0.5}$  values toward smaller stellar mass compared to the  $0.2 < z < 1.2$  relation. Keeping the slope fixed at  $A = 0.34$ , we found  $B = (2.03 \pm 0.032)$ . This implies a zero-point evolution of  $\Delta M/M_\odot = -0.45 \pm 0.13$  dex compared to  $0.1 < z < 1.2$ . Price et al. (2016) also find an offset in  $\Delta M/M_\odot$  for galaxies at high redshift, implying  $\Delta M/M_\odot \sim -0.3$  dex, and their data do not indicate a steeper slope. Their offset from  $0.1 < z < 1.2$  is inconsistent with and less than what we find, which could be due to the inclusion of galaxies at  $z < 2$ , their assumption of a Gaussian PSF, and our correction for 2D PSF effects. If we account for the Gauss–Moffat difference and remove the correction for smoothing and slit misalignment, the inferred evolution compared with  $z < 1.2$  is less:  $\Delta M/M_\odot = -0.26 \pm 0.12$  dex. Both the findings from Price et al. (2016) and ours point toward evolution of the zero-point of the stellar mass– $S_{0.5}$  relation between  $z < 1.2$  and  $z \gtrsim 2$ , but no evolution for the scatter in  $S_{0.5}$ .

One caveat could be the possible misclassification of mergers as rotating disks in our sample. As Hung et al. (2015) showed using artificially redshifted IFU data, a large fraction of high-redshift ( $z > 1.5$ ) interacting galaxies would still be kinematically classified as single rotating disks. Inspection of Figure 8 indicates that some of the galaxies here have multiple components with small angular separations, e.g.,

1814, 4930, and 6908. Such components may contribute differently to the kinematics of the system, but to investigate this in detail is beyond the scope of this paper.

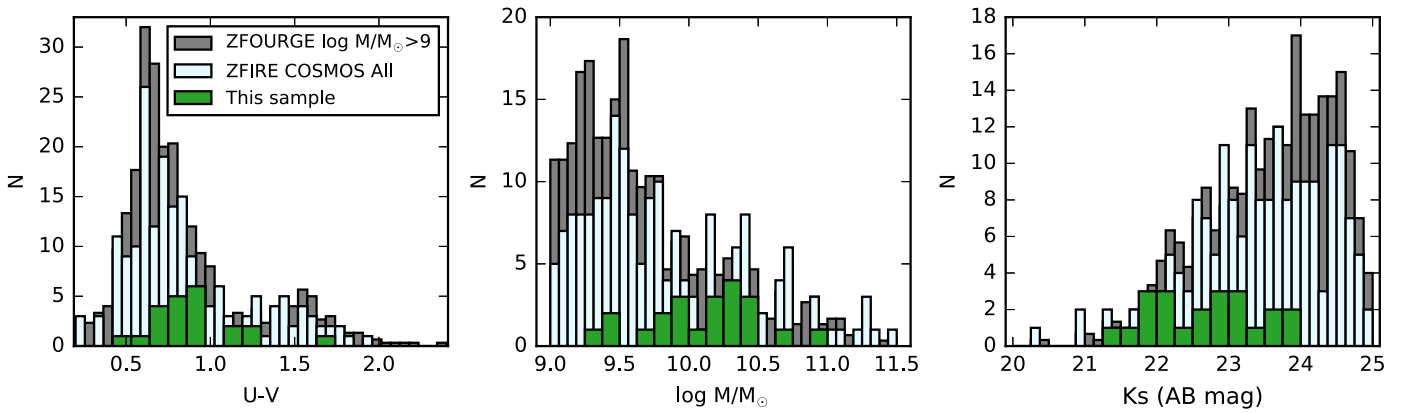
## 5. Discussion

### 5.1. Comparison to Literature

To put our results into context, we show the evolution of the stellar mass zero-point in Figure 13 and include previous results from the literature. These were all derived from the stellar mass–velocity relation, with quite strong discrepancies between different studies<sup>10</sup> at  $z > 0.5$ . However, before comparing with other studies at different redshifts, several major caveats have to be taken into account: studies use different galaxy selections, different methodologies to derive velocity and stellar mass, and different types of spectroscopic observations. We will discuss these first and then review and compare the studies.

The first is selection bias. At  $z > 2$  star-forming galaxies have different properties on average than at  $z = 0$ . For example, they have higher SFRs, higher gas masses, and smaller sizes (e.g., van der Wel et al. 2014b; Papovich et al. 2015). At  $z > 2$  dust-obscured galaxies are more common, and for these galaxies the  $H\alpha$  luminosity is attenuated (e.g., Reddy

<sup>10</sup> We show the stellar mass zero-point offsets as quoted by the authors, which were carefully derived and corrected for the different IMFs used in  $z = 0$  studies. We verified the corrections applied to each data point, but could not confirm the IMF correction by Conselice et al. (2005). The correction from Vergani et al. (2012) was unclear.



**Figure 9.** Rest-frame  $U - V$  colors, stellar masses, and ZFOURGE  $K_s$ -band magnitudes for the 22 galaxies used here to derive the Tully–Fisher relation (green), the ZFIRE target sample (light blue), and a parent sample drawn from ZFOURGE with  $2 < z < 2.5$  and  $M/M_\odot > 10^9$  (gray). The gray histograms were reduced by a factor of three for reasons of visibility. The 22 galaxies of this study have a large range in  $U - V$ , stellar mass, and brightness.

et al. 2005; Spitler et al. 2014). Samples that are UV or  $H\alpha$  selected may therefore not be a complete distribution of star-forming galaxies at high redshift, and changes in incompleteness may mimic evolution with redshift. Mergers and galaxies with irregular morphologies are also more common than at  $z = 0$  (e.g., Abraham & van den Bergh 2001; Mortlock et al. 2013). These galaxies have less ordered velocity fields (e.g., Kassin et al. 2007) and higher velocity dispersions relative to circular velocities, and they are often excluded from Tully–Fisher samples because it is difficult to describe these galaxies with smooth rotating models (Cresci et al. 2009; Gnerucci et al. 2011). At high redshift the angular extent of galaxies is often small compared to the seeing, which may give the appearance that the galaxy is dispersion dominated if the velocity gradient is unresolved (e.g., Miller et al. 2012). If the selection requires ordered rotation, this leads to biases toward larger galaxies.

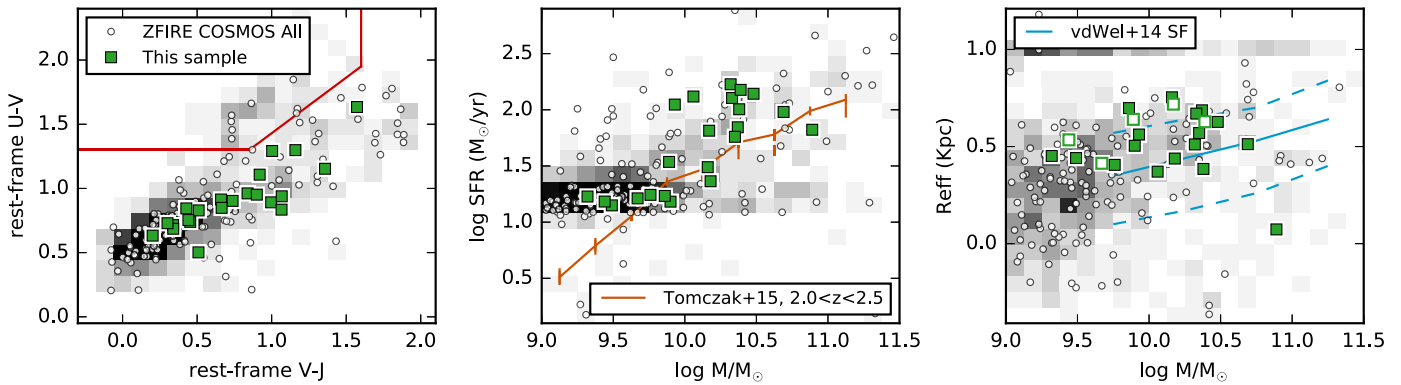
Here we have attempted to introduce as little selection bias as possible, but it could not be entirely avoided. As described in Section 3.5, we have excluded galaxies with poor fits, which tended to be galaxies with smaller sizes and fainter magnitudes than the overall photometric sample. Despite the large uncertainties on the velocities of these poor fits, in Section 4.2 we have shown that such a selection may indeed bias the result toward larger average velocities and hence a stronger evolution of the stellar mass zero-point.

Another caveat when comparing different results from literature is methodology. In many studies the PSF is assumed to be Gaussian, but for our MOSFIRE data a Moffat profile is a better approximation. The difference between using a Gaussian and a Moffat in our modeling leads to a 0.06–0.08 dex shift in the stellar mass zero-point of the Tully–Fisher relation, depending on the slope. In addition, several different possibilities exist to model the velocity field, e.g., the 1D arctan model we used here (and also used by, e.g., Miller et al. 2011, 2012) or a 2D integrated mass model (Cresci et al. 2009; Gnerucci et al. 2011). Different choices for the radius at which to evaluate velocity exist as well. In some cases  $R_{80}$  is used, encapsulating 80% of the optical light (Reyes et al. 2011). In other cases  $V_{\max}$  is used, or the asymptotic velocity  $V_a$  in the arctan model, which is often extrapolated at a radius beyond the optically observed extent of the galaxy (e.g.,

Weiner et al. 2006b). Most studies in Figure 13 employ  $V_{\max}$ . We prefer  $V_{2,2}$ , because it is more robust, and it is used in several other studies (e.g., Miller et al. 2011, 2012). The relation Reyes et al. (2011) derived for  $V_{80}$ , which is close to  $V_{\max}$ , implies a 4% increase in velocities relative to  $V_{2,2}$ , or a  $\sim 0.06$ – $0.08$  dex effect on the inferred stellar mass zero-point. Lastly, uncertainty on the stellar mass has to be taken into account. We derived our stellar mass from fitting to spectral energy distributions (SEDs) obtained from photometry, which depends on several assumptions of the stellar population models. Differences between a Salpeter (1955), Kroupa (2001), Diet Salpeter (Bell et al. 2003), and Chabrier (2003) IMF are 0.05–0.3 dex. In addition, different stellar population models can produce stellar masses different by a factor of 2 (e.g., the review of Conroy 2013). Also, fitting models to SEDs versus applying  $M/L$  ratios based on  $(g - r)$  colors (Bell et al. 2003; Puech et al. 2008) can amount to up to a factor of 2 differences (Reyes et al. 2011).

Another important issue is simply that the data sets between surveys are of a different kind, such as single-slit data versus integral field spectroscopy. For example, Cresci et al. (2009), who use IFS, employ a 3D method, by modeling a datacube with  $x$ ,  $y$ , and  $\lambda$  dimensions. This kind of modeling already includes effects from the 2D PSF and projection, whereas  $(y, \lambda)$  modeling of single-slit data using a 1D PSF (as performed in this study and by Conselice et al. [2005], Kassin et al. [2007], and Miller et al. [2011, 2012] at high redshift) results in systematically underestimating the velocity.

In summary, methodology and data sets can introduce significant velocity offsets. Reviewing the studies at different redshifts with this in mind, we can try to understand these discrepancies. For example, there exist clear differences between Puech et al. (2008) and other studies (e.g., Conselice et al. 2005; Kassin et al. 2007; Miller et al. 2011) at  $z \sim 0.5$  of 0.3–0.4 dex. There is also a strong apparent evolution between  $z = 1.7$  (Miller et al. 2012, based on 1D modeling of single-slit data) and our results at  $z = 2.2$ . This can at least in part be explained if we take into account our slit misalignment and projection corrections (see Section 3.4). For example, Weiner et al. (2006a) already speculated that velocities may become underestimated for larger  $|\Delta\alpha|$ , and based on this, Kassin et al. (2007) select sources with  $|\Delta\alpha| < 40^\circ$ , but do not correct for



**Figure 10.** Left:  $UVJ$  diagram of the ZFIRE sample (open symbols) and the 22 galaxies studied in this work (squares). The underlying histogram is the full distribution of  $2.0 < z < 2.5$  galaxies with  $M > 10^9 M_\odot$  from ZFOURGE. This diagram separates quiescent galaxies from star-forming galaxies based on their rest-frame  $U - V$  and  $V - J$  colors, obtained from ZFOURGE photometry. The 22 galaxies in the sample span the full range in color typical of star-forming galaxies (region below the red line). Middle: stellar mass vs. the logarithm of SFR. The orange line shows the median SFR as a function of stellar mass of star-forming galaxies at  $2.0 < z < 2.5$  (Tomczak et al. 2016). Most of the galaxies in the sample are above the SFR–stellar mass relation at that redshift (Tomczak et al. 2016). Right: stellar mass vs. effective radius, with the size–mass relation at  $2.0 < z < 2.5$  for star-forming galaxies shown as a blue line. The dashed lines are the corresponding 16th and 84th percentiles. Open square data points are flagged as suspicious or bad fits in the catalogs of van der Wel et al. (2014b).

**Table 3**  
Tully–Fisher Variables

ID	$V_{2.2;TF}$ ( $\text{km s}^{-1}$ )	$S_{05;TF}$ ( $\text{km s}^{-1}$ )	$\sigma_{TF}$ ( $\text{km s}^{-1}$ )
1814	$115.5 \pm 29.0$	$94.4 \pm 18.5$	$47.2 \pm 10.6$
2715	$72.2 \pm 15.3$	$76.5 \pm 8.3$	$57.0 \pm 5.6$
2723	$283.4 \pm 46.2$	$212.1 \pm 31.0$	$69.4 \pm 6.1$
2765	$242.0 \pm 68.0$	$190.4 \pm 43.5$	$83.6 \pm 11.0$
3074	$144.9 \pm 19.1$	$129.6 \pm 12.9$	$79.4 \pm 11.7$
3527	$107.2 \pm 10.7$	$102.1 \pm 6.3$	$68.4 \pm 4.1$
3598	$184.8 \pm 36.1$	$151.1 \pm 23.0$	$75.8 \pm 12.8$
3633	$202.9 \pm 29.1$	$152.7 \pm 19.4$	$52.2 \pm 4.9$
3655	$288.2 \pm 39.6$	$205.6 \pm 27.8$	$27.3 \pm 10.5$
3680	$154.9 \pm 38.6$	$109.5 \pm 27.3$	$0.0 \pm 17.4$
3714	$130.9 \pm 15.6$	$116.2 \pm 9.2$	$70.2 \pm 4.4$
3844	$251.5 \pm 25.2$	$185.5 \pm 17.1$	$52.8 \pm 4.5$
4010	$72.8 \pm 18.1$	$116.6 \pm 10.5$	$104.6 \pm 9.8$
4037	$276.7 \pm 42.6$	$200.0 \pm 29.7$	$41.5 \pm 15.6$
4099	$86.8 \pm 20.3$	$66.6 \pm 13.4$	$25.8 \pm 5.2$
4645	$164.8 \pm 21.4$	$116.5 \pm 15.1$	$0.0 \pm 7.8$
4930	$70.9 \pm 21.9$	$70.8 \pm 11.5$	$50.0 \pm 5.1$
5630	$163.3 \pm 34.9$	$132.9 \pm 22.1$	$65.9 \pm 10.4$
5870	$74.9 \pm 11.6$	$57.0 \pm 8.5$	$21.1 \pm 10.1$
6908	$311.5 \pm 71.2$	$230.1 \pm 48.4$	$66.5 \pm 13.7$
8108	$190.9 \pm 27.8$	$142.5 \pm 19.4$	$45.6 \pm 16.8$
9420	$257.2 \pm 51.6$	$185.5 \pm 36.1$	$36.7 \pm 23.8$

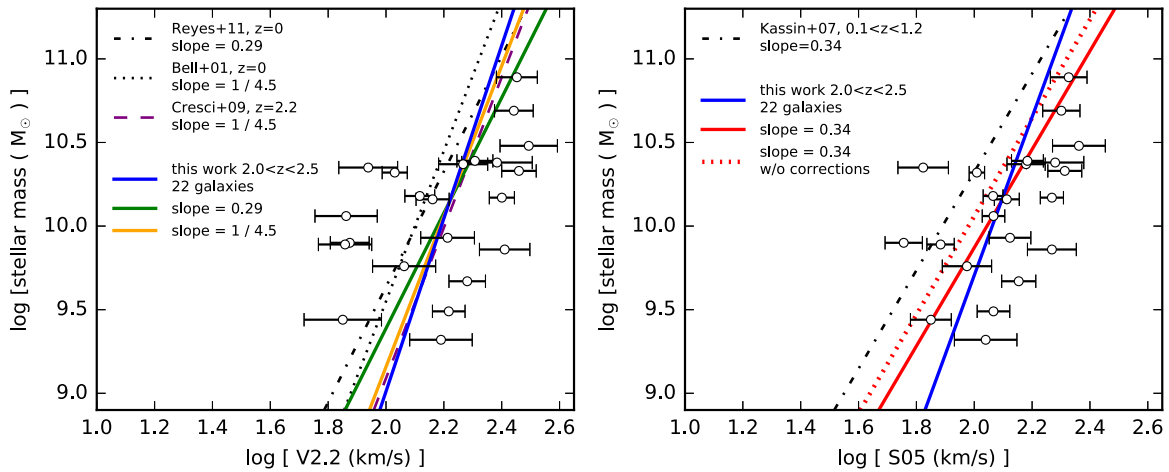
**Note.**  $V_{2.2;TF}$ ,  $S_{05;TF}$ , and  $\sigma_{TF}$  were used to generate Figure 11. For sources with observations in multiple masks, they are average values.

slit misalignment. In Section 3.4, however, we showed that the effects of slit misalignment are still strong even for  $|\Delta\alpha| < 40^\circ$ . Miller et al. (2011) and Miller et al. (2012) apply a correction for slit misalignment (Miller et al. 2011, Equation (4)), but if we apply the same to our simulations, we still find a residual  $V_{2.2;in}/v'_{2.2;out} = 1.1$ , independent of  $|\Delta\alpha|$ . We show the median correction applied to our data in both panels of Figure 13. It is likely that a similar correction is applicable to all single-slit studies based on 1D modeling, but we also note that such corrections are dependent on slit width, as well as the angular size of a galaxy. The effect may thus be

smaller at  $z < 1$ . Encouragingly, Harrison et al. (2017) derive a similar median correction of 7% on circular velocities measured in virtual single slits projected on IFU data of  $z \sim 0.9$  galaxies. The differences at  $z = 0.6$  with the result from Puech et al. (2008) may further be explained by a different conversion from light to stellar mass ( $M/L$  ratios versus SED fitting).

The effects of sample selection on the observed evolution have been shown by Tiley et al. (2016), who measured the stellar mass Tully–Fisher relation both for a parent sample of more general properties, e.g.,  $H\alpha$  detected, nonzero rotation with  $<30\%$  uncertainties, and for a subsample of rotation-dominated galaxies with  $v/\sigma > 3$ . They found a  $\Delta M/M_\odot = 0.39$  dex difference, with stronger evolution for the rotation-dominated sample. The median  $V_{2.2}/\sigma$  of our sample is 3.5, and we must keep in mind that our sample may trace the evolution of the more rotationally supported disks. The differences between  $0 < z < 2$  studies and the  $z = 3$  result of Gnerucci et al. (2011) could be related to their rest-frame UV selection and requirement of optical (rest-UV) spectroscopic redshifts, which tends to be much bluer than near-IR-selected samples at  $1 < z < 2$ .

Different studies also compare to different  $z = 0$  relations. The most common references are the results from Bell & de Jong (2001), Pizagno et al. (2005), and Reyes et al. (2011). These are based on different IMF, choice of velocity indicator, and method to derive stellar mass. In most high-redshift studies, estimates of the evolution of the Tully–Fisher relation are derived very carefully, but a major factor of uncertainty is the derived slope of the relation at  $z = 0$ . For example, Bell & de Jong (2001) derive a much steeper slope, with  $A = 1/4.5$ , than Pizagno et al. (2005) and Reyes et al. (2011). This is illustrated by Vergani et al. (2012), who find a  $-0.36 \pm 0.11$  dex evolution compared to Pizagno et al. (2007) and only  $-0.05 \pm 0.16$  dex compared to Bell & de Jong (2001). Other studies that compare to Bell & de Jong (2001) are those by Conselice et al. (2005), Dutton et al. (2011, based on the results of Kassin et al. 2007), Cresci et al. (2009), and Gnerucci et al. (2011). Miller et al. (2011, 2012) and Simons et al. (2016) use the  $z = 0$  relation from Reyes et al. (2011), while Puech et al. (2008) and Tiley et al. (2016) derive the stellar mass Tully–Fisher relation at both  $z = 0$  and the redshift of their study and compare internally.



**Figure 11.** Left: stellar mass vs. velocity and the best-fit Tully–Fisher relation for galaxies at  $2.0 < z < 2.5$ :  $\log V_{2.2} = (2.18 \pm 0.051) + (0.193 \pm 0.108)(\log M/M_{\odot} - 10)$  (blue line). For comparison, the dashed purple line is the  $z \sim 2.2$  result from Cresci et al. (2009). The dot-dashed and dotted lines are the  $z = 0$  results from Reyes et al. (2011) and Bell & de Jong (2001), respectively. The green and orange lines are the best-fit results with the slope fixed to the respective slopes at  $z = 0$ . The scatter in velocity around the relation is 0.19 dex. Right: stellar mass vs.  $S_{0.5} = \sqrt{0.5V_{2.2}^2 + \sigma^2}$ . The scatter in  $S_{0.5}$  is smaller than in velocity: 0.16 dex. We derive a best-fit relation  $\log S_{0.5} = (2.07 \pm 0.03) + (0.224 \pm 0.060)(\log M/M_{\odot} - 10)$  (red line), with a steeper slope than at  $0.1 < z < 1.2$  (dot-dashed line; Kassin et al. 2007). The solid red line is the best fit with the slope fixed to match the relation of Kassin et al. (2007). The red dotted line shows the same result if we remove the smoothing and slit misalignment corrections and assume a Gaussian PSF (velocities 4% smaller).

Despite potential selection effects and differences in methodology, our results are consistent with the two other studies at  $z \sim 2$  (Cresci et al. 2009; Simons et al. 2016) and suggest that the stellar mass Tully–Fisher relation has evolved since  $z \sim 2.2$ .

## 5.2. Interpretation of the Evolution of the Tully–Fisher Relation

Taking into account the various systematic differences between studies at high redshift, we now discuss the observations in a framework of dark matter halo physics and semianalytical models. First, we calculate the expected disk mass evolution assuming an isothermal dark matter halo and a constant fraction of the total halo mass corresponding to the disk (see also Equation (4) of Mo et al. 1998). Here the evolution scales with the inverse of the Hubble constant:  $\sim 1/H(z)$  (solid gray line). In reality it is likely that the disk mass fraction evolves over time (e.g., Papovich et al. 2015). We therefore matched the predicted mass growth of a halo of  $10^{13} M_{\odot}$  at  $z = 0$  with the results from Behroozi et al. (2010), who analyzed the relation of stellar mass to halo mass for galaxies between  $z = 0.1$  and 4. Here we assumed a zero gas fraction for the disk. This resulted in softer evolution (dot-dashed gray line).

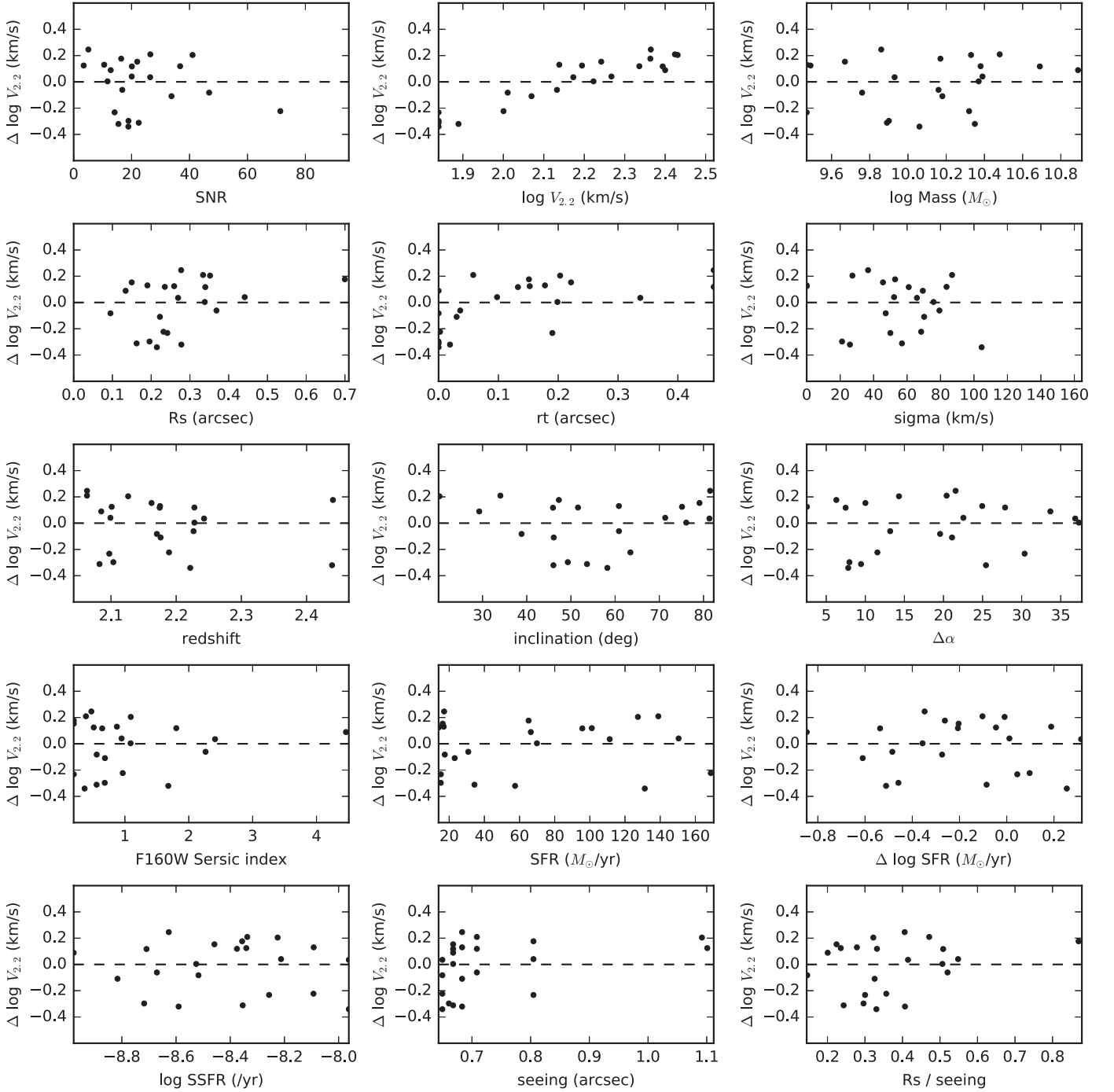
An even more detailed approximation for the evolution of a disk is explored with the semianalytic models of Somerville et al. (2008) (long-dashed line) and Dutton et al. (2011) (dotted line for the stellar mass and dashed line for the baryonic disk mass evolution). The key difference between these models is that Somerville et al. (2008) allow for halo contraction and halos with a mass distribution following the prescription of Navarro et al. (1997) and assume purely stellar disks, whereas Dutton et al. (2011) assume isothermal halos without contraction and include gas in their models. The semianalytic models also predict a softer evolution of the stellar mass zero-point than for a simple isothermal halo. It is also worth noting that Dutton et al. (2011) find a more gradual evolution for the

full baryonic disk mass than for the stellar mass only at fixed velocity. The stellar mass growth of a galaxy will be affected by internal feedback processes, which are not addressed here. However, these could further dampen the predicted evolution (Sales et al. 2010).

Our result at  $z = 2.2$  is consistent with these predictions, if we assume the slope of either Bell & de Jong (2001) or Reyes et al. (2011). There is good agreement between the models and most of the observations, given the uncertainties due to mass derivation and sample selection, with a gradual zero-point evolution. We note that our median corrections as indicated by the downward-pointing arrows in Figure 13, if applied to single-slit observations at  $z < 1.7$ , could potentially move these data points toward a more negative  $\Delta M/M_{\odot}$ . This would bring earlier studies into better agreement with each other, but would also lead to a stronger observed evolution than predicted at this redshift. At the same time, if such corrections become less severe owing to the increasing median size of galaxies toward lower redshift (e.g., van der Wel et al. 2014b), it may be possible to reconcile the apparent strong observed evolution between  $z = 1.7$  (Miller et al. 2012) and  $z \sim 2.2$ , while lower-redshift results still remain consistent with the models. The data point from Gnerucci et al. (2011) is still an outlier, and if representative, it may point to non-self-similar evolution at high redshift ( $z > 3$ ).

As a final note, the increase in stellar mass at fixed velocity over time could simply reflect the conversion of gas into stellar mass. The median  $V/\sigma \sim 3.5$  for galaxies in our sample is larger than the median of, e.g., Price et al. (2016) at  $1.4 < z < 2.6$  of  $V/\sigma = 2.1$ , and above the threshold of what Kassin et al. (2012) consider a kinematically settled disk. This could be due to our selection of bright galaxies with clear rotation. Nevertheless, it confirms the emerging picture that at high redshift disk galaxies are more often pressure supported and the evolution that we observe in the stellar mass zero-point could partly reflect the conversion from gas to stars over





**Figure 12.** Difference between the observed velocity and the velocity predicted by the best-fit Tully–Fisher relation. We plot against model and observational parameters. From left to right, top to bottom: S/N,  $\log V_{2,2}$ ,  $\log$  stellar mass, best-fit  $R_s$ , best-fit  $r_t$ , best-fit  $\sigma$ , redshift, inclination,  $\Delta\alpha$ , Sérsic index, SFR, SFR minus predicted SFR at  $2.0 < z < 2.5$  (Tomczak et al. 2016), sSFR, seeing, and  $R_s$  relative to the seeing. We find almost no correlations, except for best-fit  $r_t$ , with a negative offset in  $\Delta \log V_{2,2}$  for fits with  $r_t \approx 0$ .

time, a scenario that was also suggested by Simons et al. (2016).

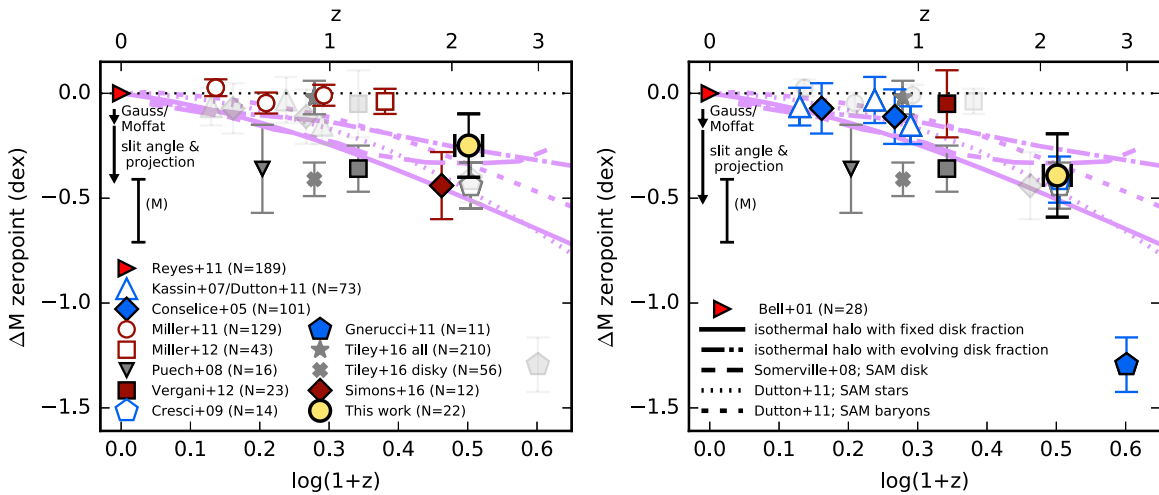
## 6. Summary

In this work we have derived the stellar mass–velocity and  $S_{0.5}$ –velocity scaling relations at  $2.0 < z < 2.5$ , making use of 24 MOSFIRE single-slit spectra of 22 star-forming galaxies, as part of the ZFIRE survey. The diagnostic used was the  $H\alpha$  emission line, and we fitted model spectral image stamps to the

data to trace the rotational velocities and dispersions of the  $H\alpha$  gas in the galaxies.

We conducted a careful check of systematics and corrected our results where necessary, and we subsequently fitted and interpreted the stellar mass Tully–Fisher evolution to  $0 < z < 2.5$ . We found the following main results:

1. The MOSFIRE PSF can be best approached by a Moffat function with  $\beta = 2.5$ , instead of a Gaussian. Assuming a Gaussian PSF instead leads to 4% underestimated



**Figure 13.** Evolution of the stellar mass zero-point with redshift. The yellow data points represent the observed evolution from our survey at  $2.0 < z < 2.5$  for fixed slopes of 0.29 (left panel) or 1/4.5 (right panel). Uncertainties are derived from bootstrap resampling, and the horizontal error bar indicates the standard deviation of redshift in our sample. Results from other surveys (as quoted in the corresponding papers) are shown with symbols as indicated in the legend. For studies that do not compare directly with Bell & de Jong (2001) or Reyes et al. (2011) we use gray symbols. The magnitude of the systematic effects that we have corrected for are indicated by arrows, and we have also indicated the magnitude of a factor of 2 uncertainty in stellar mass with a vertical error bar ( $M$ ). We also show the predictions from semianalytical models (pink lines).

velocities on average, implying a 0.06–0.08 dex effect on the stellar mass zero-point of the Tully–Fisher relation.

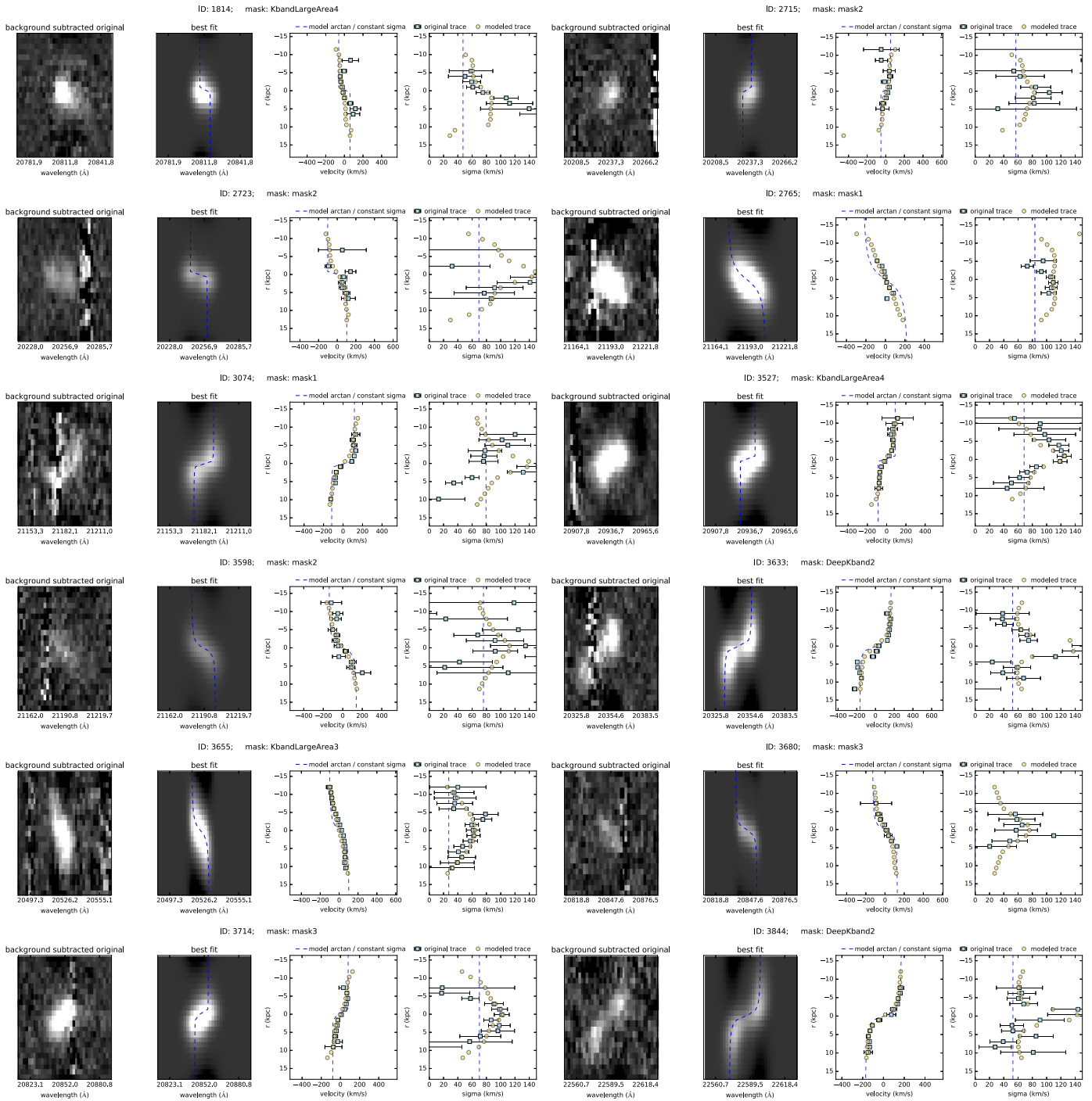
2. Two-dimensional PSF and slit projection effects cause flux from lower-velocity regions of a galaxy to be mixed within the slit. From simulations of emission-line models we derive a bias toward on average 19% smaller velocities, depending on how well the slit is aligned with the position angle of the galaxy. Depending on the slope of the Tully–Fisher relation, this translates into a 0.26–0.34 dex effect on the stellar mass zero-point.
3. Taking this into account, we derived the stellar mass Tully–Fisher relation  $\log V_{2,2} = (2.18 \pm 0.051) + (0.193 \pm 0.108)(\log M/M_{\odot} - 10)$  and inferred an evolution of  $\Delta M/M_{\odot} = -0.25 \pm 0.16$  dex compared to  $z = 0$ , assuming a fixed slope of 0.29 or  $\Delta M/M_{\odot} = -0.39 \pm 0.21$  dex assuming a slope of 1/4.5.
4. The best-fit modified Tully–Fisher relation, the  $S_{0.5}$ -velocity relation, is  $\log S_{0.5} = (2.06 \pm 0.032) + (0.211 \pm 0.086)(\log M/M_{\odot} - 10)$ , with an inferred zero-point evolution of  $\Delta M/M_{\odot} = -0.45 \pm 0.13$  dex compared to  $0.1 < z < 1.2$ .
5. We reviewed previous results in the literature, which have strong discrepancies between IFS and single-slit studies over a large redshift range. We give as an explanation for these discrepancies that single-slit results may suffer from PSF and projection effects, uncertainties in stellar mass, and selection bias.
6. The overall evolution of the stellar mass zero-point at  $0 < z < 2.5$  is reasonably well matched by predictions from hierarchical clustering (Mo et al. 1998) and the semianalytic models of Somerville et al. (2008) and Dutton et al. (2011). However, in detail some discrepancies with the models remain. Furthermore, our data confirm previous observations of increased contributions from nonrotationally supported galaxies, which are not included in the models. The increase of the average velocity dispersion toward higher redshift is related to the

higher gas fractions in galaxies, which could drive part of the evolution in  $\Delta M/M_{\odot}$ . It is possible that the evolution in  $\Delta M/M_{\odot}$  is softened by additional processes within a galaxy, such as stellar feedback.

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## Appendix Individual Fits

In this section we present the spectral image stamps and best fits for 24 spectra (Figure 14). In addition to the 2D fits, we derived radial velocity and sigma profiles from Gaussian fits to the flux intensity of each row of the spectral image



**Figure 14.** Individual fit results. For each source, from left to right: the original, sky-subtracted spectral image; the best-fit model; the radial velocity profiles of the data and the best-fit model; and the radial sigma profiles. The dashed lines in each panel are the intrinsic arctangents and velocity dispersions based on the fitted parameters. In the last two panels individual data points were obtained from Gaussian fits to each row of the spectral image (blue squares) and best-fit model (yellow bullets). For the original data we only show rows with velocity and sigma errors  $< 500 \text{ km s}^{-1}$  and  $S/N > 1$ . For the model profiles we show a spatial range up to 7 pixels to either side of the velocity center, where the dither pattern resulted in a positive signal. The velocities displayed here are not corrected for inclination and slit orientation.

stamp. The center of each Gaussian traces the relative blue- or redshift from the center and can be converted to velocity using the kinematic center from the 2D fits. In this way we can also derive the radial velocity dispersion profile, which is not constant owing to the seeing (note that for the 2D model we assumed an intrinsic constant velocity dispersion). For each spectrum we repeated this measurement for the modeled images. The errors on the trace were derived from the

covariance matrix that was produced by the Python `scipy.optimize.leastsq` algorithm and give an indication of the goodness of the fits to each row.

The radial velocity profile deviates in the center from the intrinsic arctangent, because it was measured on the convolved image. Overall, the original and modeled traces correspond within the uncertainties, indicating that an arctangent profile is a reasonable approximation of the velocity curve. These figures

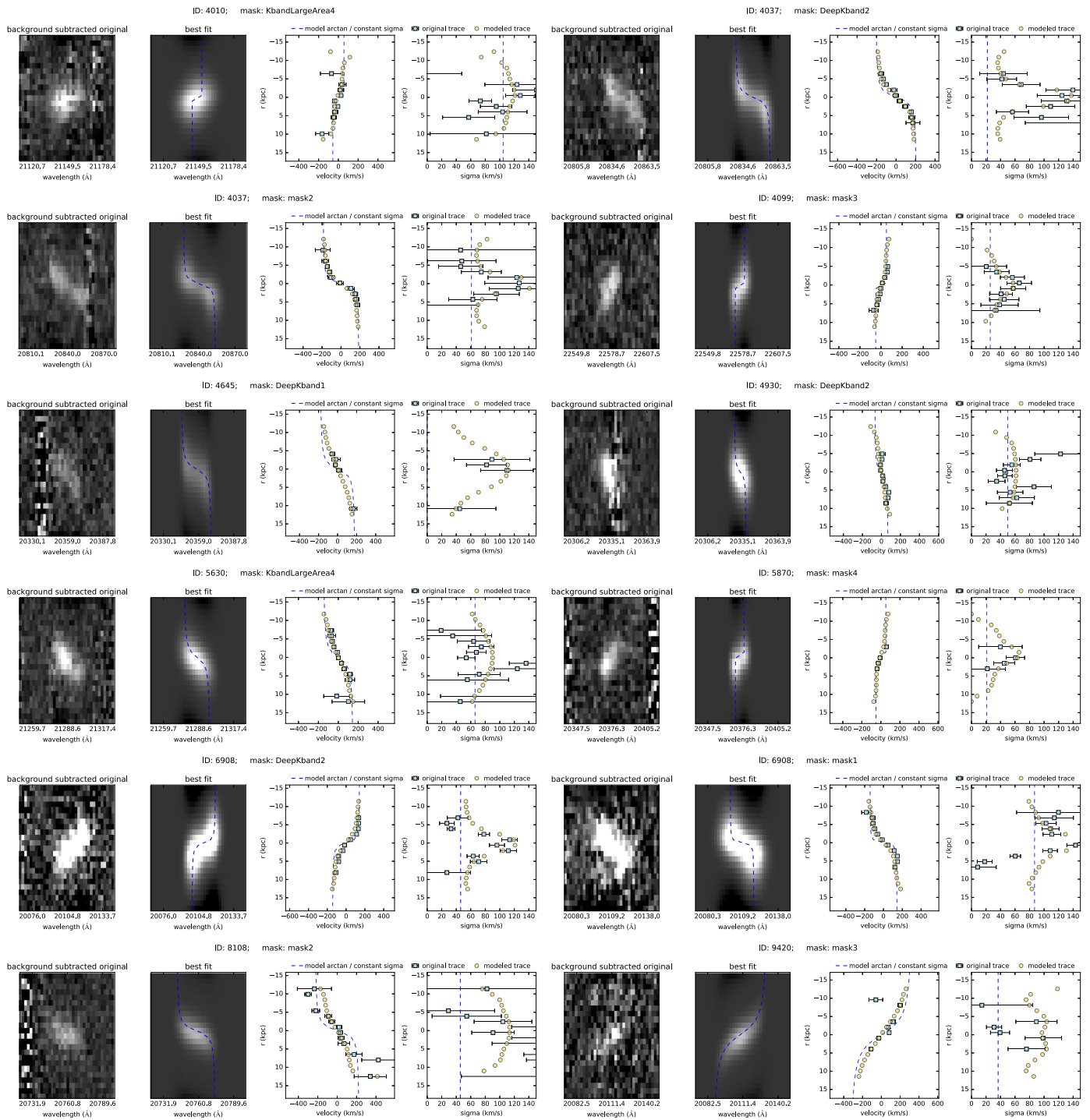


Figure 14. (Continued.)

further illustrate the advantage of a full 2D analysis: we can accurately reproduce the velocity curves over a large radial range, whereas the trace measured from individual rows can have large uncertainties due to low S/N.

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